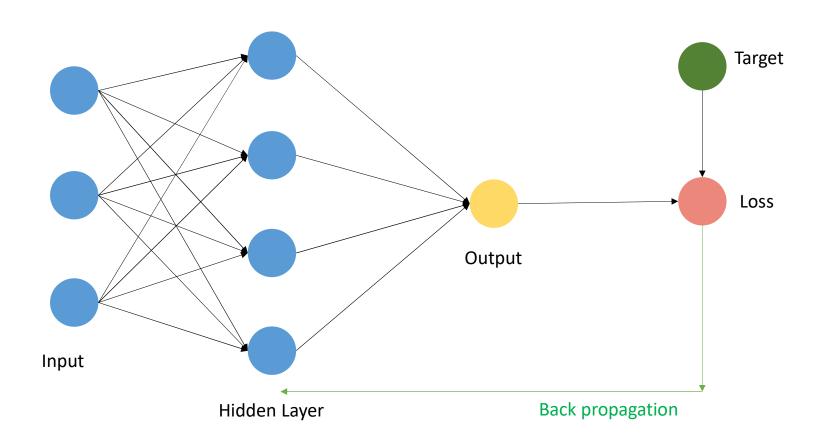
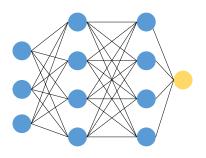
# Inside ChatGPT: How Large Language Models Really Work

By: Aman Khokhar

## Neural Networks

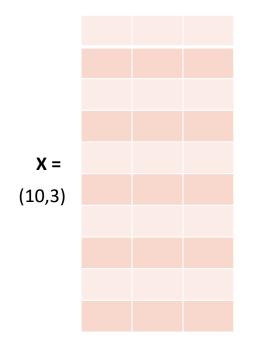


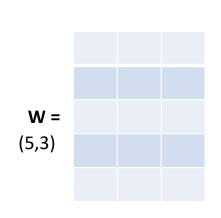
## Neural Networks!

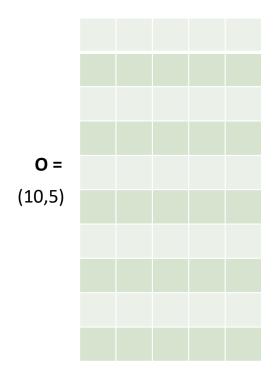


$$O = a(XW^T + b)$$

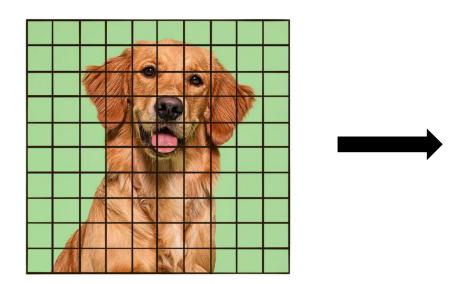
 $\boldsymbol{a}$  is the activation function to introduce non-linearity





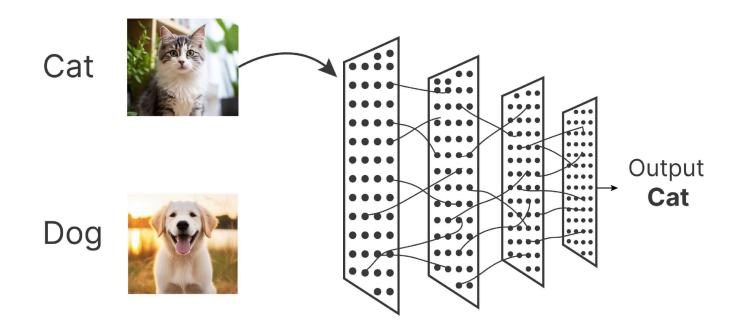


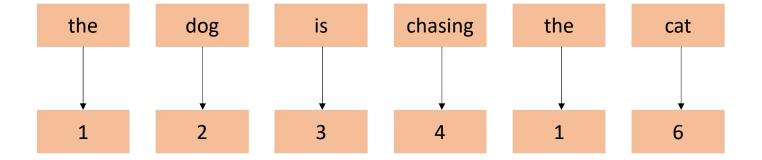
# Matrix representation of Image

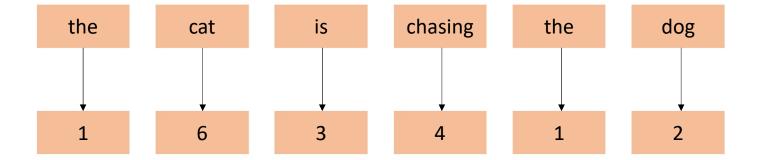


2	2	2	2	2	2	2	2	2	2
2	2	30	65	202	211	223	62	2	2
2	2	15	31	254	200	211	54	7	2
2	2	60	198	211	224	250	218	60	2
2	2	74	203	217	180	192	200	2	2
2	2	2	201	195	150	231	216	2	2
2	2	50	199	194	234	215	75	2	2
2	2	102	190	189	178	175	45	2	2
2	60	147	180	174	186	195	4	2	2
2	132	201	195	214	211	185	32	2	2

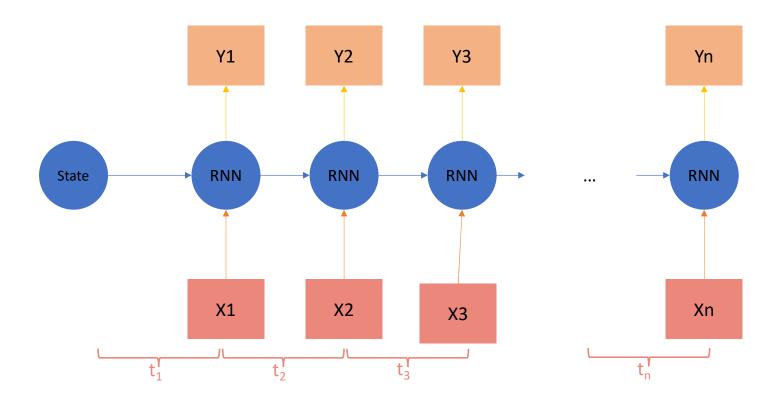
# Why do we need transformers?







