



# Navigating the Registration Requirements for High-Risk AI Systems under the EU AI Act

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## 2. High-Risk AI Systems: Understanding obligations and criteria.

### 2.1 Identification of High-Risk Systems

*Criteria for classifying high-risk AI systems.*

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## Introduction

The European Union's Artificial Intelligence Act (EU AI Act) marks a significant milestone in the regulation of AI technologies, establishing a comprehensive legal framework aimed at ensuring the safe and ethical deployment of AI across member states. Central to this legislative endeavour is the identification and regulation of high-risk AI systems, which are subject to stringent oversight due to their potential impact on individuals' rights and safety.





High-risk AI systems, as defined by the Act<sup>1</sup>, include AI applications in critical sectors such as healthcare, employment, and law enforcement, where the consequences of failure could pose significant risks to public welfare and individual freedoms.

To mitigate these risks, the EU AI Act mandates a registration requirement for providers of high-risk AI systems before these systems are placed on the market or put into service. This registration process is crucial, as it ensures that such systems are thoroughly assessed for compliance with the Act's rigorous standards, including safety, transparency, and accountability measures.

By instituting these requirements, the EU AI Act aims to foster an environment of trust and reliability in AI technologies, promoting innovation while safeguarding public interests and upholding fundamental rights. The registration of high-risk AI systems serves as a foundational step in this process, enabling regulatory oversight and ensuring that the deployment of AI technologies aligns with the EU's commitment to ethical and responsible AI use.

## Understanding High-Risk AI Systems

Under the EU AI Act, high-risk AI systems are defined with specific criteria that determine their classification and the rigorous standards they must adhere to. These systems are considered high-risk when they are intended to be used as a safety component of a product, or when the AI system itself is a product covered by Union harmonisation legislation listed in Annex I. Additionally, if the product, which includes the AI system as a safety component, or the AI system itself, requires a third-party conformity assessment for market placement or service provision, it is classified as high-risk.

Moreover, beyond these initial criteria, the Act specifies certain AI systems in Annex III as inherently high-risk due to their application areas. These include AI technologies deployed in critical sectors such as biometrics, critical infrastructure, education and vocational training, employment, and several others. The designation of an AI system as high-risk is thus not only based on its intended use and the necessity for a third-party conformity assessment but also on its application in sectors where its malfunction or misuse could pose significant threats to public safety, rights, and freedoms.

This classification framework underscores the EU's commitment to ensuring that AI systems, particularly those with the potential to significantly impact society and individuals, are developed, deployed, and used under stringent regulatory oversight for safety, transparency, and accountability.

## The Registration Process

Under the EU AI Act, providers of high-risk AI systems face a critical step before market entry: registration<sup>23</sup>. This process is meticulously outlined to ensure these systems meet the stringent requirements for safety, transparency, and accountability. **Firstly**, providers must register themselves and their high-risk AI system in the EU database before placing the system on the market or putting it into service. This initial step is crucial for both the providers and, where applicable, their authorized representatives.

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<sup>1</sup> **Article 6:** Classification Rules for High-Risk AI Systems

<sup>2</sup> **Article 49:** Registration

<sup>3</sup> **Annex VIII:** Information to be Submitted upon the Registration of High-Risk AI Systems (Article 49)





The information required for registration is comprehensive, ensuring a clear understanding of the AI system's purpose, functionality, and safety measures. Providers must submit their contact details, the AI system's trade name, a description of its intended purpose, and details about its components and functions. Additionally, the status of the AI system (e.g., on the market, in service, or recalled) and any relevant certificates issued by notified bodies must be included. For systems already on the market or in service in any Member States, this information, alongside the EU declaration of conformity and electronic instructions for use, must be provided. An optional URL for additional information can also be submitted.

This structured approach to registration under the EU AI Act ensures that high-risk AI systems are thoroughly vetted and documented, promoting a safer and more transparent AI ecosystem across the EU.

## The Role of Providers

Under the EU AI Act, providers of high-risk AI systems are saddled with a comprehensive set of obligations to ensure the safety, transparency, and ethical deployment of AI technologies. A pivotal aspect of these obligations is the registration of high-risk AI systems. Providers must ensure their systems comply with the Act's requirements before they are placed on the market or put into service. This includes undergoing the relevant conformity assessment procedures, drawing up an EU declaration of conformity, and affixing the CE marking to indicate compliance.

Moreover, maintaining up-to-date registration is not just a regulatory formality; it is central to the Act's overarching goal of fostering a trustworthy AI ecosystem within the EU. The registration process serves as a critical checkpoint, ensuring that only AI systems that meet the stringent requirements of the Act are deployed. It also facilitates transparency, allowing for easier monitoring and enforcement of compliance by the relevant authorities.

For providers, adherence to these registration and compliance requirements is essential. It not only ensures legal conformity but also builds public trust in their AI systems. As the EU strides towards a harmonized regulatory landscape for AI, providers play a crucial role in aligning their operations with these standards, thereby contributing to the responsible advancement of AI technologies.

## Compliance and Enforcement

Under the EU AI Act, the registration of high-risk AI systems is not merely a procedural step but a fundamental compliance requirement. Failing to register such systems can lead to significant repercussions for providers, including administrative fines, withdrawal orders, and other penalties as stipulated by the Act. These measures underscore the EU's commitment to ensuring that high-risk AI systems meet the necessary safety and ethical standards before they are introduced to the market or put into service.





National competent authorities play a pivotal role in the enforcement of these regulations. They are tasked with monitoring compliance, investigating potential breaches, and enforcing the Act's provisions. This includes conducting audits, reviewing documentation, and, where necessary, imposing sanctions on non-compliant entities. Their oversight ensures that the deployment of AI technologies across the EU adheres to the highest standards of safety and accountability, safeguarding public interests and individual rights.

The EU AI Act thus establishes a robust framework for the regulation of high-risk AI systems, with stringent registration requirements and a clear enforcement mechanism to ensure compliance. Through the diligent efforts of national competent authorities, the Act aims to foster a trustworthy and secure AI ecosystem across the European Union.

## Conclusion

The registration of high-risk AI systems under the EU AI Act is a pivotal step towards ensuring the safe and ethical deployment of AI technologies across the European Union. This process, as mandated by the Act, requires providers to meticulously document and register their AI systems before market introduction, ensuring compliance with the Act's stringent requirements for safety, transparency, and accountability.

Such a structured approach to registration not only facilitates regulatory oversight but also enhances public trust in AI technologies. By guaranteeing registration of that only systems high-risk AI meeting the highest standards are deployed the EU AI Act is a pivotal step towards ensuring responsible AI.





## Glossary

**Act or EU AI Act:** European Union Artificial Intelligence Act

**AI:** Artificial Intelligence

**Board:** European Union Artificial Intelligence Board

**EU:** European Union

**SME:** Small and Medium-Sized Enterprise

## How can we help?



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