

# Recursion and Induction

- Themes
  - Recursion
  - Recurrence Relations
  - Recursive Definitions
  - Induction (prove properties of recursive programs and objects defined recursively)
- Examples
  - Tower of Hanoi
  - Gray Codes
  - Hypercube

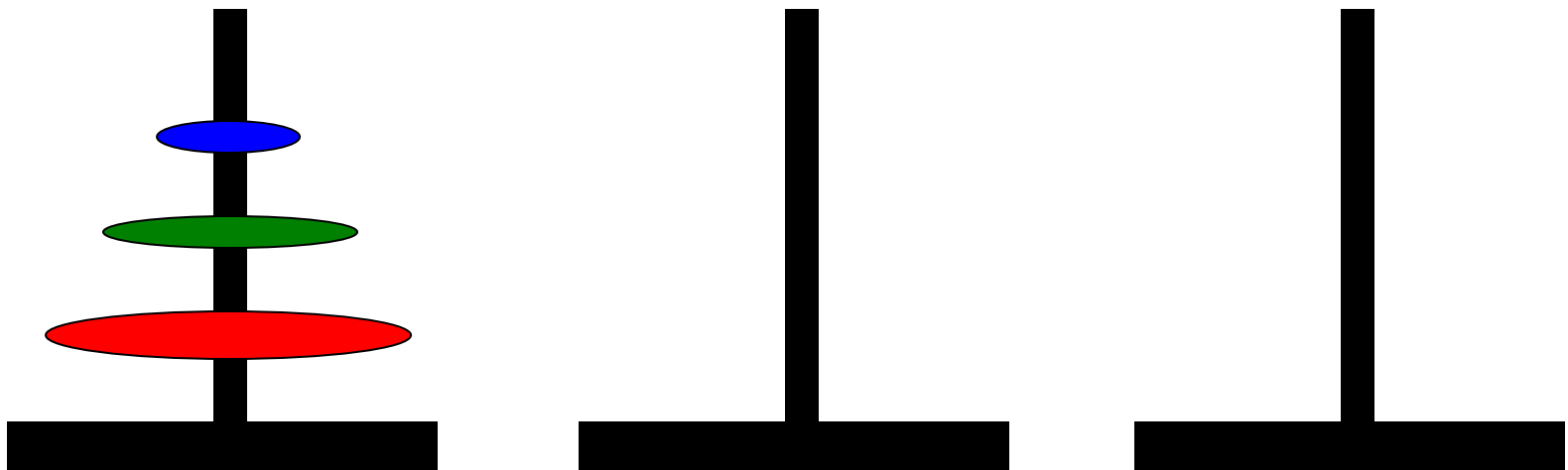
# Tower of Hanoi

- There are three towers
- 64 gold disks, with decreasing sizes, placed on the first tower
- You need to move all of the disks from the first tower to the last tower
- Larger disks can not be placed on top of smaller disks
- The third tower can be used to temporarily hold disks

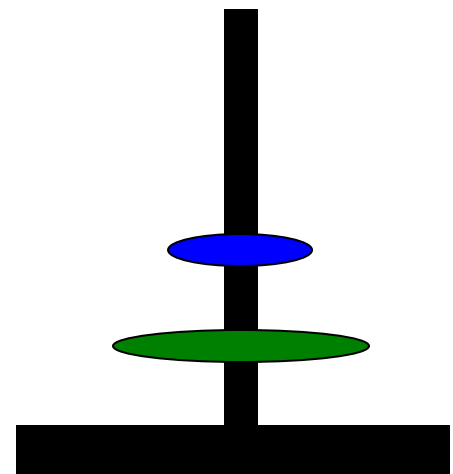
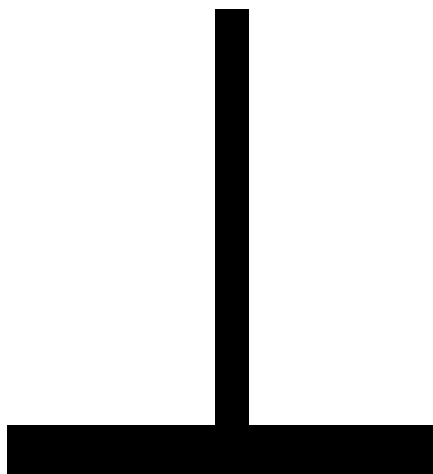
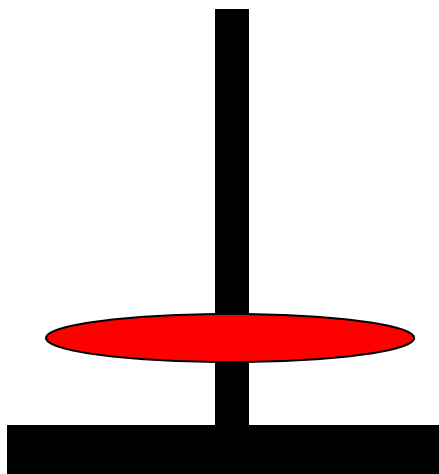
# Tower of Hanoi

- The disks must be moved within one week. Assume one disk can be moved in 1 second. Is this possible?
- To create an algorithm to solve this problem, it is convenient to generalize the problem to the “N-disk” problem, where in our case  $N = 64$ .

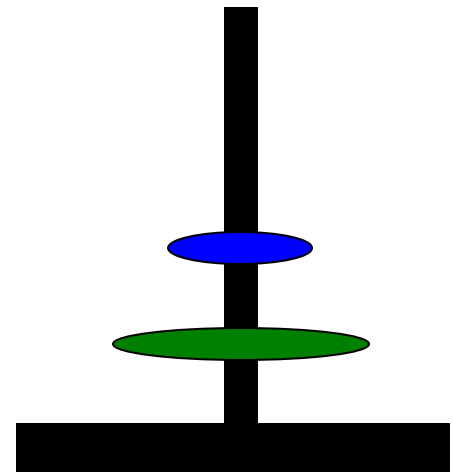
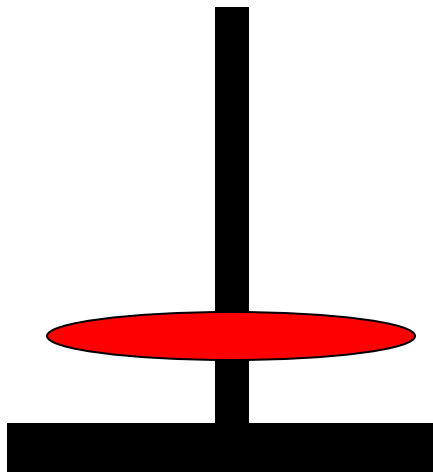
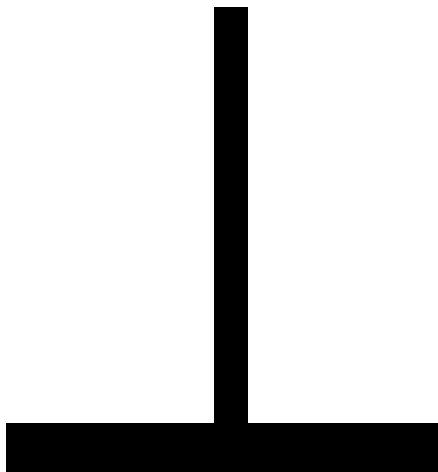
# Recursive Solution



