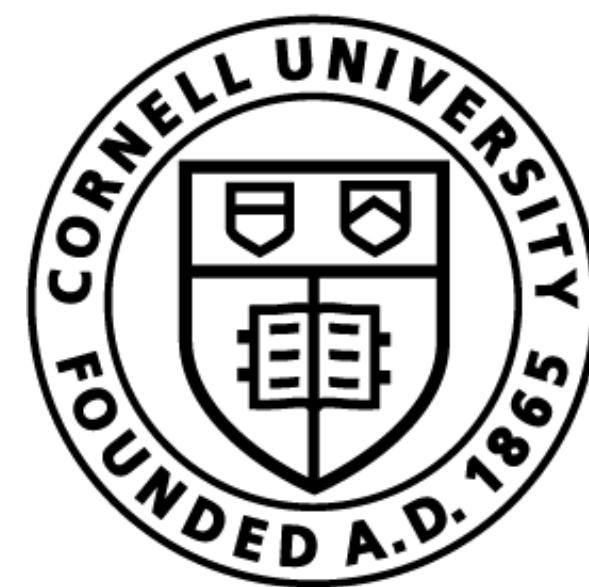


# Lecture 1: Introduction to Introduction to Natural Language Processing



Cornell Bowers CIS  
**Computer Science**

# Course logistics (+more at the end)

- ▶ Course website is up! <https://www.cs.cornell.edu/courses/cs4740/2026sp/>
- ▶ Course policies listed on the webpage.
- ▶ Up-to-date schedule and slides will always be available on this webpage.

## Instructors:



**Tanya Goyal**

# What a time to work in NLP!!

## Start of my PhD v/s End of my PhD



*Write a story about an alien who wants to return to his home planet.*

Radford++ 2018

*I'm not writing your story!  
They are already part of  
this story, but I need to  
take them a little further,  
so I can write one for the  
future book of the  
watchers saga.*

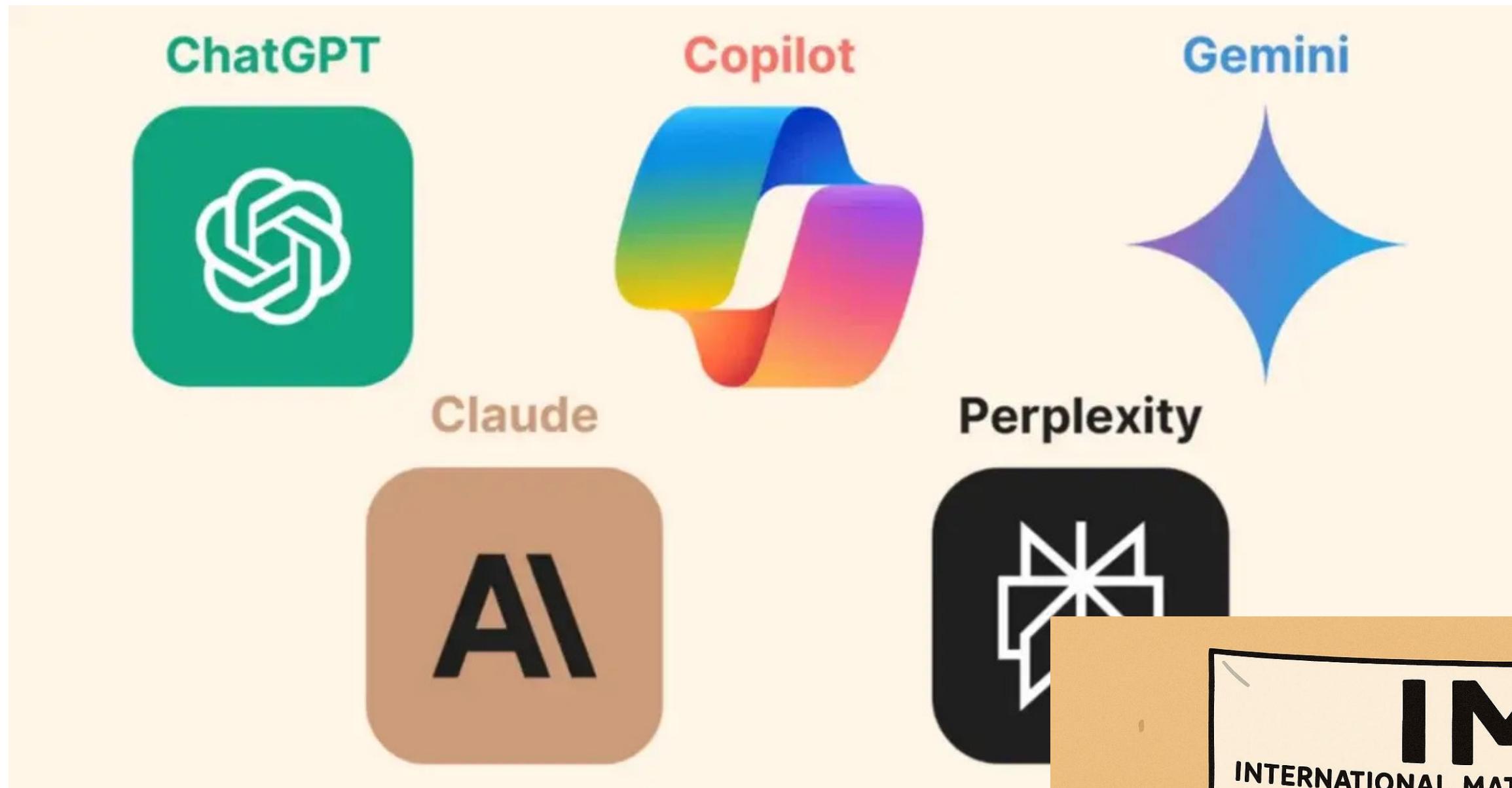


OpenAI's ChatGPT (2022)

*Once upon a time, in a galaxy far, far away, there was an alien named Zor. Zor was a curious and adventurous creature [...] As the years passed, Zor grew more and more homesick [...]*

# What a time to work in NLP!!

## Language Models Today



- ▶ Essentially “chatbots” that we can interact with using a question-answering format.



**41%**  
of all code in 2025



## This Course:

- What even are Language Models?
- What was NLP before language models? What worked and didn't work?
- How do we train Language Models?
- How do we specialize Language Models for any task?
- How do we test if our Language Models are any good?

# Today

- ▶ What is NLP?
- ▶ Why is NLP hard? Classical Perspective.
- ▶ Language Modeling 101
- ▶ Course Outline
- ▶ More Administrative Stuff.

