



# Cornell Bowers CIS

## College of Computing and Information Science

# Deep Learning

## Week 02: Word Embeddings

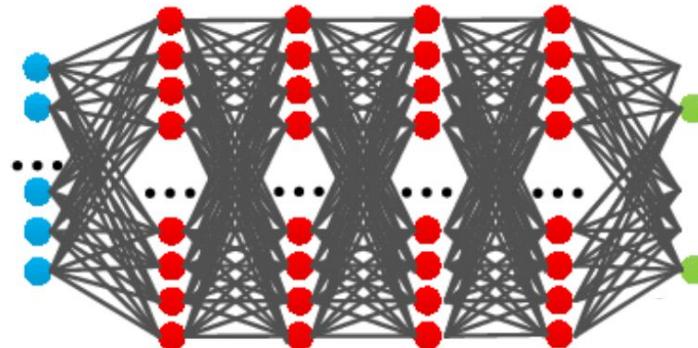
Varsha Kishore, Justin Lovelace, Vivian Chen, Anissa Dallmann, Wentao Guo,

# Recap

- MLPs
- Loss functions
- Optimizers
- Regularization
- Architecture types (CNNs for images)
  - Today: Words

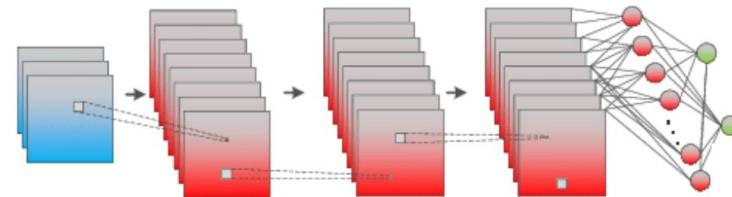
## MLPs:

- Fully connected layers
- Require more parameters and computational resources
- Flexible and can handle various input types



## CNNs:

- Convolutional layers with filters
- Designed specifically for structured input like images
- Inherently translation invariant due to shared weights
- Requires fewer parameters



How to handle text data?

# Cornell Bowers CIS

MI What is an easy to make pasta recipe that features garlic and red sauce?

Here is a simple pasta recipe that features garlic and red sauce:

Ingredients:

- 1 pound pasta (such as spaghetti or penne)
- 1 tablespoon olive oil
- 2 cloves garlic, minced
- 1 (28-ounce) can crushed tomatoes
- 1/4 teaspoon salt
- 1/4 teaspoon black pepper
- 1/4 teaspoon red pepper flakes (optional)
- 1/2 cup grated Parmesan cheese
- Fresh parsley or basil, chopped, for garnish (optional)



san f

san francisco weather  
san francisco  
san francisco giants  
san fernando valley  
san francisco state university  
san francisco hotels  
san francisco 49ers  
san fernando  
san fernando mission  
san francisco zip code

Google Search

I'm Feeling Lucky

Spanish - detected English

¿Cómo estás?



English

How are you?



[Open in Google Translate](#) • [Feedback](#)

```
// write a binary search algorithm
const binarySearch = (arr, target) => {
  let left = 0;
  let right = arr.length - 1;
  let middle = Math.floor((left + right) / 2);
  while (arr[middle] !== target && left <= right) {
    if (target < arr[middle]) {
      right = middle - 1;
    } else {
      left = middle + 1;
    }
    middle = Math.floor((left + right) / 2);
  }
  return arr[middle] === target ? middle : -1;
}
```

*I don't know how to parallel park.*

*I'm taking my dog for a walk at the park.*

Homonyms

*We ate outside and swam in the lake all week.*

*We ate outside and in the lake all week.*

Typos

*Biden speaks to the media in Illinois.*

*The president greets the press in Chicago.*

Paraphrases/ Synonyms

*Although interchangeable, the body pieces on the 2 cars are not similar.*

*Although similar, the body pieces are not interchangeable on the 2 cars.*

Word order

# Language Modeling: predict the next word

**Assign probabilities to text.**

Given a sequence  $(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_T)$ , we want to **maximize**  $P(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_T)$ .

$$P(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_T) = P(\mathbf{x}_1)P(\mathbf{x}_2|\mathbf{x}_1)P(\mathbf{x}_3|\mathbf{x}_1, \mathbf{x}_2)P(\mathbf{x}_4|\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) \dots P(\mathbf{x}_T|\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{T-1})$$

$P(\text{I like cats because they look cute}) = P(\text{I}) P(\text{like} \mid \text{I}) P(\text{cats} \mid \text{I like}) P(\text{because} \mid \text{I like cats}) P(\text{they} \mid \text{I like cats because})$   
 $P(\text{look} \mid \text{I like cats because they}) P(\text{cute} \mid \text{I like cats because they look})$

**Predict the next word given current text!**

# *n*-Gram Language Model

*n*-Gram: chunk of *n* consecutive words

**Count** the frequency of each *n*-grams and predict next word!

**Assume** each word only depends on previous *n* - 1 words.

**Uni-gram:** “I” “like” “cats” “because” “they” “look” “cute”

**Bi-gram:** “I like” “like cats” “cats because” “because they” ...

**Tri-gram:** “I like cats” “like cats because” “cats because they”

...

$$\begin{aligned} P(\mathbf{x}_t | \mathbf{x}_1, \dots, \mathbf{x}_{t-1}) &= P(\mathbf{x}_t | \mathbf{x}_{t-n+1}, \dots, \mathbf{x}_{t-1}) \\ &= \frac{\text{count}(\mathbf{x}_{t-n+1}, \dots, \mathbf{x}_{t-1}, \mathbf{x}_t)}{\text{count}(\mathbf{x}_{t-n+1}, \dots, \mathbf{x}_{t-1})} \end{aligned}$$

In *bi-gram* LM

$$P(\text{I like cats as they look cute}) = P(\text{I}) P(\text{like} | \text{I}) P(\text{cats} | \text{like}) P(\text{because} | \text{cats}) P(\text{they} | \text{because}) P(\text{look} | \text{they}) P(\text{cute} | \text{look})$$

Discuss:

Do you want to have a large n or a small n in a n-gram model?

What is special about this sentence by Noam Chomsky:  
**“Colorless green ideas sleep furiously.”**

# Tokenization

How big should my vocabulary be?

Should I use words as my vocabulary or characters?

