Lecture 8 — Asynchronous I/O, epoll, select

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Asynchronous I/O on linux

or: Welcome to hell.

(mirrored at compgeom.com/~piyush/teach/4531_06/project/hell.html)

"Asynchronous I/O, for example, is often infuriating."

— Robert Love. *Linux System Programming, 2nd ed,* page 215.

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Why non-blocking I/O?

Consider some I/O:

```
fd = open (...);
read (...);
close (fd);
```

Not very performant—under what conditions do we lose out?

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Mitigating I/O impact

So far: can use threads to mitigate latency. What are the disadvantages?

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Mitigating I/O impact

So far: can use threads to mitigate latency. What are the disadvantages?

- race conditions
- overhead/max # of thread limitations

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An Alternative to Threads

Asynchronous/nonblocking I/O.

```
fd = open(..., O_NONBLOCK);
read(...); // returns instantly!
close(fd);
```

. .



Not Quite So Easy

Doesn't work on files—they're always ready. Only e.g. sockets.

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Other Outstanding Problem with Nonblocking I/O

How do you know when I/O is ready to be queried?

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Other Outstanding Problem with Nonblocking I/O

How do you know when I/O is ready to be queried?

- polling (select, poll, epoll)
- interrupts (signals)

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Using epoll

Key idea: give epoll a bunch of file descriptors; wait for events to happen.

Steps:

- create an instance (epoll_create1);
- populate it with file descriptors (epoll_ctl);
- wait for events (epoll_wait).

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Creating an epoll instance

```
int epfd = epoll_create1(0);
```

efpd doesn't represent any files; use it to talk to epoll.

0 represents the flags (only flag: EPOLL_CL0EXEC).

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Populating the epoll instance

To add fd to the set of descriptors watched by epfd:

```
struct epoll_event event;
int ret;
event.data.fd = fd;
event.events = EPOLLIN | EPOLLOUT;
ret = epoll_ctl(epfd, EPOLL_CTL_ADD, fd, &event);
```

Can also modify and delete descriptors from epfd.

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Waiting on an epoll instance

Now we're ready to wait for events on any file descriptor in epfd.

```
#define MAX_EVENTS 64

struct epoll_event events[MAX_EVENTS];
int nr_events;

nr_events = epoll_wait(epfd, events, MAX_EVENTS, -1);
```

-1: wait potentially forever; otherwise, milliseconds to wait.

Upon return from epoll_wait, we have nr_events events ready.

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Level-Triggered and Edge-Triggered Events

Default epoll behaviour is level-triggered: return whenever data is ready.

Can also specify (via epoll_ctl) edge-triggered behaviour: return whenever there is a change in readiness.

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Asynchronous I/O

POSIX standard defines aio calls.

These work for disk as well as sockets.

Key idea: you specify the action to occur when I/O is ready:

- nothing;
- start a new thread;
- raise a signal

Submit the requests using e.g. aio_read and aio_write.

Can wait for I/O to happen using aio_suspend.

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Nonblocking I/O with curl

Similar idea to epoll:

- build up a set of descriptors;
- invoke the transfers and wait for them to finish;

see how things went.

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Classic, Blocking cURL Request

Here's a simple cURL program that we can look over:

```
#include <stdio.h>
#include <curl/curl.h>
int main( int argc, char** argv ) {
  CURL *curl:
  CURLcode res:
  curl_global_init(CURL_GLOBAL_DEFAULT);
  curl = curl easy init();
  if ( curl ) {
    curl easy setopt(curl, CURLOPT URL, "https://example.com/" );
    res = curl_easy_perform( curl );
  if ( res != CURLE OK ) {
      fprintf(stderr, "curl_easy_perform()_failed:_%s\n", curl_easy_strerror(
           res));
    curl easy cleanup(curl):
  curl_global_cleanup();
  return 0;
```

curl_multi initialization

curl_multi: work with multiple resources at once.

 To use curl_multi, first create the individual requests (curl_easy_init).
 (Set options as needed on each handle).

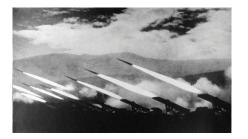
2. Then, combine them with:

- curl_multi_init();
- curl_multi_add_handle().

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Open Fire!

Start reqs: curl_multi_perform(CURLM* cm, int* still_running)



The second parameter is updated with the number of still-in-progress requests.

Meantime, we can do other things!

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I'm bored... So take a nap?

