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# An Exposition of Cawley's "The Teichmüller space of the standard action of $SL(2, \mathbb{Z})$ on $\mathbb{T}^2$ is trivial"

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Last Updated: 2024-05-23 17:43:18+02:00

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## 1 Introduction

Here we give an exposition of the main argument in [Caw92] that proves the following statement:

**Theorem 1**<sup>(1)</sup>: Let  $T \leq SL(2, \mathbb{Z})$  be a subgroup and  $\sigma_\bullet : T \rightarrow \text{Aut}_{\text{Lie}}(\mathbb{T}^2)$  be the standard action. Suppose there are  $t^1, t^2 \in T$  such that  $\sigma_{t^1} = A^1, \sigma_{t^2} = A^2$  are hyperbolic automorphisms such that  $S(A^1), U(A^1), S(A^2), U(A^2)$  pairwise transverse, then for any  $r \in \mathbb{Z}_{\geq 1} \times ]0, 1]$  and for any action  $\alpha_\bullet : T \rightarrow \text{Diff}^r(\mathbb{T}^2)$ , if there is a homeomorphism  $\Phi \in \text{Homeo}(\mathbb{T}^2)$  with  $\alpha_\bullet = \Phi \circ \sigma_\bullet \circ \Phi^{-1}$  and  $f^1 = \alpha_{t^1}$  and  $f^2 = \alpha_{t^2}$  Anosov, then  $\Phi \in \text{Diff}^r(\mathbb{T}^2)$ . ┘

**Remark 1:** Any non-virtually-cyclic subgroup of  $SL(2, \mathbb{Z})$  can be taken as  $T$  in the above theorem.

As an example, one can take

$$A^1 = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}, \quad A^2 = \begin{pmatrix} 3 & 2 \\ 1 & 1 \end{pmatrix}.$$
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**Corollary 1**<sup>(2)</sup>: Let  $\sigma_\bullet : SL(2, \mathbb{Z}) \rightarrow \text{Aut}_{\text{Lie}}(\mathbb{T}^2)$  be the standard action. Then for any action  $\alpha_\bullet : SL(2, \mathbb{Z}) \rightarrow \text{Diff}^r(\mathbb{T}^2)$  for some  $r \in \mathbb{Z}_{\geq 1} \times ]0, 1]$ , if there is a homeomorphism  $\Phi \in \text{Homeo}(\mathbb{T}^2)$  with  $\alpha_\bullet = \Phi \circ \sigma_\bullet \circ \Phi^{-1}$  with the property that  $f^1 = \alpha_{t^1}$  and  $f^2 = \alpha_{t^2}$  are Anosov and  $A^1 = \sigma_{t^1}$  and  $A^2 = \sigma_{t^2}$  are hyperbolic automorphisms, then  $\Phi \in \text{Diff}^r(\mathbb{T}^2)$ . ┘

**Remark 2:** There is a Teichmüller space interpretation of **Thm. 1**, inspired by [MS98]. For this we consider an Anosov action up to topological conjugacy to be a structure, and smoothly conjugate Anosov actions are considered to be the

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<sup>1</sup>[Caw92, p.135,Thm.1]

<sup>2</sup>[Caw92, p.136,Thm.2]

same; note that in this case a smooth conjugacy class of an Anosov action completely determines the smooth structure of the underlying manifold; see also [Rem. 3](#) below.

More specifically let  $T$  be a discrete group,  $M$  be a closed  $C^\infty$  manifold, and  $\alpha_\bullet : T \curvearrowright M$  be a group action by  $C^1$  diffeomorphisms. For  $r \in \mathbb{Z}_{\geq 1} \times ]0, 1]$ , the  $C^0 \rightarrow C^r$  **Anosov Teichmüller space** of  $\alpha$  is by definition a certain set of triples  $(\Phi, N, \beta)$  modulo a certain equivalence relation.

Here one considers all triples  $(\Phi, N, \beta)$  where

- $N$  is a closed  $C^\infty$  manifold,
- $\beta_\bullet : T \curvearrowright N$  is a group action by  $C^r$  diffeomorphisms,
- $\Phi : M \rightarrow N$  is a homeomorphism such that  $\Phi \circ \alpha_\bullet = \beta_\bullet \circ \Phi$ , and for any  $t \in T$ ,  $\alpha_t$  is Anosov iff  $\beta_t$  is Anosov,

and the equivalence relation is defined by

$$(\Phi^1, N^1, \beta^1) \sim (\Phi^2, N^2, \beta^2) \Leftrightarrow \Phi^2 \circ (\Phi^1)^{-1} \in \text{Diff}^r(N^1; N^2).$$

The conclusion of [Thm. 1](#) is that the  $C^0 \rightarrow C^r$  Anosov Teichmüller space of the standard action of  $\Gamma$  is a point, as once the conjugacy is smooth, it conjugates any Anosov element to an Anosov element. ┘