# What is NLP anyway?

**Fundamental Goal:** Build technologies to solve tasks requiring a deep understanding of natural language.

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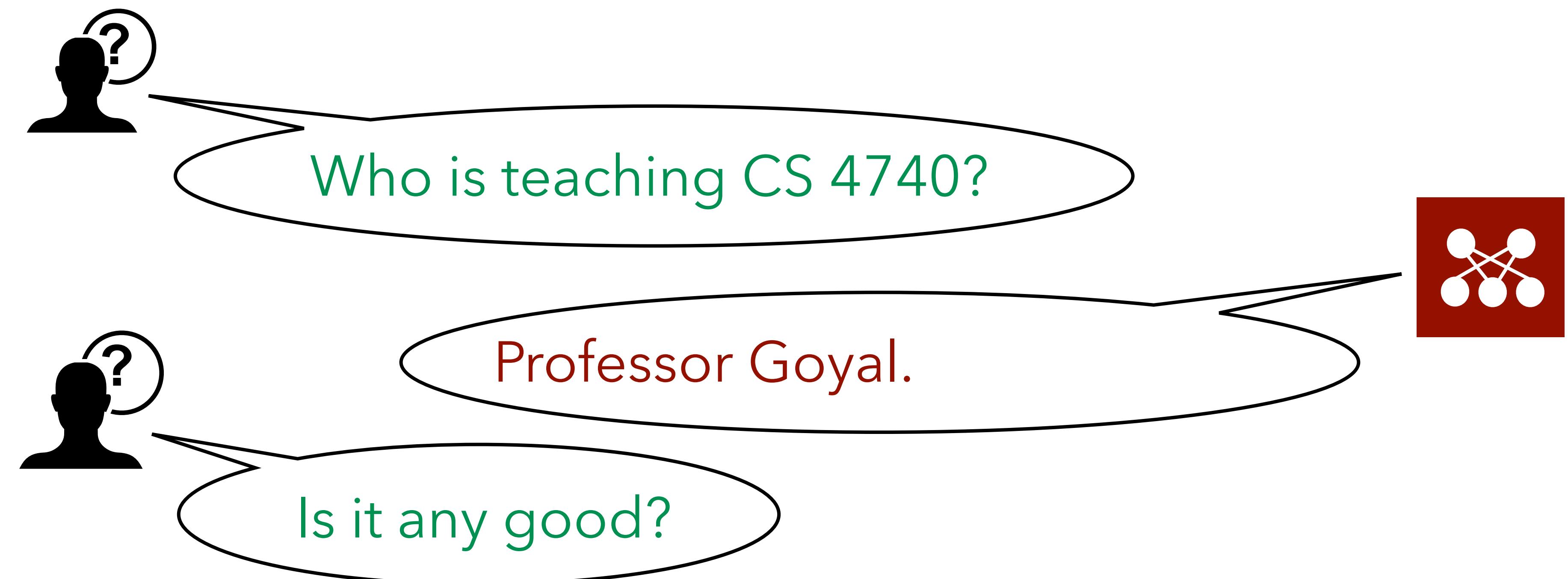
Any task with text inputs and/or outputs is in scope.

# NLP tasks

All tasks where either the **input X** and/or the **output Y** is text is in scope.

**Help us communicate with machines.**

E.g. Dialogue systems, question answering, etc.



# NLP tasks

All tasks where either the **input X** and/or the **output Y** is text is in scope.

**Help us transform text.**

E.g. Machine translation, grammar correction, summarize etc.

जाने-माने वैज्ञानिक सिवान के. को भारतीय अंतरिक्ष  
अनुसंधान संगठन (इसरो) का अध्यक्ष नियुक्त किया गया है।



New Delhi: Noted scientist Sivan K was appointed Chairman of the Indian Space Research Organisation on Wednesday.

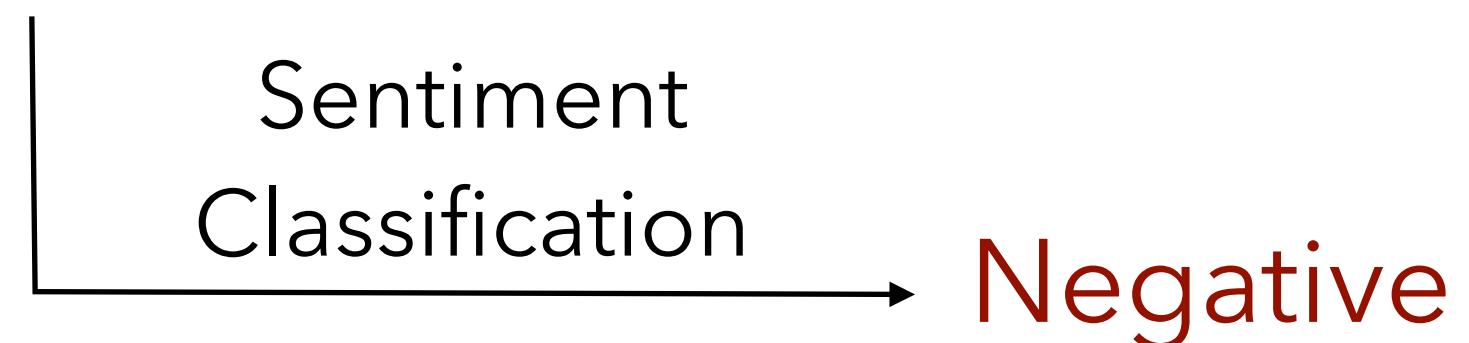
# NLP tasks

All tasks where either the **input X** and/or the **output Y** is text is in scope.

**Help us understand and analyze text corpora or language.**

E.g. syntactic analysis, text classification, topic modeling etc.

"I absolutely loved waiting three hours in line for the worst meal of my life."



# NLP tasks

All tasks where either the **input X** and/or the **output Y** is text is in scope.

**Help us understand and analyze text corpora or language.**

E.g. syntactic analysis, text classification, topic modeling etc.

"What do Vegans do in their Spare Time? Latent Interest Detection in Multi-Community Networks", Hessel et al., 2015

	Top Interests	Latent Interests
Vegans	diet, food, cooking, animal, flora	Anarchism, yoga, VegRecipes, Feminism, bicycling, [...]

# Why is NLP hard? Ambiguity

"John went to the bank."



Two different meanings of the word bank.

# Why is NLP hard? Ambiguity

“Retrieve all the local patient files.”

Retrieve all the local patient files.

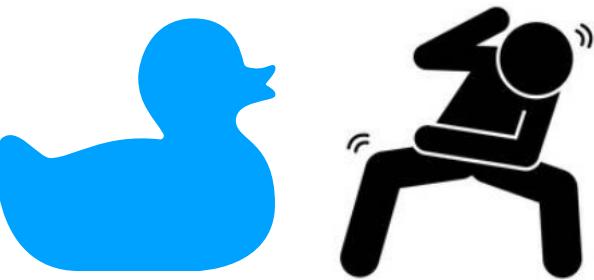


Retrieve all the local patient files.



