# Lecture 20 — Reentrancy versus Thread-Safety

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## **Reentrancy versus Thread-Safety**

On a different note, we're going to discuss the distinction between reentrant functions and thread-safe functions. There is overlap, but these terms are actually different.

A function is *reentrant* if it can be suspended in the middle and re-entered, or called again, before the previous execution returns.

Reentrant does not always mean **thread-safe** (although it usually is). Recall: **thread-safe** is essentially "no data races".

The distinction is moot if the function only modifies local data, e.g. sin(). Those functions are both reentrant and thread-safe.

**Example.** Courtesy of Wikipedia (with modifications), here's a program (to the left) and its trace (to right):

```
int t;
                                                                call swap(&a, &b);
                                                                 t = *x;
                                                                                         // t = 3 (a)
void swap(int *x, int *y) {
                                                                 *x = *y;
                                                                                         // a = 4 (b)
    t = *x:
                                                                 call isr();
    *x = *y;
                                                                   x = 1; y = 2;
    // interrupt might invoke isr() here!
                                                                    call swap(&x, &y)
                                                                                         // t = 1 (x)
                                                                    t = *x;
}
                                                                    *x = *y;
                                                                                         // x = 2 (y)
                                                                    *y = t;
void isr() {
  int x = 1, y = 2;
    swap(&x, &y);
                                                                Final values:
                                                                a = 4, b = 1
int a = 3, b = 4;
                                                                Expected values:
                                                                a = 4, b = 3
    swap(&a, &b);
```

We can fix the example by storing the global variable in stack variable s, as follows.

```
int t;
                                                                   call swap(&a, &b);
                                                                                           // s = UNDEFINED
                                                                  s = t:
void swap(int *x, int *y) {
                                                                                           // t = 3 (a)
// a = 4 (b)
                                                                  t = *x;
    int s:
                                                                  *x = *y;
                                                                  call isr();
    s = t; // save global variable
                                                                     x = 1; y = 2;

call swap(&x, &y)
    *x = *y;
                                                                                           // s = 3
                                                                      s = t;
    // interrupt might invoke isr() here!
                                                                                           // t = 1 (x)
// x = 2 (y)
                                                                      t = *x;
    *y = t;
                                                                      *x = *y;
    t = s; // restore global variable
                                                                      *y = t;
                                                                                           // y = 1
                                                                                           // t = 3
                                                                      t = s;
                                                                                           // b = 3
                                                                  *y = t;
void isr() {
                                                                                           // t = UNDEFINED
                                                                  t = s;
    int x = 1, y = 2;
    swap(&x, &y);
                                                                  Final values:
                                                                  a = 4, b = 3
int a = 3, b = 4;
                                                                  Expected values:
                                                                  a = 4, b = 3
   swap(&a, &b);
```

The obvious question: is the previous reentrant code also thread-safe? (This is more what we're concerned about in this course.)

Let's see. Consider two calls to the reentrant swap:

```
swap(a, b), swap(c, d) with a = 1, b = 2, c = 3, d = 4.
global: t
/* thread 1 */
                                         /* thread 2 */
a = 1, b = 2;
s = t; // s = UNDEFINED
t = a; // t = 1
                                         c = 3, d = 4;
                                         s = t; // s = 1
t = c; // t = 3
                                         c = d;
                                         d = t;
         // a = 2
// b = 3
a = b;
b = t;
          // t = UNDEFINED
                                         t = s; // t = 1
Final values:
a = 2, b = 3, c = 4, d = 3, t = 1
Expected values:
a = 2, b = 1, c = 4, d = 3, t = UNDEFINED
```

To recap what we know so far: re-entrant does not always mean thread-safe. (But, for most sane implementations, reentrant is also thread-safe.)

But, are **thread-safe** functions reentrant? Nope! Consider:

```
int f() {
   lock();
   // protected code
   unlock();
}
```

Recall: Reentrant functions can be suspended in the middle of execution and called again before the previous execution completes.

f() obviously isn't reentrant. Plus, it will deadlock<sup>1</sup>.

