

ZAMAN UNIVERSITY

1

Data Structures and Algorithms

Chapter 3

Recursion and Quicksort

Outline

2

- Recursion
- Applied Recursion
- Quicksort
- Improving Quicksort

Outline

3

- **Recursion**
- Applied Recursion
- Quicksort
- Improving Quicksort

Recursion

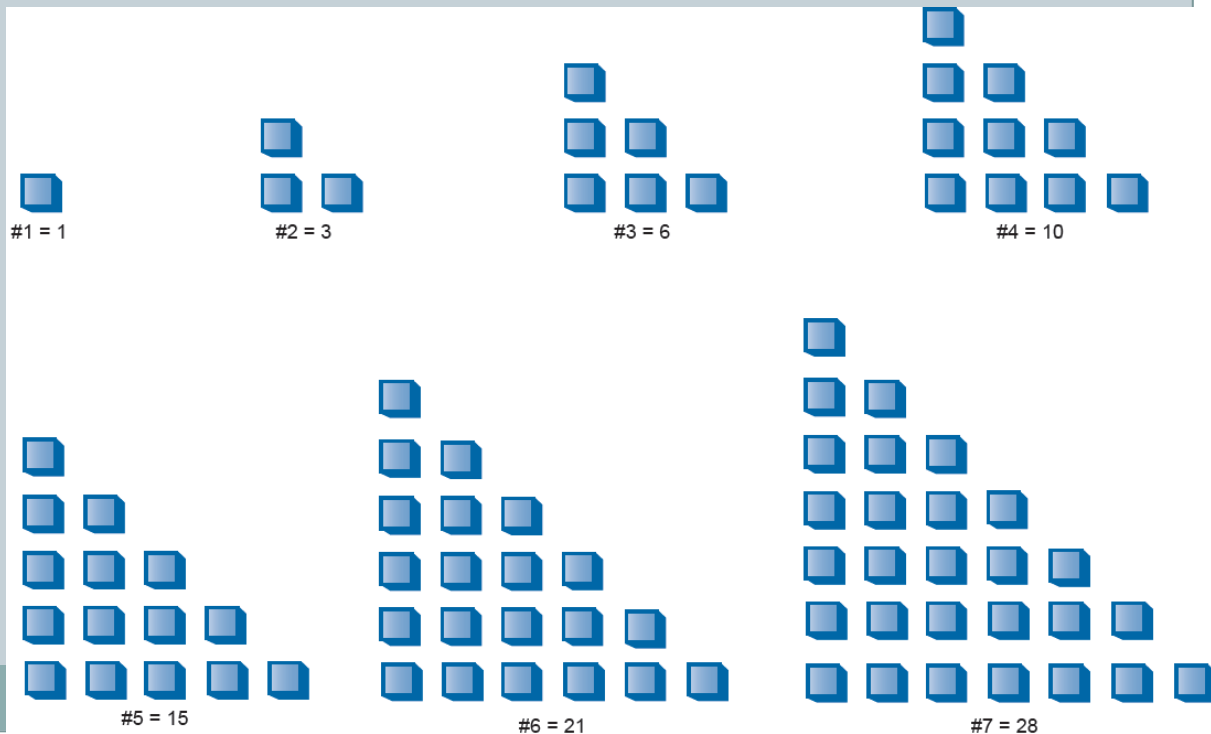
4

- Recursion is one of the most interesting, and surprisingly effective, techniques in programming
- Recursion is a programming technique in which a function calls *itself*

Recursion: Demonstrating Recursion with Triangular Numbers

5

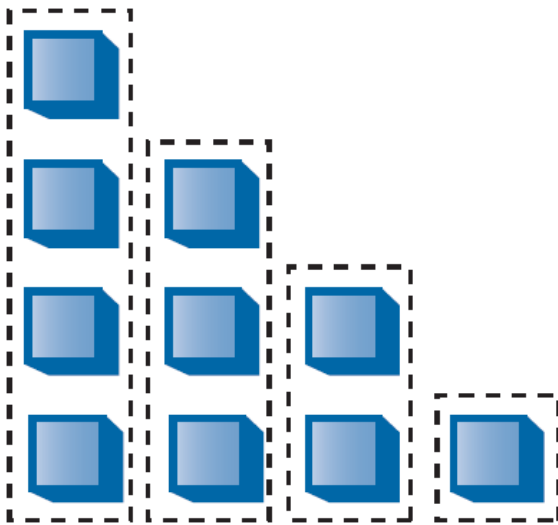
- The n^{th} term in the series is obtained by adding n to the previous term
- Thus the second term is found by adding 2 to the first term (which is 1), giving 3.
- The third term is 3 added to the second term giving 6, and so on.