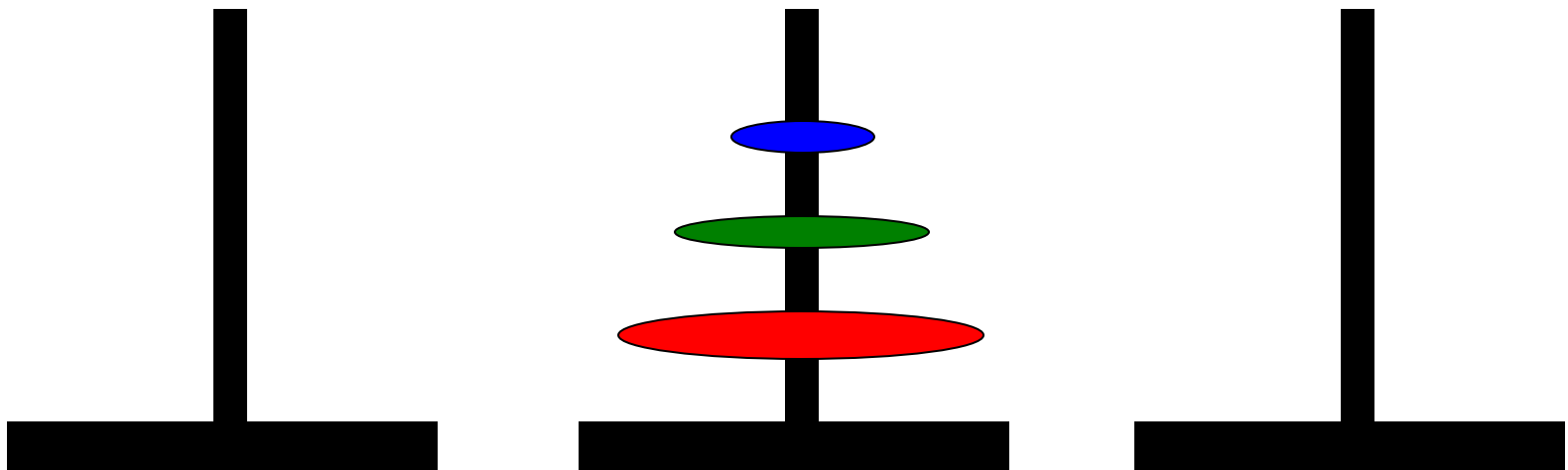
# Recursive Solution



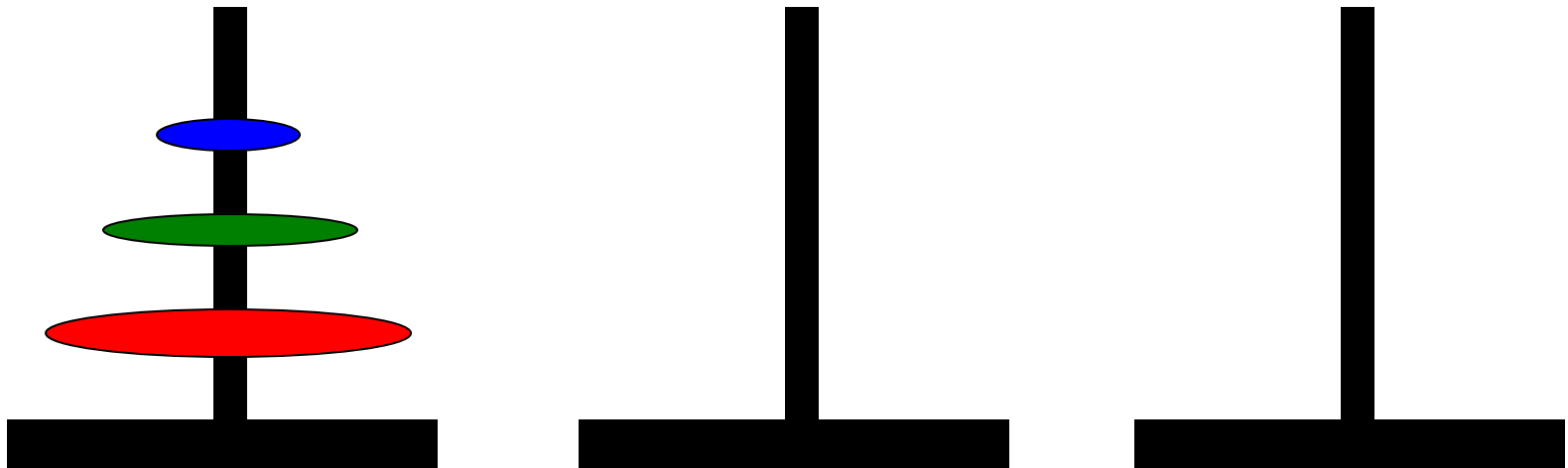
# Recursive Solution



# Recursive Solution

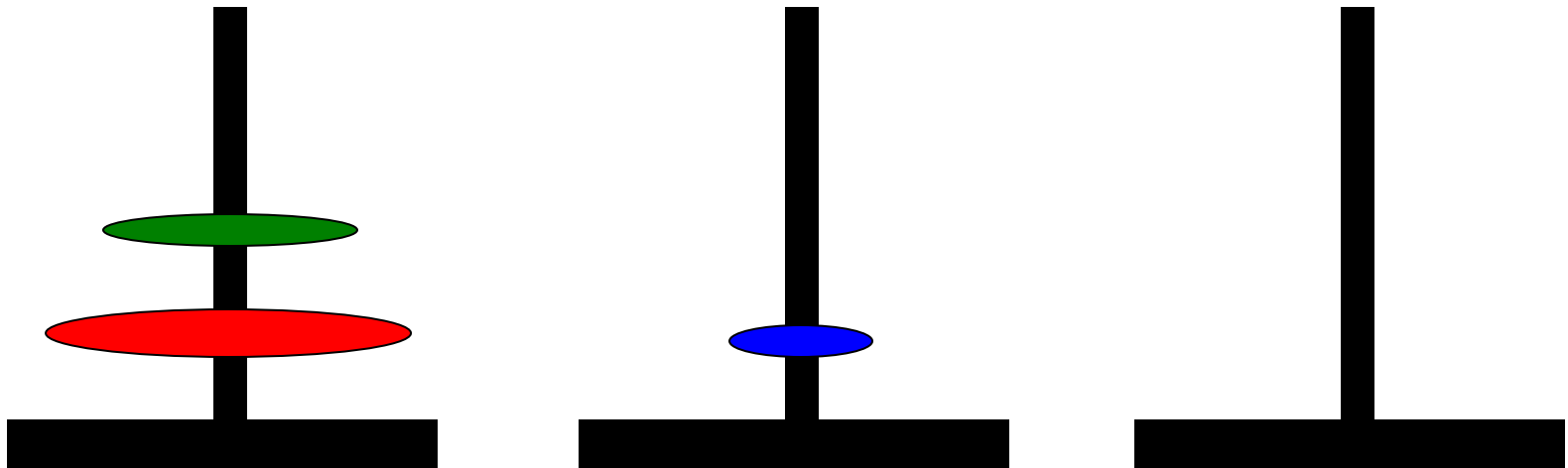


# Tower of Hanoi

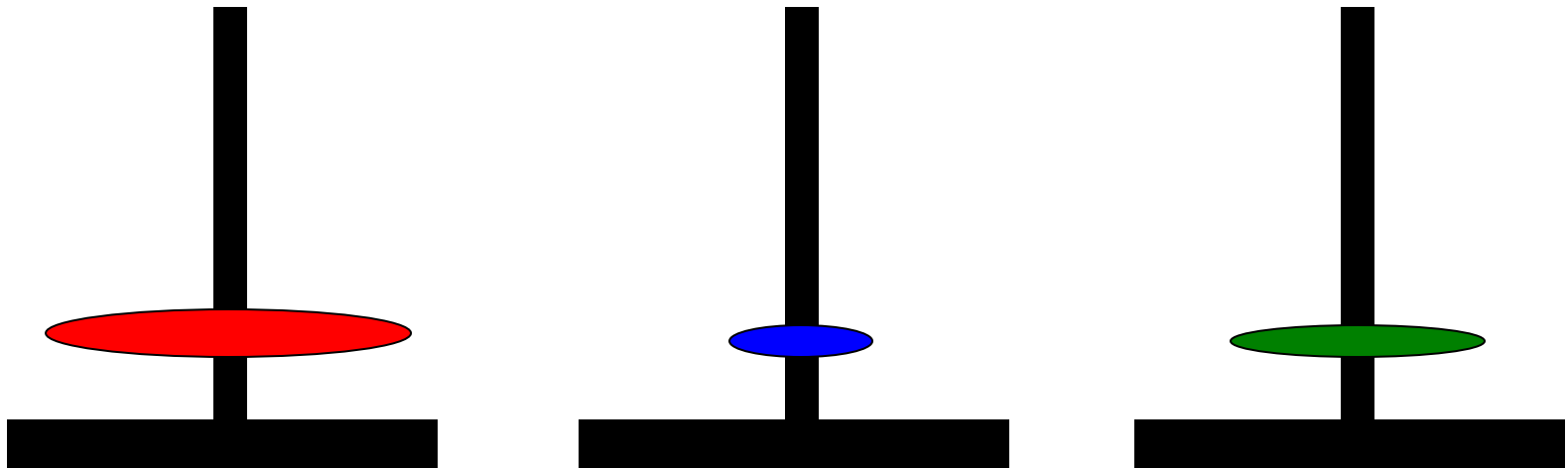