#### Recurrent Neural Networks



#### Problems with RNNs

- Slow computation for very long sequences
- Problems of vanishing and exploding gradients
- Assume we're computing the gradient of a loss L at time step 3 with respect to the hidden state at time step 0:

$$\frac{\partial L}{\partial h_0} = \frac{\partial h_3}{\partial h_2} \cdot \frac{\partial h_2}{\partial h_1} \cdot \frac{\partial h_1}{\partial h_0} \cdot \frac{\partial L}{\partial h_3}$$

Difficulty accessing information from long time ago

#### **Attention Is All You Need**

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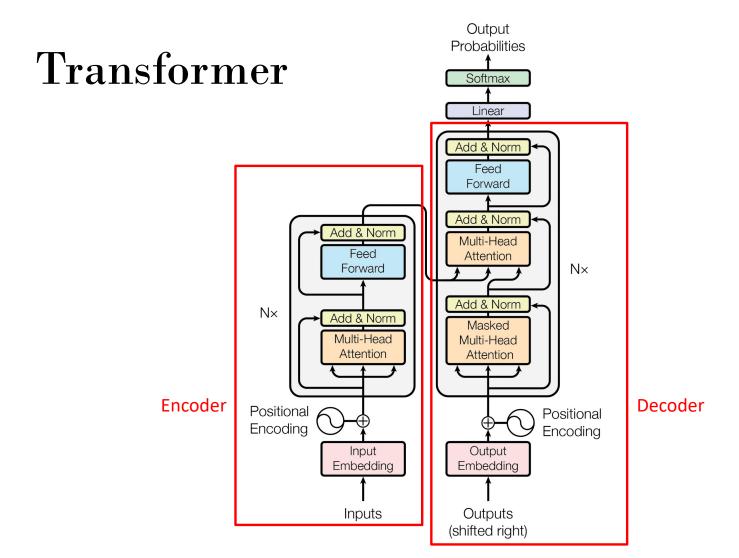
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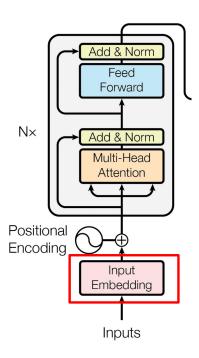
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illia.polosukhin@gmail.com



"Attention is all you need"

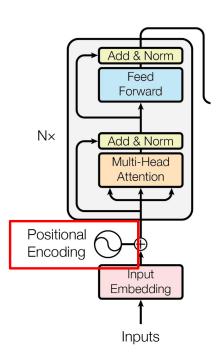
# Input Embedding

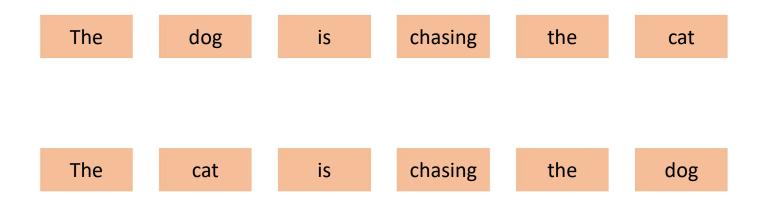


# Input Embeddings

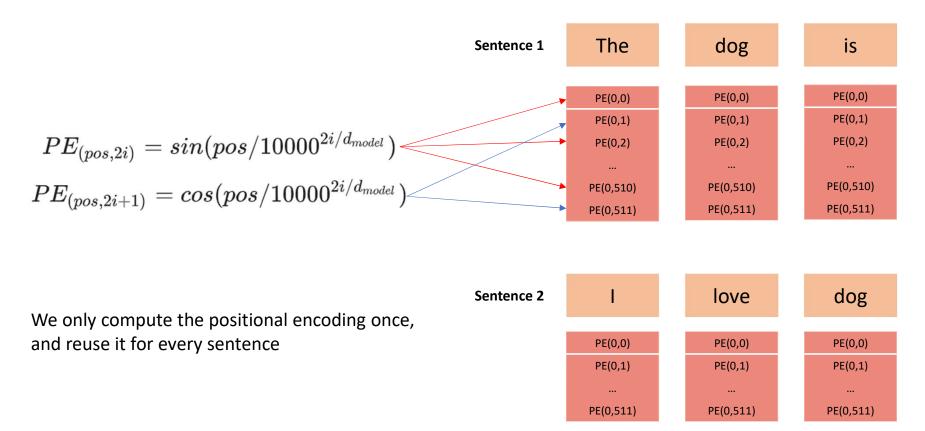


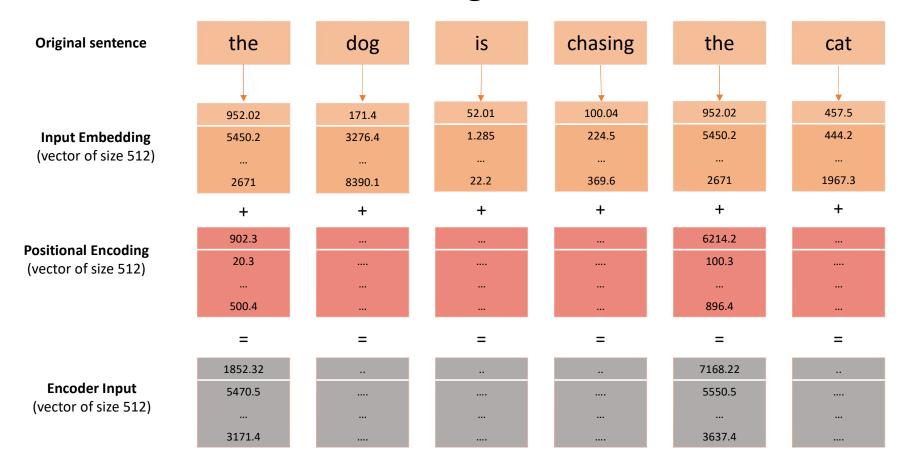
 $\mathbf{d}_{model}$  = 512, which represents size of the embedding vector



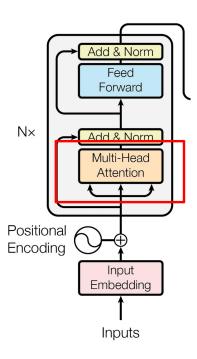


Positional encoding represent the spatial pattern between each word/token that model can learn





## Attention



#### Self-Attention

- Self-Attention allows model to learn how words are related to each other
- For example, in sentence "The dog is chasing the cat", self-attention mechanism will give higher score to the word "dog" and "chasing", because in this context dog is doing the action -> chasing.
- Attention  $(Q, K, V) = Softmax\left(\frac{QK^T}{\sqrt{d}}\right)V$
- So for the sentence, "The dog is chasing the cat", the attention matrix might look like:

The	0.03
dog	0.4
is	0.05
chasing	0.3
the	0.02
cat	0.2

#### Self-Attention

The

dog

is

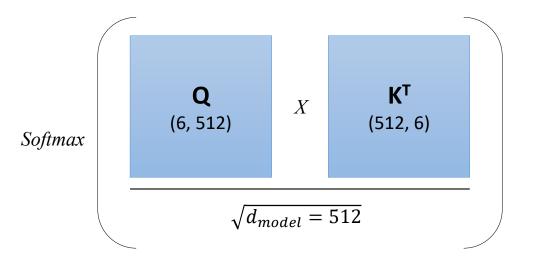
chasing

the

cat

$$Attention(Q, K, V) = Softmax\left(\frac{QK^{T}}{\sqrt{d_{model}}}\right)V$$

Suppose, **seq** = 6 and **d**<sub>model</sub> = 512 **Q**, **K** and **V** are just input sentence embeddings



	The	dog	is	chasing	the	cat
The	0.268	0.119	0.134	0.148	0.179	0.152
dog	0.124	0.278	0.128	0.201	0.115	0.154
is	0.147	0.132	0.262	0.097	0.218	0.145
chasing	0.128	0.201	0.206	0.212	0.119	0.125
the	0.146	0.158	0.152	0.143	0.227	0.174
cat	0.195	0.114	0.203	0.103	0.157	0.229

(6,6)

#### Self-Attention

$$Attention (Q, K, V) = Softmax \left(\frac{QK^{T}}{\sqrt{d_{model}}}\right)V$$

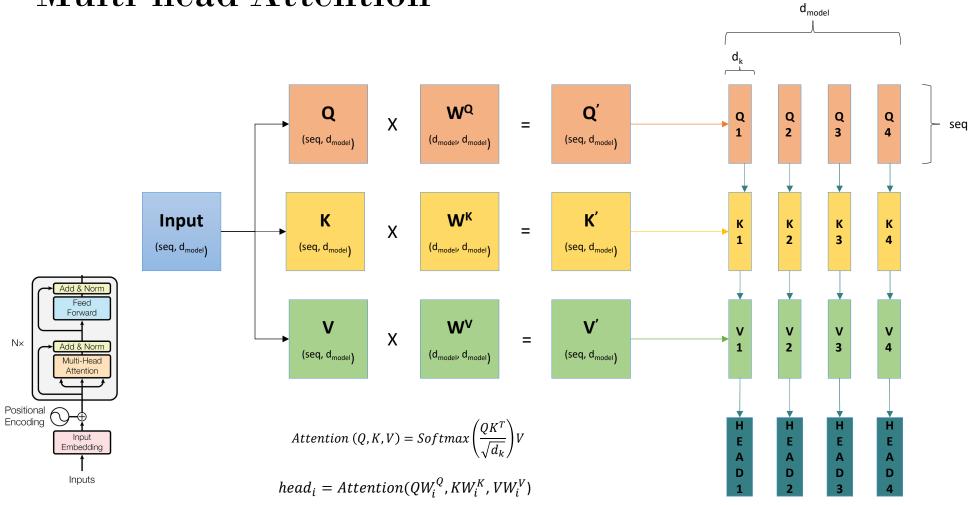
	The	dog	is	chasing	the	cat
The	0.268	0.119	0.134	0.148	0.179	0.152
dog	0.124	0.278	0.128	0.201	0.115	0.154
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the	0.146	0.158	0.152	0.143	0.227	0.174
cat	0.195	0.114	0.203	0.103	0.157	0.229

**V** (6, 512)

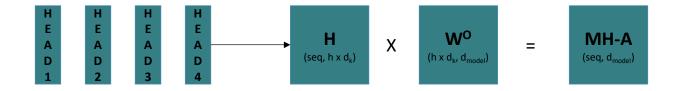
**Attention** (6, 512)

Each row in this matrix not only captures the embeddings or the position in the sentence but also, each word's interactions with each other

#### Multi-head Attention

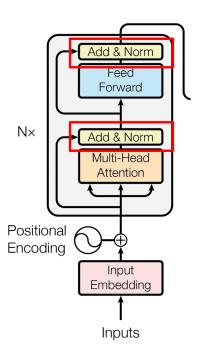


#### **Multi-head Attention**

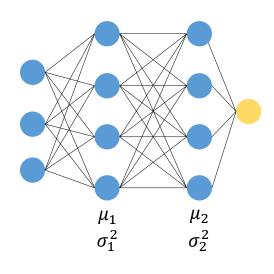


 $MultiHead(Q, K, V) = Concat(head_1, ..., head_h)W^{O}$ 

## Normalization

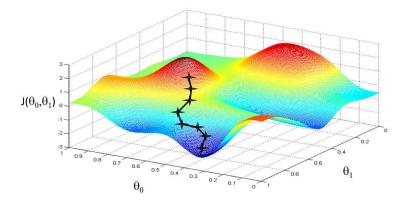


## Layer Normalization



**Gradient Descend** 

$$\theta \leftarrow \theta - \eta . \frac{\partial L}{\partial \theta}$$



Without normalization, the input to each layer can vary a lot, causing erratic gradients.

$$\widehat{x_j} = \frac{x_j - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}} * \gamma + \beta$$

We also introduce two learnable parameters, usually called **gamma** (multiplicative) and **beta** (additive) that introduce some fluctuations in data. Model learns to tune these two parameters to introduce fluctuations when necessary.