Byte-Pair Encoding

# Byte-Pair Tokenization

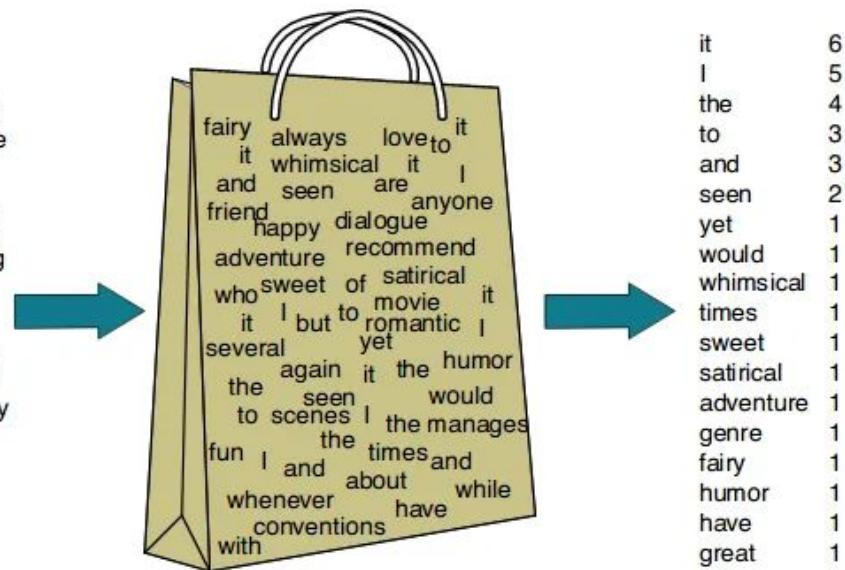
**<https://tinyurl.com/CS4782BytelpairDemo>**

Questions:

- How does the Byte-Pair Tokenization Algorithm work?
- What changes when you switch to WordPiece?
- Why might WordPiece be preferred over Byte-Pair?
- What else would you improve on both algorithms?

# Bag of Words (to represent documents)

I love this movie! It's sweet, but with satirical humor. The dialogue is great and the adventure scenes are fun... It manages to be whimsical and romantic while laughing at the conventions of the fairy tale genre. I would recommend it to just about anyone. I've seen it several times, and I'm always happy to see it again whenever I have a friend who hasn't seen it yet!



## Drawbacks:

- High dimensionality
- No semantic information

# Document similarity?

document 1

**Obama  
speaks  
to  
the  
media  
in  
Illinois**

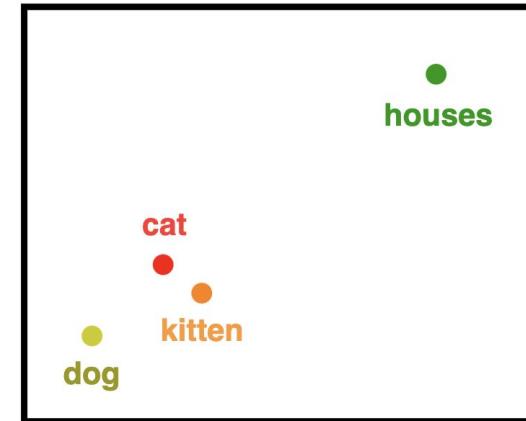
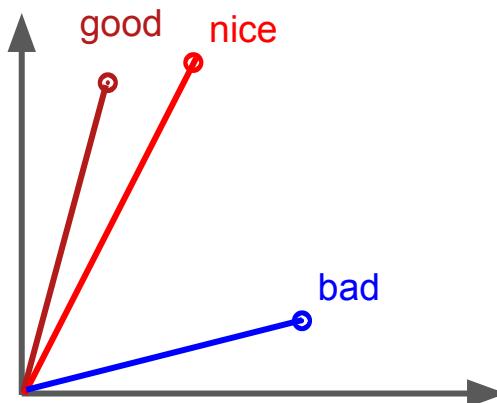
Documents have no words in common.  
How can we quantify that they convey  
similar meanings?  
(Assume B. Obama is president.)

document 2

**The  
President  
greets  
the  
press  
in  
Chicago**

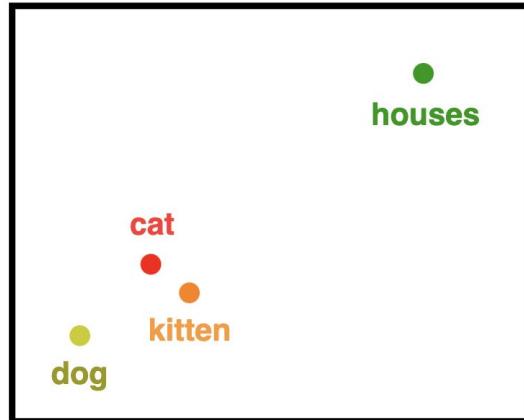
# Semantic similarity

- Motivation
  - Put words into vectors so we can measure the similarity between words
  - Use cosine similarity



# Why Do We Need Word Embeddings?

- Why Do We Need Word Embeddings?
  - Numerical Input
  - Shows Similarity and Distance

