MODIStsp: A tool for automatic preprocessing of MODIS time series - v1.3.1

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1 Introduction

MODIStsp is a novel "R" package allowing to automatize the creation of time series of rasters derived from MODIS Land Products data. It allows to perform several preprocessing steps on MODIS data available within a given time period.

Development of MODIStsp started from modifications of the ModisDownload "R" script by Thomas Hengl (2010), and successive adaptations by Babak Naimi (2014). The basic functionalities for download and preprocessing of MODIS datasets provided by these scripts were gradually incremented with the aim of:

- developing a standalone application allowing to perform several preprocessing steps (e.g., download, mosaicking, reprojection and resize) on all available MODIS land products by exploiting a powerful and user-friendly GUI front-end;
- allowing the creation of time series of both MODIS original layers and additional Quality Indicators (e.g., data acquisition quality, cloud/snow presence, algorithm used for data production, etc.) extracted from the aggregated bit-field QA layers:
- allowing the automatic calculation and creation of time series of several additional Spectral Indexes starting form MODIS surface reflectance products.

All processing parameters can be easily set with a user-friendly GUI, although non-interactive execution exploiting a previously created Options File is possible. Stand-alone execution outside an "R" environment is also possible, allowing to use scheduled execution of MODIStsp to automatically update time series related to a MODIS product and extent whenever a new image is available.

Required MODIS HDF files are automatically downloaded from NASA servers and resized, reprojected, resampled and processed according to user's choices. For each desired output layer, outputs are saved as

single-band rasters corresponding to each acquisition date available for the selected MODIS product within the specified time period. "R" *RasterStack* objects with temporal information as well as Virtual raster files (GDAL vrt and ENVI META files) facilitating access to the entire time series can be also created.

2 Installation

IMPORTANT: MODIStsp requires R v >= 3.2.1 and GDAL (Geospatial Data Abstraction Library) v >= 1.11.1 with support for HDF4 raster format to be installed in your system. Brief instructions for installing R and GDAL can be found HERE.

2.0.1 On Windows

To install MODIStsp, just install the package from CRAN:

```
install.packages("MODIStsp")
```

However, if the GTK+ library is not already installed on your system, installation may fail. In that case, please install and load the gWidgetsRGtk2 library beforehand:

```
install.packages("gWidgetsRGtk2")
library(gWidgetsRGtk2)
```

Upon loading the package, an error window will probably appear. **Don't worry!** This is just signaling that *libatk-1.0-0.dll* is missing from your system. This is due to the fact that library "GTK+" is not yet installed on your system and needs to be installed. To do so, press "OK". A new window dialog window will appear, asking if you want to install "GTK+". Select "Install GTK" and then "OK". Windows will download and install the GTK+ library. When it finishes, the RSession will be restarted and you should be ready to go!1

In case RStudio doesn't automatically restart after installing GTK+ or it "freezes", try to kill it form "Task Manager", reload RStudio and the try to reload gWidgetsRGtk2. If it loads correctly, you should be ready to go.

If it still fails, try following instructions reported here:

https://www.stat.auckland.ac.nz/%7Ekimihia/rgtk2

(starting from "Download the GTK+ all-in-one bundle")

to correctly install the GTK+ library.

2.0.2 On Linux systems

- 1. Install the following required dependencies:
 - Cairo >= 1.0.0, ATK >= 1.10.0, Pango >= 1.10.0, GTK+ >= 2.8.0, GLib >= 2.8.0 (required by package RGtk2)
 - Curl (required by package curl)
 - GDAL >= 1.6.3, PROJ.4 >= 4.4.9 (required by package rgdal)

On Debian and Ubuntu-based systems, to install packages open a terminal and type

sudo apt-get install r-cran-cairodevice r-cran-rgtk2 libcairo2-dev libatk1.0-dev libpango1.0-dev
libgtk2.0-dev libglib2.0-dev libcurl4-openssl-dev libgdal-dev libproj-dev

On rpm-base systems, to install packages open a terminal and type

sudo yum install libcairo2-devel libatk1.0-devel libpango1.0-devel gtk2 gtk2-devel
glib2-devel libcurl-devel gdal-devel proj proj-devel proj-epsg proj-nad

2. Install MODIStsp package from CRAN

```
install.packages("lbusett/MODIStsp")
```

2.0.3 Installing the devel version from github

The development version of MODIStsp is available on github. To install it, follow the previous instructions, but instead than install.packages("MODIStsp"), use:

```
library(devtools)
install_github("lbusett/MODIStsp", ref = "devel")
```

3 Running the tool in Interactive Mode: the MODIStsp GUI

To run the tool in interactive mode, load the package and launch the MODIStsp function, with no parameters: library(MODIStsp)

MODIStsp()

This **opens a GUI** from which processing options can be specified and eventually saved (or loaded).¹

Note: PCs with a small screen can fail to visualise the whole GUI; in this case, the user can add scroll bars with MODIStsp(scrollWindow=TRUE).