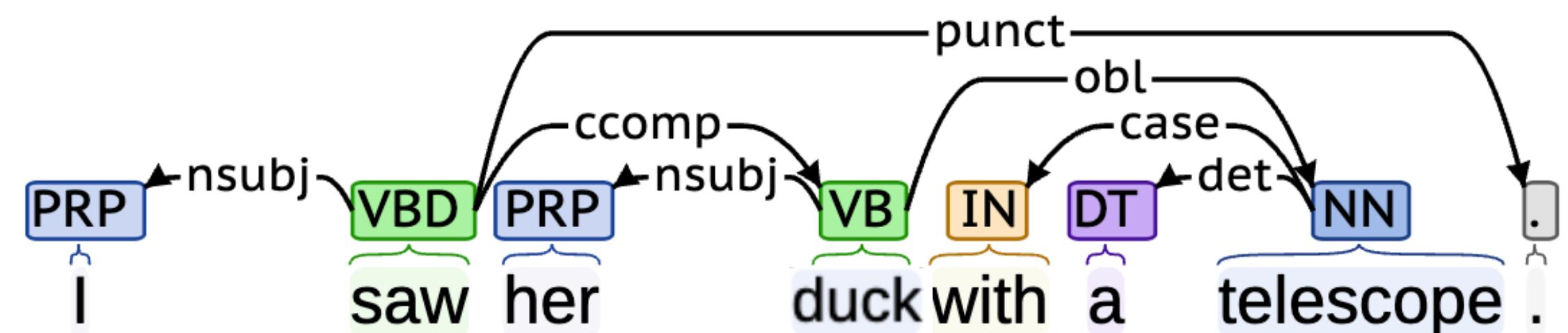
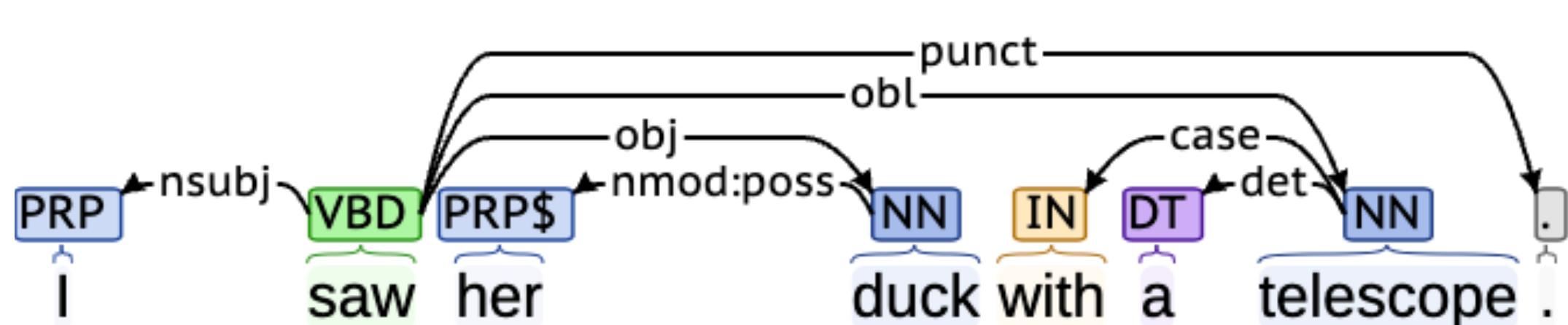
Syntactic ambiguity: what modifies what?

# Why is NLP hard? Ambiguity



"I saw her duck with a telescope."

How many possible interpretations of this can you think of?



- ▶ I used a telescope to see her duck
- ▶ I used a telescope to see her duck

- ▶ I saw her who had a telescope.
- ▶ I saw her with a telescope in hand.

# Why is NLP hard? Ambiguity

- ▶ Cases that are easy for humans can be ambiguous for models.

Susan knows all about Ann's personal problems because she is nosy.  
*Susan*

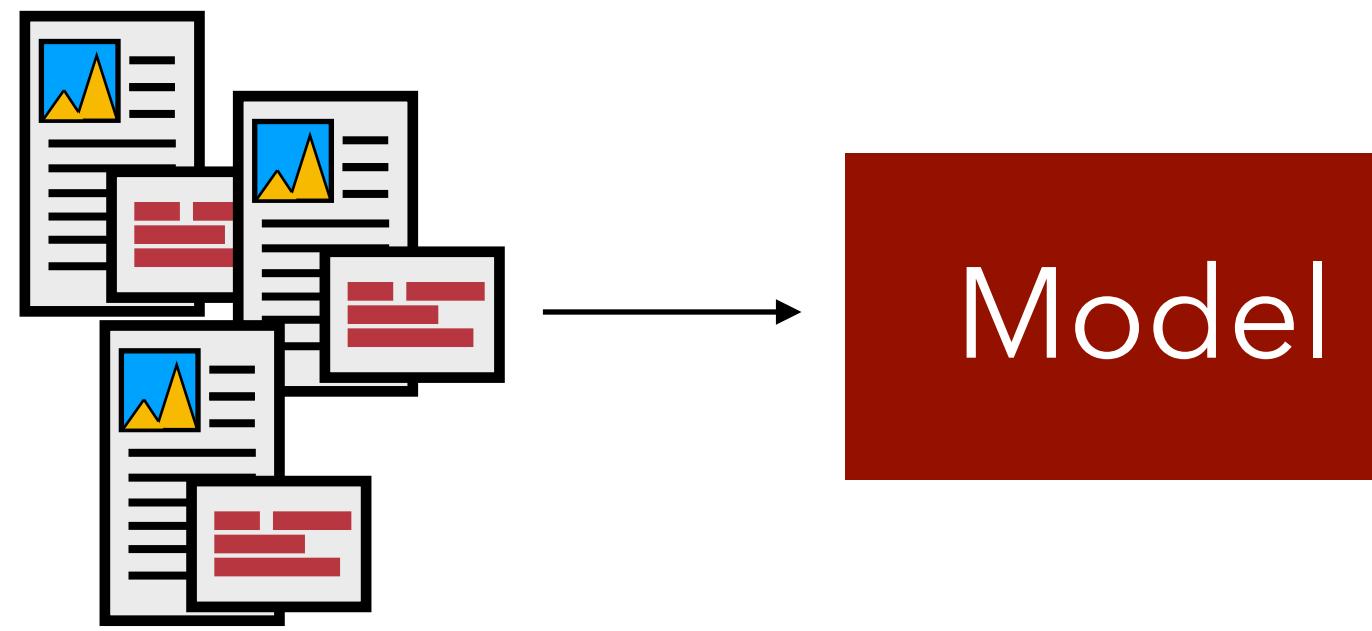
Susan knows all about Ann's personal problems because she is indiscreet.  
*Ann*

Coreference resolution: Who is she?

- ▶ Easy for humans to resolve given the context, but difficult for statistical models. Why?

# Why is NLP hard? Data

- ▶ NLP models learn from data.



- ▶ Impossible to include data points corresponding every possible linguistic phenomenon and edge case in this data.
- ▶ Models can struggle to learn rare phenomenon.
- ▶ This is true even for large language models today that are trained on terabytes of data.

# NLP in Today's World

- ▶ Shift from **classical NLP**
  - ▶ We trained one model for each task (separate model for summarization, separate model for parsing, for QA).
  - ▶ We trained on task-specific data
- ▶ to **language modeling**
  - ▶ We **one-size-fits-all** models trained to “model” language.
  - ▶ The same models are expected to be good at all tasks – coding, math, writing, translation, etc.

[what are some ways in which you have used ChatGPT?]

Is NLP now solved with ChatGPT et al.?

# NLP is not “solved”

- ▶ Errors you have noticed with ChatGPT/Claude?
- ▶ Is it always factually correct?
- ▶

Generate a biography for Claire Cardie.



OpenAI's ChatGPT

*Claire Cardie is a computer scientist and professor [...] Cardie earned her Ph.D. in computer science from the University of Pennsylvania, where she developed a strong foundation [...]*

# NLP is not “solved”

- ▶ Errors you have noticed with ChatGPT/Claude?
  - ▶ Is it always factually correct?
  - ▶ Does it always give up-to-date information?