Recursion: Finding the n^{th} Term Using a Loop

6

- In the 4th term, the first column has four little squares, the second column has three, and so on Adding 4+3+2+1 gives 10.



```
int triangle( int n ) {  
    int total = 0;  
    while( n > 0 ) { //until n is 1  
        total = total + n; //add n (column height) to total  
        --n; // decrement column height  
    }  
    return total;  
}
```

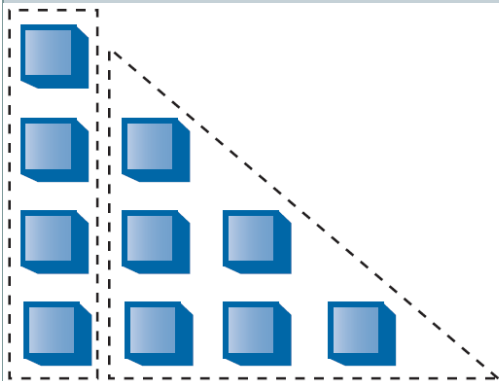
1 in this column
2 in this column
3 in this column
4 in this column

Total: 10

Recursion: Finding the n^{th} Term Using Recursion

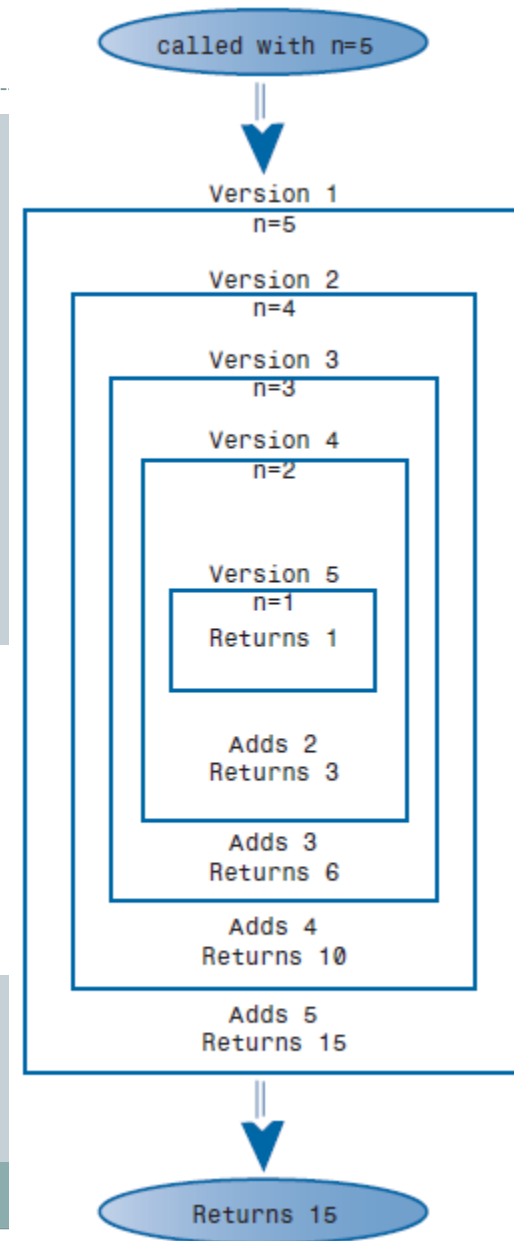
7

- The **loop** approach might seem straightforward, but there's another way to look at this problem
- The value of the n^{th} term can be thought of as the sum of only two things, instead of a whole series. These are:
 - The first (tallest) column, which has the value n
 - The *sum* of all the remaining columns



```
int triangle( int n) {  
    if( n==1 )  
        return 1;  
    else  
        return( n + triangle(n-1) );  
}
```

6 in the remaining columns
4 in the first column
Total: 10



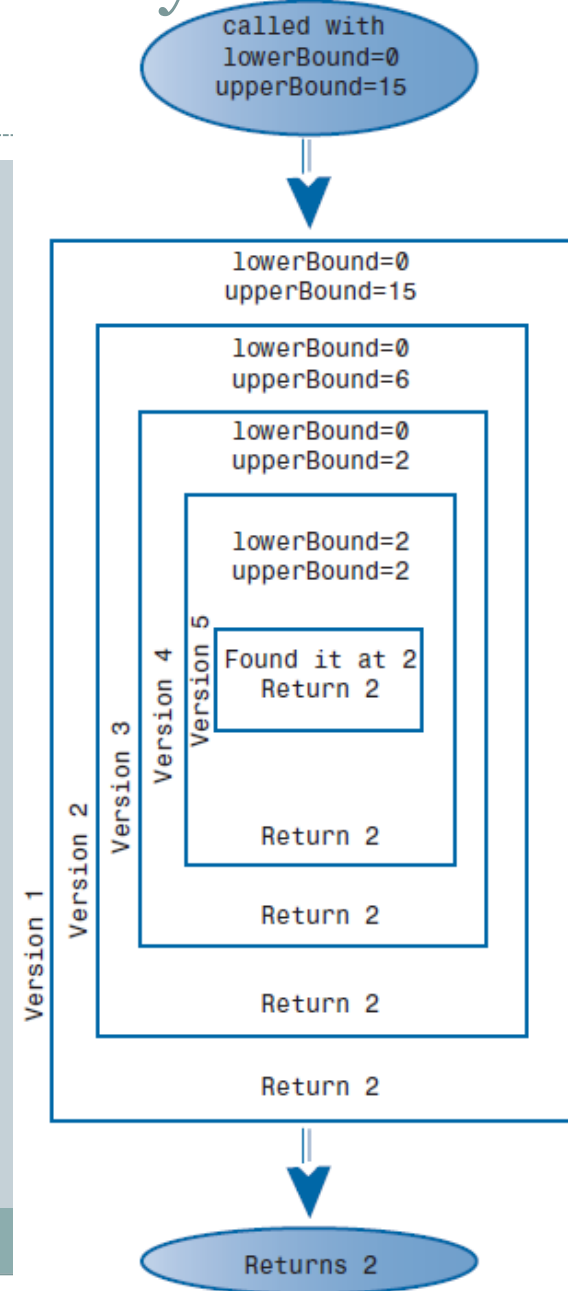
Recursion: Using Recursion in a Binary Search