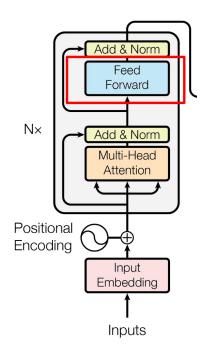
#### **Residual Connection**

A residual connection (or skip connection) is a technique in neural networks **Target** where the input to a layer is added directly to its output, skipping over the layer. Add & Norm Feed Input Loss Forward  $N \times$ Add & Norm Multi-Head Attention loss ■ In deep networks, gradients can become very small or very large as they are backpropagated. Positional Residuals allow gradients to flow directly through Encoding layers, making training deep networks feasible. Input Embedding Shallow network Inputs Deep network

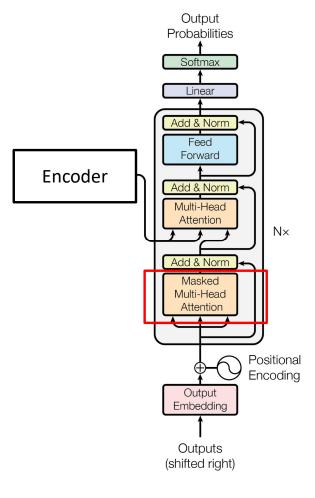
epochs

# Feed Forward Layer

- Refines token representations by applying non-linear transformations independently to each position after attention.
- Increases model capacity through dimensional expansion and compression (e.g.,  $512 \rightarrow 2048 \rightarrow 512$ ).
- Adds non-linearity via activation functions (e.g., ReLU or GELU), enabling the model to learn complex patterns.



#### Decoder

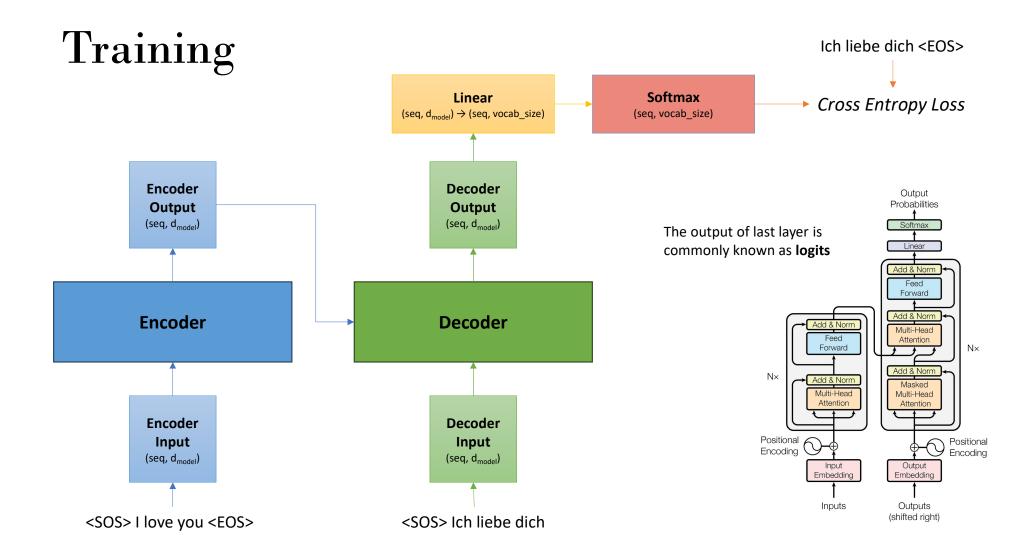


#### Masked Multi-Head Attention

Our goal is to make the model **causal**, ensuring that during masked multi-head attention, each position can only attend to previous or current positions and not future tokens. This prevents the model from accessing information from future words during training.

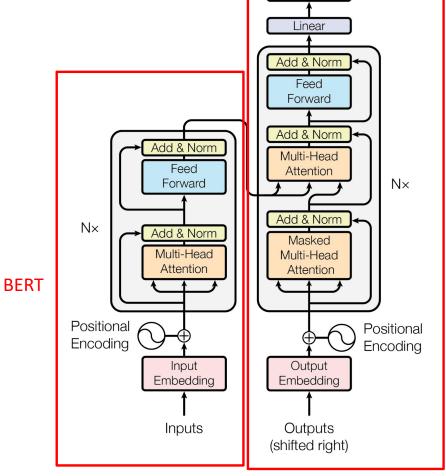
	The	dog	is	chasing	the	cat
The	0.268	0.119	0.134	0.148	0.179	0.152
dog	0.124	0.278	0.128	0.201	0.115	0.154
is	0.147	0.132	0.262	0.097	0.218	0.145
chasing	0.128	0.201	0.206	0.212	0.119	0.125
the	0.146	0.158	0.152	0.143	0.227	0.174
cat	0.195	0.114	0.203	0.103	0.157	0.229

To enforce causality in masked multi-head attention, elements above the main diagonal in the attention score matrix are replaced with negative infinity ( $-\infty$ ). After applying the softmax function, these  $-\infty$  values become zeros, effectively masking out future tokens and ensuring that each position can only attend to itself and preceding positions.



# Large Language Models

- BERT (Bidirectional Encoder Representations from Transformers) is a
  transformer-based model that uses only the encoder component of
  the original Transformer architecture. It consists of multiple encoder
  layers stacked on top of each other, allowing it to process input text in
  a bidirectional (context-aware) manner. This means that BERT
  considers both the left and right context of a word simultaneously,
  making it highly effective for understanding the meaning of words in
  context.
- GPT (Generative Pre-trained Transformer), on the other hand, is a
  decoder-only model built on the Transformer architecture. It stacks
  multiple transformer decoder blocks and processes text in a left-toright (autoregressive) manner, meaning it only looks at past tokens to
  predict the next token. GPT is pre-trained using causal language
  modeling (CLM), where it learns to predict the next word in a
  sequence. Its architecture and training make it especially suited for
  natural language generation (NLG) tasks, such as text completion,
  story generation, and dialogue systems.



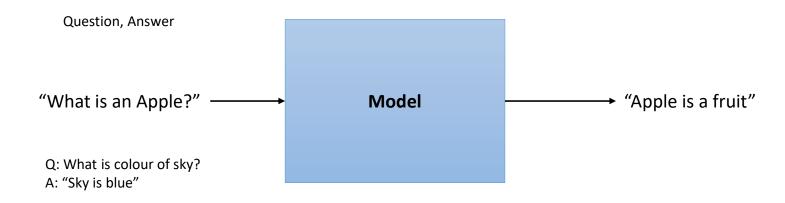
Output Probabilities

Softmax

GPT

#### **GPT-Model**

- **Pretraining:** The model is trained using **self-supervised learning** to develop a general understanding of the language. It learns patterns, grammar, and semantics from large amounts of unlabeled text.
- **Fine tuning:** The pretrained model is further trained on a specific task using **supervised learning** with labeled data. This adapts the model to perform well on tasks like classification, translation, or question answering.
- **Meta-learning**: The model is trained across multiple tasks to learn how to adapt quickly to new tasks with minimal data, effectively "learning how to learn."