*Who is the current president of United States?*



OpenAI's ChatGPT

*The current President of the United States is Joe Biden.  
He has been in office since January 20, 2021.*



# NLP is not “solved”



- ▶ Errors you have noticed with ChatGPT/Claude?
  - ▶ Is it always factually correct?
  - ▶ Does it always give up-to-date information?
  - ▶ What about our favorite parsing examples?

*Generate the dependency parse of “Susan knows all about Ann's personal problems because she is indiscreet.”*



OpenAI's ChatGPT

[...] "she" is the subject of the subordinate clause, referring back to Susan [...]

# NLP is not “solved”

- ▶ Errors you have noticed with ChatGPT/  
Claude?
  - ▶ Is it always factually correct?
  - ▶ Does it always give up-to-date  
information?
  - ▶ What about our favorite parsing  
examples?
  - ▶ +reasoning, coding, creative writing, etc.

# Today

- ▶ What is NLP?
- ▶ Why is NLP hard? Classical Perspective.
- ▶ **Language Modeling 101**
- ▶ Course Outline
- ▶ More Administrative Stuff.

# What is a Language Model?

- ▶ A model that computes a probability distribution over **any** sequence of words:

$$P(w_1 w_2 w_3 \dots w_n)$$



e.g.

$$P(\text{Mayenne ate my shoes today.}) = 10^{-12}$$

$$P(\text{Mayenne ate my}) = 10^{-9}$$

$$P(\text{I ate dinner in Collegetown.}) = 2 \times 10^{-10}$$

$$P(\text{Collegetown Bagels slaps.}) = 10^{-14}$$

*legacy example  
from Cornell  
NLP course.*

**Q: Why would we ever want to do this?**

# Language Models' Use

- ▶ Grammar Error Correction

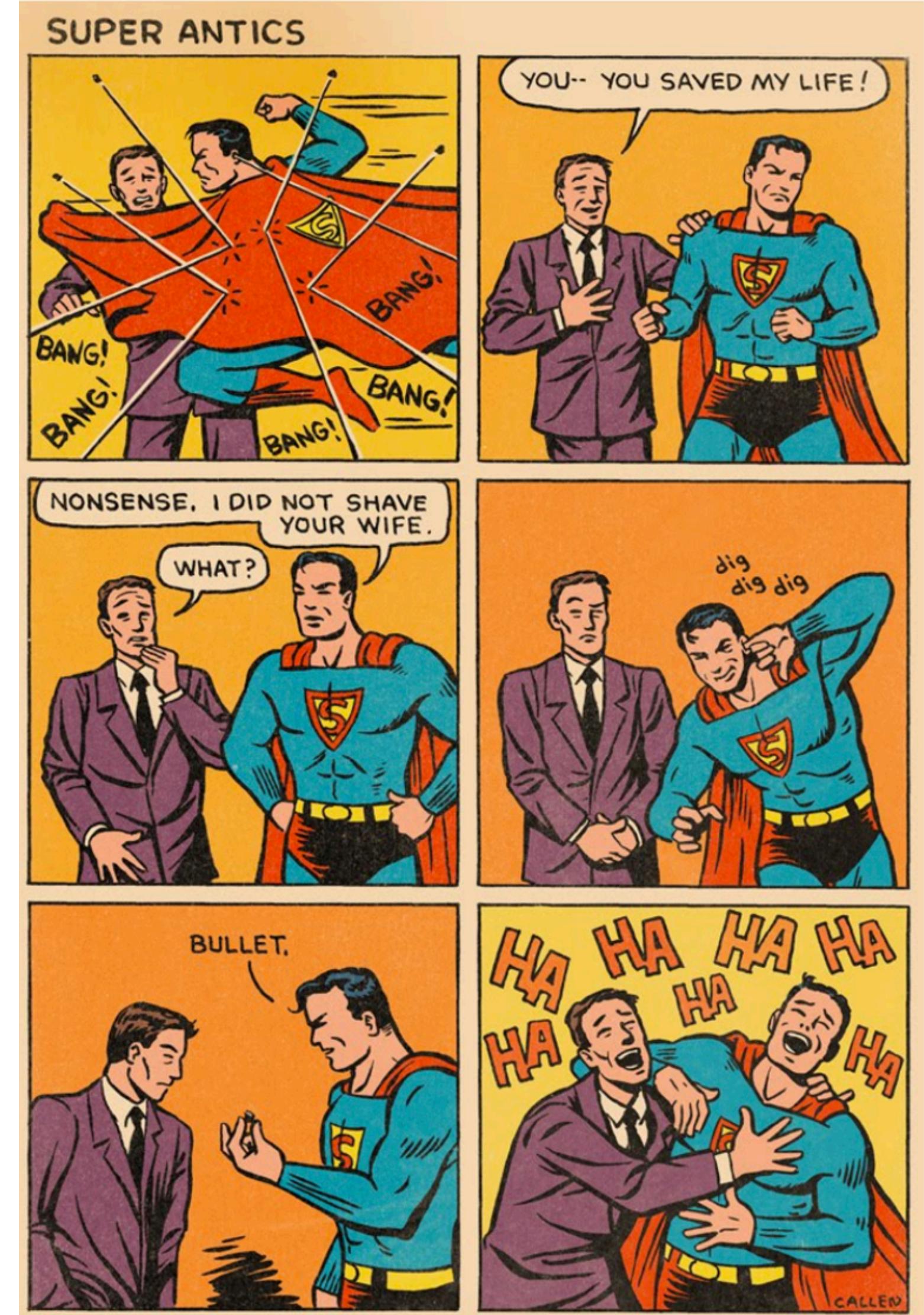
$$P(\text{ You're nice. }) \gg P(\text{ Your nice. })$$

- ▶ Automatic Speech Recognition (ASR)