3.1 Selection of processing parameters

The MODIStsp GUI allows selecting all processing options required for the creation of the desired MODIS time series. The main available processing options are described in detail in the following.

3.2 MODIS Product, Platform and Layers

The following menus allow to specify details of the desired output time series:

- 1. "Category" and "Product": Select the MODIS product of interest
- 2. MODIS platform(s): Select if only TERRA, only AQUA or Both MODIS platforms should be considered for download and creation of the time series
- 3. version: Select wheter processing version 5 or 6 (when available) of MODIS products

Clicking the "Click to Select Desired Layers" button opens the "Select Processing Layers" GUI panel, from which the user must select which MODIS original and/or derived QI and SI layers should be processed:

- 1. The left-hand frame allows to select which original MODIS layers should be processed.
- 2. The central frame allows to select which Quality Indicators should be extracted from the original MODIS Quality Assurance layers.

¹At the first execution of *MODIStsp*, a Welcome screen will appear, signaling that MODIStsp is searching for a valid GDAL installation. Press "ok" and wait for GDAL to be found. If nothing happens for a long time (e.g., several minutes), MODIStsp (and in particular the gdalUtils package on which it relies) is not finding a valid GDAL installation in the more common locations. To solve the problem: i) ensure that GDAL is properly installed in your system; ii) (on Windows) if it is installed, verify that GDAL is in your system PATH. and that the *GDAL_DATA* environment variable is correctly set (You can find simple instructions HERE); iii) if nothing works, signal it in the issues GitHub page of MODIStsp and we'll try to help!

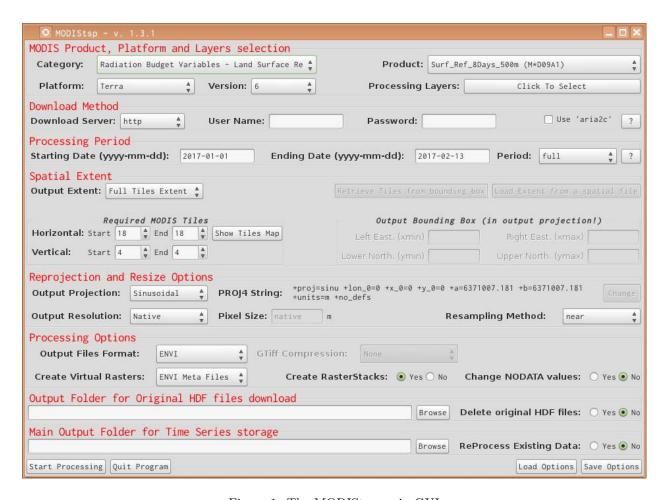


Figure 1: The MODIStsp main GUI

| O Select Processing Layers - \ | egetation Indexes_16Days_250m (M*D1 | 3Q1) vers. 6 | | | |
|--|---|--|--|--|--|
| Original MODIS Layers 16 day NDVI average 16 day EVI average VI quality indicators Surface Reflectance Band 1 Surface Reflectance Band 2 Surface Reflectance Band 3 Surface Reflectance Band 7 View zenith angle of VI pixel Relative azimuth angle of VI pixel Day of year of VI pixel Quality reliability of VI pixel | Quality Indicators VI Quality VI usefulness Aerosol quantity Adjacent cloud detected Atmosphere BRDF correction performed Mixed Clouds Land/Water Flag Possible snow/ice Possible shadow | Additional Spectral Indexes Simple Ratio (NIR/Red) Flood Index (Red-SWIR2)/(Red+SWIR2) NDII7 (NIR-SWIR2)/(NIR+SWIR2) SAVI (NIR-Red)/(NIR+Red+0.5)*(1+0.5) Add custom indexes | | | |
| Product Details (Vegetation Indexes_16Days_250m (M*D13Q1) vers. 6) | | | | | |
| OK Cancel | | | | | |

Figure 2: The "Select Processing Layers" GUI

3. For MODIS products containing surface reflectance data, the right-hand frame allows to select which additional Spectral Indexes should be computed. 2

Some of the most commonly used Spectral Indexes are available for computation by default (Table II).

