CPSC 320: Intermediate Algorithm Design and Analysis Assignment #4, due Thursday, February 16th, 2012 at 11:00

[8] 1. Kruskal's algorithm is not the only existing simple, greedy algorithm to find a minimum spanning tree of an undirected graph G. Another such algorithm is the Prim-Jarník algorithm. It is very similar to Dijkstra's algorithm, but instead of storing in Cost(v) the cost of the least costly path from s to v, we instead store the cost of the cheapest edge that connects s to an element of the tree T we have constructed so far. Here is most of the pseudo-code of this algorithm.

Note: You can find the pseudocode of Kruskal's and Dijkstra's algorithm in the appendix.

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Algorithm Prim-Jarník(V, E, cost)
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\begin{array}{l} T \leftarrow \emptyset \\ \texttt{Cost}(\texttt{V[0]}) \leftarrow \texttt{0} \\ \texttt{Prev}(\texttt{V[0]}) \leftarrow \texttt{none} \\ \\ \texttt{for } \texttt{i} \leftarrow \texttt{1} \texttt{ to } \texttt{length}[\texttt{V]} - \texttt{1} \texttt{ do} \\ & \texttt{Cost}(\texttt{V[i]}) \leftarrow +\infty \\ & \texttt{Prev}(\texttt{V[i]}) \leftarrow \texttt{none} \\ \\ \texttt{Build heap NotInTree from V using costs as keys} \\ \\ \texttt{for } \texttt{i} \leftarrow \texttt{1} \texttt{ to } \texttt{length}[\texttt{V}] \texttt{ do} \\ & \texttt{u} \leftarrow \texttt{DeleteMin}(\texttt{NotInTree}) \end{array}
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for each neighbor v of u do if (*********) then ******* Prev $(v) \leftarrow u$

add (u, Prev(u)) to T

return T

[2] (a) What code should replace the *********?

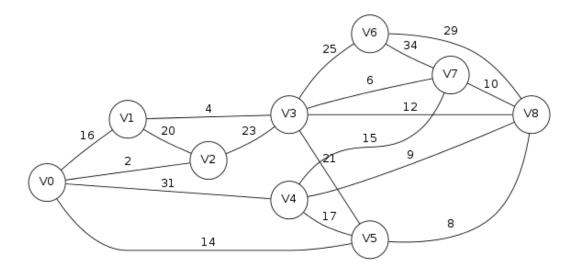
Solution: The if statement should be:

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\begin{array}{c} \text{if } (\texttt{Cost}(\texttt{v}) > \texttt{cost}(\texttt{u}, \texttt{v})) \text{ then} \\ \texttt{Cost}(\texttt{v}) \leftarrow \texttt{cost}(\texttt{u}, \texttt{v}) \\ \texttt{Prev}(\texttt{v}) \leftarrow \texttt{u} \end{array}
```

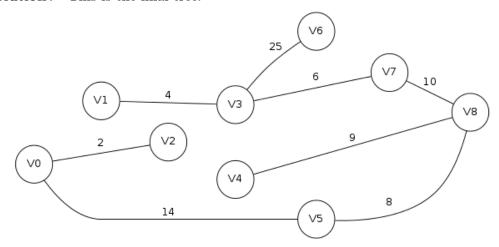
[2] (b) What is the worst-case running time of the Prim-Jarník algorithm, as a function of the number of nodes and edges of the graph? Justify your answer.

Solution: The algorithm is identical to Dijkstra's algorithm, except for the details of the computation in the inner loop. Thus its running time is the same, that is in $O((|V| + |E|) \log |V|)$.

[4] (c) Execute the Prim-Jarník algorithm on the following graph, starting from node v_3 , and draw the final tree.



Solution: This is the final tree:



- [15] 2. Consider an undirected graph G = (V, E) with positive edge weights defined by the function cost: $E \to \mathbb{R}^+$. Assume furthermore than no two edges have the same weight. For each of the following statements about G, either prove that the statement is true, or give a counter-example that shows that it is false (hint: think of the algorithms we discussed in class).
 - [5] a. Given a node s of G, the tree of shortest paths from s and a minimum spanning tree of G must share at least one edge.

Solution: This is true. Consider the edge e out of s with the smallest weight:

- If we run Dijkstra's algorithm starting from s, then e will be the first edge added to the tree of shortest paths from s.
- ullet The edge e will also be the first of the edges incident upon s that Kruskal's algorithm will consider, and hence it will be added to the minimum spanning tree.
- [5] b. For every connected subgraph H of G, and minimum spanning tree T of G, $T \cap H$ is contained in a minimum spanning tree of H.

Solution: This is true. Consider the execution of Kruskal's algorithm on G, and let G_i be the subgraph obtained after the i^{th} iteration of the while loop. We prove by induction of i that $G_i \cap H$ is contained in a minimum spanning tree of H.

- When i = 0, $G_i \cap H$ consists of the nodes of H, with no edge, and hence $G_i \cap H$ is a subset of every minimum spanning tree of H.
- Consider now an arbitrary i > 0. By the induction hypothesis, we can assume that $G_{i-1} \cap H$ is a subset of a minimum spanning tree of H. Let e be the edge of G considered during the ith iteration of the while loop.
 - If e is not an edge of H, then $G_i \cap H = G_{i-1} \cap H$, and so $G_i \cap H$ is a subset of a minimum spanning tree of H.
 - If e is an edge of H, and Kruskal's algorithm does not add e to the tree it is building, then $G_i = G_{i-1}$ and hence $G_i \cap H$ is a subset of a minimum spanning tree of H.
 - If e is an edge of H that is selected by Kruskal's algorithm, then its endpoints belong to different connected components of G_{i-1} , and hence to different connected components of $G_{i-1} \cap H$. Since e is the lowest-cost edge that connects these two connected components, it follows that $(G_{i-1} \cap H) \cup \{e\}$ is contained in a minimum spanning tree of H.
- [5] c. There is a minimum spanning tree of G that contains, for every node v of G, the least-cost edge incident upon v.

Solution: This is also true: let e be the least-cost edge incident upon an arbitrary node v of G. Because no two edges of G have the same weight, e is the first edge incident upon v that is considered by Kruskal's algorithm. At this point, v is still an isolated node, and hence is not in the same connected component as the other endpoint of e. Therefore e will be added to the minimum spanning tree.

Minimum Spanning Trees

```
Algorithm Kruskal(V, E, cost)
T ← ∅
H ← heap with elements of E using costs as keys
for each vertex v ∈ V do
    set C(v) to { v }

while T has fewer than |V| - 1 edges do
    (u,v) ← deleteMin(H)
    if C(u) ≠ C(v) then
        add (u,v) to T
        merge C(u) and C(v) into one cluster

return T
```

Shortest Paths

```
Algorithm Dijkstra(V, E, cost, s)
T \leftarrow \emptyset
Cost(V[s]) \leftarrow 0
Prev(V[s]) \leftarrow none
for i \leftarrow 0 to length[V] - 1 do
  if (i \neq s) then
     Cost(V[i]) \leftarrow +\infty
     Prev(V[i]) \leftarrow none
Build heap NotInTree from V
for i \leftarrow 1 to length[V] do
  u ← DeleteMin(NotInTree)
  add (u, Prev(u)) to T
  for each neighbor v of u do
     if (Cost(v) > Cost(u) + cost(u,v)) then
          Cost(v) \leftarrow Cost(u) + cost(u,v)
          Prev(v) \leftarrow u
```

return T