Suppose we've run out of things to do and nothing is ready yet. Wait!

```
curl_multi_wait( CURLM *multi_handle, struct curl_waitfd extra_fds[],
unsigned int extra_nfds, int timeout_ms, int *numfds )
```

This function will block the current thread until something happens.

Choose how long to wait and see how many events occurred.

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While You Were Sleeping

While we are asleep or doing other things, callbacks still happen.

The status of the cURL easy handle is updated.

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Knowing what happened after curl_multi_perform

curl_multi_info_read will tell you.

```
msg = curl_multi_info_read(multi_handle, &msgs_left);
```

and also how many messages are left.

```
msg->msg can be CURLMSG_DONE or an error;
msg->easy_handle tells you who is done.
```

Some gotchas (thanks Desiye Collier):

- Checking msg->msg == CURLMSG_DONE is not sufficient to ensure that a curl request actually happened. You also need to check data.result.
- (A1 hint:) To reset an individual handle in the multi_handle, you need to "replace" it. But you shouldn't use curl_easy_init(). In fact, you don't need a new handle at all.

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curl_multi cleanup

Call curl_multi_cleanup on the multi handle.

Then, call curl_easy_cleanup on each easy handle.

If you replace curl_easy_init by curl_global_init, then call curl_global_cleanup also.

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Long Example

The long example is too big for the slides.

We'll have to take look at it in the notes!

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Process, Threads, AIO?! Four Choices

- Blocking I/O; 1 process per request.
- Blocking I/O; 1 thread per request.
- Asynchronous I/O, pool of threads, callbacks,
 each thread handles multiple connections.
- Nonblocking I/O, pool of threads, multiplexed with select/poll, event-driven, each thread handles multiple connections.

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Blocking I/O; 1 process per request

Old Apache model:



- Main thread waits for connections.
- Upon connect, forks off a new process, which completely handles the connection.
- Each I/O request is blocking:e.g. reads wait until more data arrives.

Advantage:

"Simple to undertand and easy to program."

Disadvantage:

High overhead from starting 1000s of processes.
 (can somewhat mitigate with process pool).

Can handle \sim 10 000 processes, but doesn't generally scale.

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Blocking I/O; 1 thread per request

We know that threads are more lightweight than processes.

Same as 1 process per request, but less overhead.

I/O is the same—still blocking.

Advantage:

■ Still simple to understand and easy to program.

Disadvantages:

- Overhead still piles up, although less than processes.
- New complication: race conditions on shared data.

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Asynchronous I/O Benefits

In 2006, perf benefits of asynchronous I/O on lighttpd¹:

version	•	fetches/sec	bytes/sec	CPU idle
1.4.13	sendfile	36.45	3.73e+06	16.43%
1.5.0	sendfile	40.51	4.14e+06	12.77%
1.5.0	linux-aio-sendfile	72.70	7.44e+06	46.11%

(Workload: 2×7200 RPM in RAID1, 1GB RAM, transferring 10GBytes on a 100MBit network).

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¹ http://blog.lighttpd.net/articles/2006/11/12/lighty-1-5-0-and-linux-aio/

Using Asynchronous I/O in Linux (select/poll)

Basic workflow:

- enqueue a request;
- 2 ... do something else;
- (if needed) periodically check whether request is done; and
- 4 read the return value.

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Asynchronous I/O Code Example I: Setup

```
#include <aio.h>
int main() {
    // so far, just like normal
    int file = open("blah.txt", O_RDONLY, 0);

    // create buffer and control block
    char* buffer = new char[SIZE_TO_READ];
    aiocb cb;

memset(&cb, 0, sizeof(aiocb));
    cb.aio_nbytes = SIZE_TO_READ;
    cb.aio_fildes = file;
    cb.aio_offset = 0;
    cb.aio_buf = buffer;
```

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Asynchronous I/O Code Example II: Read

```
// enqueue the read
if (aio_read(&cb) == -1) { /* error handling */ }

do {
    // ... do something else ...
while (aio_error(&cb) == EINPROGRESS); // poll

// inspect the return value
int numBytes = aio_return(&cb);
if (numBytes == -1) { /* error handling */ }

// clean up
delete[] buffer;
close(file);
```

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Nonblocking I/O in Servers using Select/Poll

Each thread handles multiple connections.

When a thread is ready, it uses select/poll to find work.

- perhaps it needs to read from disk into a mmap'd tempfile;
- perhaps it needs to copy the tempfile to the network.

In either case, the thread does work and updates the request state.

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