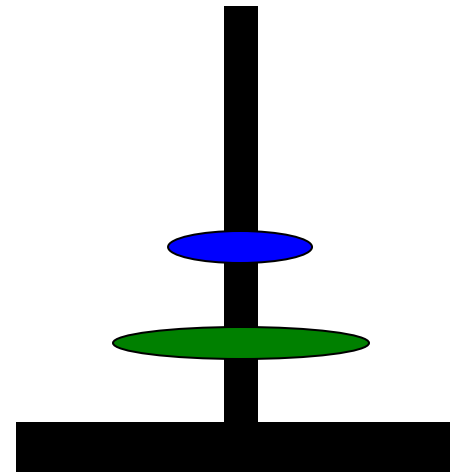
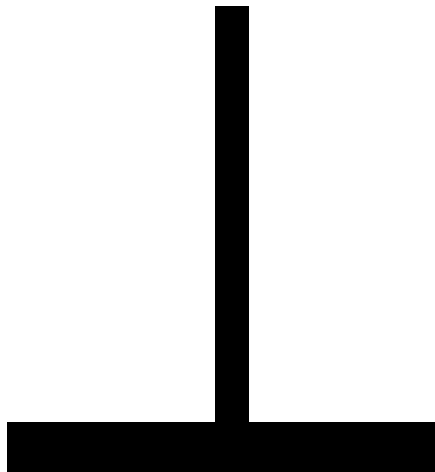
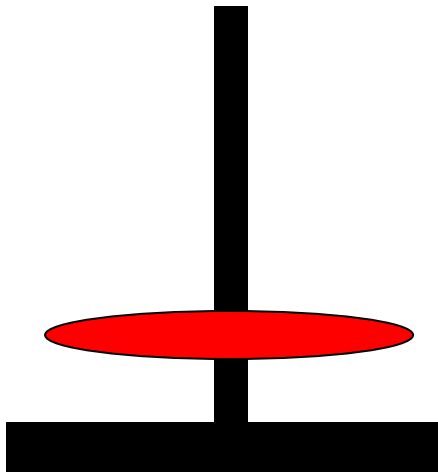
# Tower of Hanoi



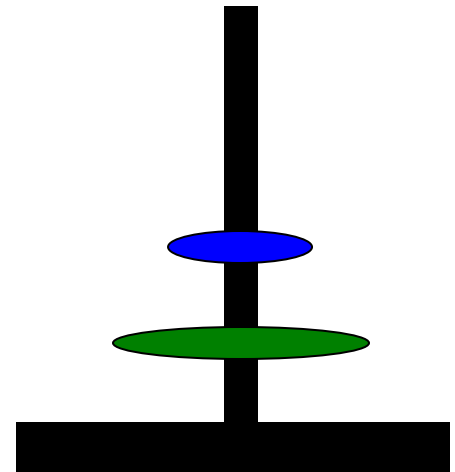
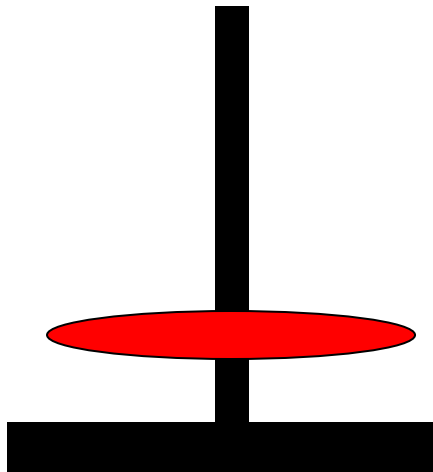
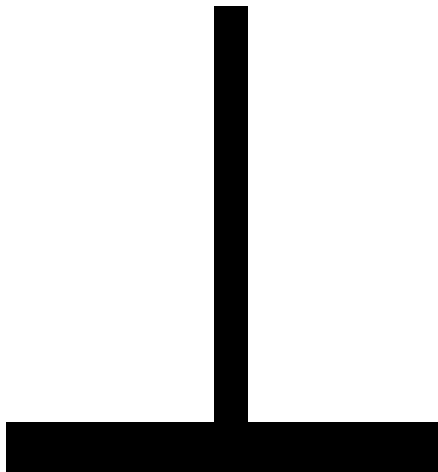
# Tower of Hanoi



