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# SAT CONSTRAINTS EMBEDDINGS

# CARDINALITY CONSTRAINTS

- ▶ In most of the exercises we solved we found a cardinality constraint.
- ▶ Cardinality constraints are the ones in the form:

$$p_1 + \dots + p_n \stackrel{\leq}{=} k$$

# CARDINALITY CONSTRAINTS

- ▶ In sat these constraints are represented by:
  - ▶ *at\_least\_one*, *at\_most\_one* and *exactly\_one*;
  - ▶ *at\_least\_k*, *at\_most\_k* and *exactly\_k*.
- ▶ The encodings we presented can be very inefficient with big instances, so we need to find better ones.

# CARDINALITY CONSTRAINTS

- Keeping in mind that:

$$at\_least\_k([x_1, \dots, x_n], k) \equiv at\_most\_k(\{\neg x_i \mid x_i \in [x_1, \dots, x_n]\}, n - k)$$

$$exactly\_k([x_1, \dots, x_n]) \equiv at\_most\_k([x_1, \dots, x_n]) \wedge at\_least\_k([x_1, \dots, x_n])$$

- We are going to focus just on the *at\_most\_k* constraint.

**AT MOST ONE**

# AT MOST ONE-PAIRWISE ENCODING

- ▶ The pairwise(or naive) encoding of the *at\_most\_one* constraint is:

$$\bigwedge_{1 \leq i < n} \bigwedge_{i+1 \leq j \leq n} \neg(x_i \wedge x_j)$$

- ▶ This encoding doesn't require the addition of any new variables, but it encodes  $O(n^2)$  clauses.

## AT MOST ONE-SEQUENTIAL ENCODING

- ▶ The sequential encoding of the *at\_most\_one* constraint consists of using  $n - 1$  variables  $s_i$  to keep track of which  $x_i$  is true, it is encoded as follows:

$$(\neg x_1 \vee s_1) \wedge (\neg x_n \vee \neg s_{n-1}) \wedge \bigwedge_{1 < i < n} ((\neg x_i \vee s_i) \wedge (\neg s_{i-1} \vee s_i) \wedge (\neg x_i \vee \neg s_{i-1}))$$

- ▶ This encoding produces  $3n - 4(O(n))$  clauses.

## AT MOST ONE-BITWISE ENCODING

- ▶ The bitwise encoding of the *at\_most\_one* constraint consists of using  $m = \log_2(n)$  new variables  $r_1, \dots, r_m$  to represent the binary encoding of the index of the variable which is true, so it is encoded like:

$$\bigwedge_{1 \leq i \leq n} \bigwedge_{1 \leq j \leq m} \neg x_i \vee r_{i,j} [\neg r_{i,j}]$$

- ▶ Where  $r_{i,j} [\neg r_{i,j}]$  if bit  $j$  of the binary encoding of  $i - 1$  is 1[0].
- ▶ This encoding produces  $n \log_2(n)$  clauses.



## AT MOST ONE-HEULE ENCODING

- ▶ The Heule encoding is another linear version of the *at\_most\_one* constraint applicable for  $n > 4$ , which consists of splitting the pairwise encoding in two parts, adding an auxiliary variable  $y$  and repeating recursively the method for the second term, until the condition  $n \leq 4$  is met:

$$at\_most\_one(x_1, \dots, x_3, y) \wedge at\_most\_one(\neg y, x_4, \dots, x_n)$$

- ▶ This encoding require the addition of  $(n - 3)/2$  new variable, but it encodes  $3n - 6, O(n)$  clauses.

**AT MOST  $K$**

## AT MOST K-PAIRWISE ENCODING

- ▶ The pairwise(or naive) encoding of the *at\_most\_k* constraint is:

$$at\_most\_k([x_1, \dots, x_n], k) \equiv \bigwedge_{M \subseteq \{1, \dots, n\}} \bigvee_{i \in M} \neg x_i$$

- ▶ This encoding doesn't require the addition of any new variables, but it encodes  $\binom{n}{k+1}$  clauses of length  $k+1$ , with  $|M| = k+1$ .
- ▶  $O(n^{k+1})$  clauses.

## AT MOST K-SEQUENTIAL ENCODING

- ▶ The sequential encoding of the *at\_most\_k* constraint consists of using  $(n - 1) * k$  variables  $s_{i,j}$  to keep track of which  $x_i$  is true, and what sum  $j$  is reached at index  $i$ . it is encoded as follows:

$$\left. \begin{array}{l}
 (\neg x_1 \vee s_{1,1}) \\
 (\neg s_{1,j}) \quad \text{for } 1 < j \leq k \\
 (\neg x_i \vee s_{i,1}) \\
 (\neg s_{i-1,1} \vee s_{i,1}) \\
 (\neg x_i \vee \neg s_{i-1,j-1} \vee s_{i,j}) \\
 (\neg s_{i-1,j} \vee s_{i,j}) \\
 (\neg x_i \vee \neg s_{i-1,k}) \\
 (\neg x_n \vee \neg s_{n-1,k})
 \end{array} \right\} \text{ for } 1 < j \leq k \quad \left. \vphantom{\begin{array}{l} (\neg x_1 \vee s_{1,1}) \\ (\neg s_{1,j}) \\ (\neg x_i \vee s_{i,1}) \\ (\neg s_{i-1,1} \vee s_{i,1}) \\ (\neg x_i \vee \neg s_{i-1,j-1} \vee s_{i,j}) \\ (\neg s_{i-1,j} \vee s_{i,j}) \\ (\neg x_i \vee \neg s_{i-1,k}) \end{array}} \right\} \text{ for } 1 < i < n$$

- ▶ This encoding needs  $2nk + n - 3k - 1$  clauses.