Table II: List of default Spectral Indexes available in MODIStsp

| Index Acronym | Index name and reference |
|---------------------|---|
| NDVI | Normalized Difference Vegetation Index (Rouse et al. 1973) |
| EVI | Enhanced Vegetation Index (A. Huete et al. 2002) |
| SR | Simple Ratio(Tucker 1979) |
| NDFI | Normalized Difference Flood Index (Boschetti et al. 2014) |
| NDII7 (NDWI) | Normalized Difference Infrared Index - Band 7 (Hunt and Rock 1989) |
| SAVI | Soil Adjusted Vegetation Index (A.R Huete 1988) |
| NDSI | Normalized Difference Snow Index (Hall et al. 2002) |
| NDII6 | Normalized Difference Infrared Index - band 6 (Hunt and Rock 1989) |
| GNDVI | Green Normalized Difference Vegetation Index (Gitelson and Merzlyak 1998) |
| RGRI | Red Green Ratio Index (Gamon and Surfus 1999) |
| GRVI | Green-Red ratio Vegetation Index (Tucker 1979) |

 $[\]overline{}^2$ The lists of original MODIS layers, QIs and Sis available for the selected product are automatically retrieved from the "MODIStsp_Products_Opts" XML file distributed with the package in /ExtData subfolder.

You can however specify other SIs to be computed without modifying MODIStsp source code by clicking on the "Add Custom Index" button, which allow to provide info related to the new desired SI using a simple GUI interface.

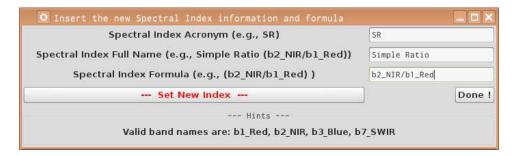


Figure 3: The GUI for insertion of additional Spectral Indexes

Provided information (e.g., correct bandnames, computable formula, etc...) is automatically checked upon clicking "Set New Index". On success, the new index is added in the list of available ones for all products allowing its computation. Clicking "Done!" returns to the main.

3.3 Download Method

Available choices are:

- 1. http://e4ftl01.cr.usgs.gov). This requires providing a user name and password, which can be obtained by registrering an account at the address https://urs.earthdata.nasa.gov/profile;
- 2. **ftp**: download from NASA ftp archive (ftp://ladsweb.nascom.nasa.gov/);
- 3. **offline**: this option allows to process/reprocess HDF files already available on the user's PC without downloading from NASA useful if the user already has an archive of HDF images, or to reprocess data already downloaded via MODIStsp (for example, to create time series for an additional layer).

The use_aria2c option allows to accelerate the download from NASA archives, provided that the "aria2c" software is installed in your system. To download and install it, see: https://aria2.github.io/

3.4 Processing Period

Specify the starting and ending dates to be considered for the creation of the time in the series corresponding fields. Dates **must** be provided in the yyyy-mm-dd format (e.g., 2015-01-31)

The **Period** dropdown menu allows to choose between two options:

- 1. full: all available images between the starting and ending dates are downloaded and processed;
- 2. **seasonal**: download data for only one part of the year, but for multiple years (for example, if the starting date is 2005-03-01 and the ending is 2010-06-01, only the images of March, April and May for the year between 2005 and 2010 will be downloaded). This allows to easily process data concerning a particular season of interest.

3.5 Spatial Extent

Allows to define the area of interest for the processing. Two main options are possible:

- 1. **Full Tiles Extent**: specify which MODIS tiles need to be processed using the "Start" and "End" horizontal and vertical sliders in the *Required MODIS Tiles* frame. During processing, data from the different tiles is mosaiced, and a single file covering the total area is produced for each acquisition date (Note: pressing the "show map" button, a representation of the MODIS tiles grid is shown to facilitate the selection).
- 2. **Resized**: specify a custom spatial extent for the desired outputs either by:
 - a. Manually inserting the coordinates of the Upper Left and Lower Right corners of the area of interest in the **Bounding Box** frame. Coordinates of the corners must be provided in the coordinate system of the selected output projection;
 - b. pressing the "Load Extent from a Spatial File" and selecting a raster or vector spatial file. In this case, the bounding box of the selected file is retrieved, converted in the selected output projection, and shown in the "Bounding Box" frame. Required input MODIS tiles are also automatically retrieved from the output extent, and the tiles selection sliders modified accordingly.