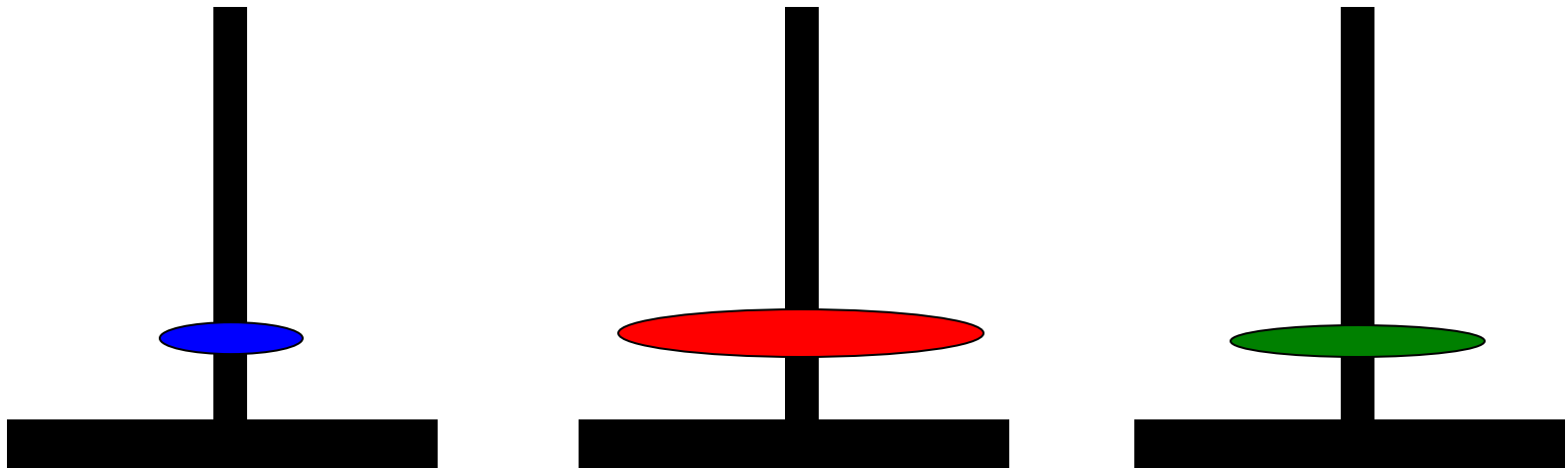
# Tower of Hanoi



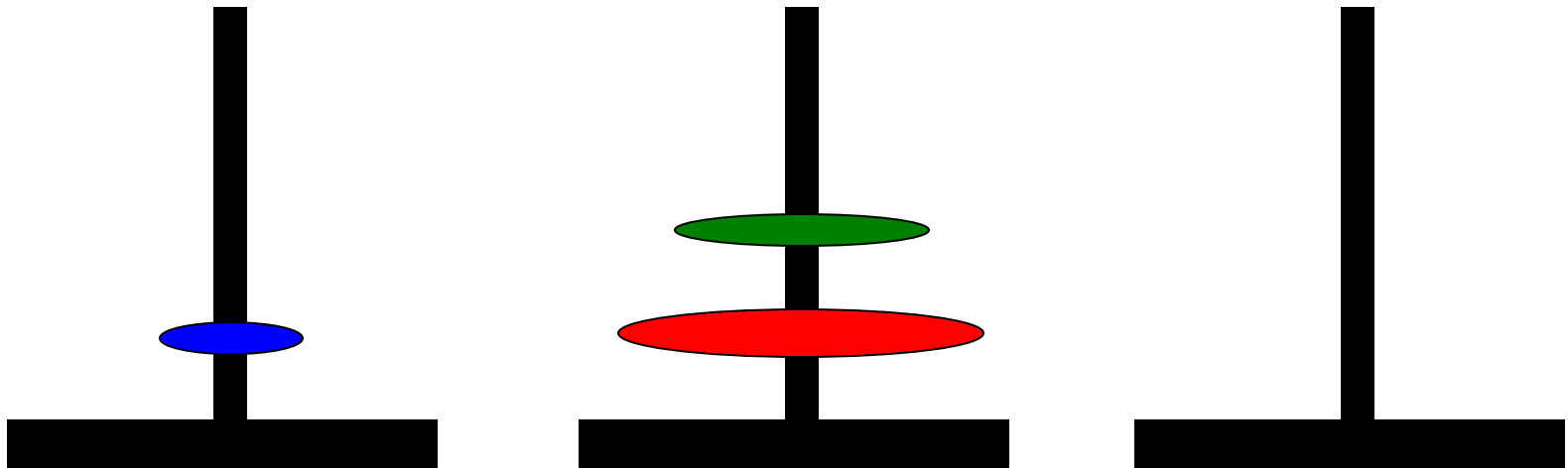
# Tower of Hanoi



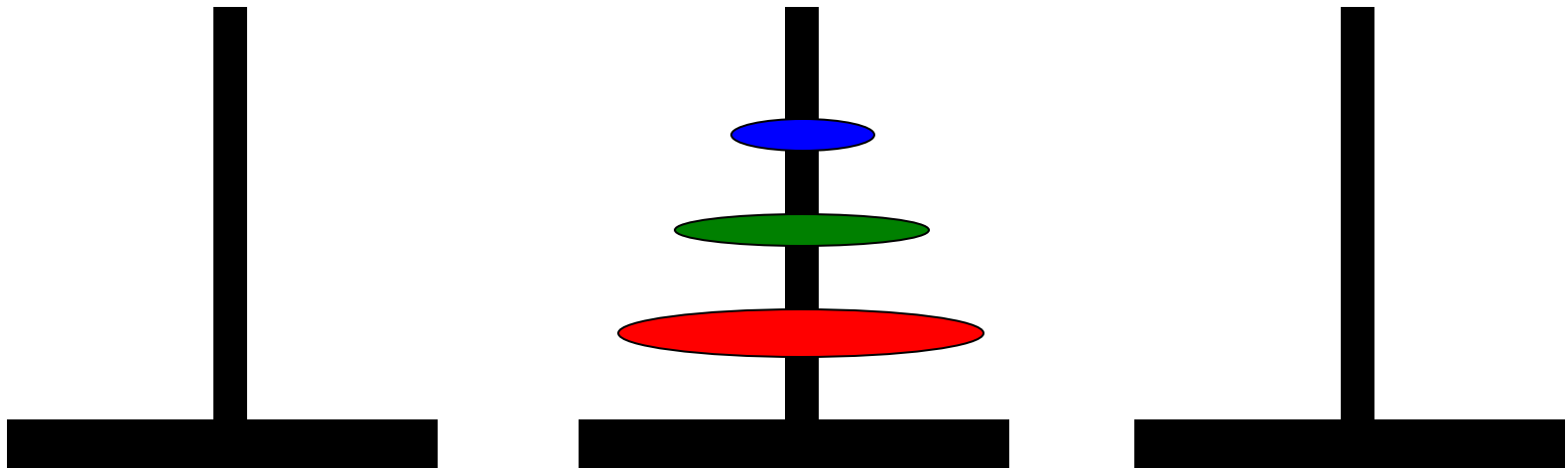
# Tower of Hanoi



# Tower of Hanoi



# Tower of Hanoi



# Recursive Algorithm

```
void Hanoi(int n, string a, string b, string c)
{
    if (n == 1) /* base case */
        Move(a,b);
    else { /* recursion */
        Hanoi(n-1,a,c,b);
        Move(a,b);
        Hanoi(n-1,c,b,a);
    }
}
```



# Induction

- To prove a statement  $S(n)$  for positive integers  $n$ 
  - Prove  $S(1)$
  - Prove that if  $S(n)$  is true [inductive hypothesis] then  $S(n+1)$  is true.
- This implies that  $S(n)$  is true for  $n=1,2,3,\dots$

# Correctness and Cost

- Use induction to prove that the recursive algorithm solves the Tower of Hanoi problem.
- The number of moves  $M(n)$  required by the algorithm to solve the  $n$ -disk problem satisfies the recurrence relation
  - $M(n) = 2M(n-1) + 1$
  - $M(1) = 1$

# Guess and Prove

- Calculate  $M(n)$  for small  $n$  and look for a pattern.
- Guess the result and prove your guess correct using induction.