8

```
int recFind(double Key, int lower, int upper){  
    curIn = (lower + upper ) / 2;  
    if( v[curIn]==Key ) return curIn; //found it  
    else if( lower > upper) return -1; //can't find it  
    else { //divide range  
        if( v[curIn] < Key ) //it's in upper half  
            return recFind(Key, curIn+1, upper);  
        else //it's in lower half  
            return recFind(Key, lower, curIn-1);  
    } //end else divide range  
} //end recFind()
```

10, 23, 27, 34,...,99

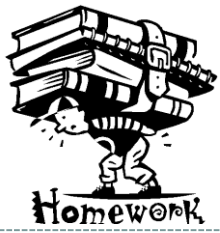
Key = 27



Recursion: Understanding Divide-and-Conquer Algorithms

9

- The recursive binary search is an example of the ***divide-and-conquer*** approach
- The big problem divided into two smaller problems and solve each one separately
- The process continues until you get to the base case, which can be solved easily, with no further division into halves
- A ***divide-and-conquer*** approach usually involves a function that contains two recursive calls to itself, one for each half of the problem
- In the binary search, there are two such calls, but only one of them is actually executed.



Write a program that uses recursion:

1. for Binary Search;
2. to calculate the factorial of a number.

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11

- Recursion
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Applied Recursion: Mergesort

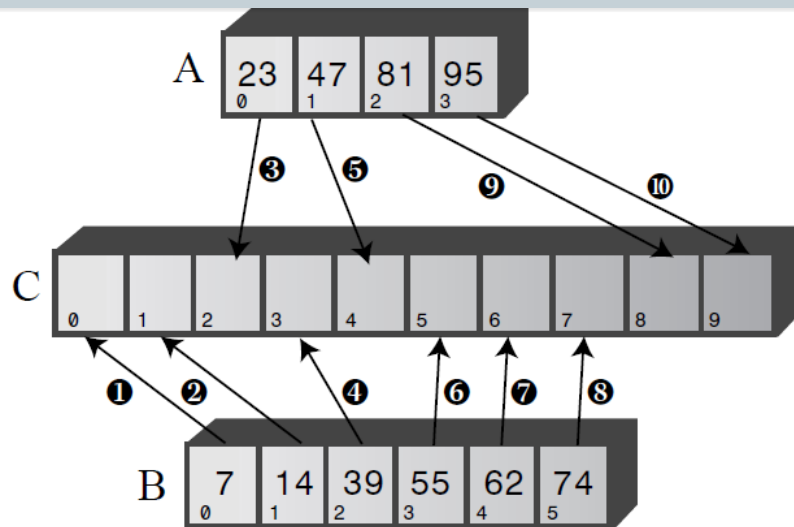
12

- Mergesort is more efficient sorting technique than Bubble and Insertion sort, in term of time
- Bubble and Insertion take $O(N^2)$ time, the mergesort is $O(N \cdot \log N)$
- For example: if N is 10,000, then N^2 is 100,000,000, where as $N \cdot \log N$ is 40,000. Thus, if sorting this many items required 40 Seconds with the mergesort, it would take almost 28 hours for the insertion sort
- The mergesort is also fairly easy to implement, it's conceptually easier than *quicksort*

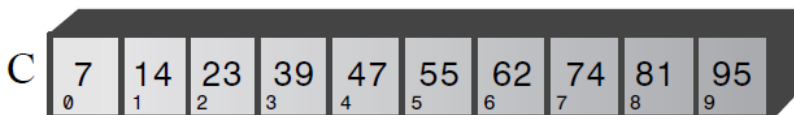
Merge Two Sorted Arrays

13

- Merging of two sorted arrays A and B to C, that contains all the elements of A and B, also arranged in sorted order