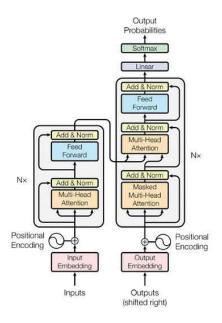
#### Llama Model

#### **LLaMA: Open and Efficient Foundation Language Models**

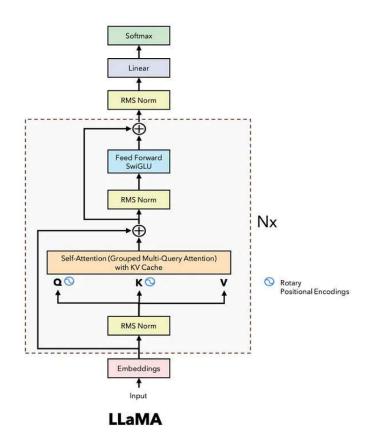
Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet Marie-Anne Lachaux, Timothee Lacroix, Baptiste Rozière, Naman Goyal Eric Hambro, Faisal Azhar, Aurelien Rodriguez, Armand Joulin Edouard Grave, Guillaume Lample

Meta AI

#### LLaMA Model



**Transformer** ("Attention is all you need")



#### RMS Normalization

#### **Root Mean Square Layer Normalization**

Biao Zhang<sup>1</sup> Rico Sennrich<sup>2,1</sup>

<sup>1</sup>School of Informatics, University of Edinburgh

<sup>2</sup>Institute of Computational Linguistics, University of Zurich
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#### 4 RMSNorm

A well-known explanation of the success of LayerNorm is its re-centering and re-scaling invariance property. The former enables the model to be insensitive to shift noises on both inputs and weights, and the latter keeps the output representations intact when both inputs and weights are randomly scaled. In this paper, we hypothesize that the re-scaling invariance is the reason for success of LayerNorm, rather than re-centering invariance.

We propose RMSNorm which only focuses on re-scaling invariance and regularizes the summed inputs simply according to the root mean square (RMS) statistic:

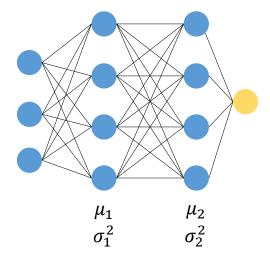
$$\bar{a}_i = \frac{a_i}{\text{RMS}(\mathbf{a})} g_i, \text{ where RMS}(\mathbf{a}) = \sqrt{\frac{1}{n} \sum_{i=1}^n a_i^2}.$$
 (4)

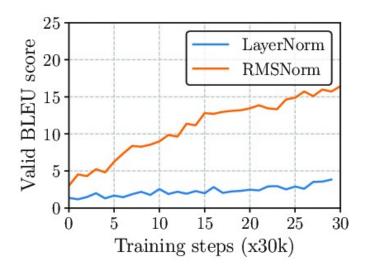
#### RMS Normalization

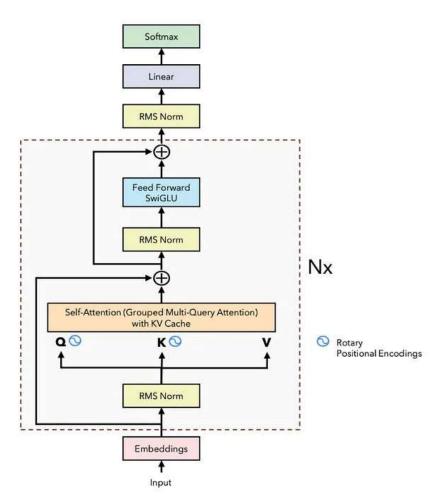
$$\widehat{y}_j = \frac{x_j - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}} * \gamma + \beta$$

 $\mu$ : Mean  $\sigma^2$ : Variance

- Less computation time than layer normalization
- faster convergence, better generalization, or more stable training dynamics when using RMSNorm for language generation



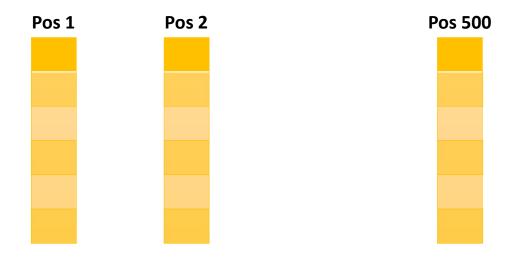




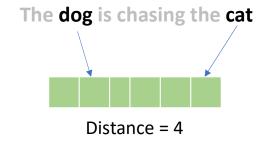
LLaMA

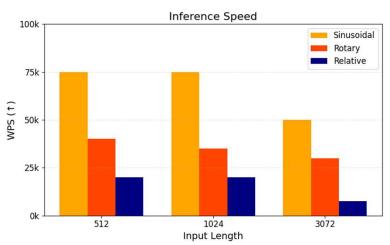
## Problem with Positional Embeddings

Positional encodings represent absolute positions, so they don't directly capture relative distances between tokens — which are often more important for understanding context. Intuitively, positions 1 and 2 are close together, but the model doesn't inherently capture that relationship.



# Relative Positional Embedding





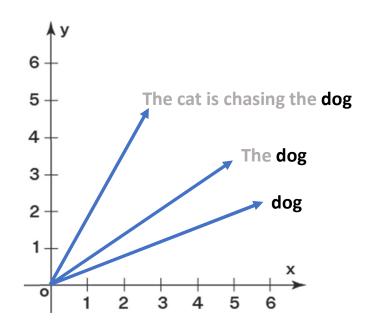
#### The dog is chasing the cat

$$Softmax \left( \begin{array}{c|cccc} b_0 & b_1 & b_2 & b_3 & b_4 & b_5 \\ \hline b_{-1} & b_0 & b_1 & b_2 & b_3 & b_4 \\ \hline b_{-2} & b_{-1} & b_0 & b_1 & b_2 & b_3 \\ \hline b_{-3} & b_{-2} & b_{-1} & b_0 & b_1 & b_2 \\ \hline b_{-4} & b_{-3} & b_{-2} & b_{-1} & b_0 & b_1 \\ \hline b_{-5} & b_{-4} & b_{-3} & b_{-2} & b_{-1} & b_0 \\ \hline \end{array} \right.$$

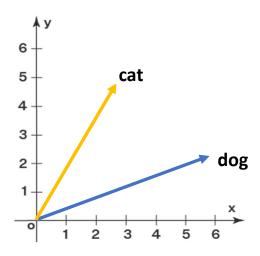
In the relative positional embedding matrix, b<sub>1</sub> represents a word one position ahead, b<sub>-1</sub> a word one position behind, and b<sub>4</sub> a word four positions ahead of the current word.