3.6 Reprojection and Resize

Specify the options to be used for reprojecting and resizing the MODIS images.

- 1. "Output Projection": select one of the pre-defined output projections or specify a user-defined one. To specify a user selected projection, select "User Defined" and then insert a valid "Proj4" string in the pop-up window. Validity of the Proj4 string is automatically checked, and error messages issued if the check fails;
- 2. "Output Resolution", "Pixel Size" and "Reprojection Method": specify whether output images should inherit their spatial resolution from the original MODIS files, or be resampled to a user-defined resolution. In the latter case, output spatial resolution must be specified in the measure units of the selected output projection. Resampling method can be chosen among "Nearest Neighbour" and "Mode" (Useful for downsampling purposes). Other resampling methods (e.g., bilinear, cubic) are not currently supported since i) they cannot be used for resampling of categorical variables such as the QA and QI layers, and ii) using them on continuous variable (e.g., reflectance, VI values) without performing an a-priori data cleaning would risk to contaminate the values of high-quality observations with those of low-quality ones.

3.7 Processing Options

Several processing options can be set using checkboxes:

Output Files Format: Two of the most commonly formats used in remote sensing applications are available at the moment: ENVI binary and GeoTiff. If GeoTiff is selected, the type of file compression can be also specified among "None", "PACKBITS", "LZW" and "DEFLATE".

Create Virtual Rasters: Specify if virtual multitemporal files should be created. These virtual files allow access to the entire time series of images as a single file without the need of creating large multitemporal raster images. Available virtual files formats are ENVI metafiles and GDAL "vrt" files.

Create RsterStack: Specify if the output time series should be also saved as as "R" rasterStack objects (with temporal information added through the "setZ" method of the raster package). This may be useful in order to easily access the preprocessed MODIS data within "R" scripts.

Change NoData values: Specify if NoData values of MODIS layers should be kept at their original values, or changed to those specified within the "MODIStsp_Products_Opts" XML file. By selecting "Yes" in the "Change Original NODATA values" checkbox, NoData of outputs are set to the largest integer value possible for the data type of the processed layer (e.g., for 8-bit unsigned integer layers, NoData is set always to 255,

for 16-bit signed integer layers to 32767, and for 16-bit unsigned integer layers to 65535). Information about the new NoData values is stored both in the output rasters, and in the XML files associated with them.

Scale output values: Specify if scale and offset values of the different MODIS layers should be applied. If selected, outputs are appropriately rescaled on the fly, and saved in the true "measure units" of the selected parameter (e.g., spectral indexes are saved as floating point values; Land Surface Temperature is saved in °K, etecetera).

3.8 Main Output Folder for Time Series Storage

Select the main folder where the pre-processed time series data will be stored. The "Reprocess Existing Data" checkbox allows to decide if images already available should be reprocessed if a new run of MODIStsp is launched with the same output folder. If set to "No", MODIStsp skips dates for which output files following the MODIStsp naming conventions are already present in the output folder. This allows to incrementally extend MODIS time series without reprocessing already available dates.

3.9 Output Folder for Original HDF Storage

Select the folder where downloaded **original MODIS HDF** files will be stored. The "delete original HDF files" checkbox allows also to decide if the downloaded images should be deleted from the file system at the end of the processing. To avoid accidental file deletion, this is always set to "No" by default, and a warning is issued before execution whenever the selection is changed to "Yes".

4 Running the tool in non-interactive mode and scheduled processing

MODIStsp can be also launched in non-interactive mode by setting the optional "GUI" parameter to FALSE, and the "options_file" parameter to the path of a previously saved Options file. This allows to exploit MODIStsp functionalities within generic "R" processing scripts

```
library(MODIStsp)
# --> Specify the path to a valid options file saved in advance
options_file = "yourpath/youroptions.json" # generated from the GUI
MODIStsp(gui = FALSE, options_File = options_file)
```

Single parameters can be also be directly passed to the MODIStsp() function; for example:

```
library(MODIStsp)
options_file = "yourpath/youroptions.json"
MODIStsp(gui = FALSE, options_file = options_file, download_server = "ftp")
```

will launch MODIStsp with the parameters saved in "yourpath/youroptions.json" options file, with the exception of "download_server" parameter, which is set to "ftp". Details about the parameter names can be found with ?MODIStsp.

Specifying also the "spatial_file_path" parameter overrides the output extent of the selected Options File. This allows to perform the same preprocessing on different extents using a single Options File, by looping on an array of spatial files representing the desired output extents.