$n$	$M(n)$
1	1
2	3
3	7
4	15
5	31

# Substitution Method

- Unwind recurrence, by repeatedly replacing  $M(n)$  by the r.h.s. of the recurrence until the base case is encountered.

$$M(n) = 2M(n-1) + 1$$

$$= 2*[2*M(n-2)+1] + 1 = 2^2 * M(n-2) + 1+2$$

$$= 2^2 * [2*M(n-3)+1] + 1 + 2$$

$$= 2^3 * M(n-3) + 1+2 + 2^2$$

# Geometric Series

- After k steps

$$M(n) = 2^k * M(n-k) + 1+2 + 2^2 + \dots + 2^{n-k-1}$$

- Base case encountered when  $k = n-1$

$$M(n) = 2^{n-1} * M(1) + 1+2 + 2^2 + \dots + 2^{n-2}$$

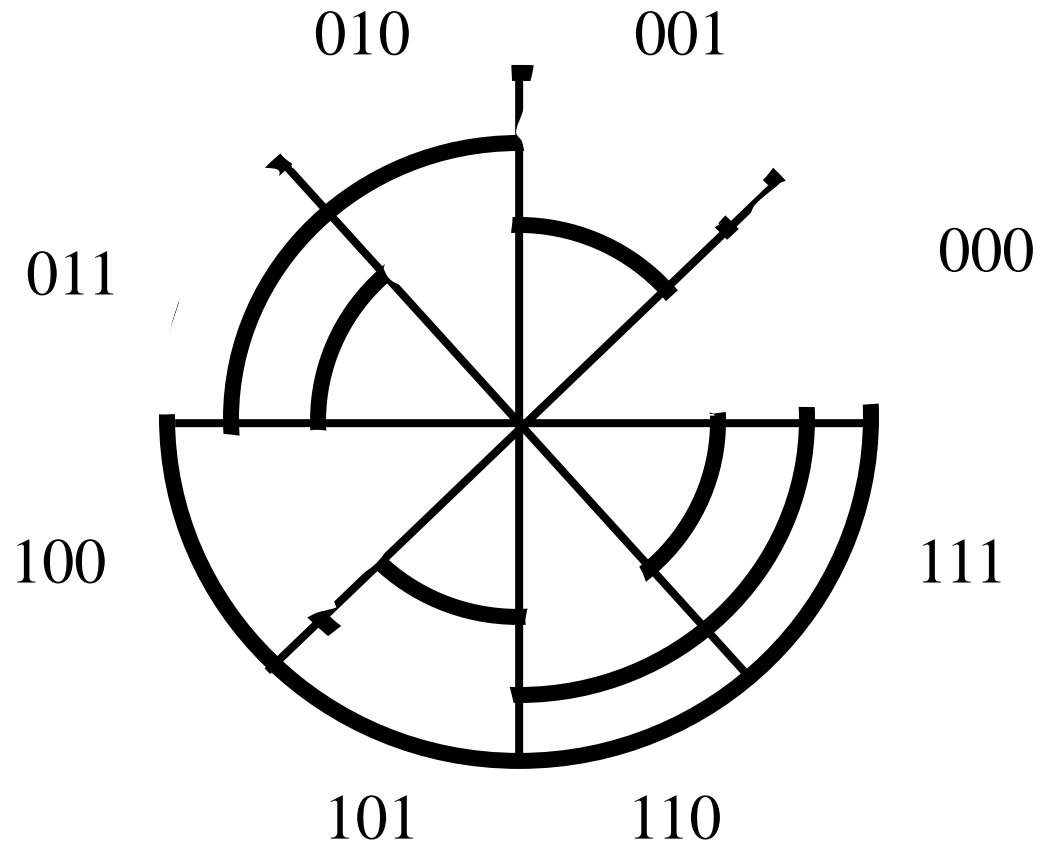
$$= 1 + 2 + \dots + 2^{n-1} = \sum_{i=0}^{n-1} 2^i$$

- Use induction to reprove result for  $M(n)$  using this sum. Generalize by replacing 2 by x.

# Gray Code

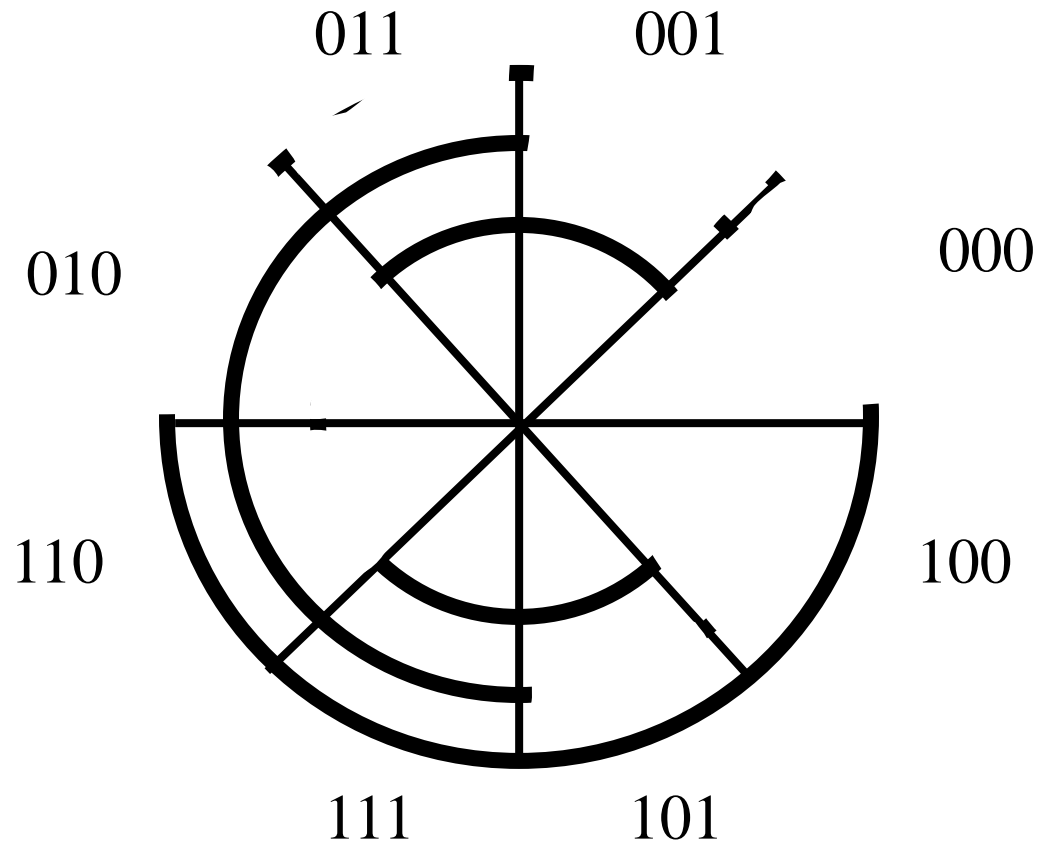
- An n-bit Gray code is a 1-1 onto mapping from  $[0..2^n-1]$  such that the binary representation of consecutive numbers differ by exactly one bit.
- Invented by Frank Gray for a shaft encoder - a wheel with concentric strips and a conducting brush which can read the number of strips at a given angle. The idea is to encode  $2^n$  different angles, each with a different number of strips, corresponding to the n-bit binary numbers.

# Shaft Encoder (Counting Order)



Consecutive angles can have an abrupt change in the number of strips (bits) leading to potential detection errors.

# Shaft Encoder (Gray Code)



Since a Gray code is used, consecutive angles have only one change in the number of strips (bits).