- ▶ **Input:** Audio, **Output:** Text

$$P(\text{ I saw a van }) \ggg P(\text{ Eyes awe of an })$$

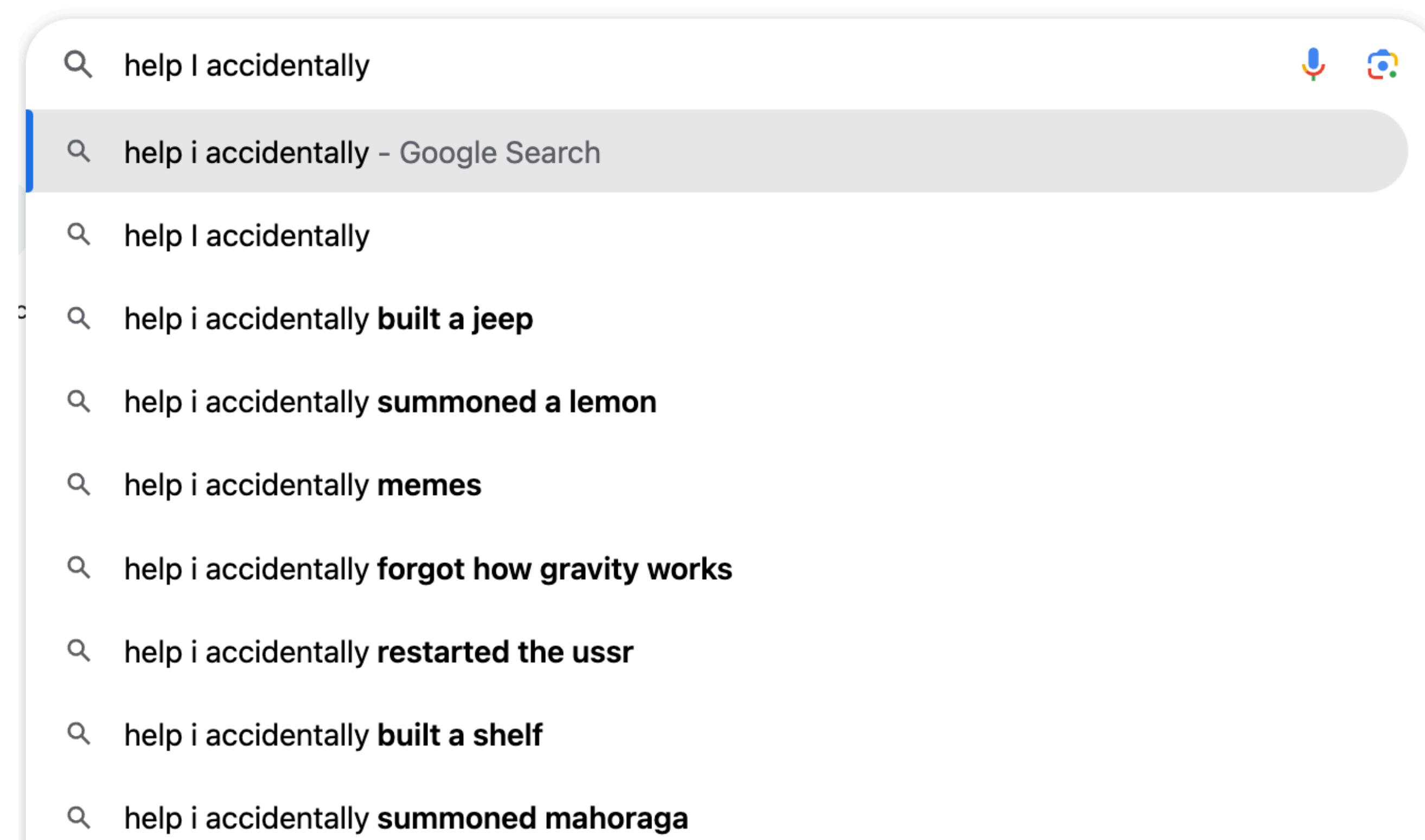
What else?



Credit: Yoav Artzi's LM-Class

# Language Models' Use

Where else are language models used?



# Language Models can be powerful



If any language task can be described as a text-to-text problem...

## Sentiment Analysis:

*What is the sentiment of I loved the movie? Very positive.*

# Language Models can be powerful



If any language task can be described as a text-to-text problem...

## Machine Translation:

*What is the translation of "J'aime Lucy" in English? I love Lucy.*

...then conceptually, we can solve it by just generating the answer as a continuation of a "prompt"

**It would need to be a very powerful LM though!**

# Language Modeling Problem

- ▶ Let  $\mathcal{V}$  be a finite vocabulary of words.

$$\mathcal{V} = \{ \text{the, a, man, telescope, Madrid, two, ...} \}$$

- ▶ We can construct (infinite) word sequences  $\mathbf{w}$

$$\mathcal{V}^\dagger = \{ \text{the, a, the a, the fan, the man, the man with a telescope} \}$$

- ▶ **Given:** a dataset of  $\mathbf{M}$  sentences  $\mathcal{D} = \{\mathbf{w}\}_{i=1}^M$
- ▶ **Goal/ Output:** estimate a probability distribution  $P(\mathbf{w}) \geq 0$  over **all** word sequences  $\mathbf{w} \in \mathcal{V}^+$ .

# Terminology: the ambiguous term “word”

- ▶ We will often need to distinguish (the counting of)
  - ▶ **word types**
    - ▶ Unique words. This is a finite set, which we will pre-determine as our vocabulary or lexicon  $\mathcal{V}$ .
  - ▶ **word tokens**
    - ▶ Instantiations of items in the vocab in the “running” text or sequences.

## Example: All for one and one for all .

- ▶ **Word tokens:** 8 (if we include punctuations in our lexicon)
- ▶ **Word types:** 6 (if we assume capitalization is a distinguisher)  
or 5 (if capitalization differences are ignored)

# Language Modeling Problem

- ▶ **Given:** a dataset of **M** sentences  $\mathcal{D} = \{\mathbf{w}\}_{i=1}^M$
- ▶ **Goal/ Output:** estimate a probability distribution  $P(\mathbf{w})$  over **all** word sequences  $\mathbf{w} \in \mathcal{V}^+$ .
  - ▶ Probabilities should broadly indicate plausibility of sentences:
    - ▶  $P(\text{I saw a van}) > P(\text{eyes awe of an})$
    - ▶ Not *only* grammaticality:  $P(\text{artichokes intimidate zippers}) \sim 0$
    - ▶ Plausibility depends on the context.

# Language Modeling Problem

- ▶ **Given:** a dataset of **M** sentences  $\mathcal{D} = \{\mathbf{w}\}_{i=1}^M$
- ▶ **Goal/ Output:** estimate a probability distribution  $P(\mathbf{w})$  over **all** word sequences  $\mathbf{w} \in \mathcal{V}^+$ .

**So, how do we estimate  $P(\mathbf{w})$ ?**

**Näive option:** compute the empirical distribution over the training data:

$$P(\mathbf{w}) = \frac{c(\mathbf{w})}{\text{Total number of sequences}}$$

**Problem?**

There can be valid  $\mathbf{w}$  that are not seen in this training dataset. Naive option will assign 0 probabilities to these. We will never have enough data that all valid sequences are seen.

*“Imagine a small blue chair sitting quietly next to a window on a rainy afternoon”*

# Language Modeling Problem

First, let's decompose  $P(\mathbf{w})$

$$P(\mathbf{w}_1^n) = P(w_1 w_2 w_3 \dots w_n)$$

applying chain rule

$$= P(w_1) P(w_2 | w_1) P(w_3 | w_2 w_1) \dots P(w_n | w_1 \dots w_{n-1})$$

assumption: probability of a word depends  
on previous words only

$$= \prod_{i=1}^n P(w_i | w_1 \dots w_{i-1})$$

$$P(\text{I saw a man}) = P(\text{I}) P(\text{saw} | \text{I}) P(\text{a} | \text{I saw}) P(\text{man} | \text{I saw a})$$

# Language Modeling Problem

$$P(\mathbf{w}_1^n) = P(w_1 w_2 w_3 \dots w_n) = \prod_{i=1}^n P(w_i | w_1 \dots w_{i-1})$$
$$= P(w_1) P(w_2 | w_1) P(w_3 | w_2 w_1) \dots P(w_n | w_1 \dots w_{n-1})$$

Can we now use count based estimates?

*“Imagine a small blue chair sitting quietly next to a window on a rainy afternoon”*

No, if a test sentence  $\mathbf{w}_1^n$  is unseen in the training data, this will again be zero!