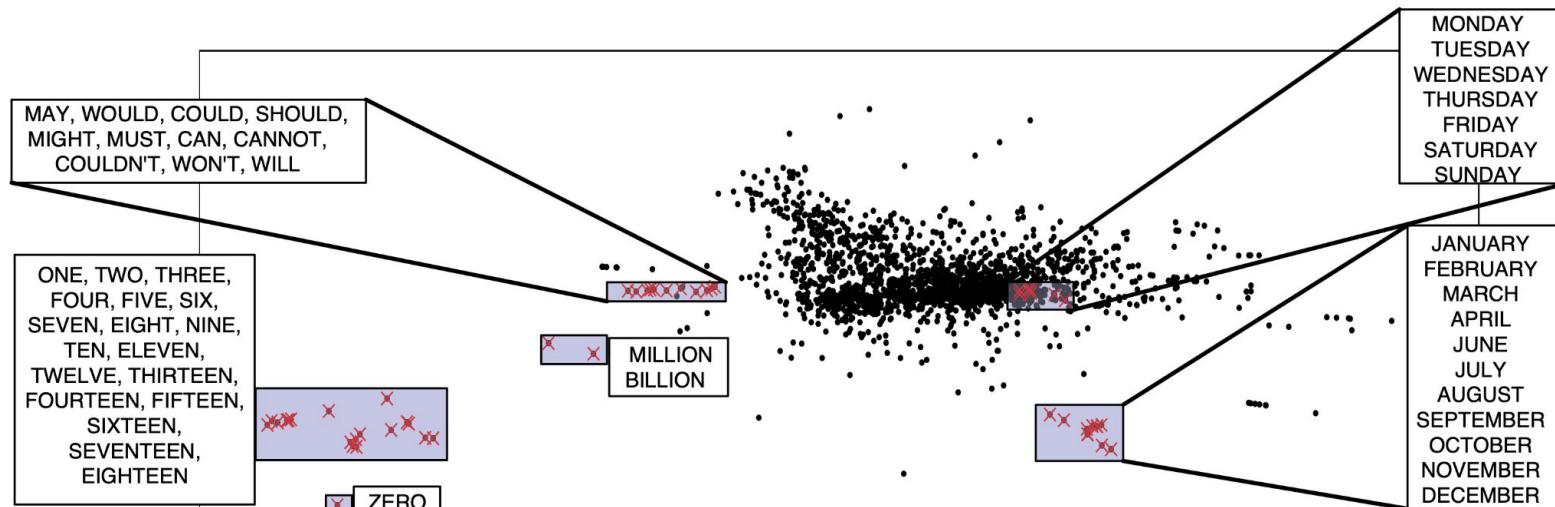


	living	being	feline	human	gender	royalty	verb	plural
cat	0.6	0.9	0.1	0.4	-0.7	-0.3	-0.2	
kitten	0.5	0.8	-0.1	0.2	-0.6	-0.5	-0.1	
dog	0.7	-0.1	0.4	0.3	-0.4	-0.1	-0.3	
houses	-0.8	-0.4	-0.5	0.1	-0.9	0.3	0.8	
man	0.6	-0.2	0.8	0.9	-0.1	-0.9	-0.7	
woman	0.7	0.3	0.9	-0.7	0.1	-0.5	-0.4	
king	0.5	-0.4	0.7	0.8	0.9	-0.7	-0.6	
queen	0.8	-0.1	0.8	-0.9	0.8	-0.5	-0.9	

embedding using features of words

# What are word embeddings

- What are Word Embeddings?
  - vector representations of words that capture semantic relationships
  - Latent Semantic Analysis / Indexing [S. Deerwester et al 1988]



# What is **Underberg**?

Suppose you see these sentences:

- I love drinking **Underberg** after a meal.
- I find **Underberg** is quite strong.
- A few bottles of **Underberg** make me very drunk.

## Word2Vec:

- We want vectors for words so that the context of a word can suggest the vector of this word, and vice versa
- Idea: **Similar words appear in similar contexts**

# Efficient Estimation of Word Representations in Vector Space

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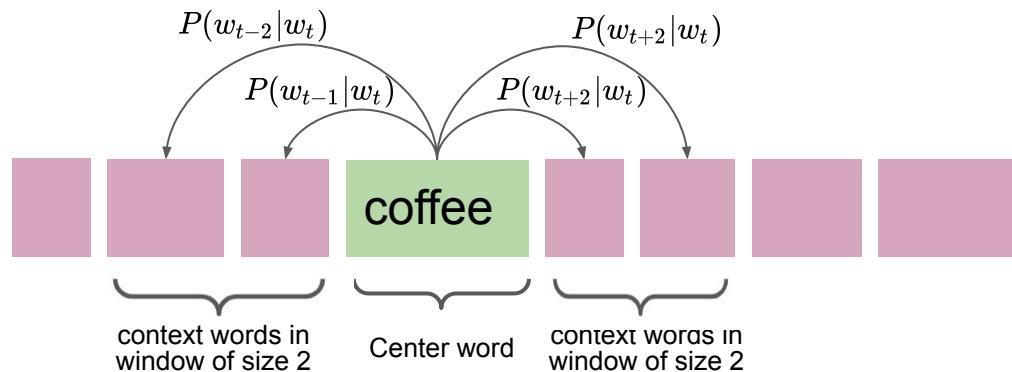
Google Inc., Mountain View, CA  
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## Abstract

We propose two novel model architectures for computing continuous vector representations of words from very large data sets. The quality of these representations is measured in a word similarity task, and the results are compared to the previously best performing techniques based on different types of neural networks. We observe large improvements in accuracy at much lower computational cost, i.e. it takes less than a day to learn high quality word vectors from a 1.6 billion words data set. Furthermore, we show that these vectors provide state-of-the-art performance on our test set for measuring syntactic and semantic word similarities.

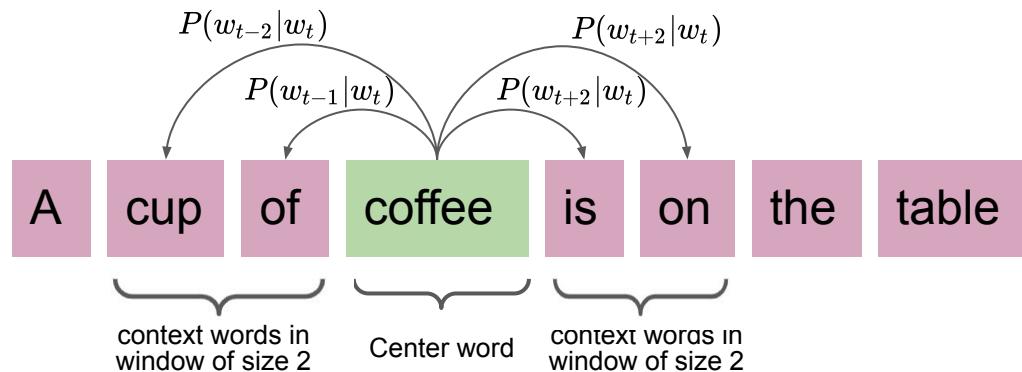
# Word2Vec - Training

SkipGram - Predict context from target



# Word2Vec - Training

SkipGram - Predict context from target



## SkipGram - Training samples

A cup of coffee is on the table → (coffee, cup)  
(coffee, cup)  
(coffee, of)  
(coffee, is)  
(coffee, on)