Interrupt handling is more for systems programming, so the topic of reentrancy may or may not come up again.

To sum up, here's the difference between reentrant and thread-safe functions:

### Reentrancy.

- Has nothing to do with threads—assumes a **single thread**.
- Reentrant means the execution can context switch at any point in in a function, call the same function, and complete before returning to the original function call.
- Function's result does not depend on where the context switch happens.

#### Thread-safety.

- Result does not depend on any interleaving of threads from concurrency or parallelism.
- No unexpected results from multiple concurrent executions of the function.

If it helps, here's another definition of thread-safety.

<sup>&</sup>lt;sup>1</sup>I'm talking about lock implementations which don't maintain a lock counter; you can request Java locks multiple times in the same thread and everything will be fine, but not pthreads locks.

"A function whose effect, when called by two or more threads, is guaranteed to be as if the threads each executed the function one after another, in an undefined order, even if the actual execution is interleaved."

Good Example of an Exam Question. Consider the following function.

```
void swap(int *x, int *y) {
   int t;
   t = *x;
   *x = *y;
   *y = t;
}
```

- Is the above code thread-safe?
- Write some expected results for running two calls in parallel.
- Argue these expected results always hold, or show an example where they do not.

## **Good Programming Practices: Inlining**

We have seen the notion of inlining:

class P {

- Instructs the compiler to just insert the function code in-place, instead of calling the function.
- Hence, no function call overhead!
- Compilers can also do better—context-sensitive—operations they couldn't have done before.

OK, so inlining removes overhead. Sounds like better performance! Let's inline everything! There are two ways of inlining in C++.

**Implicit inlining.** (defining a function inside a class definition):

```
public:
    int get_x() const { return x; }
...
private:
    int x;
};

Explicit inlining. Or, we can be explicit:
inline max(const int& x, const int& y) {
    return x < y ? y : x;
}</pre>
```

**The Other Side of Inlining.** Inlining has one big downside:

• Your program size is going to increase.

This is worse than you think:

- · Fewer cache hits.
- More trips to memory.

Some inlines can grow very rapidly (C++ extended constructors). Just from this your performance may go down easily.

Note also that inlining is merely a suggestion to compilers. They may ignore you. For example:

- taking the address of an "inline" function and using it; or
- virtual functions (in C++),

will get you ignored quite fast.

**Implications of inlining.** Inlining can make your life worse in two ways. First, debugging is more difficult (e.g. you can't set a breakpoint in a function that doesn't actually exist). Most compilers simply won't inline code with debugging symbols on. Some do, but typically it's more of a pain.

Second, it can be a problem for library design:

• If you change any inline function in your library, any users of that library have to **recompile** their program if the library updates. (Congratulations, you made a non-binary-compatible change!)

This would not be a problem for non-inlined functions—programs execute the new function dynamically at runtime.

## **High-Level Language Performance Tweaks**

So far, we've only seen C—we haven't seen anything complex, and C is low level, which is good for learning what's really going on.

Writing compact, readable code in C is hard, especially when #define macros and void \* beckon.

C++11 has made major strides towards readability and efficiency—it provides light-weight abstractions. We'll look at a couple of examples.

**Sorting.** Our goal is simple: we'd like to sort a bunch of integers. In C, you would usually just use qsort from stdlib.h.