# Language Modeling Problem

$$P(\mathbf{w}_1^n) = P(w_1 w_2 w_3 \dots w_n) = \prod_{i=1}^n P(w_i | w_1 \dots w_{i-1})$$

**Key idea: Markov Assumption:** Probability of each word in a sequence only depends on a fixed number of previous words

**Unigram Model**  $\rightarrow P(w_i | w_1 \dots w_{i-1}) := P(w_i)$

**Bigram Model**  $\rightarrow P(w_i | w_1 \dots w_{i-1}) := P(w_i | w_{i-1})$

**Trigram Model**  $\rightarrow P(w_i | w_1 \dots w_{i-1}) := P(w_i | w_{i-2} w_{i-1})$

**N-gram language models:** Probability of each word depends on N-1 previous words.

$$:= \prod_{i=1}^n P(w_i | w_{i-k+1} \dots w_{i-1})$$

# N-Gram Language Model Example

**P(lost | Not all those who wander are)**

According to our various models, that probability is equal to ...

**Unigram Model: P(lost)**

**Bigram Model: P(lost | are)**

**Trigram Model: P(lost | wander are)**

# Sequence Probabilities w/ Bi-gram model

- ▶ **Goal:** Compute  $P(w_1 w_2 \dots w_n)$ , **with implicit  $w_o = < s >$**

$$\begin{aligned} P(\mathbf{w}_1^n) &= P(w_1) P(w_2 | w_1) P(w_3 | w_2 w_1) \dots P(w_n | w_1 \dots w_{n-1}) \\ &= P(w_1) P(w_2 | w_1) P(w_3 | w_2) \dots P(w_n | w_{n-1}) \\ &= P(w_1 | < s >) P(w_2 | w_1) P(w_3 | w_2) \dots P(w_n | w_{n-1}) \\ &= \prod_i^n P(w_i | w_{i-1}) \end{aligned}$$

# One way to “learn” an n-gram model

- ▶ “Raw” count approach

- ▶ Estimate Bi-gram probability by  $P(w_i | w_{i-1}) = \frac{\text{Count}(w_{i-1} w_i)}{\text{Count}(w_{i-1})}$
- ▶ Trigram??
- ▶ Unigram??

General case for an N-gram language model?

$$P(w_i | \mathbf{w}_{i-N+1}^{i-1}) = \frac{\text{Count}(\mathbf{w}_{i-N+1}^i)}{\text{Count}(\mathbf{w}_{i-N+1}^{i-1})}$$

# One way to “learn” an n-gram model

- ▶ “Raw” count approach

- ▶ Estimate Bi-gram probability by  $P(w_i | w_{i-1}) = \frac{\text{Count}(w_{i-1} w_i)}{\text{Count}(w_{i-1})}$

- ▶ Trigram??

General case for an N-gram language model?

$$P(w_i | \mathbf{w}_{i-N+1}^{i-1}) = \frac{\text{Count}(\mathbf{w}_{i-N+1}^i)}{\text{Count}(\mathbf{w}_{i-N+1}^{i-1})}$$

These are called the models' parameters.

# Let's see an example

Training

Data:

<s> I get what I eat and  
I eat what I get </s>

Goal: Learn the parameters of a bigram language model.

<s> I	1	<s>	1
I get	2	I	4
get what	1	get	2
what I	2	what	2
I eat	2	eat	2
eat and	1	and	1
and I	1	</s>	1
eat what	1		
get </s>	1		

# Applying the bigram model

Training  
Data:

<s> I get what I eat and  
I eat what I get </s>

Test Example:  $P(<\text{s}> \text{ I get what })$

<s> I	1	<s>	1
I get	2	I	4
get what	1	get	2
what I	2	what	2
I eat	2	eat	2
eat and	1	and	1
and I	1	</s>	1
eat what	1		
get </s>	1		

# Applying the bigram model

Training  
Data:

<s> I get what I eat and  
I eat what I get </s>

<s> I	1
I get	2
get what	1
what I	2
I eat	2
eat and	1
and I	1
eat what	1
get </s>	1

<s>	1
I	4
get	2
what	2
eat	2
and	1
</s>	1

Another note about a different sequence:

$P(\text{I get what I get .})$  will NOT be 0, even though it isn't in the data!

The model does generalize to(some) unseen sequences.

But **unseen bigrams** will cause a sequence to be assigned probability 0.

E.g.  $P(\text{<s> eat and see }) = 0$

**Sparsity Problem!**

# Generating Text Using a Language Model!

- ▶ In addition to assigning a probability distribution to some sentence, we can also generate/decode a sentence!
- ▶ How do we generate using a sentence using a Bi-gram language model?

# N-gram Models on Shakespeare

- ▶ **Corpus statistics**
  - ▶ 884,647 tokens, vocabulary size of = 29,066
  - ▶ Shakespeare produced 300,000 bigram types out of = 844M possible bigrams
    - ▶ So 99.96% of the possible bigrams were never seen (have zero entries in the table)

# N-gram Models on Shakespeare

## ▶ 1-gram

- ▶ To him swallowed confess hear both. Which. Of save on trail for are ay device and rote life have gram
- ▶ Hill he late speaks; or! a more to leg less first you enter

## ▶ 2-gram

- ▶ Why dost stand forth thy canopy, forsooth; he is this palpable hit the King Henry. Live king. Follow.
- ▶ What means, sir. I confess she? then all sorts, he is trim, captain.

## ▶ 3-gram

- ▶ Fly, and will rid me these news of price. Therefore the sadness of parting, as they say, 'tis done.
- ▶ This shall forbid it should be branded, if renown made it empty.

## ▶ 4-gram

- ▶ King Henry. What! I will go seek the traitor Gloucester. Exeunt some of the watch. A great banquet serv'd in;
- ▶ It cannot be but so.

# N-gram Language Models

- ▶ How should we choose N?

Because it was a **sunny** day, I should take a \_\_\_\_\_.

Suppose N=2:

$$P(\text{raincoat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{raincoat} \mid a)$$

$$P(\text{hat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{hat} \mid a)$$

Suppose N=3:

$$P(\text{raincoat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{raincoat} \mid \text{take a})$$

$$P(\text{hat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{hat} \mid \text{take a})$$

# N-gram Language Models

- ▶ How should we choose N?

Because it was a **sunny** day, I should take a **rainy** \_\_\_\_\_.

Suppose N=2:

$$P(\text{raincoat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{raincoat} \mid a)$$

$$P(\text{hat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{hat} \mid a)$$

