

## Set and UFDS

Use case: Checking if graph is undirected, directed or hybrid.  
For each vertex  $u$  in  $adj\_list$ :  $\Rightarrow O(V+E)$  overall  
For each neighbor  $v$  of  $u$ :  
insert  $(v,u)$  into  $hashset H$   
Then, for each vertex  $u$  in  $adj\_list$ :  
For each neighbor  $v$  of  $u$ :  
check if  $H$  contains  $(v,u)$  and  $(u,v)$

### Set

Set is an unordered collection of items with no duplicates. It is the same as in Math.

The main operations are:

- Find – Find an item in a set if it exists
- Insert – Add an item into a set
- Remove – Remove an item from a set
- Union – Union two sets together to form a new set with no duplicates
- Intersect – Find the items that are common in both sets

### Set Implementation #1: HashTable

If we are not required to union or intersect any sets, then a HashTable is a possible implementation of a set. An existing Java API for it is the HashSet.

- Find(item) –  $O(1)$  on average as we just need to do  $O(1)$  hashing and finding
- Insert(item) –  $O(1)$  on average as we just do Insert(item, item) into the table
- Remove(item) –  $O(1)$  on average as we just do  $O(1)$  removal
- Union(set) – Not efficient
- Intersect(set) – Not efficient

### Set Implementation #2: Union-Find Disjoint Sets

UFDS is a collection of disjoint sets. The key ideas are:

- Each set is modelled as a tree
  - Thus a collection of disjoint sets form a forest of trees
- Each set is represented by a **representative item**
  - Which is the root of the corresponding tree of that set

### UFDS Implementation #1: Arrays for Parents and Rank

The simplest way to represent a UFDS is to use an array  $p$ , where  $p[i]$  = parent of item  $i$ . If  $p[i] = i$ , then  $i$  is a root, and is also the representative item of the set that contains  $i$ .

A second array, rank, is also used to support Union-by-Rank heuristic.

For example, we can have  $p = \{2,3,3,3,3,6,6,6,8\}$ , with indices  $0,1,2,3,4,5,6,7,8$ . This means that the parent of item 0 is 2, item 1 is 3, and 3 is a representative item as it's the "parent of itself".

- findSet –  $O(\alpha(N))$  (to be elaborated on later)
  - Recursively visit  $p[i]$  until  $p[i] = i$ , then compress the path
  - **Path Compression**: when exiting, set  $p[i]$  to be representative item

```

public int findSet(int i) {
    if (p.get(i) == i) return i;
    else {
        int ret = findSet(p.get(i));
        p.set(i, ret);
        return ret;
    }
}

```

- isSameSet(i,j) -  $O(\alpha(N))$ 
  - Check if `findSet(i) == findSet(j)`
- unionSet(i, j) -  $O(\alpha(N))$ 
  - If the two items i and j are of different disjoint sets, we can union the two sets by setting the representative item of the taller tree to be the representative item of the new combined set
  - Union-by-Rank heuristic
    - Makes the resulting tree shorter
    - If both trees are equally tall then it does not matter
    - We use another integer array **rank**, where `rank[i]` stores the upper bound of the height of (sub)tree rooted at i
    - This is just an upper bound as path compressions can make (sub)trees shorter than its upper bound and we do not want to waste effort maintaining the correctness of `rank[i]`

```

public void unionSet(int i, int j) {
    if (!isSameSet(i, j)) {
        int x = findSet(i), y = findSet(j);
        // rank is used to keep the tree short
        if (rank.get(x) > rank.get(y))
            p.set(y, x);
        else {
            p.set(x, y);
            if (rank.get(x) == rank.get(y)) // rank increases
                rank.set(y, rank.get(y)+1); // only if both trees } // initially have the
same rank
        }
    }
}

```

### Inverse Ackermann Function - $\alpha(N)$

If both Union-by-Rank and Path Compression heuristics are used, then UFDS operations run in  $O(\alpha(N))$  time. This function grows very slowly, and we can assume it as constant  $O(1)$  for practical values of  $N$  ( $<1M$ ).

**Static DS:** UFDS is a static data structure as we cannot add new items to the sets after it has been created.

### Constructor for UFDS:

```

class UnionFind { // OOP style private ArrayList<Integer> p, rank;
    public UnionFind(int N) {
        p = new ArrayList<Integer>(N);
        rank = new ArrayList<Integer>(N);
        for (int i = 0; i < N; i++) {
            p.add(i);
            rank.add(0);
        }
    }
    // ... other methods in the previous slides
}

```

### Learning Points from Tutorial

Given  $n$  disjoint sets initially in a UFDS, is it possible to call `unionSet(i, j)` and/or `findSet(i)` operations to get a single tree with actual height  $h$  that represents a certain set? Both ‘path-compression’ and ‘union-by-rank’ heuristics are used.

- Possible if  $h \leq \log_2 n$