## 2 Preliminaries

Let  $M$  be a compact  $C^\infty$  manifold.  $f \in \text{Diff}^1(M)$  is called **Anosov** if there is a topological  $\text{Ad}_f$ -invariant splitting  $TM = S(f) \oplus U(f)$ , each summand of at least rank one, and there are numbers  $C \in \mathbb{R}_{>0}$  and  $\lambda \in \mathbb{R}_{>0}$  such that with respect to some  $C^0$  fiberwise norm on  $M$ , for any  $x \in M$  and for any  $n \in \mathbb{Z}_{\geq 0}$  we have:

$$\forall v^S \in S_x(f) : |T_x f^n v^S|_{f^n(x)} \leq C e^{-\lambda n} |v^S|_x,$$

$$\forall v^U \in U_x(f) : |T_x f^{-n} v^U|_{f^{-n}(x)} \leq C e^{-\lambda n} |v^U|_x.$$

The main properties we'll use of Anosov diffeomorphisms and certain objects that can be attached to them are among those listed below:

**Proposition 1** <sup>(3)</sup>: Let  $M$  be a compact  $C^\infty$  manifold,  $r \in \mathbb{Z}_{\geq 1} \times ]0, 1]$ ,  $f \in \text{Diff}^r(M)$  be Anosov. Then

- (i)  $S_\bullet(f), U_\bullet(f) : M \rightarrow \text{Gr}(TM)$  are Hölder continuous.

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<sup>3</sup>[[Ano67](#)], [[Ano69](#)]

(ii)  $S(f)$  and  $U(f)$  are uniquely integrable. More precisely, for any  $x \in M$ , there is a unique  $\dim(S_x(f))$  dimensional  $C^r$  embedded closed ball  $\mathcal{S}_{x,\text{loc}}(f)$  such that  $x \in \mathcal{S}_{x,\text{loc}}(f)$  and  $T_x \mathcal{S}_{x,\text{loc}}(f) = S_x(f)$ . Similarly there is a unique  $\dim(U_x(f))$  dimensional  $C^r$  embedded closed ball  $\mathcal{U}_{x,\text{loc}}(f)$  such that  $x \in \mathcal{U}_{x,\text{loc}}(f)$  and  $T_x \mathcal{U}_{x,\text{loc}}(f) = U_x(f)$ .  $\mathcal{S}_{x,\text{loc}}(f)$  is called the **local stable manifold** of  $f$  at  $x$  and  $\mathcal{U}_{x,\text{loc}}(f)$  is called the **local unstable manifold** of  $f$  at  $x$ , respectively. For some maximal  $\varepsilon_0 \in \mathbb{R}_{>0}$ , we have

$$\mathcal{S}_{x,\text{loc}}(f) = \bigcap_{n \in \mathbb{Z}_{\geq 0}} \{y \in M \mid d_M(f^n(y), f^n(x)) \leq \varepsilon_0\},$$

$$\mathcal{U}_{x,\text{loc}}(f) = \mathcal{S}_{x,\text{loc}}(f^{-1}) = \bigcap_{n \in \mathbb{Z}_{\geq 0}} \{y \in M \mid d_M(f^{-n}(y), f^{-n}(x)) \leq \varepsilon_0\}.$$

(iii) For any  $x \in M$ ,  $S_x(f) = \bigcup_{n \in \mathbb{Z}_{\geq 0}} \overleftarrow{f^n} \left( \mathcal{S}_{f^n(x),\text{loc}}(f) \right)$  is the **global stable manifold** and  $U_x(f) = \bigcup_{n \in \mathbb{Z}_{\geq 0}} \overleftarrow{f^{-n}} \left( \mathcal{U}_{f^{-n}(x),\text{loc}}(f) \right)$  is the **global unstable manifold** of  $f$  at  $x$ . They are  $C^r$  injectively immersed discs of appropriate dimensions. Using the intrinsic distance function  $d_M$  on  $M$  induced by the chosen fiberwise norm, they are also characterized as follows:

$$S_x(f) = \left\{ y \in M \mid \lim_{n \rightarrow \infty} d_M(f^n(y), f^n(x)) = 0 \right\},$$

$$U_x(f) = S_x(f^{-1}) = \left\{ y \in M \mid \lim_{n \rightarrow \infty} d_M(f^{-n}(y), f^{-n}(x)) = 0 \right\}.$$

(iv)  $S(f)$  and  $U(f)$  are  $C^0$  foliations with  $C^r$  leaves.

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In particular, there is an  $r_0 \in \mathbb{R}_{>0}$  such that for any  $x \in M$ , there is a neighborhood  $N \in \text{Nbhd}(x)$  and a homeomorphism

$$\phi : \left( \mathbb{R}^{\dim(S_x(f))} [0 < r_0] \times \mathbb{R}^{\dim(U_x(f))} [0 < r_0], (0,0) \right) \rightarrow (N, x) \quad ^4$$

such that

$$\forall a \in \mathbb{R}^{\dim(S_x(f))} [0 < r_0] : \overrightarrow{\phi} \left( \{a\} \times \mathbb{R}^{\dim(U_x(f))} [0 < r_0] \right) = N \cap \mathcal{U}_{x,\text{loc}}(f),$$

$$\forall b \in \mathbb{R}^{\dim(U_x(f))} [0 < r_0] : \overrightarrow{\phi} \left( \mathbb{R}^{\dim(S_x(f))} [0 < r_0] \times \{b\} \right) = N \cap \mathcal{S}_{x,\text{loc}}(f).$$

<sup>4</sup>For  $X$  a metric space,  $X[x] < r]$  denotes the open ball centered at  $x$  of radius  $r$ .