## Rotary Positional Embedding

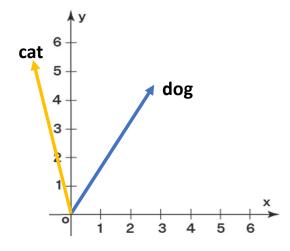
- Instead of adding a positional vector to the token embedding, the embedding is rotated by an angle  $\theta$  based on its position.
- This approach has a key advantage: more tokens can be added after a given word without affecting its encoded position.



## Rotary Positional Embedding



The dog chased the cat



Once upon a time, the dog chased the cat

#### ROFORMER: ENHANCED TRANSFORMER WITH ROTARY POSITION EMBEDDING

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We begin with a simple case with a dimension d=2. Under these settings, we make use of the geometric property of vectors on a 2D plane and its complex form to prove (refer Section (3.4.1) for more details) that a solution to our formulation Equation (11) is:

$$f_{q}(\boldsymbol{x}_{m}, m) = (\boldsymbol{W}_{q}\boldsymbol{x}_{m})e^{im\theta}$$

$$f_{k}(\boldsymbol{x}_{n}, n) = (\boldsymbol{W}_{k}\boldsymbol{x}_{n})e^{in\theta}$$

$$g(\boldsymbol{x}_{m}, \boldsymbol{x}_{n}, m - n) = \text{Re}[(\boldsymbol{W}_{q}\boldsymbol{x}_{m})(\boldsymbol{W}_{k}\boldsymbol{x}_{n})^{*}e^{i(m-n)\theta}]$$
(12)

where  $\text{Re}[\cdot]$  is the real part of a complex number and  $(\boldsymbol{W}_k \boldsymbol{x}_n)^*$  represents the conjugate complex number of  $(\boldsymbol{W}_k \boldsymbol{x}_n)$ .  $\theta \in \mathbb{R}$  is a preset non-zero constant. We can further write  $f_{\{q,k\}}$  in a multiplication matrix:

$$f_{\{q,k\}}(\boldsymbol{x}_m, m) = \begin{pmatrix} \cos m\theta & -\sin m\theta \\ \sin m\theta & \cos m\theta \end{pmatrix} \begin{pmatrix} W_{\{q,k\}}^{(11)} & W_{\{q,k\}}^{(12)} \\ W_{\{q,k\}}^{(21)} & W_{\{q,k\}}^{(22)} \end{pmatrix} \begin{pmatrix} x_m^{(1)} \\ x_m^{(2)} \end{pmatrix}$$
(13)

In order to generalize our results in 2D to any  $x_i \in \mathbb{R}^d$  where d is even, we divide the d-dimension space into d/2 sub-spaces and combine them in the merit of the linearity of the inner product, turning  $f_{\{q,k\}}$  into:

$$f_{\{q,k\}}(\boldsymbol{x}_m, m) = \boldsymbol{R}_{\Theta,m}^d \boldsymbol{W}_{\{q,k\}} \boldsymbol{x}_m \tag{14}$$

where

$$\boldsymbol{R}_{\Theta,m}^{d} = \begin{pmatrix} \cos m\theta_{1} & -\sin m\theta_{1} & 0 & 0 & \cdots & 0 & 0\\ \sin m\theta_{1} & \cos m\theta_{1} & 0 & 0 & \cdots & 0 & 0\\ 0 & 0 & \cos m\theta_{2} & -\sin m\theta_{2} & \cdots & 0 & 0\\ 0 & 0 & \sin m\theta_{2} & \cos m\theta_{2} & \cdots & 0 & 0\\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots\\ 0 & 0 & 0 & 0 & \cdots & \cos m\theta_{d/2} & -\sin m\theta_{d/2}\\ 0 & 0 & 0 & 0 & \cdots & \sin m\theta_{d/2} & \cos m\theta_{d/2} \end{pmatrix}$$
(15)

is the rotary matrix with pre-defined parameters  $\Theta = \{\theta_i = 10000^{-2(i-1)/d}, i \in [1, 2, ..., d/2]\}$ .

Taking the advantage of the sparsity of  $\mathbf{R}_{\Theta,m}^d$  in Equation (15), a more computational efficient realization of a multiplication of  $R_{\Theta}^d$  and  $\mathbf{x} \in \mathbb{R}^d$  is:

$$\boldsymbol{R}_{\Theta,m}^{d}\boldsymbol{x} = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ \vdots \\ x_{d-1} \\ x_d \end{pmatrix} \otimes \begin{pmatrix} \cos m\theta_1 \\ \cos m\theta_1 \\ \cos m\theta_2 \\ \cos m\theta_2 \\ \vdots \\ \cos m\theta_{d/2} \\ \cos m\theta_{d/2} \end{pmatrix} + \begin{pmatrix} -x_2 \\ x_1 \\ -x_4 \\ x_3 \\ \vdots \\ -x_d \\ x_{d-1} \end{pmatrix} \otimes \begin{pmatrix} \sin m\theta_1 \\ \sin m\theta_1 \\ \sin m\theta_2 \\ \sin m\theta_2 \\ \vdots \\ \sin m\theta_2 \\ \vdots \\ \sin m\theta_{d/2} \\ \sin m\theta_{d/2} \end{pmatrix}$$
(34)

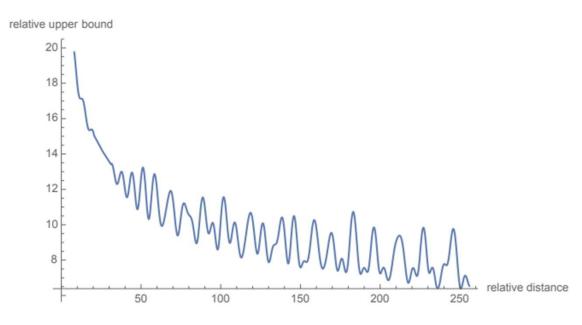
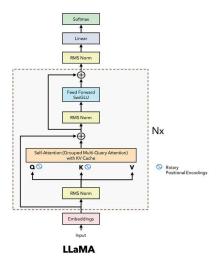


Figure 2: Long-term decay of RoPE.