a) Before Merge



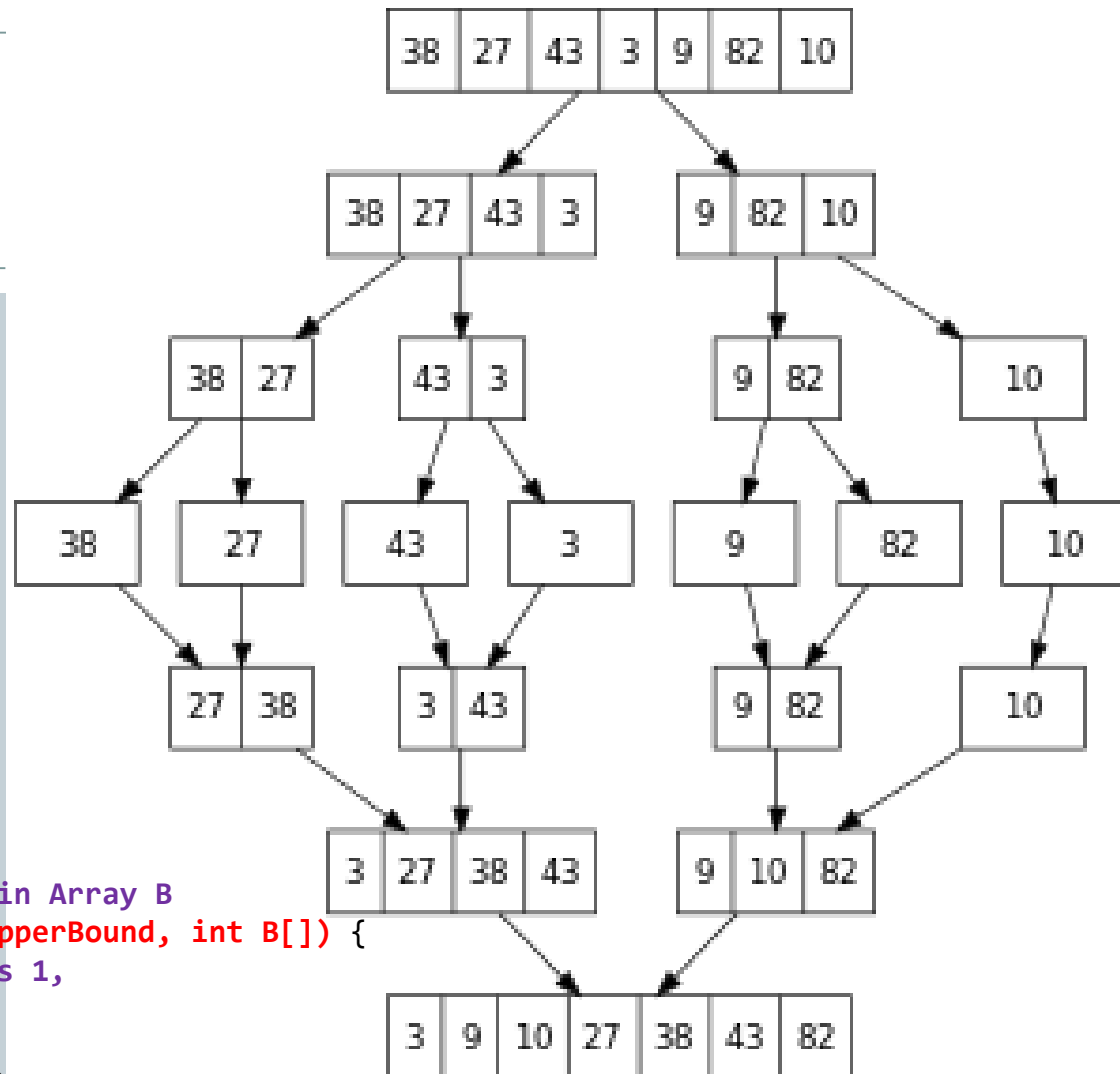
b) After Merge

Step	Comparison	Copy
1	Compare 23 and 7	Copy 7 from B to C
2	Compare 23 and 14	Copy 14 from B to C
3	Compare 23 and 39	Copy 23 from A to C
4	Compare 39 and 47	Copy 39 from B to C
5	Compare 55 and 47	Copy 47 from A to C
6	Compare 55 and 81	Copy 55 from B to C
7	Compare 62 and 81	Copy 62 from B to C
8	Compare 74 and 81	Copy 74 from B to C
9		Copy 81 from A to C
10		Copy 95 from A to C

Recursion: Sorting by Merging

14

- Mergesort is to divide an array in half, sort each half, and then merge the two halves into a single sorted array
- How do you sort each half?
- Half array divide into two quarters, sort each of the quarters, and merge them to make a sorted half
- You divide the array again and again until you reach a subarray with only one element. The one element is already sorted.



//Result of Sorted Array A will be written in Array B

RecMergeSort(int A[], int lowerBound, int upperBound, int B[]) {

 if(lowerBound == upperBound) //if range is 1,

 return; //no use sorting

 else { //find midpoint

 int mid = (lowerBound+upperBound) / 2;

 //sort low half

RecMergeSort(A, lowerBound, mid, B);

 //sort high half

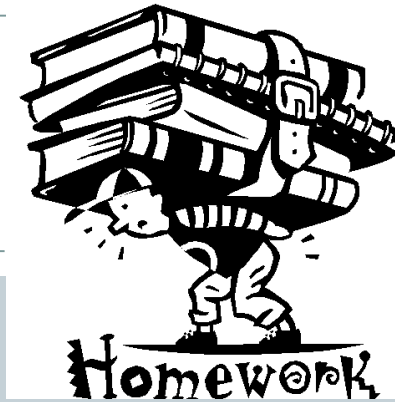
RecMergeSort(A, mid+1, upperBound, B);

 //merge lowerBound->mid and mid+1->upperBound to B

 merge(A, lowerBound, mid+1, upperBound, B);

 } //end else

} //end recMergeSort()



Write a program:

1. Merge of two sorted Arrays;
2. Use recursion to create mergesort function.

Outline

17

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Quicksort

18

- The bubble and insertion sorts — are easy to implement but are rather slow
- Mergesort is applied recursion, it runs much faster than the simple sorts, but requires twice space as original array
- Quicksort runs faster than simple sorts, in $O(N \cdot \log N)$ time, it does not require a large amount of extra memory space, as mergesort
- Quicksort is based on the idea of partitions

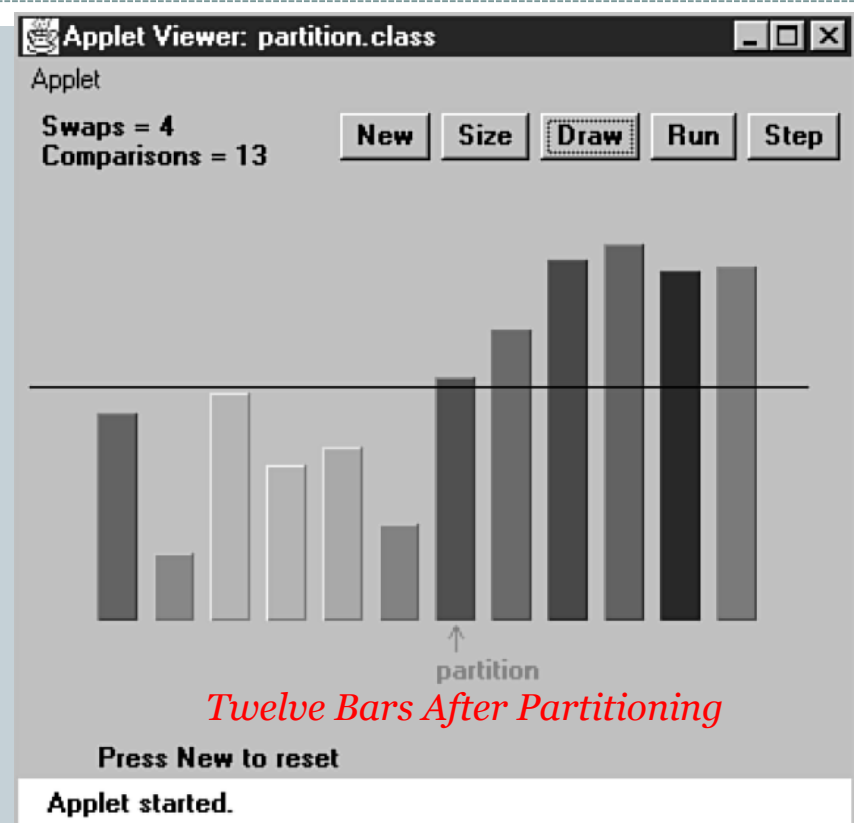
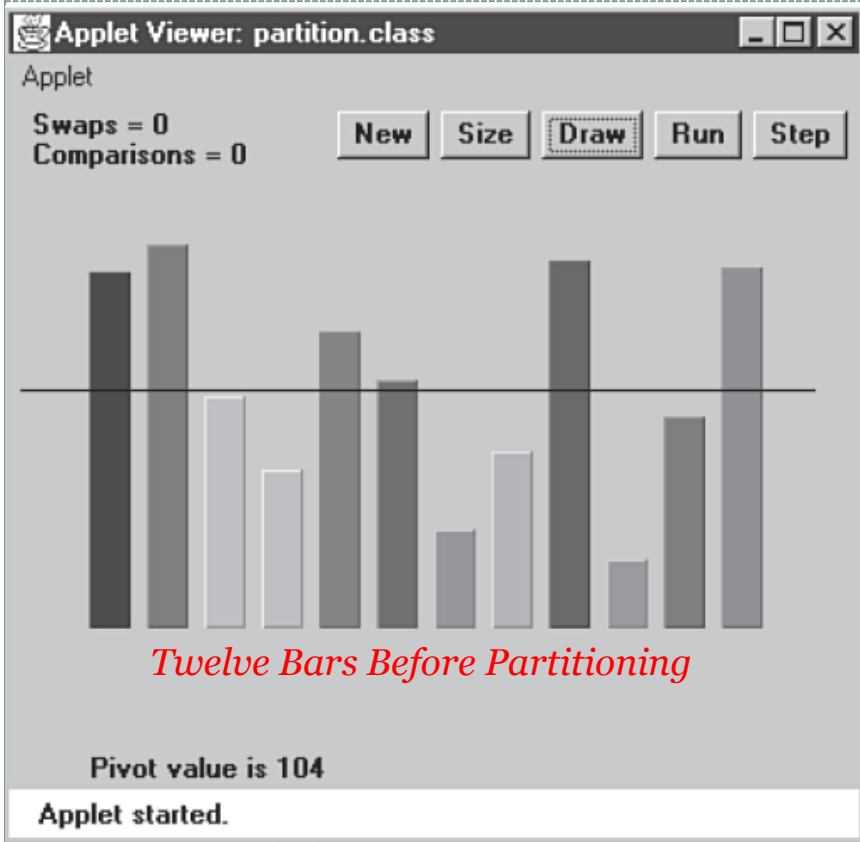
Quicksort: Partitioning

19

- To *partition* data is to divide it into two groups:
 - all the items with a key value higher than a specified amount;
 - All the time with a lower key value.
- Examples
 - Maybe you want to divide your personnel records into two groups: employees who live within 15 miles of the office and those who live farther away
 - A school administrator might want to divide students into those with grade point averages higher and lower than 3.5, so as to know who deserves to be on the dean's list

Quicksort: Partitioning Example

20



- Pivot – is the value used to determine into two groups (less and greater). It is the border of less than and greater.