# Skip-gram Objective

**Goal:** Maximize the probability of context words given center words

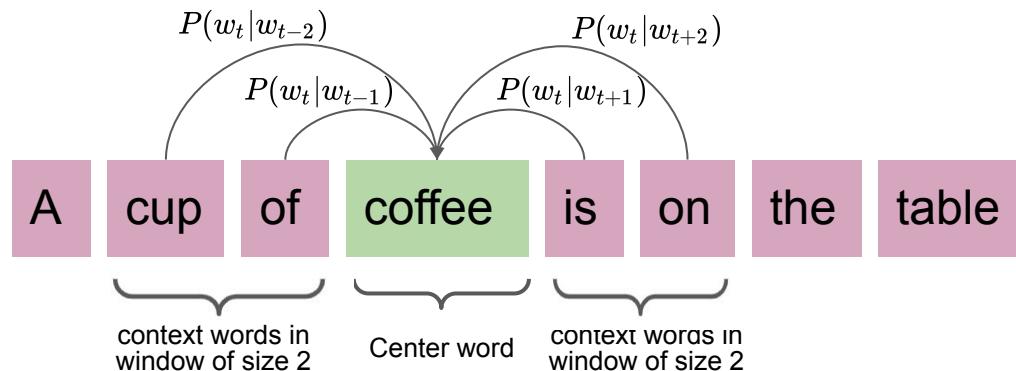
$$\max_{W, W'} \sum_{t=c+1}^{T-c} \sum_{\substack{-c \leq j \leq c \\ j \neq 0}} \log P(x_{t+j} | x_t) \quad \text{where} \quad P(x_o | x_i) = \frac{\exp(w_o'^\top w_i)}{\sum_{j=1}^{|V|} \exp(w_j'^\top w_i)}$$

**Two embedding matrices:**

- ▶  $W \in \mathbb{R}^{d \times |V|}$  — center embeddings  
(columns  $w_i$ )
- ▶  $W' \in \mathbb{R}^{d \times |V|}$  — context embeddings  
(columns  $w'_i$ )

# Word2Vec - Training

Continuous Bag of Words (CBOW) - predict target from context



# CBOW Objective

**Goal:** Maximize the probability of the center word given its context

$$\max_{W, W'} \sum_{t=c+1}^{T-c} \log P(x_t | x_{t-c}, \dots, x_{t+c}) \quad \text{where} \quad P(x_o | \bar{w}) = \frac{\exp(\bar{w}_o^\top \bar{w})}{\sum_{j=1}^{|V|} \exp(\bar{w}_j^\top \bar{w})}$$

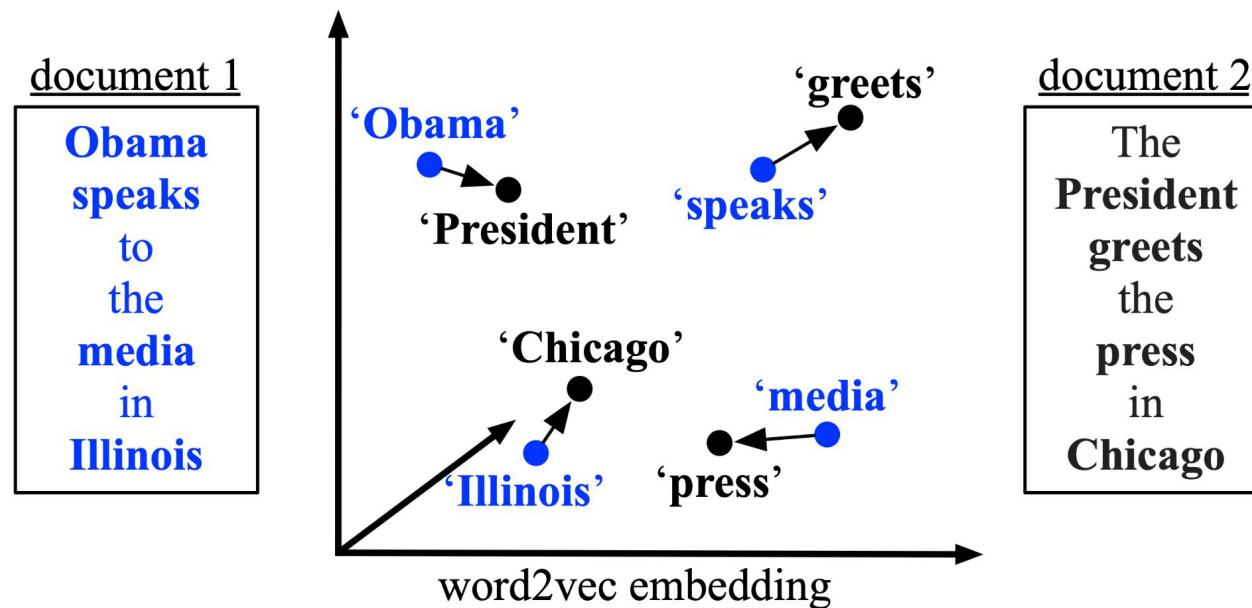
## Context representation:

- ▶ Average the context word embeddings:

$$\bar{w} = \frac{1}{2c} \sum_{\substack{-c \leq j \leq c \\ i \neq 0}} w_{t+j}$$

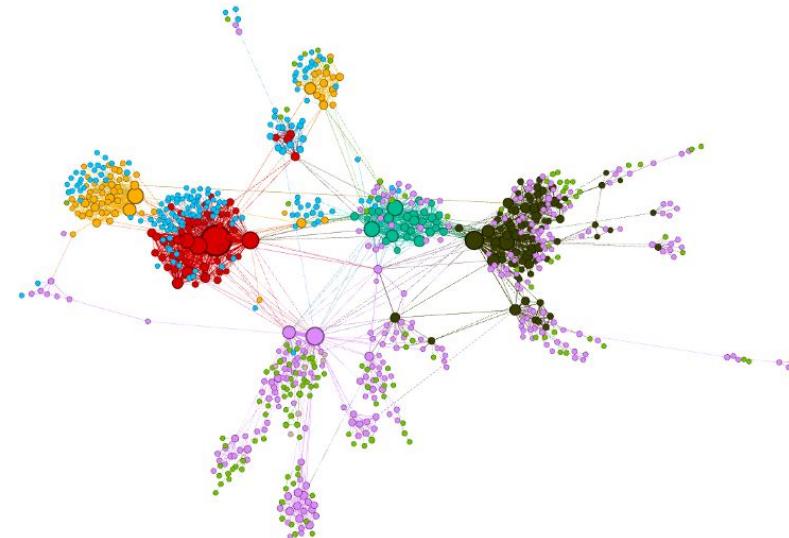
# Word Mover's Distance [Kusner et al., 2015]

Measure similarity between documents as the minimum travel distance to match all words from one document to those of the other in word2vec space.