For example:

```
# Create a character array containing a list of shapefiles (or other spatial files)
extent_list = list.files("path/containing/some/shapefiles/", "\\.shp$")
```

4.1 Standalone execution and scheduled processing

MODIStsp can be executed as a standalone application using the MODIStsp.bat (for Windows) or MODIStsp.sh (for Linux) batch execution scripts available in the "MODIStsp/ExtData/launcher" subfolder of the package installation. Double-clicking the files or launching them from a shell without parameters launches MODIStsp in interactive mode.

Non-interactive mode is triggered by adding the "-g" argument to the call, and specifying the path to a valid Options File as "-s" argument

• In Linux:

```
path_to_MODIStsp_sh/MODIStsp.sh -g -s "/yourpath/youroptions.json"
(launch path_to_MODIStsp_sh/MODIStsp.sh -h for details).
```

• In Windows:

```
path_to_MODIStsp_bat\MODIStsp.bat -g -s "X:/yourpath/youroptions.json"
(launch path_to_MODIStsp_bat\MODIStsp.bat -h for details).
```

Standalone non-interactive execution easily allows to automatically update the time series of a selected product over a given study area whenever a new MODIS image is available. To do that, the user must simply:

- 1. Open the MODIStsp GUI, define the parameters of the processing specifying a date in the future as the "Ending Date" and save the processing options. Then quit the program
- 2. Schedule non-interactive execution of MODIStsp.bat (or MODIStsp.sh) as windows scheduled task (or linux "cron" job) according to a specified time schedule, specifying the path of a previously saved Options file as additional argument:
- In Linux: edit your crontab by opening a terminal and type:

```
crontab -e
```

Then add an entry for the MODIStsp.bsh For example, if you want to run the tool every day at 23.00, add the following row:

```
0 23 * * * /bin/bash /path_to_MODIStsp_sh/MODIStsp.sh -g -s "/yourpath/youroptions.json"
```

• In Windows: create a Task following these instructions; add the path of the MODIStsp.bat launcher as Action (point 6), and specify -g -s "X:/yourpath/youroptions.json" as argument.

4.2 Adding links to desktop/Start menu for standalone execution

Links to the MODIStsp.bat or MODIStsp.sh standalone launchers can be created automatically launching from R the function MODIStsp_install_launcher()

- In Linux: this creates a desktop entry (accessible from the menu in the sections "Science" and "Geography"), and a symbolic link in a known path (default: /usr/bin/MODIStsp). If the path of the symbolic link is included in the user PATH variable, the standalone execution can be done simply calling MODIStsp -g -s "/yourpath/youroptions.json".
- In Windows:: this creates a link in the Start Menu and optionally a desktop shortcut.

See ?install MODIStsp launcher for details and path customisations.

5 Output formats and naming conventions

Output raster files are saved in specific subfolders of the main output folder. A separate subfolder is created for each processed original MODIS layer, Quality Indicator or Spectral Index. Each subfolder contains one image for each processed date, created according to the following naming conventions:

```
"ProdCode" "Layer" "YYYY" "DOY". "ext" (e.g., MOD13Q1_NDVI_2000_065.dat)
```

ProdCode is the code name of the MODIS product from which the image was derived (e.g., MOD13Q1), **Layer** is a short name describing the dataset (e.g., b1_Red, NDII, UI), **YYYY** and **DOY** corresponds to the year and DOY (Day of the Year) of acquisition of the original MODIS image, and ext is the file extension (.tif for GTiff outputs, or .dat for ENVI outputs).

ENVI and/or GDAL virtual time series files and *RasterStack* RData objects are instead stored in the "Time_Series" subfolder if required.

Naming convention for these files is as follow:

```
"ProdCode"_"Layer"_"StartDOY"_"StartYear_"EndDOY"_"EndYear2_"suffix".ext" (MOD13Q1_NDVI_49_2000_17_2015_RData.dat)
```

where *suffix* indicates the type of virtual file (ENVI, GDAL or RData), while *StartDOY*, *StartYear*, *EndDOY* and *EndYear* indicate the temporal extent of the time serie created.