Such a  $\phi$  is called a **local product structure chart** associated to (the stable and unstable foliations of)  $f$  at  $x$ , and the collection of all such  $(U, \phi)$  is called a **local product structure** associated to (the stable and unstable foliations of)  $f$ .

For  $A, B$  two arbitrary subsets, denote by  $\text{Hit}^f(B \leftarrow A)$  the set of all those integers  $n$  such that  $\overrightarrow{f^n}(A) \cap B \neq \emptyset$ ; any such  $n$  is an  **$f$ -hitting time** from  $A$  to  $B$ .  $x \in M$  is an  **$f$ -nonwandering point** if  $\forall U \in \text{Nbhd}(x) : \text{Hit}^f(U \leftarrow U) \cap \mathbb{Z}_{\geq 1} \neq \emptyset$ . Denote by  $\text{NW}(f)$  the set of  $f$ -nonwandering points.

**Proposition 2** <sup>(5)</sup>: Let  $M$  be a compact  $C^\infty$  manifold,  $f \in \text{Diff}^1(M)$  be Anosov. Then the following are equivalent:

- (i)  $\text{NW}(f) = M$ .
- (ii)  $\overline{\text{Per}(f)} = M$ .
- (iii)  $f$  is topologically transitive<sup>6</sup>.
- (iv)  $f$  is topologically strong mixing<sup>7</sup>.
- (v)  $\forall x \in M : \overline{\mathcal{S}_x(f)} = M = \overline{\mathcal{U}_x(f)}$ .

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**Theorem 2** <sup>(8)</sup>: Let  $M$  be a compact  $C^\infty$  manifold,  $f \in \text{Diff}^1(M)$  be Anosov. Then

**(Franks)** If  $S(f)$  or  $U(f)$  is a line bundle and  $\text{NW}(f) = M$ , then  $f$  is  $\overline{\text{Top}}$ -isomorphic to a hyperbolic Lie group automorphism of  $\mathbb{T}^{\dim(M)}$ .

**(Newhouse)** If  $S(f)$  or  $U(f)$  is a line bundle, then  $\text{NW}(f) = M$ .

- If  $S(f)$  or  $U(f)$  is a line bundle, then  $f$  is  $\overline{\text{Top}}$ -isomorphic to a hyperbolic Lie group automorphism of  $\mathbb{T}^{\dim(M)}$ .

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**Proposition 3** <sup>(9)</sup>: Let  $d \in \mathbb{Z}_{\geq 2}$  and  $A \in \text{Aut}_{\overline{\text{Lie}}}(\mathbb{T}^d)$  be hyperbolic. Then for any  $x \in \mathbb{T}^d$ ,  $\overline{\mathcal{S}_x(A)} \cap \overline{\mathcal{U}_x(A)} = \mathbb{T}^d$ .

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<sup>5</sup>[Kat72, p.68,Thm.4.3; p.69,Rem.4.1,Exr.4.1], also see [KH95, Ch.18]

<sup>6</sup>Recall that  $f$  is **topologically transitive** if it has a dense orbit, or alternatively for any two nonempty open subsets  $U, V$ ,  $\text{Hit}^f(V \leftarrow U) \cap \mathbb{Z}_{\geq 1} \neq \emptyset$ .

<sup>7</sup>Recall that  $f$  is **topologically strong mixing** if for any two nonempty open subsets  $U, V$ ,  $\text{card}(\mathbb{Z}_{\geq 1} \setminus \text{Hit}^f(V \leftarrow U)) < \infty$ .

<sup>8</sup>[Fra70, p.64,Thm.6.3], [New70, p.762,Thm.1.2]

<sup>9</sup>[LS99, pp.597-598,Ex.3.3]

**Corollary 2:** Let  $M$  be a compact  $C^\infty$  manifold. If  $1 < \dim(M) \leq 3$  and  $M$  carries an Anosov diffeomorphism, then  $M$  is homeomorphic to a torus via a homeomorphism which conjugates  $f$  to a hyperbolic Lie group automorphism. Further,  $\overline{\text{Per}(f)} = \text{NW}(f) = M$ ,  $f$  is topologically strong mixing, and for any  $x \in M$ ,  $\overline{\mathcal{S}_x(f)} = \overline{\mathcal{U}_x(f)} = \overline{\mathcal{S}_x(f) \cap \mathcal{U}_x(f)} = M$ .

