## Lecture 15 — C/C++11 Memory Model

Patrick Lam & Jeff Zarnett p.lam@ece.uwaterloo.ca, jzarnett@uwaterloo.ca

Department of Electrical and Computer Engineering University of Waterloo

December 3, 2017

ECE 459 Winter 2018 1/27

## Language Support: Before C/C++11

### Before C/C++11:

no language-level definition of threads.

Not even a well-formed question to ask what this means:

Thread 1: Thread 2:

ECE 459 Winter 2018 2/2

## Language Support: Before C/C++11

#### Before C/C++11:

no language-level definition of threads.

Not even a well-formed question to ask what this means:

```
Thread 1: Thread 2:
```

```
foo = 7;
bar = 42;
printf("%d\n", foo);
printf("%d\n", bar);
```

pre C/C++11: no such thing as a thread!

ECE 459 Winter 2018 2/2

## Language Support in C++11: Defining the Question

Now1:

- a memory model
- primitives: mutexes, atomics, memory barriers.

Previous example has undefined behaviour per C++11. (why?)

¹http://www.quora.com/C++-programming-language/
How-are-the-threading-and-memory-models-different-in-C++-as-compared-to-C

## Language Support in C++11: Atomics

We've seen the notion of atomics. Here's the C++11 notation:

```
atomic<int> foo, bar;
```

```
Thread 1: Thread 2:
```

```
foo.store(7);
bar.store(42);
printf("%d\n", foo.load());
printf("%d\n", bar.load());
```

What are the possible outputs? (good exam question!)

ECE 459 Winter 2018 4/27

### **Prefix and Postfix**

Lots of people use postfix out of habit, but prefix is better.

In C, this isn't a problem.

In some languages (like C++), it can be.

ECE 459 Winter 2018 5/2

# Why? Overloading

In C++, you can overload the ++ and - operators.

```
class X {
public:
    X& operator++();
    const X operator++(int);
...
};

X x;
++x; // x.operator++();
x++; // x.operator++(0);
```

ECE 459 Winter 2018 6 / 27

## **Common Increment Implementations**

#### Prefix is also known as increment and fetch.

```
X& X::operator++()
{
  *this += 1;
  return *this;
}
```

#### Postfix is also known as fetch and increment.

```
const X X::operator++(int)
{
  const X old = *this;
  ++(*this);
  return old;
}
```

ECE 459 Winter 2018 7/2

## Efficiency

If you're the least concerned about efficiency, always use **prefix** increments/decrements instead of defaulting to postfix.

Only use postfix when you really mean it, to be on the safe side.

ECE 459 Winter 2018 8 / 27

# Digression: The Wayside



(Daderot, Wikimedia Commons)

ECE 459 Winter 2018 9 / 27

### It's Not Just Software

#### Nathaniel Hawthorne wrote:

I have been equally unsuccessful in my architectural projects; and have transformed a simple and small old farm-house into the absurdest anomaly you ever saw; but I really was not so much to blame here as the programmer village-carpenter, who took the matter into his own hands, and produced an unimaginable sort of thing instead of what I asked for. (January 1864)

Original budget: \$500 (\$7540 inflation-adjusted) Actual cost: \$2000 (\$30160 inflation-adjusted)

ECE 459 Winter 2018 10/27

#### Locks prevent data races.

■ Locks' extents constitute their **granularity**—do you lock large sections of your program with a big lock, or do you divide the locks and protect smaller sections?

#### Concerns when using locks:

- overhead;
- contention; and
- deadlocks.

ECE 459 Winter 2018 11/2'

# Locking: Overhead

Using a lock isn't free. You pay:

- allocated memory for the locks;
- initialization and destruction time; and
- acquisition and release time.

These costs scale with the number of locks that you have.

ECE 459 Winter 2018 12 / 2

## **Locking: Contention**

Most locking time is wasted waiting for the lock to become available.

How can we fix this?

- Make the locking regions smaller (more granular);
- Make more locks for independent sections.

ECE 459 Winter 2018 13/27

## **Locking: Deadlocks**

The more locks you have, the more you have to worry about deadlocks.

Key condition:

waiting for a lock held by process X while holding a lock held by process X'. (X = X' allowed).