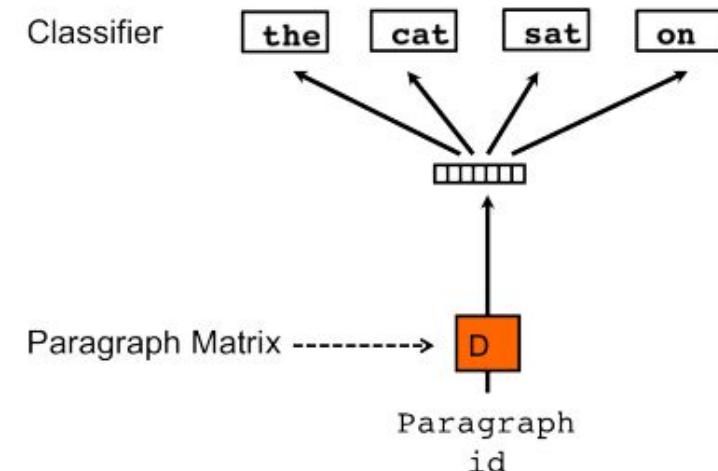
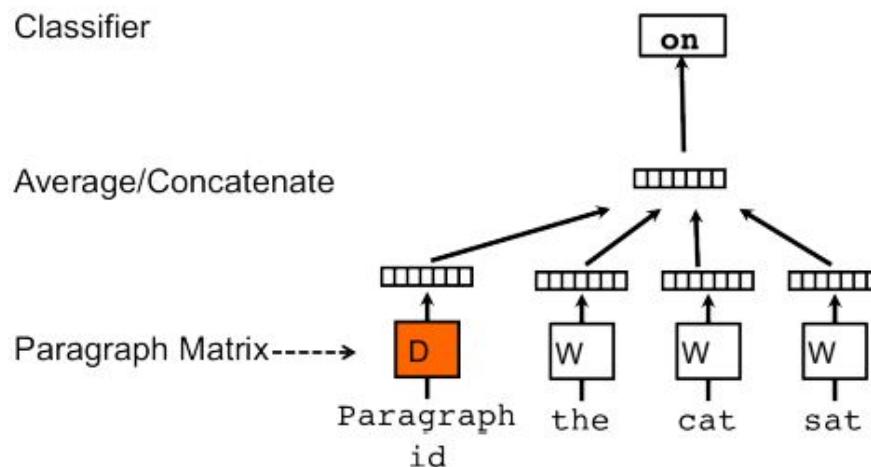
## X 2 vec

- Generate vector representations (embeddings) for various data types
- Examples:
  - Word2Vec
  - Doc2Vec
  - Node2Vec
  - Item2Vec
  - Sent2Vec

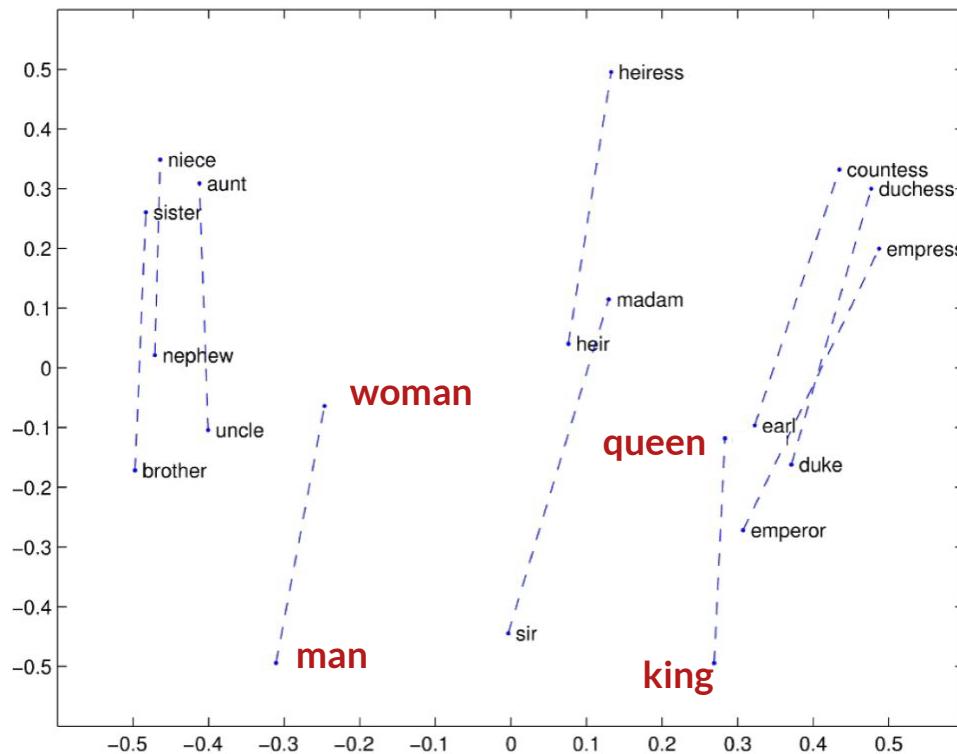


# Doc2Vec

- A vector to represent a paragraph, regardless of length
  - embeddings for paragraph and words
  - Applications: Document classification, sentiment analysis, recommendation systems, and information retrieval