Quicksort: Partitioning Pseudo Code

21

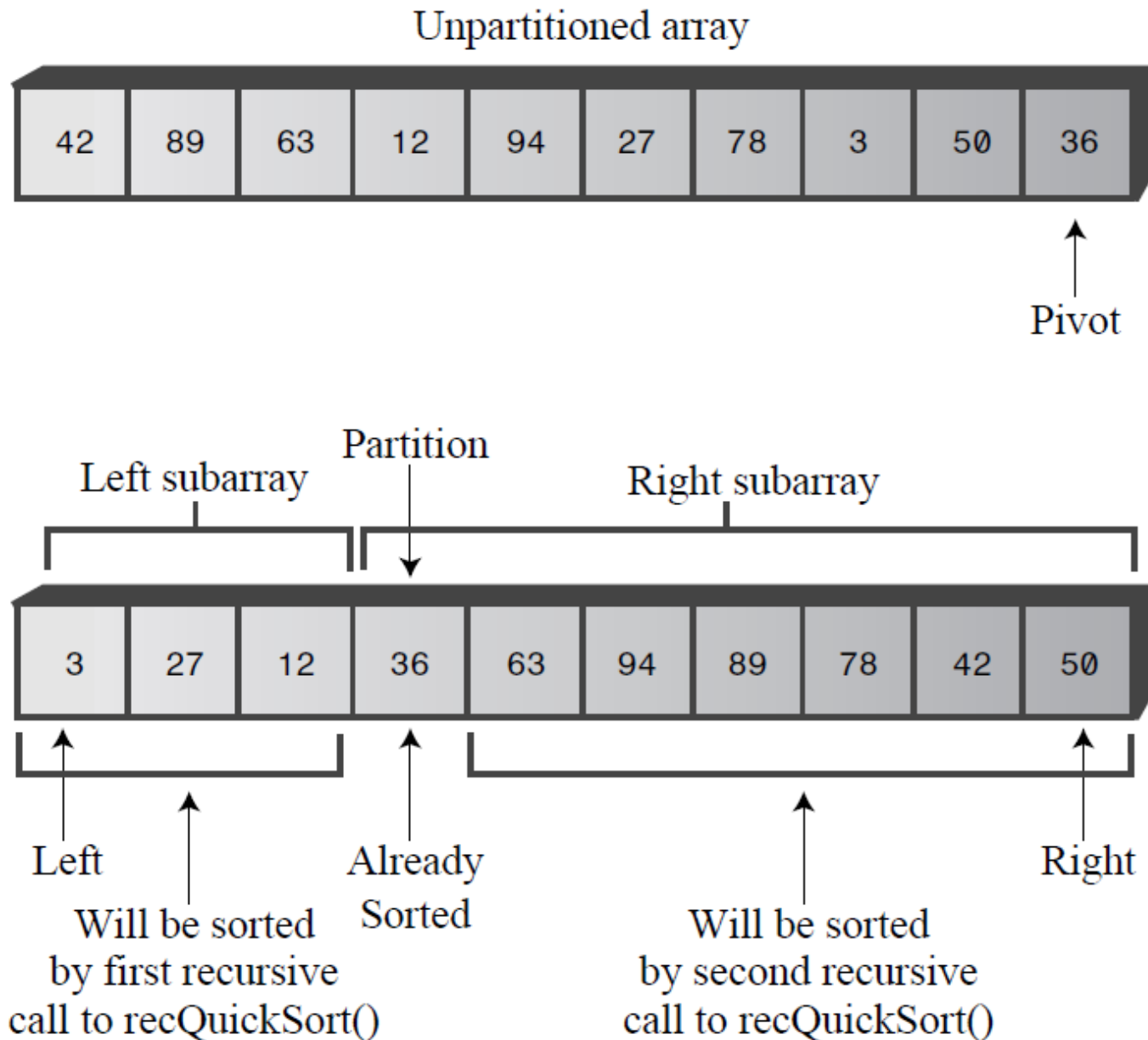
```
int PartitionIt( left, right, pivot) {  
    while( true ){  
        find the element greater than pivot; //find to the right, but  
                                              //possible greater than right element  
  
        find the element smaller than pivot; //find to the left, but possible  
                                              //less than the left element  
  
        if the index of left cross to right //partition done  
            then partition done (break);  
        else swap( LeftMark, RightMark );  
    }  
    return LeftMark;  
}
```

Basic Quicksort

22

- Quicksort was discovered by British Computer Scientist C. A. R. Hoare, 1962
- Basically the quicksort algorithm operates by partitioning an array into two sub-arrays, and then calling itself recursively to quicksort each of these sub-arrays
- The pivot will be selected at right, but it will be finally placed between these two sub-arrays