6 Accessing and analyzing the processed time series from R

Preprocessed MODIS data can be retrieved within R scripts either by accessing the single-date raster files, or by loading the saved RasterStack objects. This second option allows accessing the complete data stack and analyzing it using the functionalities for raster/raster time series analysis, extraction and plotting provided for example by the raster or rasterVis packages. MODIStsp provides however an efficient function MODIStsp_extract for extracting time series data at specific locations. The function takes as input a RasterStack object with temporal information created by MODIStsp, the starting and ending dates for the extraction and a standard _Sp*_ object (or an ESRI shapefile name) specifying the locations (points, lines or polygons) of interest, and provides as output a _ xts object containing time series for those locations. If the input is of class SpatialPoints, the output object contains one column for each point specified, and one row for each date. If it is of class SpatialPolygons (or SpatialLines), it contains one column for each polygon (or each line), with values obtained applying the function specified as the "FUN" argument (e.g., mean, standard deviation, etc.) on pixels belonging to the polygon (or touched by the line), and one row for each date.

As an example the following code:

```
#Set the input paths to raster and shape file
infile = 'in_path/MOD13Q1_MYD13Q1_NDVI_49_2000_353_2015_RData.RData'
shpname = 'path_to_file/rois.shp'
#Set the start/end dates for extraction
startdate = as.Date("2010-01-01")
```

```
enddate = as.Date("2014-12-31")
#Load the RasterStack
inrts = get(load(infile))
# Compute average and St.dev
dataavg = MODIStsp_extract(inrts, shpname, startdate, enddate, FUN = 'mean', na.rm = T)
datasd = MODIStsp_extract (inrts, shpname, startdate, enddate, FUN = 'sd', na.rm = T)
# Plot average time series for the polygons
plot.xts(dataavg)
```

loads a *RasterStack* object containing 8-days 250 m resolution time series for the 2000-2015 period and extracts time series of average and standard deviation values over the different polygons of a user's selected shapefile on the 2010-2014 period.

7 Citation

To cite MODIStsp please use:

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8 Installing R and GDAL

8.1 Installing R

8.1.1 Windows

Download and install the latest version of R which can be found here.

8.1.2 Linux

Please refer to the documentation which can be found here, opening the directory relative to the user Linux distribution. The documentation provides instruction to add CRAN repositories and to install the latest R version. With Ubuntu 15.10 Wily (and newer) this step is not mandatory (altough recommended), since packaged version of R is $\geq 3.2.1$ (although not the latest); in this case, user can insall R by simply typing in a terminal

```
sudo apt-get install r-base
```

8.2 Installing GDAL $\geq 1.11.1$

8.2.1 Windows

The easiest way to install GDAL on Windows is from the OSGeo4W Website

- 1. Open the OSGeo4W Website
- 2. In the Quick Start for OSGeo4W Users section, select the download of 32bit or 64bit of OSGeo4W network installer
- 3. Run the installer

- Easiest Option:
 - Select Express Desktop Install, then proceed with the installation. This will install GDAL and also other useful Spatial Processing softwares like QGIS and GRASS GIS
- Advanded Option:
 - Select Advanced Install, then click on "Next" a few times until you reach the "Select Packages" screen.
 - Click on "Commandline_Utilities_", and on the list look for "_gdal: The GDAL/OGR library..."
 entry
 - Click on "Skip": the word "skip" will be replaced by the current GDAL version number
 - Click on "Next" a few times to install GDAL

8.2.2 Debian and Ubuntu-based systems

- 1. Ensure that your repositories contain a version of gdal-bin ≥ 1.11.1. In particular, official repositories of Ubuntu 15.04 Vivid (or older) and Debian Jessie (or older) provide older versions of GDAL, so it is necessary to add UbuntuGIS-unstable repository before installing. To do this, follow instructions here). With Ubuntu 15.10 Wily (and newer) this step is not mandatory, altough recommended in order to have updated version of GDAL installed.
- 2. To install GDAL a terminal and type

sudo apt-get install gdal-bin

8.2.3 ArchLinux

GDAL is maintained updated to the latest version as binary package within the community repository; although that, the support for HDF4 format is not included. To bypass this problem, ArchLinux users can install gdal-hdf4 package from AUR (see here or here for the package installation from AUR). This package is updated manually after each release of gdal on the community repository, so a temporal shift between a new gdal release and the update of gdal-hdf4 could happen. If you want to manually add the support for HDF4 in case gdal-hdf4 is out-of-date, you can do it following these instructions.

8.2.4 Other Linux systems

Install the packaged binary of GDAL included in your specific distribution; if the version is older than 1.11.1, or if the support for HDF4 format is not included, you can manually install the HDF4 library and compile the source code by adding the parameter --with-hdf4 to the configure instruction).

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