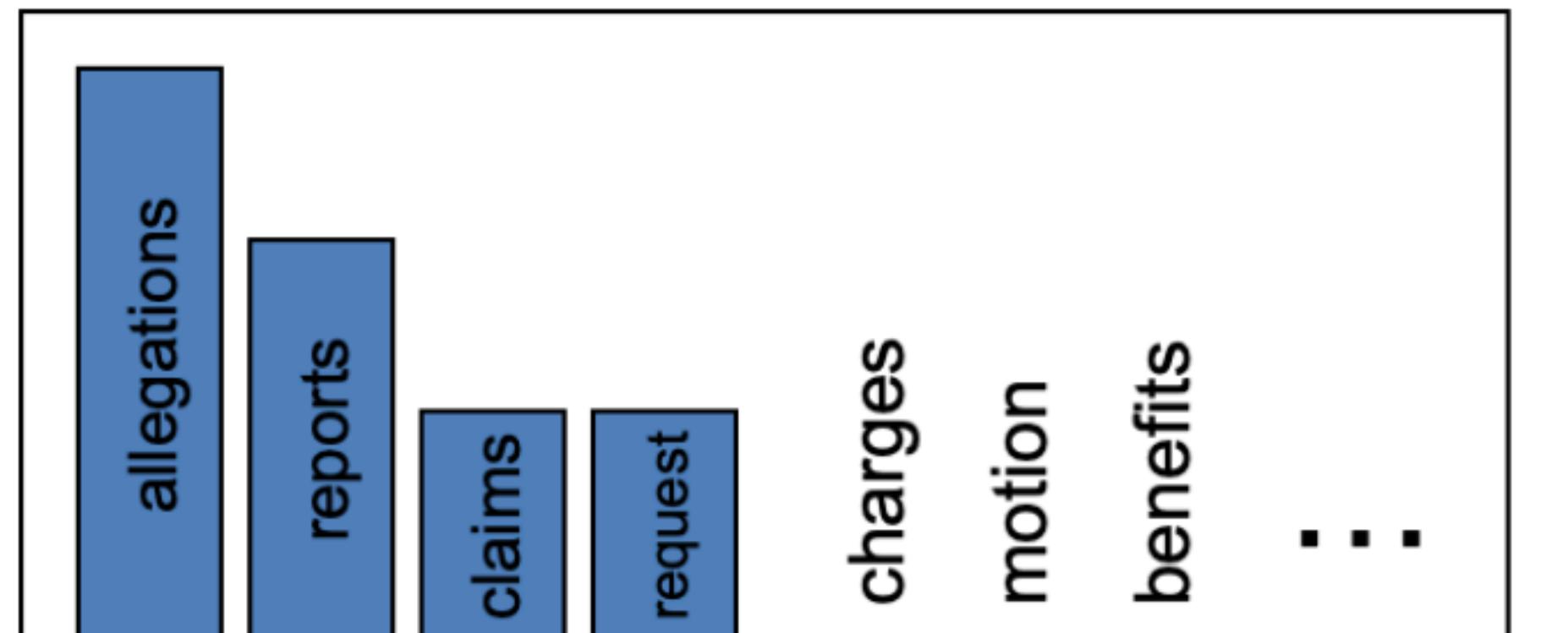
Suppose N=3:

$$P(\text{raincoat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{raincoat} \mid \text{take a})$$

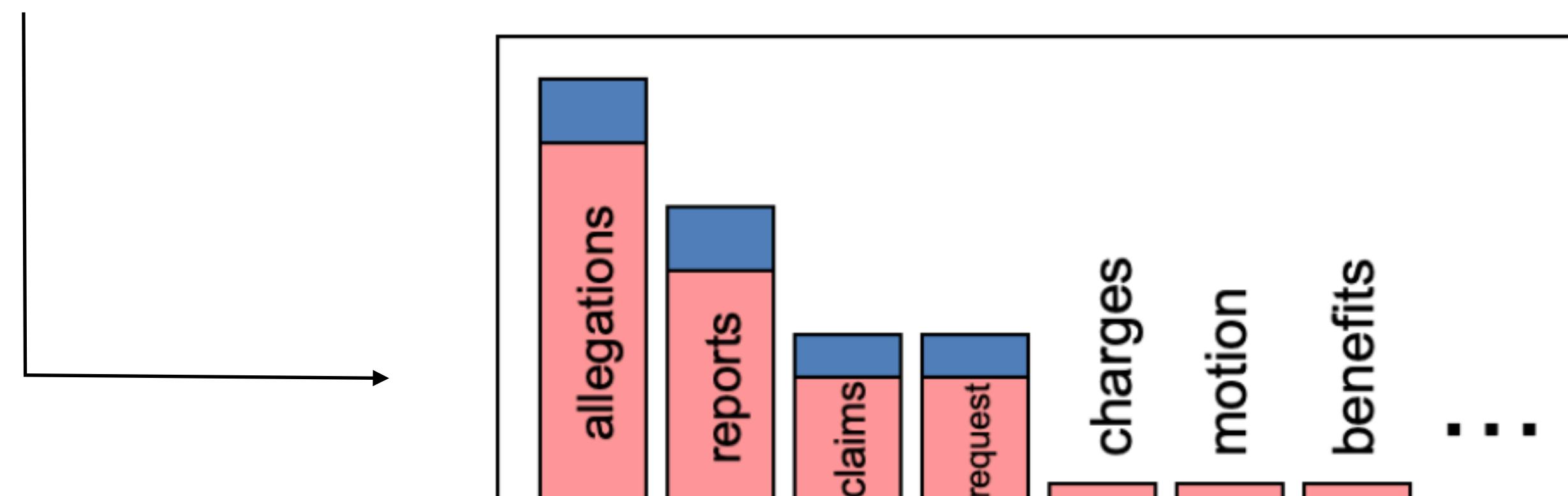
$$P(\text{hat} \mid \text{Because it was a sunny day, I should take a}) = P(\text{hat} \mid \text{take a})$$

# How do we fix this sparsity issue in LMs?

- ▶ A single n-gram with zero probability → probability of the entire sequence is 0.
- ▶ Goal: Estimating statistics from sparse data.
- ▶ Idea: **Steal** some probability mass from seen data.



$P(w | \text{denied the})$   
3 allegations  
2 reports  
1 claims  
1 request  
7 total



$P(w | \text{denied the})$   
2.5 allegations  
1.5 reports  
0.5 claims  
0.5 request  
**2 other**  
7 total

# Smoothing

- ▶ **Add-one smoothing**
- ▶ Pretend we saw each word one more time than we did (even unseen ones). For 2-gram:

$$P_{MLE} = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})} \rightarrow P_{MLEAdd-1} = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + |\mathcal{V}|}$$

- ▶ Called Laplace Smoothing.

- ▶ Can be generalized to Add-K

$$P_{MLEAdd-K} = \frac{c(w_{i-1}, w_i) + K}{c(w_{i-1}) + K \cdot |\mathcal{V}|}$$

# Berkeley Restaurant Corpus

Raw counts: 9222 sentences

- Bigrams

	i	want	to	eat	chinese	food	lunch	spend
i	5	827	0	9	0	0	0	2
want	2	0	608	1	6	6	5	1
to	2	0	4	686	2	0	6	211
eat	0	0	2	0	16	2	42	0
chinese	1	0	0	0	0	82	1	0
food	15	0	15	0	1	4	0	0
lunch	2	0	0	0	0	1	0	0
spend	1	0	1	0	0	0	0	0

- Unigram

i	want	to	eat	chinese	food	lunch	spend
2533	927	2417	746	158	1093	341	278

# Berkeley Restaurant Corpus

## Bi-gram probabilities

$$P_{MLE}(w_i | w_{i-1}) = \frac{c(w_i w_{i-1})}{c(w_{i-1})}$$

	i	want	to	eat	chinese	food	lunch	spend
i	0.002	0.33	0	0.0036	0	0	0	0.00079
want	0.0022	0	0.66	0.0011	0.0065	0.0065	0.0054	0.0011
to	0.00083	0	0.0017	0.28	0.00083	0	0.0025	0.087
eat	0	0	0.0027	0	0.021	0.0027	0.056	0
chinese	0.0063	0	0	0	0	0.52	0.0063	0
food	0.014	0	0.014	0	0.00092	0.0037	0	0
lunch	0.0059	0	0	0	0	0.0029	0	0
spend	0.0036	0	0.0036	0	0	0	0	0