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Let  $M$  be a compact  $C^\infty$  manifold,  $f \in \text{Diff}^1(M)$  be Anosov. Let  $L, R$  be two embedded manifolds transverse to  $\mathcal{S}(f)$ . A **holonomy** (or a **Poincaré transformation**<sup>10</sup>, or **projection**<sup>11</sup>)  $\mathcal{S}_{R \leftarrow L}^f = \mathcal{S}_{R \leftarrow L}(f) : L \rightsquigarrow R$ <sup>12</sup> from  $L$  to  $R$  along  $\mathcal{S}(f)$  (**stable holonomy** for short) is a local homeomorphism such that  $\forall x \in \text{dom}(\mathcal{S}_{R \leftarrow L}^f) : \mathcal{S}_{R \leftarrow L}^f(x) \in R \cap \mathcal{S}_x(f)$ . Similarly, if  $L$  and  $R$  are embedded manifolds transverse to  $\mathcal{U}(f)$ , a **holonomy**  $\mathcal{U}_{R \leftarrow L}^f = \mathcal{U}_{R \leftarrow L}(f) : L \rightsquigarrow R$  from  $L$  to  $R$  along  $\mathcal{S}(f)$  (**unstable holonomy** for short) is a local homeomorphism such that  $\forall x \in \text{dom}(\mathcal{U}_{R \leftarrow L}^f) : \mathcal{U}_{R \leftarrow L}^f(x) \in R \cap \mathcal{U}_x(f)$ . It's clear that stable and unstable holonomies exist and if the transverse manifolds are close enough they are unique.

Let  $\mu$  be a probability measure induced by a  $C^\infty$  Riemannian metric on  $M$ ,  $L, R$  be two embedded manifolds transverse to  $\mathcal{S}(f)$ ,  $\mathcal{S}_{R \leftarrow L}^f : L \rightarrow R$  be an everywhere defined stable holonomy. Denote by  $\mu^L$  and  $\mu^R$  be the Radon measures on  $L$  and  $R$  induced by the induced Riemannian metrics, respectively. We say that  $\mathcal{S}_{R \leftarrow L}^f$  is **absolutely continuous** if  $(\mathcal{S}_{R \leftarrow L}^f)^!(\mu_R) \ll \mu_L$ , or alternatively  $\mu_R \ll \mathcal{S}_{R \leftarrow L}^f(\mu_L)$ . In words, absolute continuity means that zero measure sets are sent to zero measure sets. The Radon-Nikodym derivative coming from the first absolute continuity relation is called the **generalized Jacobian** of the stable holonomy from  $L$  to  $R$ :

$$J_\bullet^\mathcal{S}(f; L \leftarrow R) = \frac{d(\mathcal{S}_{R \leftarrow L}^f)^!(\mu_R)}{\mu_L} : L \rightarrow \mathbb{R}_{>0}.$$

**Proposition 4** (<sup>13</sup>): Let  $M$  be a compact  $C^\infty$  manifold,  $r \in \mathbb{Z}_{\geq 1} \times ]0, 1]$ ,  $f \in \text{Diff}^r(M)$  be an Anosov diffeomorphism,  $L, R$  be two  $C^r$  embedded discs transverse to  $\mathcal{S}(f)$  close enough that there is a unique stable holonomy  $\mathcal{S}_{R \leftarrow L}^f : L \rightarrow R$ . Then for any probability measure  $\mu$  on  $M$  induced by a  $C^\infty$  Riemannian metric on  $M$ ,

- (i)  $\mathcal{S}_{R \leftarrow L}^f : L \rightarrow R$  is Hölder and absolutely continuous, and
- (ii)  $J_\bullet^\mathcal{S}(f; L \leftarrow R) : L \rightarrow \mathbb{R}_{>0}$  is also Hölder continuous.

<sup>10</sup>[Mn87, p.190]

<sup>11</sup>[Hir01, 802]

<sup>12</sup>For two sets  $A, B$ ,  $f : A \rightsquigarrow B$  denotes a partially defined function from  $A$  to  $B$ .

<sup>13</sup>[Ano69, p.27,Thm.10]; note that here the holonomy is required, and proved, to be continuous with respect to small  $C^0$  perturbations in  $\text{Emb}^r$ . One may call this "stable continuity of holonomies". Also see [Mn87, p.191,Thm.3.1].

Similarly if  $L, R$  be two  $C^r$  embedded discs transverse to  $\mathcal{U}(f)$  close enough that there is a unique unstable holonomy  $\mathcal{U}_{R \leftarrow L}^f : L \rightarrow R$ , then for any probability measure  $\mu$  on  $M$  induced by a  $C^\infty$  Riemannian metric on  $M$ ,

- (i)  $\mathcal{U}_{R \leftarrow L}^f : L \rightarrow R$  is Hölder and absolutely continuous, and
- (ii)  $J_\bullet^\mathcal{U}(f; L \leftarrow R) : L \rightarrow \mathbb{R}_{>0}$  is also Hölder continuous.

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**Proposition 5** <sup>(14)</sup>: Let  $M$  be a compact  $C^\infty$  manifold,  $r = (q, \theta) \in \mathbb{Z}_{\geq 1} \times ]0, 1]$ ,  $f \in \text{Diff}^r(M)$  be Anosov. If  $\mathcal{U}(f)$  is a corank one subbundle of  $TM$ , then for some  $s = (q, \theta') \in \mathbb{Z}_{\geq 1} \times ]0, \theta]$  one has:

- (i) Any unstable holonomy between  $C^s$  embedded discs transverse to  $\mathcal{U}(f)$  is  $C^s$ .
- (ii)  $\mathcal{S}(f)$  is a  $C^s$  foliation with  $C^r$  leaves<sup>15</sup>.