ECE 459 Winter 2018 14/27

### **Formal Deadlock Definition**

The four conditions for deadlock are:

- Mutual Exclusion
- 2 Hold-and-Wait
- **3** No Preemption
- 4 Circular-Wait

ECE 459 Winter 2018 15 / 27

### Does this look familiar?

Consider two processors trying to get two *locks*:

#### Thread 1

Get Lock 1
Get Lock 2

Release Lock 2

Release Lock 1

#### Thread 2

Get Lock 2 Get Lock 1

Release Lock 1

Release Lock 2

Processor 1 gets Lock 1, then Processor 2 gets Lock 2. Oops! They both wait for each other (deadlock).

ECE 459 Winter 2018 16 / 27

# **Key to Preventing Deadlock**

Always be careful if your code acquires a lock while holding one.

Here's how to prevent a deadlock:

- Ensure consistent ordering in acquiring locks; or
- Use trylock.

ECE 459 Winter 2018 17/2'

# Preventing Deadlocks—Ensuring Consistent Ordering

```
void f1() {
    lock(&11);
    lock(&12);
    // protected code
    unlock(&12);
    unlock(&11);
}

void f2() {
    lock(&11);
    lock(&12);
    // protected code
    unlock(&12);
    unlock(&12);
    unlock(&11);
}
```

This code will not deadlock: you can only get **12** if you have **11**.

ECE 459 Winter 2018 18 / 27

# Formal Lock Ordering

If the set of all resources in the system is  $R = \{R_0, R_1, R_2, ...R_m\}$ :

Assign to each resource  $R_k$  a unique integer value. Let us define this function as  $f(R_i)$ , that maps a resource to an integer value.

This integer value is used to compare two resources: if a process has been assigned resource  $R_i$ , that process may request  $R_i$  only if  $f(R_i) > f(R_i)$ .

If the process needs more than one of  $R_i$  then the request for all of these must be made at once (in a single request).

To get  $R_i$  when already in possession of a resource  $R_j$  where  $f(R_i) > f(R_i)$ , the process must release any resources  $R_k$  where  $f(R_k) \ge f(R_i)$ .

If these two protocols are followed, then a circular-wait condition cannot hold.

ECE 459 Winter 2018 19 / 27

## Preventing Deadlocks—Using trylock

Recall: Pthreads' trylock returns 0 if it gets the lock.

```
void f1() {
   lock(&l1);
   while (trylock(&l2) != 0) {
        unlock(&l1);
        // wait
        lock(&l1);
   }
   // protected code
   unlock(&l2);
   unlock(&l1);
}
```

This code also won't deadlock: it will give up **l1** if it can't get **l2**.

(BTW: trylocks also enable measuring lock contention.)

ECE 459 Winter 2018 20 / 27

# Coarse-Grained Locking (1)



(with one lock)

ECE 459 Winter 2018 21/27

## Coarse-Grained Locking (2)

#### **Advantages:**

- Easier to implement;
- No chance of deadlocking;
- Lowest memory usage / setup time.

#### **Disadvantages:**

■ Your parallel program can quickly become sequential.

ECE 459 Winter 2018 22 / 27

# Coarse-Grained Locking Example—Python GIL

This is the main reason (most) scripting languages have poor parallel performance; Python's just an example.

- Python puts a lock around the whole interpreter (global interpreter lock).
- Only performance benefit you'll see from threading is if a thread is waiting for IO.
- Any non-I/O-bound threaded program will be **slower** than the sequential version (plus, it'll slow down your system).

ECE 459 Winter 2018 23 / 2'

# Fine-Grained Locking (1)



(with all different locks)

ECE 459 Winter 2018 24/27

## Fine-Grained Locking (2)

#### **Advantages:**

■ Maximizes parallelization in your program.

#### **Disadvantages**

- May be mostly wasted memory / setup time.
- Prone to deadlocks.
- Generally more error-prone (be sure you grab the right lock!)

ECE 459 Winter 2018 25 / 27

## **Fine-Grained Locking Examples**

The Linux kernel used to have **one big lock** that essentially made the kernel sequential.

■ (worked fine for single-processor systems!)

Now uses finer-grained locks for performance.

Databases may lock fields / records / tables. (fine-grained  $\rightarrow$  coarse-grained).

Can lock individual objects.

ECE 459 Winter 2018 26 / 27

## Summary

C++11 memory model. Good increment practice. Lock granularity.

ECE 459 Winter 2018 27/27