This is a fairly ugly definition (as usual, for generic C functions). How ugly is it? Let's look at a usage example. #include <stdlib.h>

```
int compare(const void* a, const void* b)
{
    return (*((int*)a) - *((int*)b));
}
int main(int argc, char* argv[])
{
    int array[] = {4, 3, 5, 2, 1};
    qsort(array, 5, sizeof(int), compare);
}
```

This looks like a nightmare, and is more likely to have bugs than what we'll see next.

```
C++ has a sort with a much nicer interface<sup>2</sup>:
template <class RandomAccessIterator>
void sort (
    RandomAccessIterator first,
    RandomAccessIterator last
);
template <class RandomAccessIterator, class Compare>
void sort (
    RandomAccessIterator first,
    RandomAccessIterator last,
    Compare comp
);
It is, in fact, easier to use:
#include <vector>
#include <algorithm>
int main(int argc, char* argv[])
    std::vector < int > v = \{4, 3, 5, 2, 1\};
    std::sort(v.begin(), v.end());
}
```

**Note:** Your compare function can be a function or a functor. (Don't know what functors are? In C++, they're functions with state.) By default, sort uses operator< on the objects being sorted.

- Which is less error prone?
- Which is faster?

The second question is empirical. Let's see. We generate an array of 2 million ints and sort it (10 times, taking the average).

• qsort: 0.49 seconds

• C++ sort: 0.21 seconds

The C++ version is **twice** as fast. Why?

- The C version just operates on memory—it has no clue about the data.
- We're throwing away useful information about what's being sorted.
- A C function-pointer call prevents inlining of the compare function.

OK. What if we write our own sort in C, specialized for the data?

• Custom C sort: 0.29 seconds

<sup>&</sup>lt;sup>2</sup>...well, nicer to use, after you get over templates.

Now the C++ version is still faster (but it's close). But, this is quickly going to become a maintainability nightmare.

- Would you rather read a custom sort or 1 line?
- What (who) do you trust more?

### Lesson

Abstractions will not make your program slower.

They allow speedups and are much easier to maintain and read.

### **Vectors vs Lists**

Consider two problems.

1. Generate N random integers and insert them into (sorted) sequence.

**Example:** 3 4 2 1

- 3
- 34
- 234
- 1234
- 2. Remove N elements one-at-a-time by going to a random position and removing the element.

**Example:** 2 0 1 0

- 124
- 24
- 2

•

For which **N** is it better to use a list than a vector (or array)?

Complexity analysis. As good computer scientists, let's analyze the complexity.

#### Vector:

- Inserting
  - $O(\log n)$  for binary search
  - O(n) for insertion (on average, move half the elements)
- Removing
  - O(1) for accessing
  - O(n) for deletion (on average, move half the elements)

#### List:

- Inserting
  - $O(\tilde{n})$  for linear search
  - O(1) for insertion
- Removing
  - O(n) for accessing
  - O(1) for deletion

Therefore, based on their complexity, lists should be better.

### **Reality.** OK, here's what happens.

```
$ ./vector_vs_list 50000
Test 1
======
vector: insert 0.1s    remove 0.1s    total 0.2s
list: insert 19.44s    remove 5.93s    total 25.37s
Test 2
======
vector: insert 0.11s    remove 0.11s    total 0.22s
list: insert 19.7s    remove 5.93s    total 25.63s
Test 3
======
vector: insert 0.11s    remove 0.1s    total 0.21s
list: insert 19.59s    remove 5.9s    total 25.49s
```

Vectors dominate lists, performance wise. Why?

- Binary search vs. linear search complexity dominates.
- Lists use far more memory. On 64 bit machines:
  - Vector: 4 bytes per element.
  - List: At least 20 bytes per element.
- Memory access is slow, and results arrive in blocks:
  - Lists' elements are all over memory, hence many cache misses.
  - A cache miss for a vector will bring a lot more usable data.

So, here are some tips for getting better performance.

- Don't store unnecessary data in your program.
- Keep your data as compact as possible.
- Access memory in a predictable manner.
- Use vectors instead of lists by default.
- Programming abstractly can save a lot of time.
- Often, telling the compiler more gives you better code.
- Data structures can be critical, sometimes more than complexity.
- Low-level code != Efficient.
- Think at a low level if you need to optimize anything.
- Readable code is good code—different hardware needs different optimizations.