Once upon a time, the **dog** chased the **cat**, but just as the cat leapt over a fallen flowerpot, the sky above them shimmered and a peculiar star blinked far too close to the **earth**, spinning like a dizzy firefly. The chase paused as both animals stared, their feud forgotten, watching the star burp out a trail of glittering stardust that made the garden hum like a sleepy lullaby.

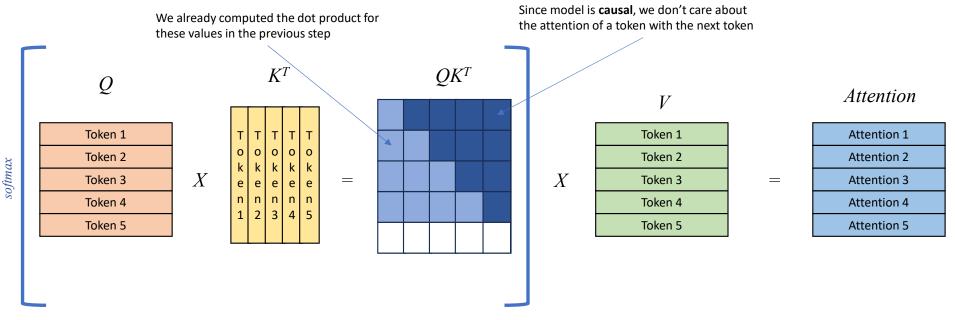
## Next token prediction task

Output: The dog is chasing the cat [EOS]



**Input:** [SOS] The dog is chasing the cat

### Attention with Next token prediction



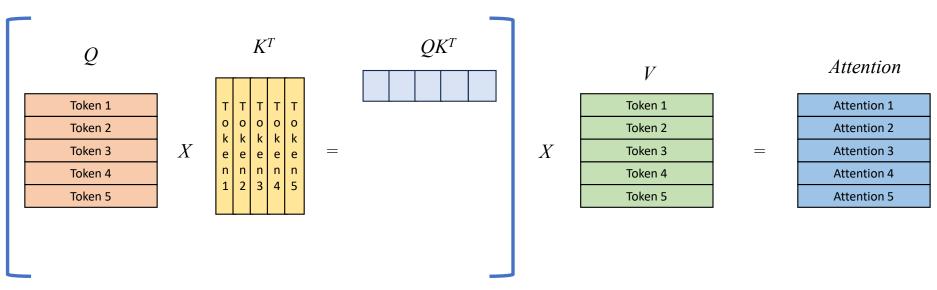
$$Attention (Q, K, V) = Softmax \left(\frac{QK^{T}}{\sqrt{d_{k}}}\right)V$$

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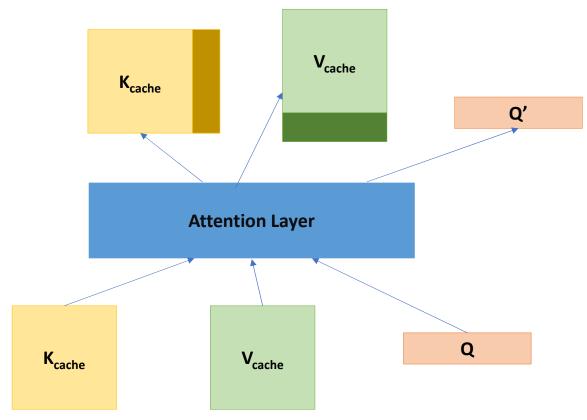
Once upon a time, the dog chased the cat, but just as the cat leapt over a fallen flowerpot, the sky above them shimmered and a peculiar star

#### KV - Cache



$$Attention(Q, K, V) = Softmax\left(\frac{QK^{T}}{\sqrt{d_{k}}}\right)V$$

## KV - Cache



#### KV - Cache

Once upon a time, the dog chased the cat, but just as the cat leapt over a fallen flowerpot

High Latency

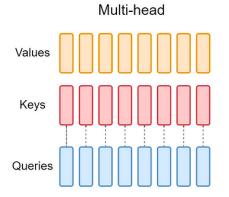
Low Latency

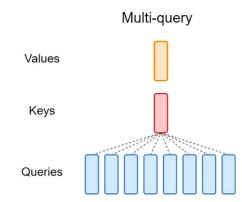
# **Multi-Query Attention**

#### Fast Transformer Decoding: One Write-Head is All You Need

Noam Shazeer Google noam@google.com

November 7, 2019





## **Multi-Query Attention**

- Multi-headed attention (MHA) gives each "head" its own key- and value-projection, which maximizes representational capacity but incurs a heavy memory-bandwidth cost during decoding.
- Multi-query attention (MQA) addresses this by sharing a *single* key and value projection across all H query heads. This reduces the size of the key-value cache and hence the per-step memory bandwidth, by a factor of H, yielding much faster decoding with only minor quality degradation in practice

Attention	Training	Inference	Beam-4 Search
Type	100	enc. $+$ dec.	enc. + dec.
multi-head	13.2	1.7 + 46	2.0 + 203
multi-query	13.0	1.5 + 3.8	1.6 + 32

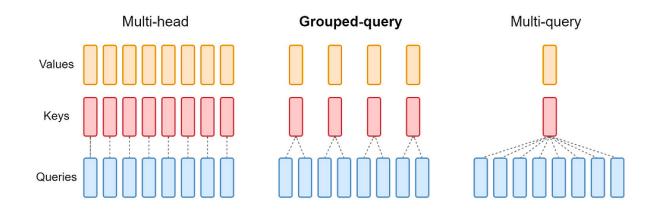
Attention	h	$d_k, d_v$	$d_{ff}$	ln(PPL)	BLEU	BLEU (test)
Type				(dev)	(dev)	beam 1 / 4
multi-head	8	128	4096	1.424	26.7	27.7 / 28.4
multi-query	8	128	5440	1.439	26.5	27.5 / <b>28.5</b>

#### Grouped Multi-Query Attention

#### **GQA:** Training Generalized Multi-Query Transformer Models from Multi-Head Checkpoints

Joshua Ainslie, James Lee-Thorp, Michiel de Jong, Yury Zemlyanskiy, Federico Lebrón, Sumit Sanghai

#### Google Research



## Grouped Multi-Query Attention

- **Grouped-query attention (GQA)** generalizes these two extremes by partitioning the H query heads into G groups (1 ≤ G ≤ H), each with its own shared key/value head. Thus:
- G = H ⇒ equivalent to full **MHA**,
- $G = 1 \Rightarrow$  equivalent to MQA,
- intermediate G gives a **bandwidth–capacity trade-off**: you reduce KV cache size by a factor of G (versus H), while retaining more distinct key/value projections than MQA.

