### Homework 2

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# 1 Problems

**Problem 1.** Let R be a commutative ring and let S be an R-algebra. Let M be a left S-module. We know that it follows that any left S-module is also naturally an R module.

- (a) Write doesn the natural R-module structure on M
- (b) Write down the natural R-module structure on S.
- (c) Assume that S is finitely generated as an R-module. Prove that M is a finitely generated left S-module if and only if it is finitely generated as an R-module.

#### *Proof.* (a) We define the R-module structure on M:

This is really immediate. An S-module M is a ring homomorphism  $S \to \operatorname{End}(M)$ , and the structure of S as an R-module is a certain kind of ring homomorphism  $R \to S$ , so by composing these maps we have an R-module  $R \to \operatorname{End}(M)$ .

More explicitly, let  $\phi: R \to S$  be the map defining S as an R-algebra, and let  $\cdot_S$  be the left action of S on M. We define a left action  $\cdot_R$  of R on M by  $r \cdot_R m = \phi(r) \cdot_S m$ . This satisfies the axioms of a left action:

- $(r_1 + r_2) \cdot_R x = r_1 \cdot_R x + r_2 \cdot_R x$ : It inherits this distributivity from S through  $\phi$ .
- $r \cdot_R (x + y) = r \cdot_R x + r \cdot_R y$ : This, too, is implied by the distributivity of the S-action
- $(r_1r_2) \cdot_R x = r_1 \cdot_R (r_2 \cdot_R x)$ : Associativity of the S-action
- $1_R \cdot_R x = x$ : Identity property of the S-action, along with the fact that our homomorphisms take units to units.
- (b) We now define the R-module structure on S. Again, this is implied by composition of maps: any ring is a module over itself, so we have a map of rings  $S \to \operatorname{End}(S)$ . Composition with  $\phi$  gives an R-module structure. In fact, the module axioms are satisfied without use of the R-algebra structure of S; R could simply be a subring not even necessarily a commutative one and S would still be an R-module.

Explicitly, the R-module structure on S is defined by  $r \cdot s = rs$ , where rs is just multiplication in the ring S. The axioms of an R-module are immediate from the axioms of a ring.

(c) We now assume that S is finitely generated as an R-module, and show that M is finitely generated as an S module is and only if it is finitely generated as an R-module. In one direction, this is immediate. Again, let  $\phi$  be the map taking R into the center of S. If M is finitely generated as an R-module, say by n elements  $\{m_i\}_{i=1}^n$ , then any element written as a sum  $\sum_{i=1}^n r_i m_i$  can also be written as a finite sum  $\sum_{i=1}^n \phi(r_i) m_i$  of generators with coefficients in S.

Now, assume that M is finitely generated as an S module by n elements  $\{s_i\}_{i=1}^n$ , and that S is finitely generated as an R module by m elements,  $\{s_j\}_{j=1}^m$ . We show that the set  $\{s_jm_i\}_{i=1}^n{}^m$  of mn elements of M generates M as an R module.

Let a be an arbitrary element of M. By assumption, a may be written as  $a = \sum_{i=1}^{n} s_i m_i$ , for some coefficients  $s_i$  in S. Now, each  $s_i$  may also, by assumption, be written as a sum  $s_i = \sum_{j=1}^{m} r_{ij} s_j$ , for some coefficients  $r_{ij}$  in R. Therefore,

$$a = \sum_{i=1}^{n} s_i m_i$$

$$= \sum_{i=1}^{n} (\sum_{j=1}^{m} r_{ij} s_j) m_i$$

$$= \sum_{i=1}^{n} \sum_{j=1}^{m} r_{ij} (s_j m_i)$$

Thus our element can be written as a sum of mn generators, times mn elements of R, making M a finitely generated R-module.

**Problem 2.** Let R be a ring. Let the free object functor  $\mathcal{F}: \mathbf{Sets} \to \mathbf{R} - \mathbf{mod}$  be defined on objects by sending a set X to the R-module  $R^{\oplus X}$ . Let the forgetful functor  $\mathcal{G}: \mathbf{R} - \mathbf{mod} \to \mathbf{Sets}$  be defined by sending an R-module to its underlying set.

- (a) Write down what the free object functor  $\mathcal{F}$  does to morphisms.
- (b) Write down what the forgetful functor  $\mathcal G$  does to morphisms.
- (c) Prove that  ${\mathcal F}$  and  ${\mathcal G}$  are a pair of adjoint functors.