# Binary-Reflected Gray Code

- $G_1 = [0,1]$
- $G_n = [0G_{n-1}, 1\overline{G_{n-1}}]$ ,  $\overline{G} \Rightarrow$  reverse order  $\equiv$  complement leading bit
- $G_2 = [0G_1, 1\overline{G_1}] = [00,01,11,10]$
- $G_3 = [0G_2, 1\overline{G_2}] = [000,001,011,010,110,111,101,100]$
- Use induction to prove that this is a Gray code

# Iterative Formula

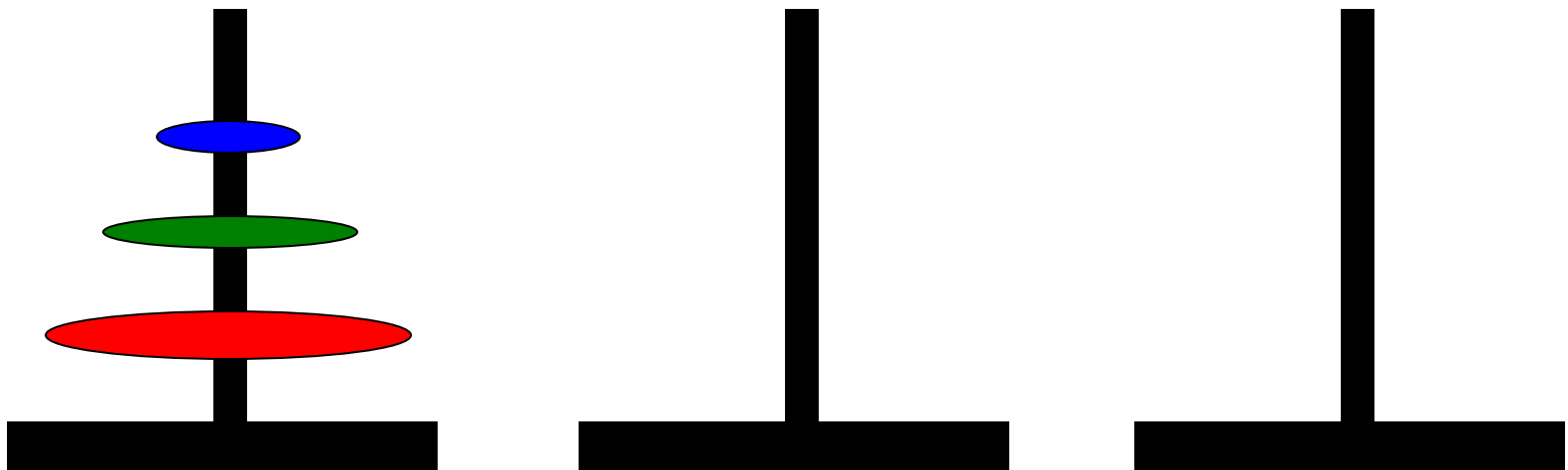
- Let  $G_n(i)$  be a function from  $[0, \dots, 2^n - 1]$
- $G_n(i) = i \oplus (i \gg 1)$  [exclusive or of  $i$  and  $i/2$ ]
  - $G_2(0) = 0, G_2(1) = 1, G_2(2) = 3, G_2(3) = 2$
- Use induction to prove that the sequence  $G_n(i), i=0, \dots, 2^n - 1$  is a binary-reflected Gray code.

# Gray Code & Tower of Hanoi

- Introduce coordinates  $(d_0, \dots, d_{n-1})$ , where  $d_i \in \{0, 1\}$
- Associate  $d_i$  with the  $i$ th disk
- Initialize to  $(0, \dots, 0)$  and flip the  $i$ th coordinate when the  $i$ -th disk is moved
- The sequence of coordinate vectors obtained from the Tower of Hanoi solution is a Gray code (why?)