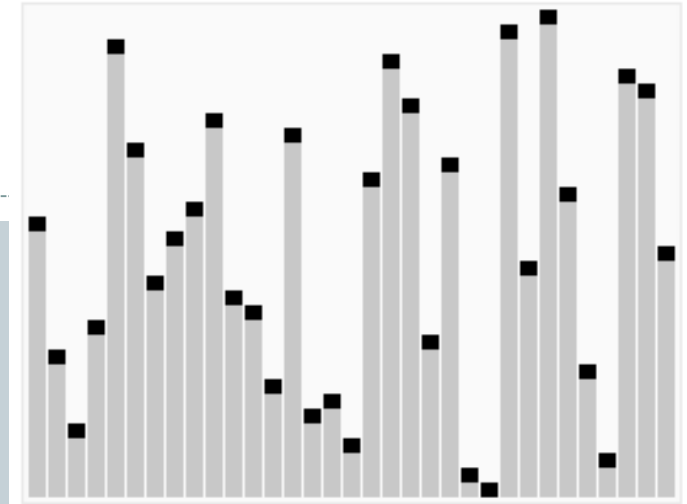
Quicksort: Recursive Calls Sort Subarrays



Quicksort: Pseudo Code

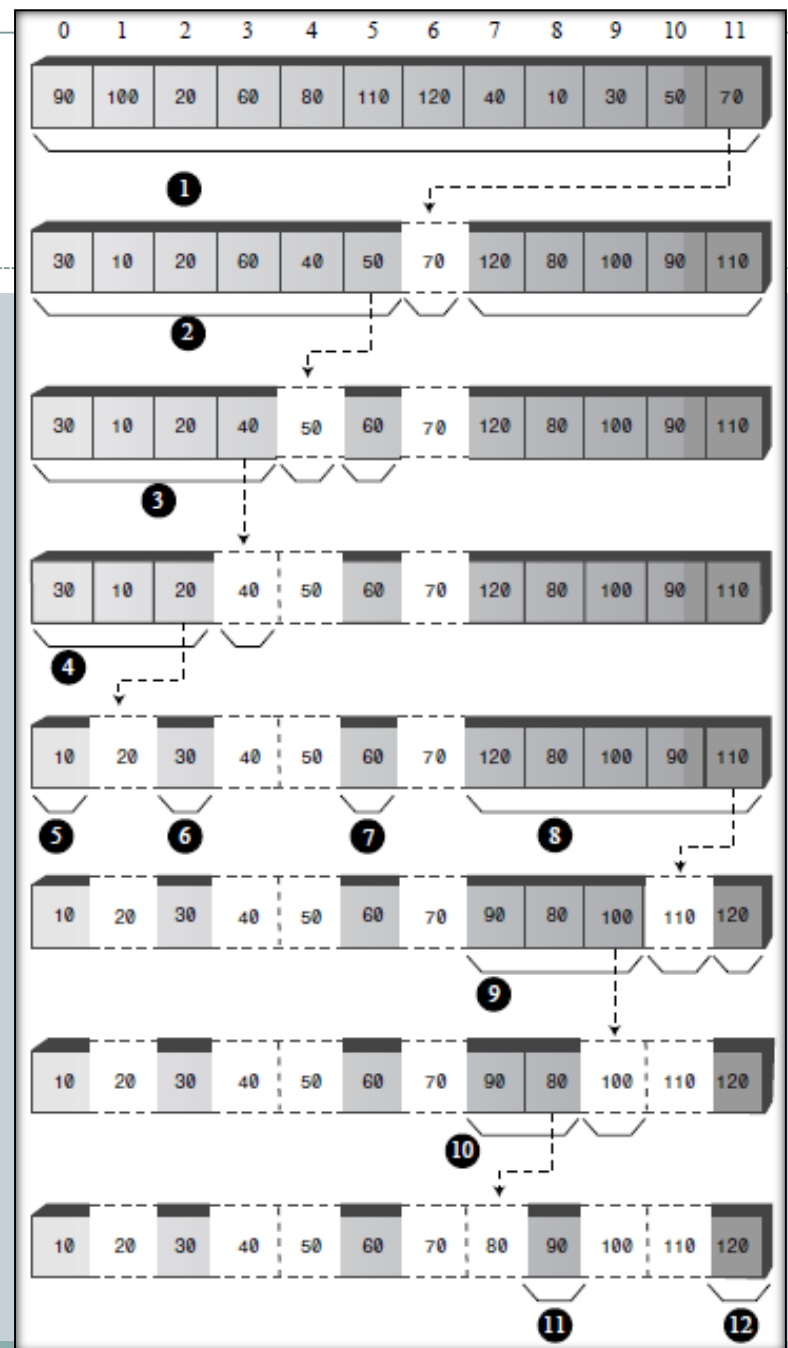
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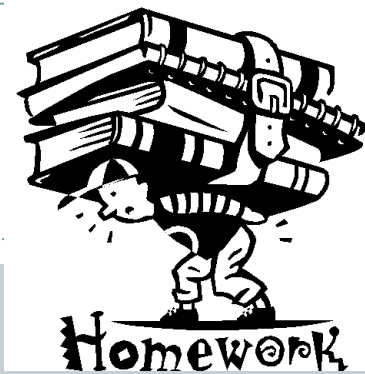
```
recQuickSort(left, right) {  
  if Array has only element  
    return; //already sorted  
  else { //size is 2 or larger  
    pivot is the right element of Array //rightmost item  
    //partition range  
    partition <- PartitionIt(left, right, pivot);  
    recQuickSort(left, partition-1); //sort left side  
    recQuickSort(partition+1, right); //sort right side  
  }  
} // end recQuickSort()
```



Quicksort Process

25





Write a program:

1. to partition Array;
2. Use recursion to create Quicksort function.