Matrix	МНА	MQA	GQA
Mõ	d x d	d x d	d x d
$W_{K}$	d x d	d x (d/H)	$G\left[d  x  (d/G)\right] = d^2$
$W^V$	d x d	d x (d/H)	$G\left[d  x  (d/G)\right] = d^2$
$W_O$	d x d	d x d	d x d
Total	$4d^2$	$2d^2+2\frac{d^2}{H}$	$4d^2$

Scheme	Cache size	Numeric example
MHA	2L d	≈ 16MB
MQA	2L (d/H)	$\approx 1MB$
GQA	2L d(G/H)	$\approx 4MB$

### Grouped Multi-Query Attention

Model	T <sub>infer</sub>	Average   CNN		arXiv	PubMed	MediaSum	ediaSum MultiNews		TriviaQA	
	s	-	$\mathbf{R_1}$	$\mathbf{R_1}$	$R_1$	$\mathbf{R_1}$	$\mathbf{R}_{1}$	BLEU	F1	
MHA-Large	0.37	46.0	42.9	44.6	46.2	35.5	46.6	27.7	78.2	
MHA-XXL	1.51	47.2	43.8	45.6	47.5	36.4	46.9	28.4	81.9	
MQA-XXL	0.24	46.6	43.0	45.0	46.9	36.1	46.5	28.5	81.3	
GQA-8-XXL	0.28	47.1	43.5	45.4	47.7	36.3	47.2	28.4	81.6	

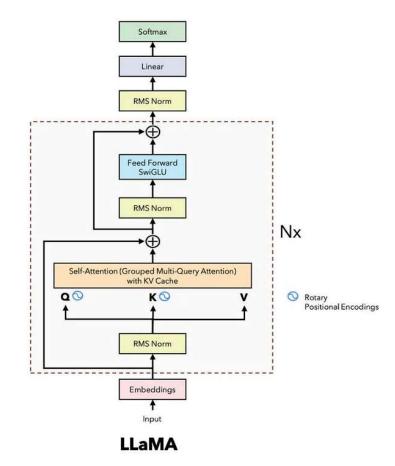
Table 1: Inference time and average dev set performance comparison of T5 Large and XXL models with multi-head attention, and 5% uptrained T5-XXL models with multi-query and grouped-query attention on summarization datasets CNN/Daily Mail, arXiv, PubMed, MediaSum, and MultiNews, translation dataset WMT, and question-answering dataset TriviaQA.

#### SwiGLU activation

#### **GLU Variants Improve Transformer**

Noam Shazeer Google noam@google.com

February 14, 2020



#### SwiGLU activation

• **Swish** is a smooth, non-monotonic activation defined by:

$$Swish(x) = x \cdot \sigma(\beta x)$$

where  $\sigma$  is the sigmoid function and  $\beta$  is a learnable scalar. For negative inputs it suppresses activations toward zero, and for positive inputs it behaves almost linearly, much like ReLU.

• The **Gated Linear Unit (GLU)** splits its input into two parallel affine transforms, one of which passes through a sigmoid gate:

$$GLU(x) = (Wx + b) \otimes \sigma(Vx + c)$$

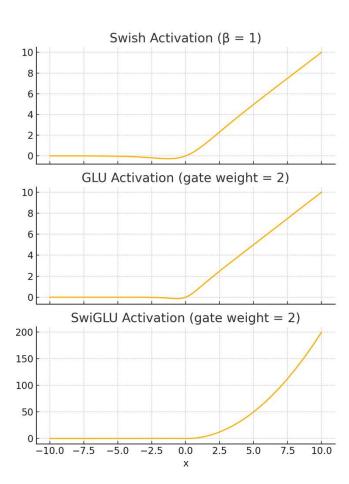
where "

" denotes element-wise multiplication.

• **SwiGLU** simply replaces the sigmoid gate in GLU with the Swish function. Concretely, if you split your input and apply two linear projections *U* and *V*, with biases *d* and *e*, then

$$SwiGLU(x) = (Ux + d) \otimes Swish(Vx + c)$$

This combines the smooth gating of Swish with the feature-selective power of GLU.



	Score	BoolQ	CB	CB	CoPA	MultiRC	MultiRC	ReCoRD	ReCoRD	RTE	WiC	WSC
	Average	Acc	F1	Acc	Acc	F1	$\mathbf{E}\mathbf{M}$	F1	EM	Acc	Acc	Acc
$FFN_{ReLU}$	72.76	80.15	83.37	89.29	70.00	76.93	39.14	73.73	72.91	83.39	67.71	77.88
$FFN_{GELU}$	72.98	80.64	86.24	91.07	74.00	75.93	38.61	72.96	72.03	81.59	68.34	75.96
$FFN_{Swish}$	72.40	80.43	77.75	83.93	67.00	76.34	39.14	73.34	72.36	81.95	68.18	81.73
$FFN_{GLU}$	73.95	80.95	77.26	83.93	73.00	76.07	39.03	74.22	73.50	84.12	67.71	87.50
$FFN_{GEGLU}$	73.96	81.19	82.09	87.50	72.00	77.43	41.03	75.28	74.60	83.39	67.08	83.65
$FFN_{Bilinear}$	73.81	81.53	82.49	89.29	76.00	76.04	40.92	74.97	74.10	82.67	69.28	78.85
$FFN_{SwiGLU}$	74.56	81.19	82.39	89.29	73.00	75.56	38.72	75.35	74.55	85.20	67.24	86.54
$FFN_{ReGLU}$	73.66	80.89	86.37	91.07	67.00	75.32	40.50	75.07	74.18	84.48	67.40	79.81
[Raffel et al., 2019]	71.36	76.62	91.22	91.96	66.20	66.13	25.78	69.05	68.16	75.34	68.04	78.56
ibid. stddev.	0.416	0.365	3.237	2.560	2.741	0.716	1.011	0.370	0.379	1.228	0.850	2.029
	•	•										