# In vector space...



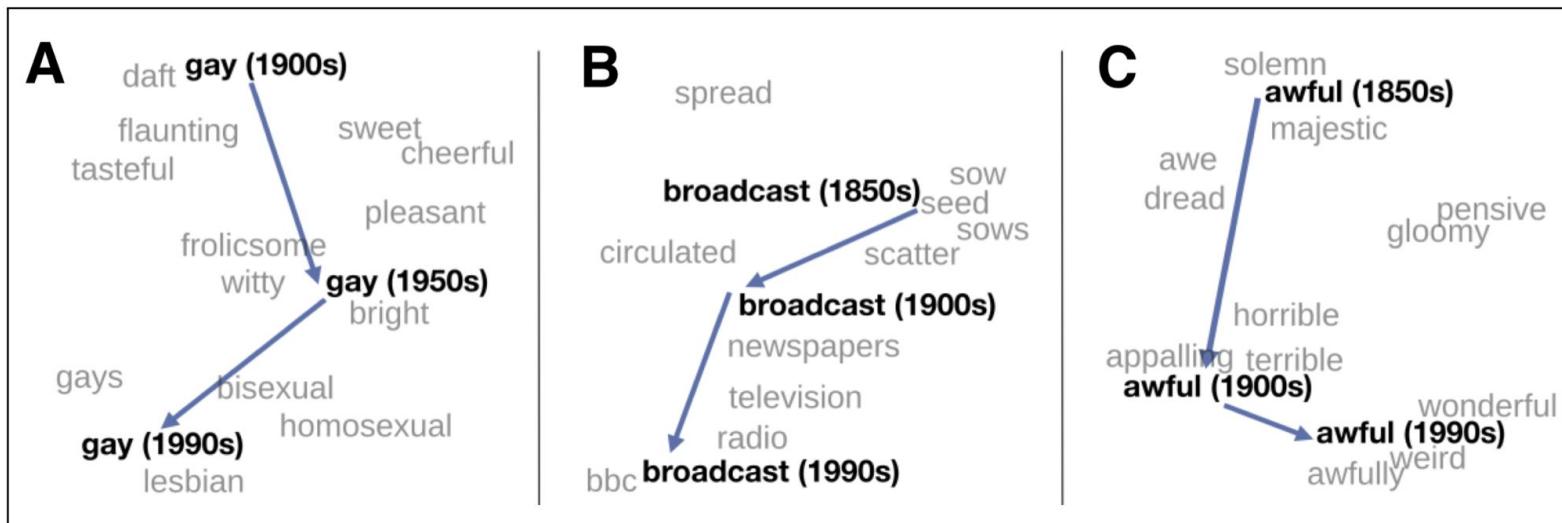
# Word embeddings capture societal biases

<b>Extreme <i>she</i></b>	<b>Extreme <i>he</i></b>	<b>Gender stereotype <i>she-he</i> analogies</b>
1. homemaker	1. maestro	registered nurse-physician
2. nurse	2. skipper	interior designer-architect
3. receptionist	3. protege	feminism-conservatism
4. librarian	4. philosopher	vocalist-guitarist
5. socialite	5. captain	sassy-snappy
6. hairdresser	6. architect	diva-superstar
7. nanny	7. financier	volleyball-football
8. bookkeeper	8. warrior	cupcakes-pizzas
9. stylist	9. broadcaster	
10. housekeeper	10. magician	
		<b>Gender appropriate <i>she-he</i> analogies</b>
		queen-king
		sister-brother
		waitress-waiter
		ovarian cancer-prostate cancer
		convent-monastery

Figure 1: **Left** The most extreme occupations as projected on to the *she-he* gender direction on w2vNEWS. Occupations such as *businesswoman*, where gender is suggested by the orthography, were excluded. **Right** Automatically generated analogies for the pair *she-he* using the procedure described in text. Each automatically generated analogy is evaluated by 10 crowd-workers to whether or not it reflects gender stereotype.

# Word embeddings are time-dependent (why?)

- Semantic similarity of words depends on *time*.

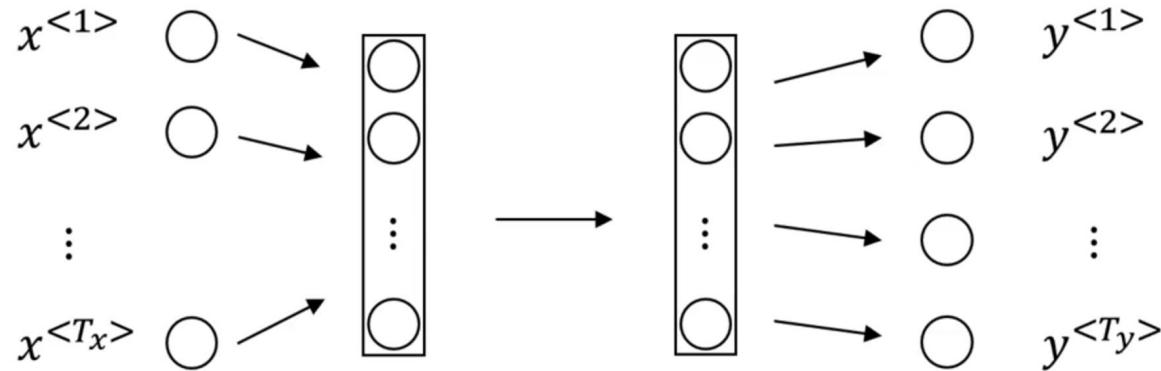


# Problems with word2vec

- Words with multiple meanings only have one representation
  - eg. **bank** of river or **bank** of money
  - Need contextual information
- Limited Context
  - only trained on words within the context window



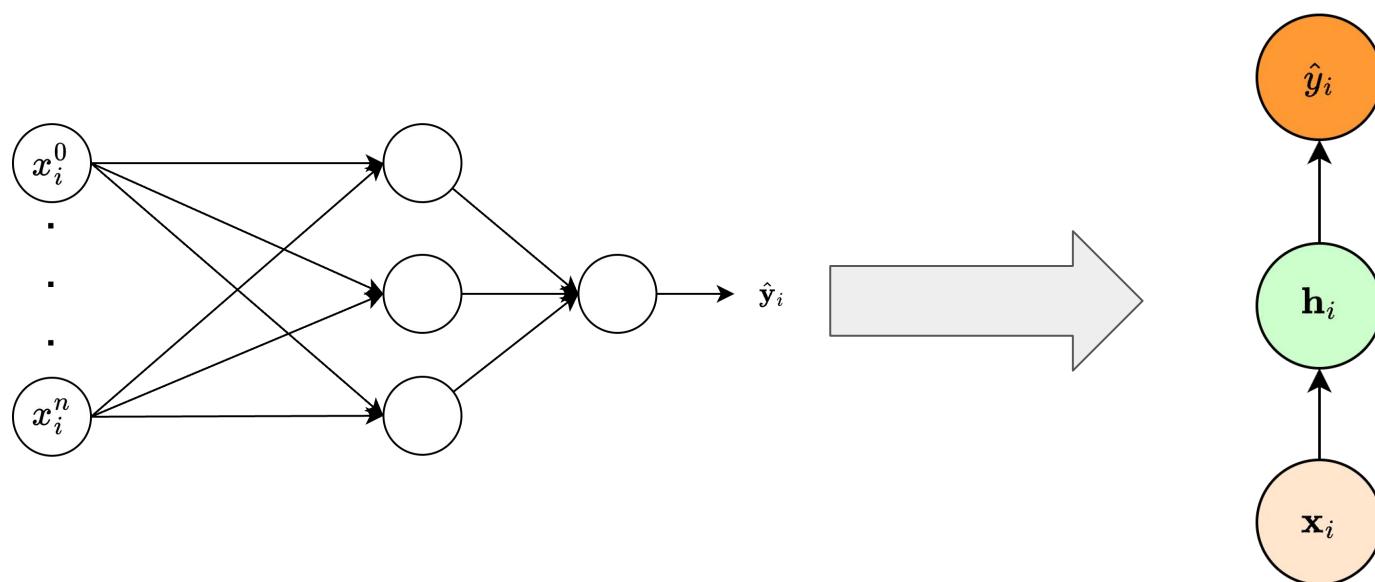
# How to use word vectors with neural networks?