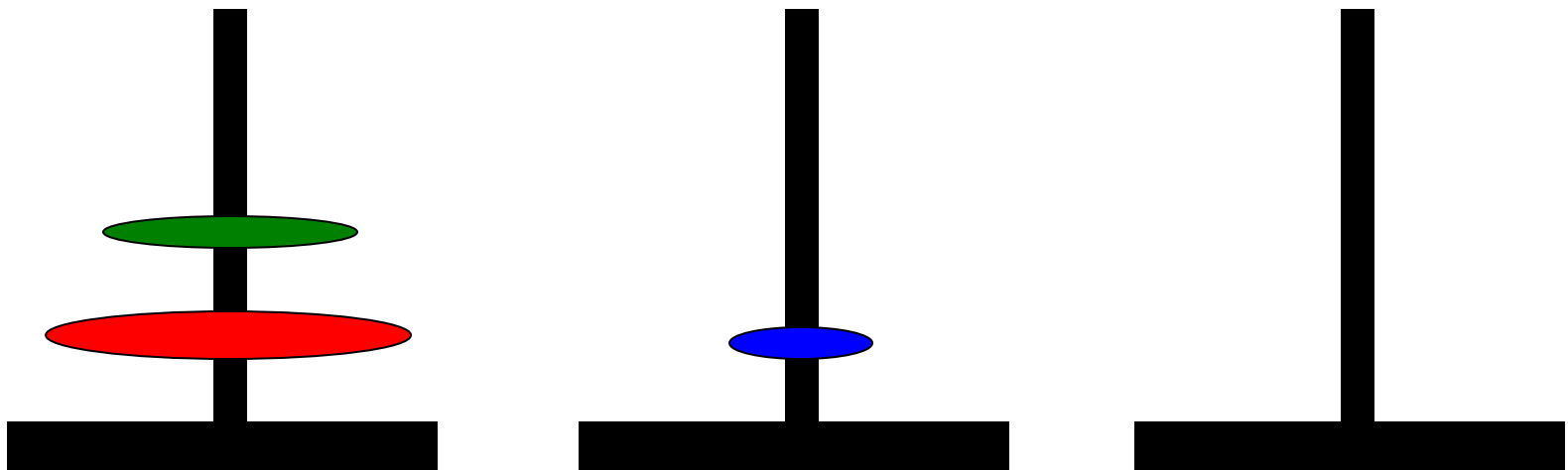
# Tower of Hanoi

$(0,0,0)$



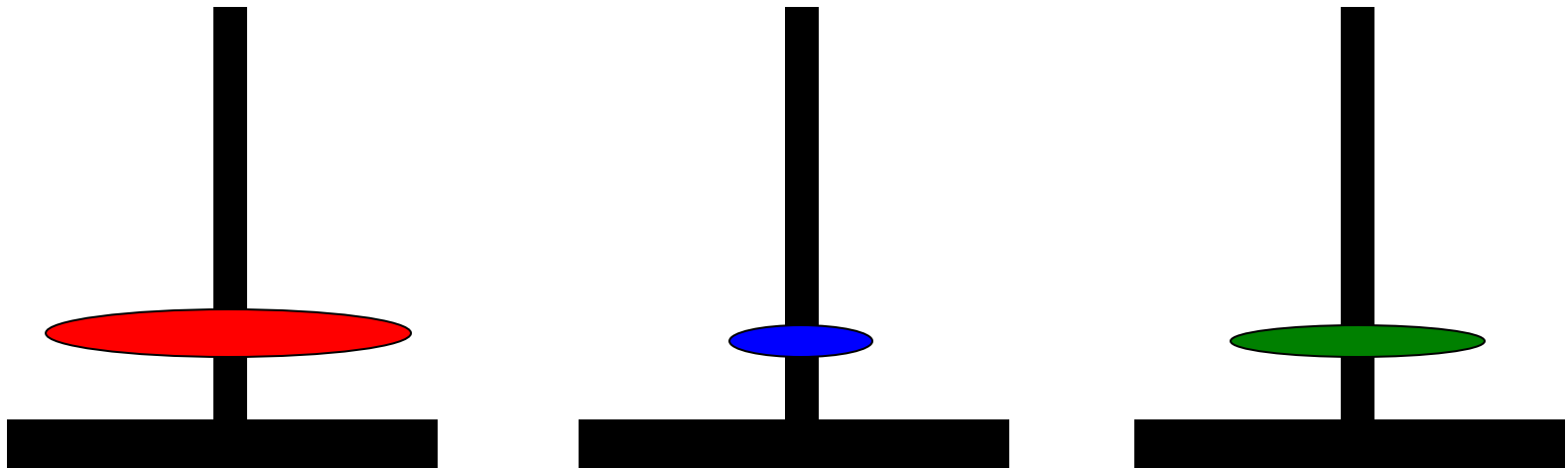
# Tower of Hanoi

$(0,0,1)$



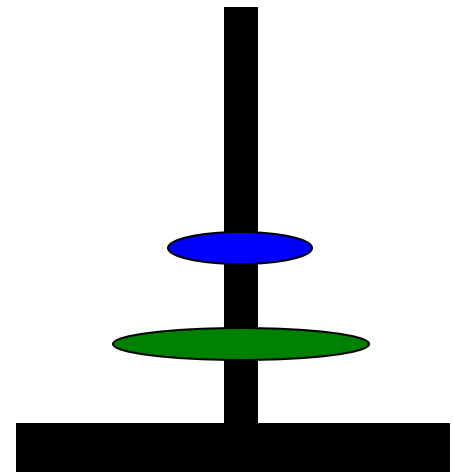
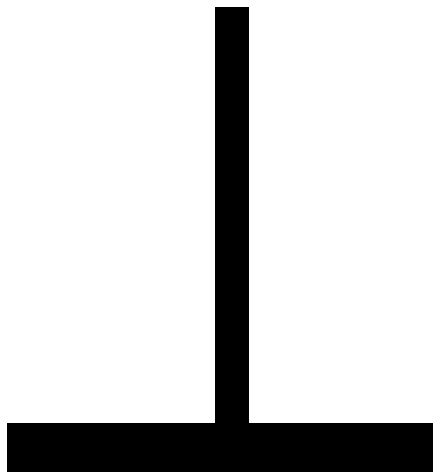
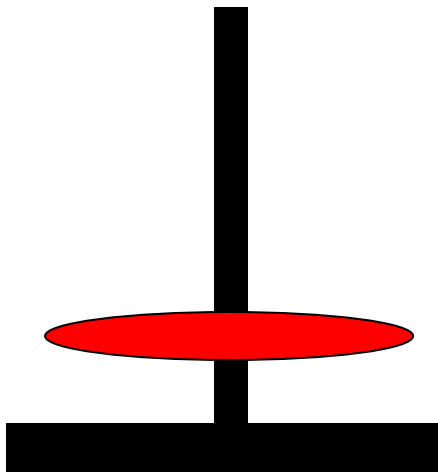
# Tower of Hanoi

$(0,1,1)$



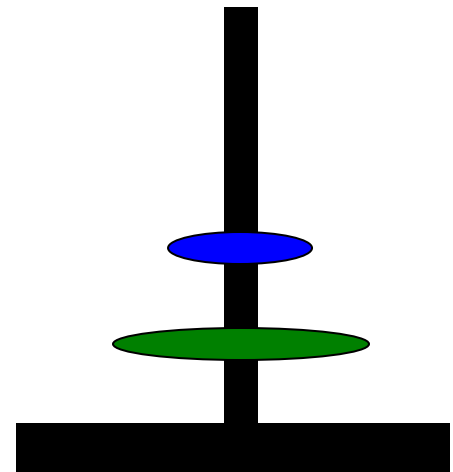
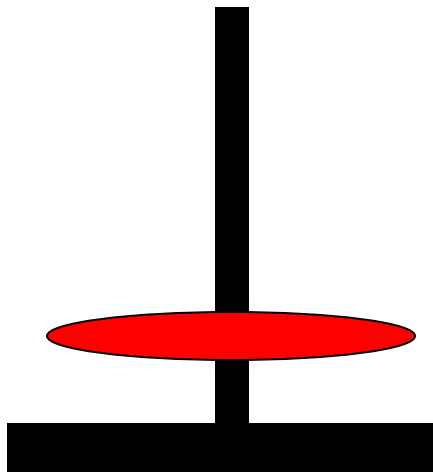
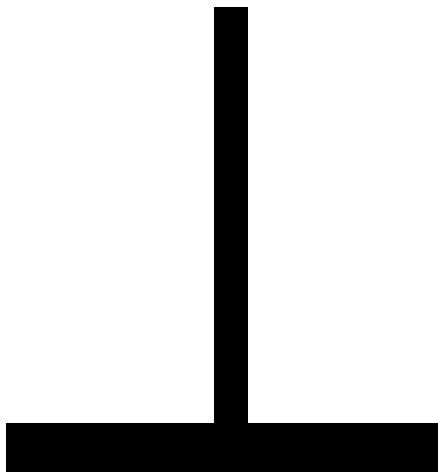
# Tower of Hanoi

$(0,1,0)$



# Tower of Hanoi

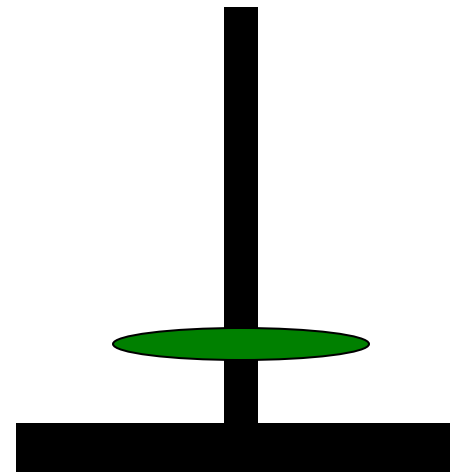
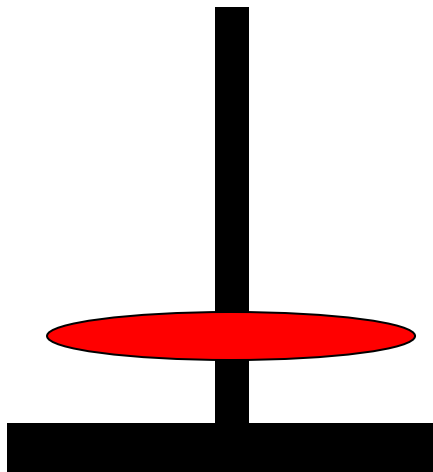
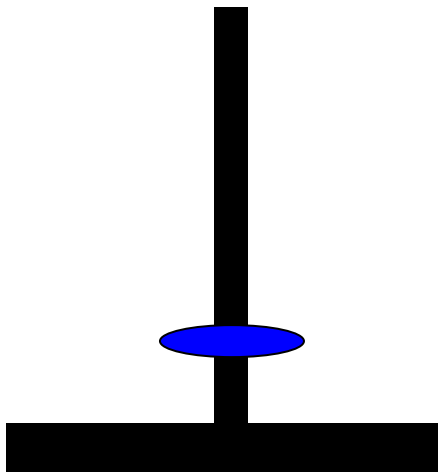
$(1,1,0)$