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27

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Problems with Inversely Sorted Data

28

- If we use quicksort to sort 100 inversely sorted items, the algorithm runs much more slowly
- During partitioning, pivot will be larger than sub-arrays
- Pivot should be larger than half array, and smaller than half array
- Two equal sub-arrays is the optimum situation for the quicksort algorithm
- The worst situation results when a subarray with N elements is divided into one subarray

How to Select Pivot?

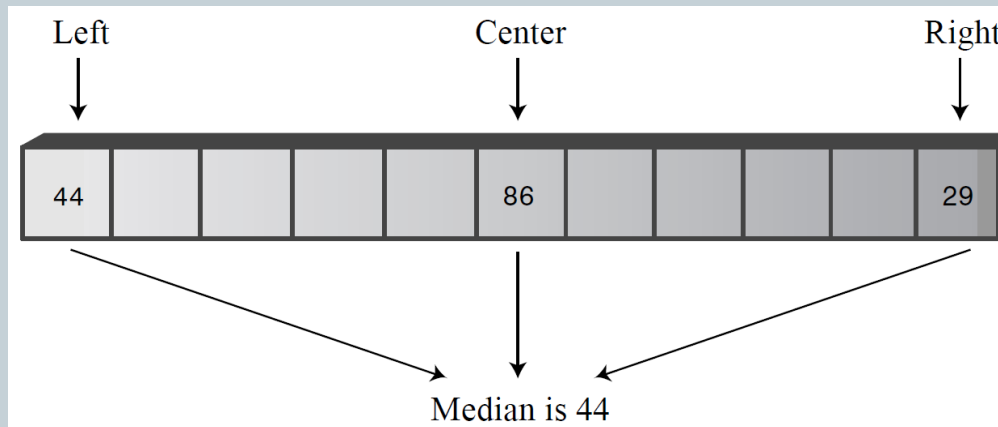
29

- The pivot should be the median of the items being sorted
- The *median* or *middle* item is the data item chosen, it will be divided half smaller and half larger
- Choosing pivot at random is simple but it does NOT always result in a good selection
- The method should be simple but have a good chance of avoiding the largest or smallest value
- Thus, the pivot should be selected by ***Median-of-Three***

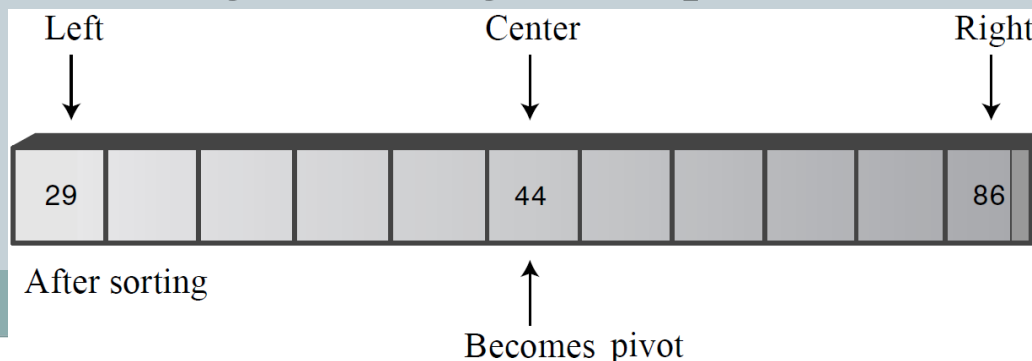
Median-of-Three Partitioning

30

- A compromise solution is to find the median of the *first*, *last*, and *middle* elements of the array, and use this for the pivot
- This is called the *median-of-three*



- During finding median, the three element should be sorted (which the smallest is in left, largest is in right, and pivot is in center)

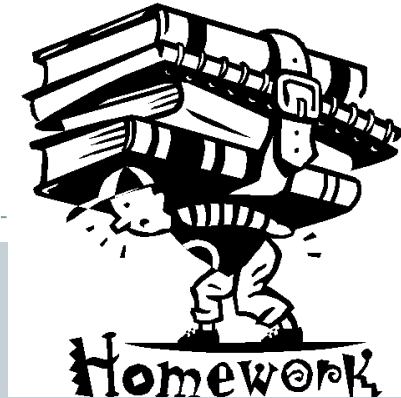


Handling Small Partitions

31

- If you use the median-of-three partitioning scheme, it will not work for partitions of three or fewer items
- For example, after partitioning we have sub-arrays of 2 and 3 items
- In this case, for the sub-arrays use manual sorting
- Knuth^{*} recommends using insertion sort, in case if number item of array is 9

** - The Art of Computer Programming by Donald E. Knuth, of Stanford University (Addison Wesley, 1997)*



Write a QuickSort program which is:

1. pivot is selected by median-of-three;
2. and using **insertion sort** for sub-arrays, which is number items 2 or 3.

End of Chapter 3