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# SAT CONSTRAINTS EMBEDDINGS

# CARDINALITY CONSTRAINTS

- ▶ In most of the exercises we solved we found a cardinality constraint.
- ▶ Cardinality constraints are the ones in the form:

$$p_1 + \dots + p_n \stackrel{\leq}{=} k$$

# CARDINALITY CONSTRAINTS

- ▶ In sat these constraints are represented by:
  - ▶ *at\_least\_one*, *at\_most\_one* and *exactly\_one*;
  - ▶ *at\_least\_k*, *at\_most\_k* and *exactly\_k*.
- ▶ The encodings we presented can be very inefficient with big instances, so we need to find better ones.

# CARDINALITY CONSTRAINTS

- Keeping in mind that:

$$at\_least\_k(V, k) \equiv at\_most\_k(\{\neg v \mid v \in V\}, |V| - k)$$

$$exactly\_k(V) \equiv at\_most\_k(V) \wedge at\_least\_k(V)$$

- We are going to focus just on the *at\_most\_k* constraint.

## AT MOST ONE-PAIRWISE ENCODING

- ▶ The pairwise(or naive) encoding of the *at\_most\_one* constraint is:

$$\bigwedge_{1 \leq i < |V|} \bigwedge_{i+1 \leq j \leq |V|} \neg(v_i \wedge v_j)$$

- ▶ This encoding doesn't require the addition of any new variables, but it encodes  $O(n^2)$  clauses, with  $n = |V|$ .

## AT MOST ONE-SEQUENTIAL ENCODING

- ▶ The sequential encoding of the *at\_most\_one*( $V$ ) constraint consists of using  $n - 1$  variables  $s_i$  to keep track of which  $V_i$  is true, it is encoded as follows:

$$(\neg v_1 \vee s_1) \wedge (\neg v_n \vee \neg s_{n-1}) \wedge \bigwedge_{1 < i < n} ((\neg v_i \vee s_i) \wedge (\neg s_{i-1} \vee s_i) \wedge (\neg v_i \vee \neg s_{i-1}))$$

- ▶ This encoding produces  $3n - 4(O(n))$  clauses, with  $n = |V|$ .

## AT MOST ONE-BITWISE ENCODING

- ▶ The bitwise encoding of the  $at\_most\_one(V)$  constraint consists of using  $\log_2(n)$  new variables  $r_1, \dots, r_{\log_2(n)}$  to represent the binary encoding of the index of the variable which is true, so it is encoded like:

$$\bigwedge_{1 \leq i < n} \bigwedge_{1 \leq j < \log_2(n)} \neg v_i \vee r_{i,j}$$

- ▶ This encoding produces  $n \log_2(n)$  clauses, with  $n = |V|$ .

## AT MOST ONE-HEULE ENCODING

- ▶ The Heule encoding is another linear version of the  $at\_most\_one(V)$  constraint applicable for  $n > 4$ , which consists of splitting the pairwise encoding in two parts, adding an auxiliary variable  $y$  and repeating recursively the method for the second term, until the condition  $n \leq 4$  is met:

$$at\_most\_one(v_1, \dots, v_3, y) \wedge at\_most\_one(\neg y, v_4, \dots, v_n)$$

- ▶ This encoding require the addition of  $(n - 3)/2$  new variable, but it encodes  $3n - 6, O(n)$  clauses, with  $n = |V|$ .



## AT MOST K-PAIRWISE ENCODING

- ▶ The pairwise(or naive) encoding of the *at\_most\_k* constraint is:

$$at\_most\_k(V, k) \equiv \bigwedge_{X \subseteq V} \bigvee_{v \in X} \neg v$$

- ▶ This encoding doesn't require the addition of any new variables, but it encodes  $\binom{n}{k+1}$  clauses of length  $k+1$ , with  $n = |V|$ .
- ▶ In the worst case, it can amount to a  $O(2^n / \sqrt{n/2})$

## AT MOST K-SEQUENTIAL ENCODING

- ▶ The sequential encoding of the  $at\_most\_k(V, k)$  constraint consists of using  $(n - 1) * k$  variables  $s_i$  to keep track of which  $V_i$  is true, it is encoded as follows:

$$\left. \begin{array}{l}
 (\neg x_1 \vee s_{1,1}) \\
 (\neg s_{1,j}) \quad \text{for } 1 < j \leq k \\
 (\neg x_i \vee s_{i,1}) \\
 (\neg s_{i-1,1} \vee s_{i,1}) \\
 (\neg x_i \vee \neg s_{i-1,j-1} \vee s_{i,j}) \\
 (\neg s_{i-1,j} \vee s_{i,j}) \\
 (\neg x_i \vee \neg s_{i-1,k}) \\
 (\neg x_n \vee \neg s_{n-1,k})
 \end{array} \right\} \text{ for } 1 < j \leq k \quad \left. \vphantom{\begin{array}{l} (\neg x_1 \vee s_{1,1}) \\ (\neg s_{1,j}) \\ (\neg x_i \vee s_{i,1}) \\ (\neg s_{i-1,1} \vee s_{i,1}) \\ (\neg x_i \vee \neg s_{i-1,j-1} \vee s_{i,j}) \\ (\neg s_{i-1,j} \vee s_{i,j}) \\ (\neg x_i \vee \neg s_{i-1,k}) \\ (\neg x_n \vee \neg s_{n-1,k}) \end{array}} \right\} \text{ for } 1 < i < n$$

- ▶ This encoding needs  $2nk + n - 3k - 1$  clauses, with  $n = |V|$ .