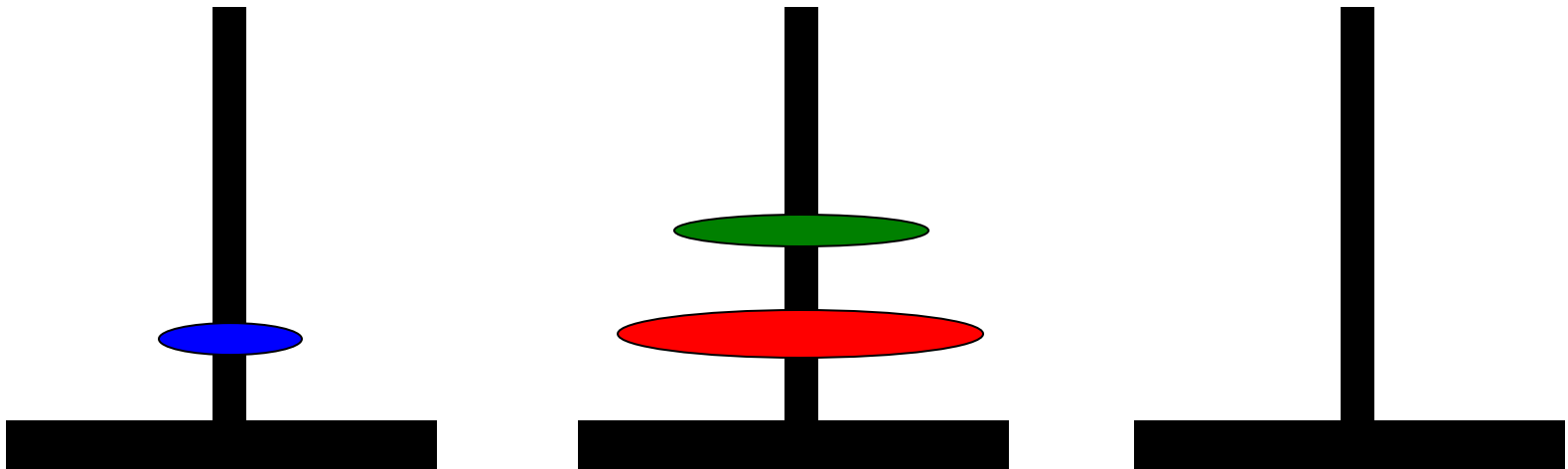
# Tower of Hanoi

$(1,1,1)$



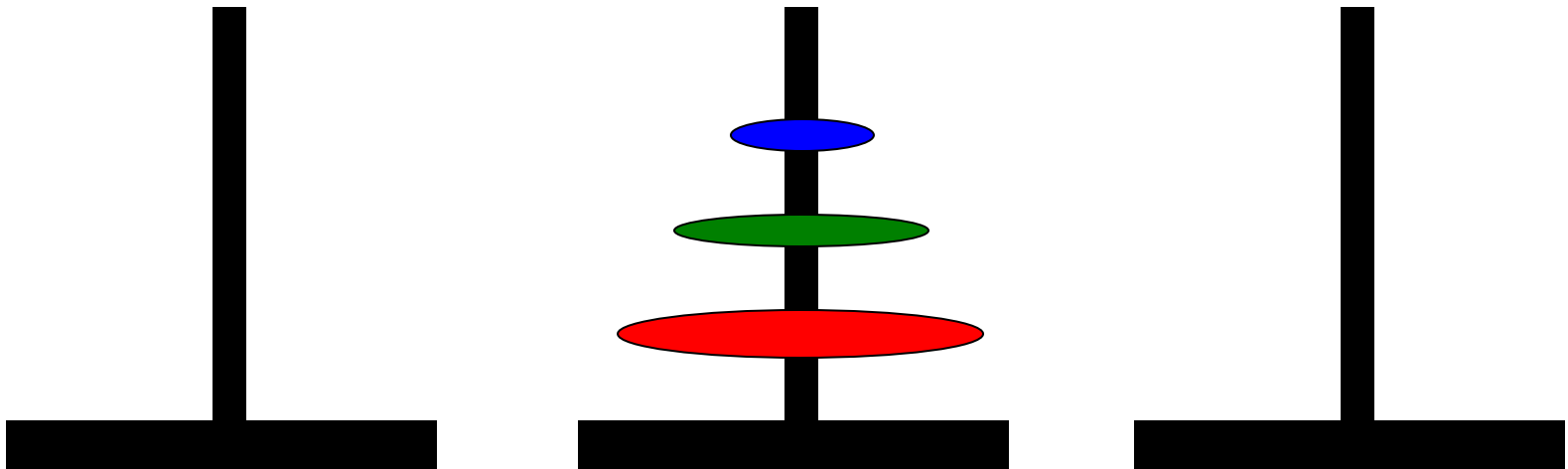
# Tower of Hanoi

$(1,0,1)$



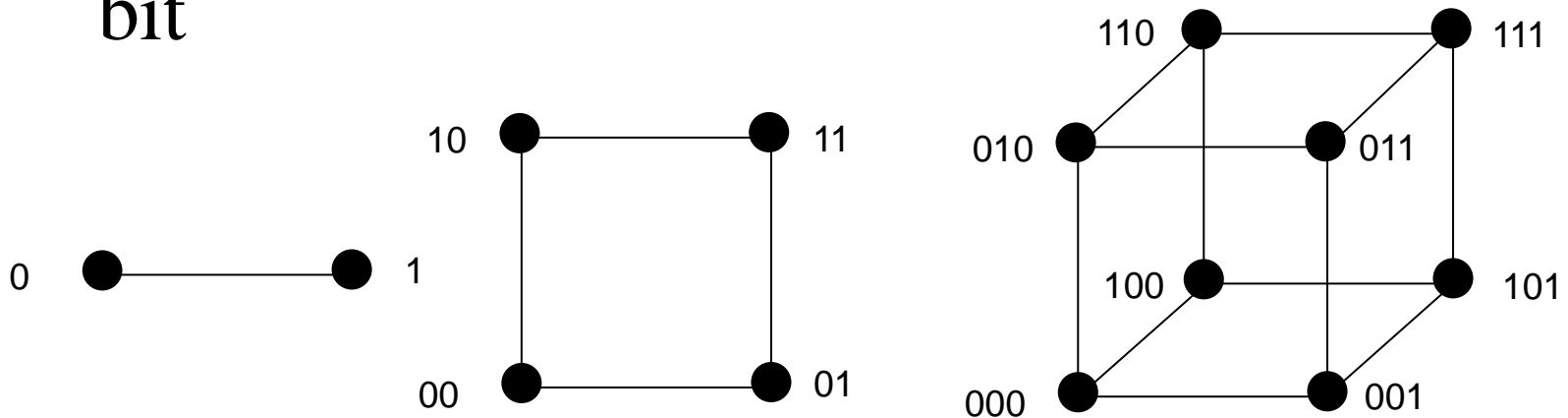
# Tower of Hanoi

$(1,0,0)$



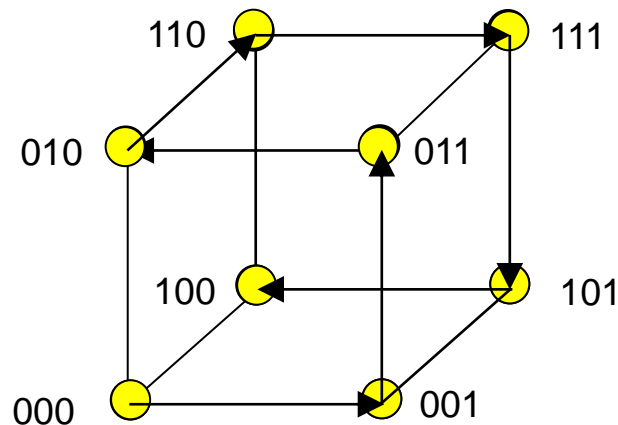
# Hypercube

- Graph (recursively defined)
- $n$ -dimensional cube has  $2^n$  nodes with each node connected to  $n$  vertices
- Binary labels of adjacent nodes differ in one bit



# Hypercube, Gray Code and Tower of Hanoi

- A Hamiltonian path is a sequence of edges that visit each node exactly once.
- A Hamiltonian path on a hypercube provides a Gray code (why?)



# Hypercube and Gray Code