Similarly if  $\mathcal{S}(f)$  is a corank one subbundle of  $TM$ , then for some  $s = (q, \theta') \in \mathbb{Z}_{\geq 1} \times ]0, \theta]$  one has:

- (i) Any stable holonomy between  $C^s$  embedded discs transverse to  $\mathcal{S}(f)$  is  $C^s$ .
- (ii)  $\mathcal{U}(f)$  is a  $C^s$  foliation with  $C^r$  leaves.

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**Remark 3:** If  $f$  is a  $C^r$  ( $r = (q, \theta) \in \mathbb{Z}_{\geq 1} \times ]0, 1]$ ) Anosov diffeomorphism of a compact  $C^\infty$  surface  $M$ , as both  $\mathcal{S}(f)$  and  $\mathcal{U}(f)$  are of rank one, the local product structure associated to  $f$  is of regularity  $C^s$  for some  $s = (q, \theta') \in \mathbb{Z}_{\geq 1} \times ]0, \theta]$ . Therefore, the  $C^s$  manifold structure of  $M$  is determined (up to  $C^s$  diffeomorphisms) by the pair of transverse foliations  $\mathcal{S}(f), \mathcal{U}(f)$ .

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### 3 The Proof

Using arguments similar to those that can be found in [dlL87], once the  $C^1$  differentiability of  $\Psi$  is guaranteed the regularity can be upgraded to  $C^r$ . Thus in what follows we focus on showing that  $\Phi \in \text{Diff}^1(\mathbb{T}^2)$ .

First note that by hypothesis  $\mathcal{S}(A^1), \mathcal{U}(A^1), \mathcal{S}(A^2), \mathcal{U}(A^2)$  are pairwise transverse foliations of  $\mathbb{T}^2$ . We have that  $\overrightarrow{\Phi}(\mathcal{S}(A^i)) = \mathcal{S}(f^i)$  and  $\overrightarrow{\Phi}(\mathcal{U}(A^i)) = \mathcal{U}(f^i)$ . We'll need that the foliations  $\mathcal{S}(f^1), \mathcal{U}(f^1), \mathcal{S}(f^2), \mathcal{U}(f^2)$  are also pairwise transverse. Since both  $f^1$  and  $f^2$  are Anosov,  $\mathcal{S}(f^1)$  and  $\mathcal{U}(f^1)$ ; as well as  $\mathcal{S}(f^2)$  and

<sup>14</sup>[Mn87, p.202, Exr.3.1], [PR02, p.343, Thm.2.1], [PRF09, p.11, Thm.1.6], [PSW97, p.543, Thm.6.1]

<sup>15</sup>More explicitly this means that  $\mathcal{S}(f)$  admits foliation charts whose transitions are  $C^s$  diffeomorphisms that are  $C^r$  along (images of) leaves of  $\mathcal{S}(f)$ .



$$\begin{array}{ccccc}
\mathcal{U}_{x,\text{loc}}(f^1) & \xrightarrow{\phi_x} & \mathcal{S}_{x,\text{loc}}(f^1) & & \\
\downarrow \mathcal{S}_{y \leftarrow x}^{f^1} & \nwarrow \Phi & \nearrow \Phi & & \downarrow \mathcal{U}_{y \leftarrow x}^{f^1} \\
& \mathcal{U}_{\Phi^{-1}(x),\text{loc}}(A^1) & \xrightarrow{\psi_{\Phi^{-1}(x)}} & \mathcal{S}_{\Phi^{-1}(x),\text{loc}}(A^1) & \\
& \downarrow \mathcal{S}_{\Phi^{-1}(y) \leftarrow \Phi^{-1}(x)}^{A^1} & & \downarrow \mathcal{U}_{\Phi^{-1}(y) \leftarrow \Phi^{-1}(x)}^{A^1} & \\
& \mathcal{U}_{\Phi^{-1}(y),\text{loc}}(A^1) & \xrightarrow{\psi_{\Phi^{-1}(y)}} & \mathcal{S}_{\Phi^{-1}(y),\text{loc}}(A^1) & \\
& \nwarrow \Phi & \nearrow \Phi & & \\
\mathcal{U}_{y,\text{loc}}(f^1) & \xleftarrow{\phi_y} & \mathcal{S}_{y,\text{loc}}(f^1) & & 
\end{array}$$

Thus if  $a \in \mathcal{U}_{x,\text{loc}}(f)$ , then in the first case above we have

$$\phi_y \circ \mathcal{S}_{y \leftarrow x}^{f^1}(a) = \mathcal{U}_{y \leftarrow x}^{f^1} \circ \phi_x(a)$$

and in the second case we have

$$\mathcal{S}_{y \leftarrow x}^{f^1}(a) = \phi_y \circ \mathcal{U}_{y \leftarrow x}^{f^1} \circ \phi_x(a).$$