# Berkeley Restaurant Corpus

## Smoothed counts (Add-1)

	i	want	to	eat	chinese	food	lunch	spend
i	6	828	1	10	1	1	1	3
want	3	1	609	2	7	7	6	2
to	3	1	5	687	3	1	7	212
eat	1	1	3	1	17	3	43	1
chinese	2	1	1	1	1	83	2	1
food	16	1	16	1	2	5	1	1
lunch	3	1	1	1	1	2	1	1
spend	2	1	2	1	1	1	1	1

# Berkeley Restaurant Corpus

**Smoothed bigram probs (Add-1)**

$$P_{MLEAdd-1} = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + |\mathcal{V}|}$$

	i	want	to	eat	chinese	food	lunch	spend
i	0.0015	0.21	<b>0.00025</b>	0.0025	<b>0.00025</b>	<b>0.00025</b>	<b>0.00025</b>	0.00075
want	0.0013	<b>0.00042</b>	0.26	0.00084	0.0029	0.0029	0.0025	0.00084
to	0.00078	<b>0.00026</b>	0.0013	0.18	0.00078	<b>0.00026</b>	0.0018	0.055
eat	<b>0.00046</b>	0.00046	0.0014	<b>0.00046</b>	0.0078	0.0014	0.02	<b>0.00046</b>
chinese	0.0012	<b>0.00062</b>	<b>0.00062</b>	<b>0.00062</b>	<b>0.00062</b>	0.052	0.0012	0.00062
food	0.0063	<b>0.00039</b>	0.0063	<b>0.00039</b>	0.00079	0.002	<b>0.00039</b>	0.00039
lunch	0.0017	<b>0.00056</b>	<b>0.00056</b>	<b>0.00056</b>	<b>0.00056</b>	0.0011	<b>0.00056</b>	0.00056
spend	0.0012	0.00058	0.0012	0.00058	0.00058	<b>0.00058</b>	0.00058	0.00058

# Other smoothing options

- ▶ **Back-off smoothing:** use lower-order n-gram
  - ▶ For tri-gram, use tri-gram if you have good evidence, otherwise use bi-gram, otherwise unigram
- ▶ **Linear interpolation:** mix lower-order n-grams
  - ▶ For tri-gram, mix with with bi-gram and unigram probabilities

$$P_{\lambda}(x_i | x_{i-1}, x_{i-2}) = \lambda_3 p_{\text{MLE}}(x_i | x_{i-1}, x_{i-2}) + \lambda_2 p_{\text{MLE}}(x_i | x_{i-1}) + \lambda_1 p_{\text{MLE}}(x_i)$$

$$\sum \lambda_i = 1$$

# Outline of this course

# Basic Goals

- ▶ We want to learn about the building blocks for large language models (LLMs) like GPTs, Claude, LLaMA, etc.
- ▶ We will build towards this through the course.
- ▶ By the end of the course, you will have:
  - ▶ Gained insight into how LLMs are basically trained and why they work better than previous approaches.
  - ▶ Able to use standard libraries NLP researchers use.
  - ▶ Be able to read and understand (most) papers published in NLP conferences.

# “Paradigm” Shifts

- ▶ **Modeling:** Rule-based systems → Statistical Methods → Neural Methods (FFNNs → RNNs → Transformers)
- ▶ **Task-specific** models → **Generic** models
- ▶ **Data:** labeled data → more general use of unlabeled data

# Course Outline

- ▶ **Classical NLP** (2 weeks) → N-gram language modeling, classification, word embeddings.
- ▶ **Neural NLP Foundations** (4 weeks) → Feedforward Neural Networks, RNNs.
- ▶ **Modern NLP Foundations** (5 weeks) → Transformer models, Pre-training, Post-training.
- ▶ **LLM++** (3 weeks) → LLM+Factuality, LLM+Retrieval, LLM+Efficiency

Understand basic building blocks of chatbots like GPTs, LLaMAs.

More cutting edge augmentations to vanilla LLMs.

Administrivia (the boring stuff, as promised)

# Prerequisites

- ▶ Strong programming skills. Three semesters of programming classes are strongly recommended (e.g., completion of CS3110).
- ▶ Python experience.
- ▶ Comfort with elementary probability.
- ▶ Clear understanding of matrix and vector operations.
- ▶ Familiarity with differentiation.

# Resources

- ▶ Up-to-date syllabus, slides, and other course material will always be available on the course website at: <https://www.cs.cornell.edu/courses/cs4740/2026sp/>
- ▶ You do not need to buy any textbook for this course. We will follow *Jurafsky and Martin, Speech and Language Processing, 3rd edition (draft)*. Free online version is available online.
- ▶ You will use modern LLM APIs (e.g. for ChatGPT, LLaMA) for latter assignments. This *might* incur a cost of \$5-10 if you have already exhausted your free quota.

# Coursework and grading

- ▶ Homework Assignments (60%)
  - ▶ Review assignment / HW0 → **0%**
  - ▶ 4 Full homework assignments → **60%** (Can be done in pairs (strongly recommended))
    - ▶ **5 slip days** to use throughout the course for *these* 4 HW assignments. Max of 2 slip days/hw.
- ▶ Exams (40%)
  - ▶ Midterm (**20%**) and Final (**20%**)
  - ▶ To receive a C- or above in the course, students must receive at least a C- on both exams.
- ▶ We will **not** curve grades, use "strict 90/80/70" grade cutoffs. You are not competing with each other.

# Coursework and grading

- ▶ Homework Assignments (60%)
  - ▶ Review assignment / HW0 → 0%
  - ▶ 4 Full homework assignments → 60
    - ▶ 5 slip days to use throughout the days/hw.
- ▶ Exams (40%)
  - ▶ Midterm (**20%**) and Final (**20%**)
  - ▶ To receive a C- or above in the course, you must pass both exams.
- ▶ We will not curve grades, use "strict justice" with each other.

This will be released **today** on the course website.

Designed to test pre-requisite knowledge.  
Should not take more than 2 hours.

Talk to course staff if you find yourself struggling with a majority of the questions.

# Teaching Staff

- ▶ **Instructors:** Claire Cardie, Tanya Goyal
- ▶ **TAs:** Wayne Chen, Son Tran, Chengyu Huang, Aileen Huang, Anand Bannerji, Andrew Hu, Jeffrey Huang, Frank Yang, Jay Talwar, Brianna Liu, Deniz Boloni-Turgut, Yunoo Kim, Mahitha Penmetsa

# Communication with Staff

- ▶ Homework / grading / lecture questions → Ed
- ▶ Private inquiry (e.g. health issue requiring accommodations) → Email **both** instructors.
- ▶ Office hours listed on the course website. (This statement will be true tonight)
  - ▶ Instructor office hours start this week.
  - ▶ TA office hours start next week. Times will be listed on the course webpage. **There will be TA office hours every weekday.**

# Waitlist

- ▶ Refer to the CS enrollment and waitlist information page here: <https://www.cs.cornell.edu/courseinfo/enrollment>
- ▶ You do not need to contact the professors or course staff. We are not handling the waitlist.
- ▶ If you face issues with registering or joining the waitlist, please file a ticket using the link in the above webpage.

# Final words...

- ▶ This is the **most** exciting time to be working in NLP.
- ▶ Look out for HW0 to be released **today** on gradescope.
- ▶ Slide Acks: Earlier versions of this course offerings including materials from Marten van Schijndel, Lillian Lee, Claire Cardie, Yoav Artzi's LM-class.