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## SAT CONSTRAINTS EMBEDDINGS

### CARDINALITY CONSTRAINTS

- In most of the exercises we solved we found a cardinality constraint.
- Cardinality constraints are the ones in the form:

$$p_1 + \ldots + p_n \leq k$$

### CARDINALITY CONSTRAINTS

- In sat these constraints are represented by:
  - at\_least\_one, at\_most\_one and exactly\_one;
  - at\_least\_k, at\_most\_k and exactly\_k.
- The encodings we presented can be very inefficient with big instances, so we need to find better ones.

#### CARDINALITY CONSTRAINTS

Keeping in mind that:

$$at\_least\_k([x_1, ..., x_n], k) \equiv at\_most\_k(\{ \neg x_i | x_i \in [x_1, ..., x_n] \}, n - k)$$

$$exactly\_k([x_1,...,x_n]) \equiv at\_most\_k([x_1,...,x_n]) \land at\_least\_k([x_1,...,x_n])$$

• We are going to focus just on the  $at\_most\_k$  constraint.

# AT MOST ONE

#### AT MOST ONE-PAIRWISE ENCODING

▶ The pairwise(or naive) encoding of the at\_most\_one constraint is:

$$\bigwedge_{1 \le i < n} \bigwedge_{i+1 \le j \le n} \neg (x_i \land x_j)$$

This encoding doesn't require the addition of any new variables, but it encodes  $O(n^2)$  clauses.

#### AT MOST ONE-SEQUENTIAL ENCODING

▶ The sequential encoding of the  $at\_most\_one$  constraint consists of using n-1 variables  $s_i$  to keep track of which  $x_i$  is true, it is encoded as follows:

$$(\neg x_1 \lor s_1) \land (\neg x_n \lor \neg s_{n-1}) \land \bigwedge_{1 < i < n} ((\neg x_i \lor s_i) \land (\neg s_{i-1} \lor s_i) \land (\neg x_i \lor \neg s_{i-1}))$$

▶ This encoding produces 3n - 4(O(n)) clauses.

#### AT MOST ONE-BITWISE ENCODING

The bitwise encoding of the  $at\_most\_one$  constraint consists of using  $m = log_2(n)$  new variables  $r_1, ..., r_m$  to represent the binary encoding of the index of the variable which is true, so it is encoded like:

$$\bigwedge_{1 \leq i \leq n} \bigwedge_{1 \leq j \leq m} \neg x_i \lor r_{i,j} [\neg r_{i,j}]$$

- ▶ Where  $r_{i,j}[\neg r_{i,j}]$  if bit j of the binary encoding of i-1 is 1[0].
- ▶ This encoding produces  $nlog_2(n)$  clauses.

#### AT MOST ONE-HEULE ENCODING

The Heule encoding is another linear version of the  $at\_most\_one$  constraint applicable for n > 4, which consists of splitting the pairwise encoding in two parts, adding an auxiliary variable y and repeating recursively the method for the second term, until the condition  $n \le 4$  is met:

$$at\_most\_one(x_1, ..., x_3, y) \land at\_most\_one(\neg y, x_4, ..., x_n)$$

This encoding require the addition of (n-3)/2 new variable, but it encodes 3n-6, O(n) clauses.

# AT MOST K

#### AT MOST K-PAIRWISE ENCODING

▶ The pairwise(or naive) encoding of the *at\_most\_k* constraint is:

$$at\_most\_k([x_1, ..., x_n], k) \equiv \bigwedge_{M \subseteq \{1, ..., n\}} \bigvee_{i \in M} \neg x_i$$

- This encoding doesn't require the addition of any new variables, but it encodes  $\binom{n}{k+1}$  clauses of length k+1, with |M|=k+1.
- $O(n^{k+1})$  clauses.

#### AT MOST K-SEQUENTIAL ENCODING

The sequential encoding of the  $at\_most\_k$  constraint consists of using (n-1)\*k variables  $s_i$  to keep track of which  $x_i$  is true, it is encoded as follows:

$$\begin{array}{ll} (\neg x_1 \vee s_{1,1}) \\ (\neg s_{1,j}) & \text{for } 1 < j \leq k \\ (\neg x_i \vee s_{i,1}) \\ (\neg s_{i-1,1} \vee s_{i,1}) \\ (\neg x_i \vee \neg s_{i-1,j-1} \vee s_{i,j}) \\ (\neg s_{i-1,j} \vee s_{i,j}) \\ (\neg x_i \vee \neg s_{i-1,k}) \\ (\neg x_n \vee \neg s_{n-1,k}) \end{array} \right\} \quad \text{for } 1 < j \leq k \quad \left. \right\}$$

▶ This encoding needs 2nk + n - 3k - 1 clauses.