Differentiating these equations with respect to  $a$  and evaluating at  $a = x$ , we get in the first case

$$\phi'_y(y) \frac{d\mathcal{S}_{y \leftarrow x}^{f^1}}{dx}(x) = \frac{d\mathcal{U}_{y \leftarrow x}^{f^1}}{dx}(x) \phi'_x(x) = 0,$$

and in the second case

$$\frac{d\mathcal{S}_{y \leftarrow x}^{f^1}}{dx}(x) = \phi'_y(y) \frac{d\mathcal{U}_{y \leftarrow x}^{f^1}}{dx}(x) \phi'_x(x) = 0.$$

Note that the second equation gives a contradiction since the stable holonomies are invertible (consequently it must be the case that  $\mathcal{S}_y(f^1) \neq \mathcal{S}_y(f^2)$ ), and the only way the first equation could be valid is if  $\phi'_y(y) = 0$ , that is,  $\mathcal{U}_y(f^1) = \mathcal{S}_y(f^2)$ , as was to be shown. ┘

**Lemma 2:**  $\mathcal{U}(f^1)$  and  $\mathcal{S}(f^2)$  are transverse foliations. ┘

**Proof:** Suppose not. Then there is an  $x \in \mathbb{T}^2$  such that  $\mathcal{U}_x(f^1) = \mathcal{S}_x(f^2)$ . By the previous lemma,  $\mathcal{U}_\bullet(f^1)$  and  $\mathcal{S}_\bullet(f^2)$  coincide on  $\mathcal{U}_x(f^1) \cap \mathcal{S}_x(f^1)$ . Note that

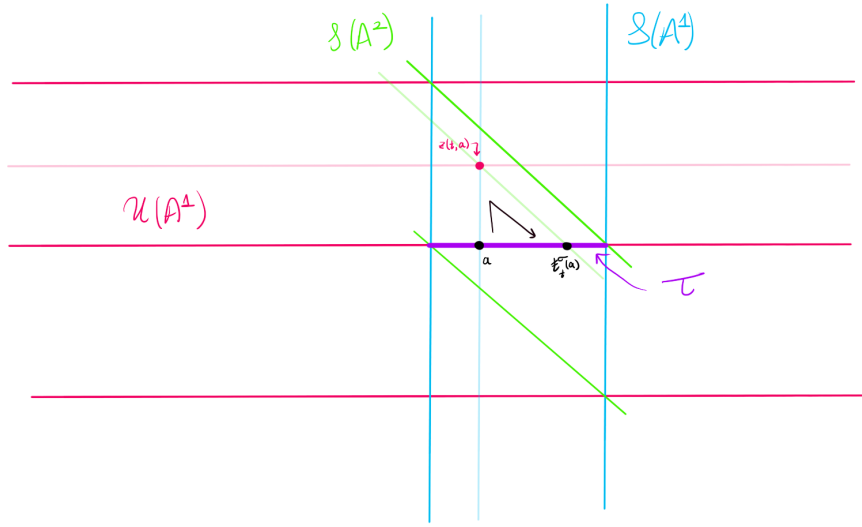
$$\begin{aligned}
\overline{\mathcal{U}_x(f^1) \cap \mathcal{S}_x(f^1)} &= \overrightarrow{\Phi}(\overline{\mathcal{U}_{\Phi^{-1}(x)}(A^1) \cap \mathcal{S}_{\Phi^{-1}(x)}(A^1)}) \\
&= \overrightarrow{\Phi}\left(\overline{\mathcal{U}_{\Phi^{-1}(x)}(A^1) \cap \mathcal{S}_{\Phi^{-1}(x)}(A^1)}\right) \stackrel{(\dagger)}{=} \overrightarrow{\Phi}(\mathbb{T}^2) = \mathbb{T}^2.
\end{aligned}$$



Here the equality with  $(\dagger)$  is due to **Prop.3**.

Since  $U_\bullet(f^1)$  and  $S_\bullet(f^2)$  are continuous and coincide on a dense subset, they have to be equal. This implies that  $\mathcal{U}(f^1)$  and  $\mathcal{S}(f^2)$  coincide everywhere, and consequently  $\mathcal{U}(A^1)$  and  $\mathcal{S}(A^2)$  coincide everywhere, a contradiction.  $\perp$

Next fix a point  $x \in \mathbb{T}^2$  and let  $\tau^\sigma$  be a compact embedded interval in  $\mathcal{U}_x(A^1)$ . Note that since  $\mathcal{U}_x(A^1)$  is an injectively immersed line in  $\mathbb{T}^2$ , it carries a natural affine structure. Consequently there is a local  $\mathbb{R}$  action  $\hbar^\sigma : \mathbb{R} \times \tau^\sigma \rightsquigarrow \tau^\sigma$  on  $\tau^\sigma$  by translations. This local action can be uniquely factored into stable and unstable holonomies based on the following caricature:



Thus we have that  $\forall a \in \tau^\sigma, \exists \lambda_a, \rho_a \in \mathbb{R}$  with  $\lambda_a < 0 < \rho_a$  such that  $\forall t \in [\lambda_a, \rho_a], \exists ! z^\sigma(t, a) \in S_a(A^1)$ :

$$\begin{aligned} t \geq 0 &\Rightarrow \hbar_t^\sigma(a) = \mathcal{S}_{\tau^\sigma \leftarrow \mathcal{U}_{z^\sigma(t, a)}(A^1)}^{A^2} \circ \mathcal{S}_{\mathcal{U}_{z^\sigma(t, a)}(A^1) \leftarrow \tau^\sigma}^{A^1}(a) \\ t \leq 0 &\Rightarrow \hbar_t^\sigma(a) = \mathcal{S}_{\mathcal{U}_{z^\sigma(t, a)}(A^1) \leftarrow \tau^\sigma}^{A^1} \circ \mathcal{S}_{\tau^\sigma \leftarrow \mathcal{U}_{z^\sigma(t, a)}(A^1)}^{A^2}(a). \end{aligned}$$

Let us now conjugate  $\hbar^\sigma$  using  $\Phi$ . Put  $\tau^\alpha = \overrightarrow{\Phi}(\tau^\sigma)$ ,  $\hbar_t^\alpha(b) = \Phi \circ \hbar_t^\sigma \circ \Phi^{-1}(b)$  and  $z^\alpha(t, b) = \Phi(z^\sigma(t, \Phi^{-1}(b)))$  for all  $\lambda_{\Phi^{-1}(b)} \leq t \leq \rho_{\Phi^{-1}(b)}$  and for all  $b \in \tau^\alpha$ . Note that now  $\hbar^\alpha : \mathbb{R} \times \tau^\alpha \rightsquigarrow \tau^\alpha$  is a local action by homeomorphisms.

**Lemma 3:**  $\hbar_t^\alpha(b)$  is  $C^r$  in the  $t$  variable and  $C^s$  in the  $b$  variable.  $\perp$

**Proof:** We'll use the holonomy factorization of  $\hbar^\sigma$ . If  $t \geq 0$ , we have

$$\begin{aligned}
\hbar_t^\alpha(b) &= \Phi \circ \hbar_t^\sigma \circ \Phi^{-1}(b) \\
&= \Phi \circ \mathcal{S}_{\tau^\sigma \leftarrow \mathcal{U}_{z^\sigma(t, \Phi^{-1}(b))}(A^1)}^{A^2} \circ \Phi^{-1} \circ \Phi \circ \mathcal{S}_{\mathcal{U}_{z^\sigma(t, \Phi^{-1}(b))}(A^1) \leftarrow \tau^\sigma}^{A^1} \circ \Phi^{-1}(b) \\
&= \mathcal{S}_{\tau^\alpha \leftarrow \mathcal{U}_{z^\alpha(t, b)}(f^1)}^{f^2} \circ \mathcal{S}_{\mathcal{U}_{z^\alpha(t, b)}(f^1) \leftarrow \tau^\alpha}^{f^1}(b).
\end{aligned}$$

Similarly we have for  $t \leq 0$ ,

$$\hbar_t^\alpha(b) = \mathcal{S}_{\mathcal{U}_{z^\alpha(t, b)}(f^1) \leftarrow \tau^\alpha}^{f^1} \circ \mathcal{S}_{\tau^\alpha \leftarrow \mathcal{U}_{z^\alpha(t, b)}(f^1)}^{f^2}(b).$$

The lemma follows from these formulas and [Prop.5](#). ┘

**Lemma 4:** Let  $T : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}, (t, x) \mapsto x + t$  and  $S : \mathbb{R} \times \mathbb{R} \rightsquigarrow \mathbb{R}$  be a local group action by homeomorphisms. Suppose there is a homeomorphism  $\Psi \in \text{Homeo}(\mathbb{R})$  such that  $T_\bullet = \Psi \circ S_\bullet \circ \Psi^{-1}$ . Then  $\partial_2 S : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  exists and is continuous iff  $\Psi \in \text{Diff}^1(\mathbb{R})$ . ┘

**Proof:** ( $\Leftarrow$ ) is clear. For ( $\Rightarrow$ ), first note that since  $S$  is a local group action by invertible maps and  $\partial_2 S$  exists and is continuous,  $\text{im}(\partial_2 S) \subseteq \mathbb{R}_{>0}$  xor  $\text{im}(\partial_2 S) \subseteq \mathbb{R}_{<0}$ ; wlog let us assume the former. Further  $\partial_2 S : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}_{>0}$  is a cocycle over  $S$ , that is,

$$\partial_2 S(t_1 + t_2, x) = \partial_2 S(t_1, S(t_2, x)) \partial_2 S(t_2, x).$$