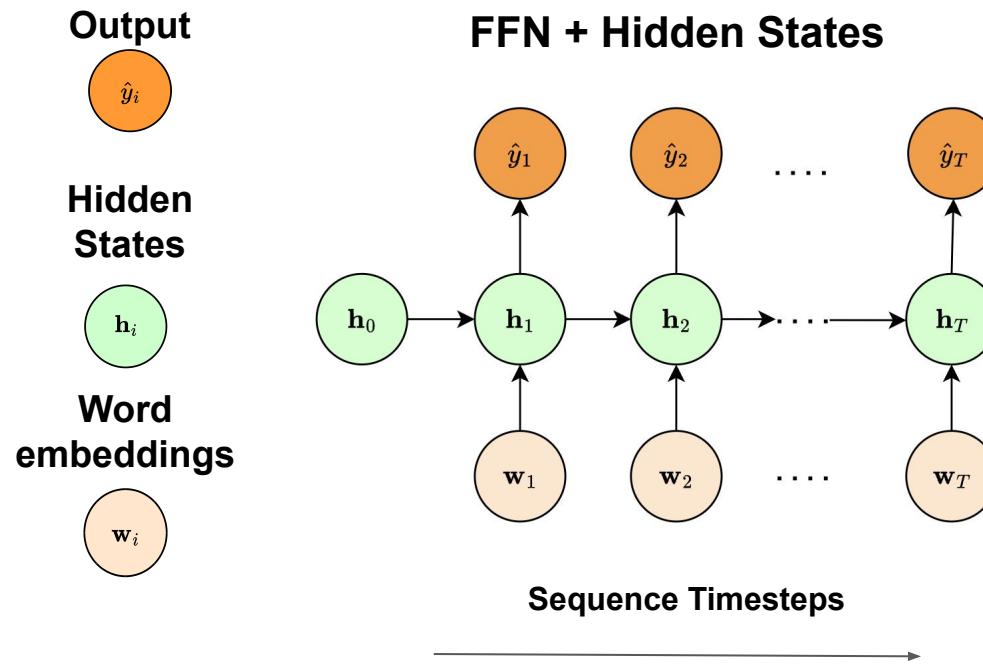
- Inputs and outputs don't have fixed lengths
- Features are not shared

# Let's simplify!

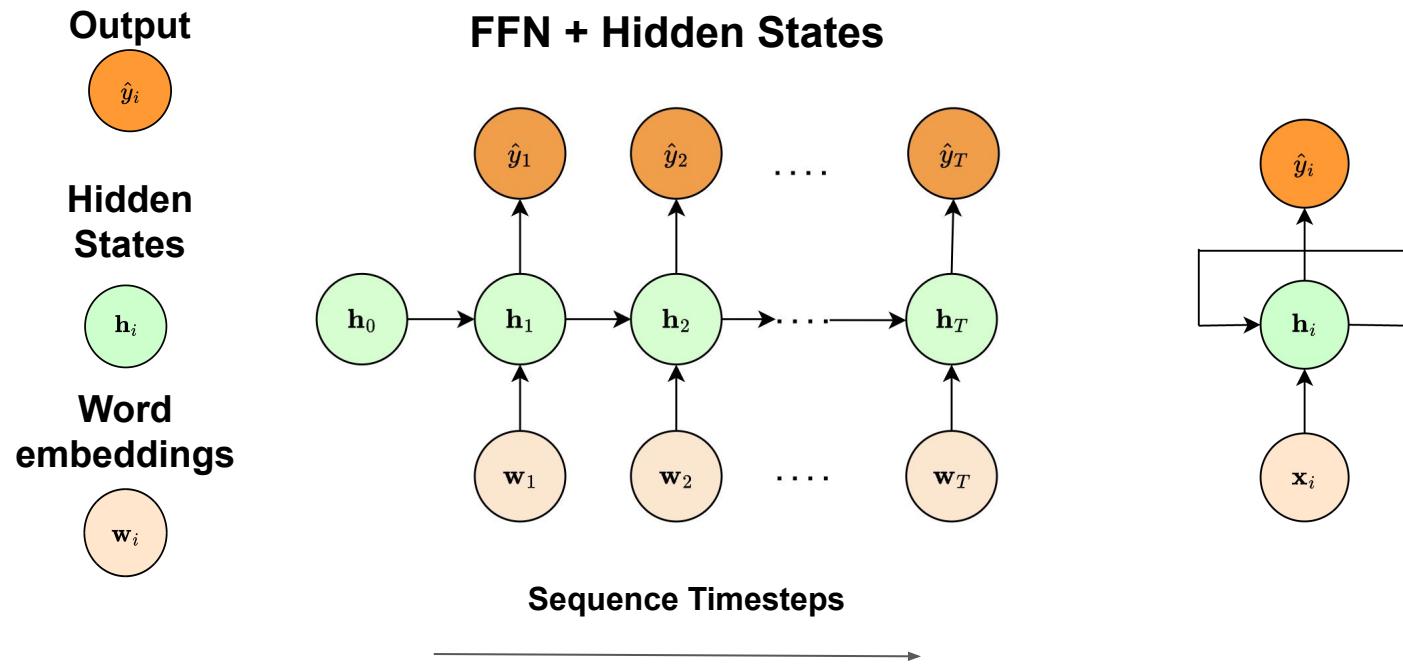
What if we have a single word and a single output?



