

Data  $x \in \mathbb{R}^D$

Bottleneck

Encoder:  $z = f_\theta(x) : z \in \mathbb{R}^d, d \ll D$

Decoder:  $\hat{x} = g_\phi(z)$

Training:  $\min_{\theta, \phi} \mathbb{E}_x [L_{\text{rec}}(x, \hat{x})]$

$z \in \mathbb{R}^d$  needs accuracy  $\rightarrow$  + Bits  $\propto$  + Computational cost.

Solution: Codebook  $E = \{e_1, \dots, e_K\} : e_k \in \mathbb{R}^d$

Instead of giving  $z$  to  $g_\phi(\cdot)$ , we quantize it.

$K^*(z) = \underset{K}{\operatorname{argmin}} \|z - e_K\|^2$  (Nearest neighbor),  $z_q = e_K$

stores an index, not a specific value. ( $\sim \log_2 K$  bits per symbol)

New Problem: The size  $K$  of the codebook

- Small  $K$ : A few bits, but high error  $\|z - z_q\| \rightarrow$  worse reconstruction.

- Big  $K$ : Better accuracy  $\|z - z_q\| \rightarrow 0$ , but gigantic codebook  $\rightarrow$  + Computational, + Bits. cost

New Solution: Make a codebook family  $\{E^{(m)}\}_{m=1}^M$

$E^{(m)} : \{e_1^{(m)}, \dots, e_K^{(m)}\} \in \mathbb{R}^d ; m = 1, \dots, M \rightarrow$  Quantization Levels.

Now, the quantized latent:

$$z_q = \sum_{m=1}^M q^{(m)} ; q^{(m)} \in E^{(m)}$$

The "compressed"  $x$  is not a single index, now it is a tuple:

$$(k_1, k_2, \dots, k_M) : k_m \in \{1, \dots, K_m\}$$

And its computational cost is:

$\rightarrow$  Not necessary every codebook has the same length.

$$R \approx \sum_{m=1}^M \log_2 K_m$$

$$(k_1^*, \dots, k_M^*) = \underset{k_1, \dots, k_M}{\operatorname{argmin}} \left\| z - \sum_{m=1}^M e_{k_m}^{(m)} \right\|^2$$

Nearest Neighbor for every codebook.

Residual

Quantized

Improvement!