Fix a  $y_0 \in \mathbb{R}$  and define  $\mathcal{T} = \mathcal{T}_{y_0} : (\mathbb{R}, y_0) \rightarrow (\mathbb{R}, 0), y \mapsto -\Psi(y) + \Psi(y_0)$ . Note that  $\mathcal{T}$  is a homeomorphism. Further, for  $y \in \mathbb{R}$ ,  $S(t, y) = y_0$  implies  $\Psi(y_0) = T(t, \Psi(y)) = \Psi(y) + t$ , so that  $t = \mathcal{T}(y)$  is the unique solution to the equation  $S(t, y) = y_0$ . Note that

$$S(\mathcal{T}(y), y) = y_0 = S(\mathcal{T}(S(t, y)), S(t, y)) = S(\mathcal{T}(S(t, y)) + t, y),$$

whence by the uniqueness of  $\mathcal{T}$  we have  $\mathcal{T} \circ S(t, y) = \mathcal{T}(y) - t$ . Define  $\Theta : (\mathbb{R}, y_0) \rightarrow (\mathbb{R}, 0), y \mapsto \int_{y_0}^y \partial_2 S(\mathcal{T}(x), x) dx$ . Since the integrand is always positive and is continuous,  $\Theta$  is a  $C^1$  diffeomorphism. Further,  $\Theta'(y) = \partial_2 S(\mathcal{T}(y), y)$ . Put  $R : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}, (t, z) \mapsto \Theta \circ S_t \circ \Theta^{-1}(z)$ . Then we have, putting  $y = \Theta^{-1}(z)$  and  $u = \partial_2 S$ ,

$$\begin{aligned}
\partial_2 R(t, z) &= R'_t(z) = (\Theta \circ S_t \circ \Theta^{-1})'(z) \\
&= \Theta'(S(t, y)) S'_t(y) (\Theta^{-1})'(z) \\
&= \frac{\Theta'(S(t, y)) S'_t(y)}{\Theta'(y)} \\
&= \frac{u(\mathcal{T} \circ S(t, y), S(t, y)) u(t, y)}{u(\mathcal{T}(y), y)} \\
&= \frac{u(\mathcal{T}(y) - t, S(t, y)) u(t, y)}{u(\mathcal{T}(y), y)} \\
&= \frac{u(\mathcal{T}(y), y) u(-t, S(t, y)) u(t, y)}{u(\mathcal{T}(y), y)} = 1.
\end{aligned}$$

Thus for some continuous function  $\kappa : \mathbb{R} \rightarrow \mathbb{R}$ ,  $R(t, z) = z + \kappa(t)$ . By the group property  $z + \kappa(t_1 + t_2) = z + \kappa(t_2) + \kappa(t_1)$ , so that  $\kappa : \mathbb{R} \rightarrow \mathbb{R}$  is a continuous group homomorphism; whence  $\kappa(t) = kt$  for  $k = \kappa'(0) \neq 0$ , so that  $R(t, z) = z + kt$ . Putting  $\Xi : \mathbb{R} \rightarrow \mathbb{R}, z \mapsto \frac{z}{k}$ , we have that  $\Psi \circ S_\bullet \circ \Psi^{-1} = T_\bullet = \Xi \circ R_\bullet \circ \Xi^{-1} = (\Xi \circ \Theta) \circ S_\bullet \circ (\Xi \circ \Theta)^{-1}$ , thus

$$T_\bullet = \Psi \circ (\Xi \circ \Theta)^{-1} \circ T_\bullet \circ (\Xi \circ \Theta) \circ \Psi^{-1}.$$

Put  $\Lambda = \Psi \circ (\Xi \circ \Theta)^{-1}$ , so that  $T_\bullet = \Lambda \circ T_\bullet \circ \Lambda^{-1}$ . Then for any  $t, x \in \mathbb{R}$ ,  $\Lambda(x + t) = \Lambda(x) + t$ , so that  $\Lambda(t) = l + t$  for  $l = \Lambda(0)$ . Therefore

$$\Psi(y) = \Lambda \circ \Xi \circ \Theta(y) = \Lambda(0) + \Xi \circ \Theta(y) = l + \frac{1}{k} \int_{y_0}^y \partial_2 S(\mathcal{T}(x), x) dx$$

and consequently,  $\Psi \in \text{Diff}^1(\mathbb{R})$ . In fact, chasing the definitions we have the more explicit formula

$$\Psi(y) = \Psi(y_0) + \frac{1}{\partial_2 S(0, y_0) \partial_1 S(0, y_0)} \int_{y_0}^y \partial_2 S(-\Psi(x) + \Psi(y_0), x) dx.$$

┘

Applying the above lemma with  $T$  as the translation action on  $\mathcal{U}_x(A^1)$  and with  $S$  as  $\hbar^\alpha : \mathbb{R} \times \tau^\alpha \rightsquigarrow \tau^\alpha$ , we have that  $\Phi \in \text{Diff}^1(\tau^\sigma; \tau^\alpha)$ , that is, the conjugacy  $\Phi$  is  $C^1$  along the global unstable manifolds of  $A^1$ . An analogous argument shows that  $\Phi$  is  $C^1$  along the global stable manifolds of  $A^1$ . Since, as mentioned in [Rem.3](#) above, the  $C^1$  manifold structure of  $\mathbb{T}^2$  is determined up to  $C^1$  diffeomorphism by the pair of stable and unstable foliations of  $A^1$ , we have that  $\Phi \in \text{Diff}^1(\mathbb{T}^2)$ .

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