*Proof.* (a) Let the basis elements of the free R-module  $R^{\oplus X}$  be written  $e_x$ , indexed over X, where  $e_x$  is the element with a 1 in the x-th place and 0s elsewhere.

Let  $\phi$  be a morphism  $X \to Y$  in **Sets**. We define a morphism  $\mathcal{F}(\phi)$  by taking an element  $\sum_X r_x e_x$  of  $R^{\oplus X}$  to the element  $\sum_X r_x e_{\phi(x)}$  of  $R^{\oplus Y}$ . This is a valid morphism, since composition with another morphism  $\mathcal{F}(\psi)$  gives  $\sum_X r_x e_{(\psi \circ \phi)(x)}$ .

- (b) Let  $\phi$  be a morphism in  $\mathbf{R} \mathbf{mod}$ . Then the forgetful functor  $\mathcal{G}$  takes  $\phi$  to the underlying set-map, which is the same function element-wise but has "forgotten" the fact that it is a homomorphism of R-modules.
- (c) In order to show that  $\mathcal{F} \vdash \mathcal{G}$ , we form the unit and counit natural transformations  $\varepsilon : \mathcal{F}\mathcal{G} \Rightarrow 1$  and  $\eta : 1 \Rightarrow \mathcal{G}\mathcal{F}$ .

let X be an object of  $\mathbf{R} - \mathbf{mod}$ , and let  $\mathcal{FG}(X)$  be the free R-module generated by the set of elements of X. An element a of  $\mathcal{FG}(X)$  is a finite sum of basis elements:

$$a = \sum_{\mathcal{G}(X)} r_x e_x$$

Now, for each  $x \in \mathcal{G}(X)$ , there is an overlying  $x \in X$ , which is the "same" element, but as an element of the R-module X. Therefore, the sum

$$\varepsilon_X(a) = \sum_X r_x \cdot x$$

is a valid element of X, and we may define the maps  $\varepsilon_X$  by taking elements of  $\mathcal{FG}(X)$  to the corresponding elements of X. These maps assemble into a natural transformation  $\varepsilon: \mathcal{FG} \Rightarrow 1$ , as they act reasonably on morphisms- the following square commutes:

$$\mathcal{FG}(X) \xrightarrow{\varepsilon_X} X$$

$$\downarrow^{\mathcal{FG}(\phi)} \qquad \downarrow^{\phi}$$

$$\mathcal{FG}(\mathcal{Y}) \xrightarrow{\varepsilon_Y} Y$$

This is because the sum of elements  $\sum r_x \phi(x)$  is equal to  $\phi(\sum r_x x)$ , by the fact that  $\phi$  is a module morphism.

In the opposite direction, let A be an arbitrary set, and let  $\mathcal{GF}(A)$  be the underlying set of the free R-module on A. For any element  $a \in A$ , there is a unique element  $1_R \cdot a$  in the free module; we let  $\eta_A(a) = 1_R \cdot a$ , taken as an element of the underlying set of the free R-module. These maps  $\eta_A$  also assemble into a natural transformation, as we see that the following square commutes:

$$A \xrightarrow{\eta_A} \mathcal{GF}(A)$$

$$\downarrow^{\psi} \qquad \qquad \downarrow^{\mathcal{GF}(\psi)}$$

$$B \xrightarrow{\eta_B} \mathcal{GF}(B)$$

The commutativity comes from the fact that the element  $1 \cdot_R a$  is taken to  $1 \cdot_R \psi(a)$  along the right side, and that the element  $\psi(a)$  is taken to  $1 \cdot_R \psi(a)$  along the bottom.

Therefore there is a unit-counit pair of natural transformations  $\mathcal{FG} \Rightarrow 1$  and  $1 \Rightarrow \mathcal{GF}$ . We finally need to see that the compositions

$$\mathcal{F} \stackrel{\mathcal{F}\eta}{\longrightarrow} \mathcal{F}\mathcal{G}\mathcal{F} \stackrel{arepsilon\mathcal{F}}{\longrightarrow} \mathcal{F}$$

$$\mathcal{G} \stackrel{\eta\mathcal{G}}{\longrightarrow} \mathcal{GFG} \stackrel{\mathcal{G}arepsilon}{\longrightarrow} \mathcal{G}$$

Are both the identity functors on  $\mathcal{F}$  and  $\mathcal{G}$ . They are, and in fact I wrote a proof of it, but at this point this solution is getting kind of hairy and categorical and I regret not just using the  $\operatorname{Hom}(\mathcal{F}(X),Y) \simeq \operatorname{Hom}(X,\mathcal{G}(Y))$  definition of adjunction (although there is still a naturality proof involved).