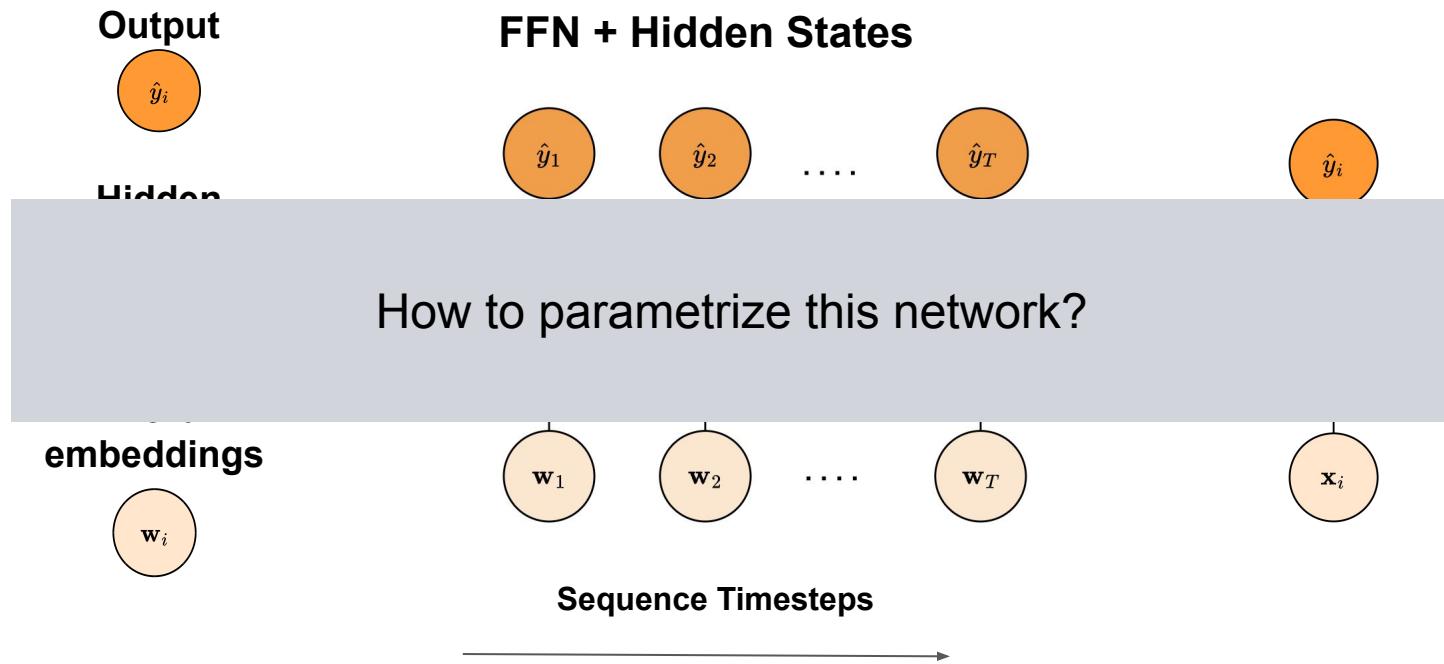
# Recurrent neural network (RNN)



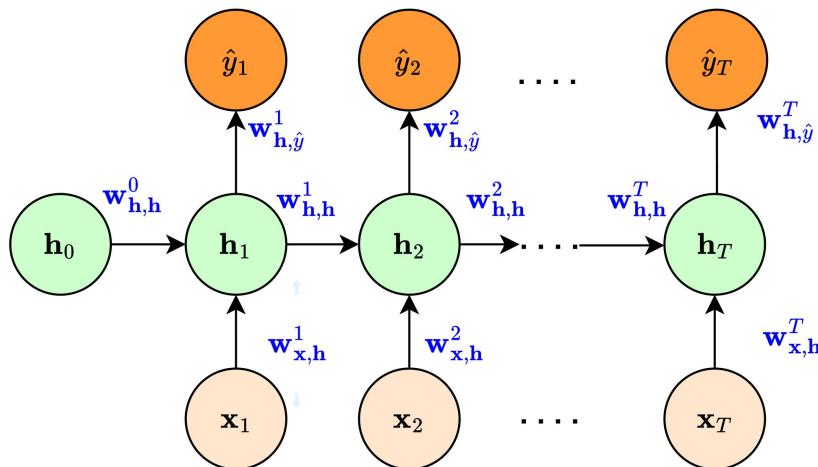
# Recurrent neural network (RNN)



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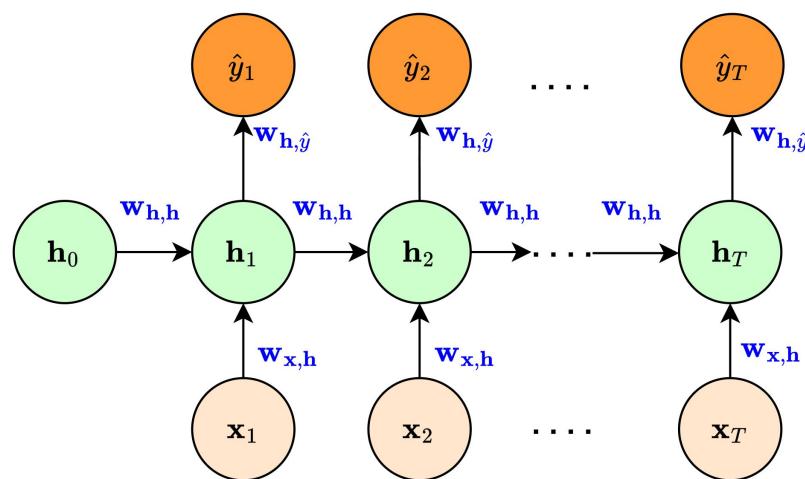
# Parameterize RNN



- Too many parameters if we have a long sequence!
- Longer sequence parameters will not receive many updates
- What if sequence lengths vary?

# RNN w/ parameter-sharing

Simple fix: use **the same parameters** across different timesteps.



$$\begin{aligned}\mathbf{h}_t &= \phi(\mathbf{W}_{xh}x_t + \mathbf{W}_{hh}\mathbf{h}_{t-1} + b_h) \\ y_t &= \psi(\mathbf{W}_{hy}\mathbf{h}_t + b_y)\end{aligned}$$

transition functions (e.g. ReLU, Sigmoid, tanh)

# Recap

- **N-gram models**
- **Bag-of-words representations**
- **Word2Vec**
  - CBOW: use context to predict target word
  - SkipGram: use target word to predict context
- **RNN**
  - Has an internal state (memory)
  - Can handle arbitrary sequences of inputs
  - Trained with back propagation through time

# Image credits:

<https://web.stanford.edu/~jurafsky/slp3/6.pdf>

<https://lilianweng.github.io/posts/2017-10-15-word-embedding/>