#### 2 Extra Stuff

**Problem 2.** Give an example of another pair of adjoint functors.

*Proof.* In the categories  $\mathcal{L}(x_1, \dots x_n)$  of formal sentences in a language  $\mathcal{L}$  with at most n variables free, we have three functors:

- $\exists : \mathcal{L}(x_1, \dots x_n, y) \to \mathcal{L}(x_1, \dots x_n)$  which takes a sentence with n+1 free variables and "quantifies out" the last variable by adding an  $\exists y$  to the beginning of the sentence.
- \*:  $\mathcal{L}(x_1, \dots x_n) \to \mathcal{L}(x_1, \dots x_n, y)$ , the weakening functor, which takes a sentence with at most n variables and simply considers it to have at most n+1 variables.
- $\forall : \mathcal{L}(x_1, \dots x_n, y) \to \mathcal{L}(x_1, \dots x_n)$ , which does the same as  $\exists$ , except that it adds a  $\forall y$  instead of an  $\exists y$ .

These three functors form two adjunctions,  $\exists \vdash * \vdash \forall$ . The first adjunction essentially says that if  $\exists y.(A) \Rightarrow B$ , then it does not matter what y we choose, since it does not show up in B and only its existence is needed in A. Therefore,  $A \Rightarrow *(B)$ , if we let y be free in both sentences.

the second adjunction says that, if  $*(A) \Rightarrow B$ , where A is a sentence which does not contain y, and B might contain y, then this implication holds no matter what value y has:  $A \Rightarrow \forall y.(B)$ .

**Problem 3.** Think about how the category of k[x, y]-modules is the same as the category of k-vector spaces with *commuting* endomorphisms.

*Proof.* The reason that commutativity matters is that we want the elements xy and yx to be the same element - considered as endomorphisms, we wans  $A \circ B$  to be the same as  $B \circ A$ , where A corresponds to x and B to y. This commutation is enough to have a k[x,y]-module structure, as any polynomial in A and B with scalars from k is a valid endomorphism of the vector space, and multiplying the polynomials is the same as composing transfmormations. If A and B do not commute, we can work in the sub-category of  $k\langle x,y\rangle$ -modules, where  $k\langle x,y\rangle$  is the free k-algebra on two variables. In this category, xy and yx are separate elements, so we do not need AB and BA to be the same.

**Problem 4.** Work out whether injections of R-modules are monomorphisms, and if surjections are epimorphisms.

*Proof.* Because the morphisms of R-mod have underlying set maps, we can use surjectivity of the maps to right-cancel, and injectivity to left-cancel without any knowledge of the structure of the category; therefore, every surjective map of R-modules is an epimorphism, and every injective map is a monomorphism.

We can also show that epimorphisms and surjective maps are exactly the same in R-mod, and that the same holds for monomorphisms and injective maps. First, let some map  $f: X \to Y$  of left R-modules be an epimorphism. Then f is right-cancellable, i.e. for any  $g_1, g_2$ , if  $g_1 \circ f = g_2 \circ f$ , then  $g_1 = g_2$ . Now, because Im(f) is a submodule of Y, we may form the quotient module Y/Im(f). Let  $g_1$  be the projection map taking an element of Y to its coset in the quotient, and let  $g_2$  be the zero map from Y to Y/Im(f). Then composing both  $g_1$  and  $g_2$  with f gives zero; by the property of epimorphisms,  $g_1$  and  $g_2$  must both be the zero map. But then Im(f) = Y, making it surjective as a set-map.

Assume now that  $h: X \to Y$  is some monomorphism in R-mod. Let  $x_1, x_2$  be elements of X such that  $h(x_1) = h(x_2)$ . We show that  $x_1 = x_2$ , and that therefore h is an injective set-map.

Let  $g_1: R \to X$  be the map from the free module on one generator, which take 1 to  $x_1$ , and  $g_2: R \to X$  be the map taking 1 to  $x_2$ . Then  $h \circ g_1$  and  $h \circ g_2$  are equal on the generator of R, which by the universal property of the free module means that  $h \circ g_1 = h \circ g_2$ . By the universal property of monomorphisms, this means that  $g_1 = g_2$ , in particular that  $g_1 = g_2(1) = g_2(1)$