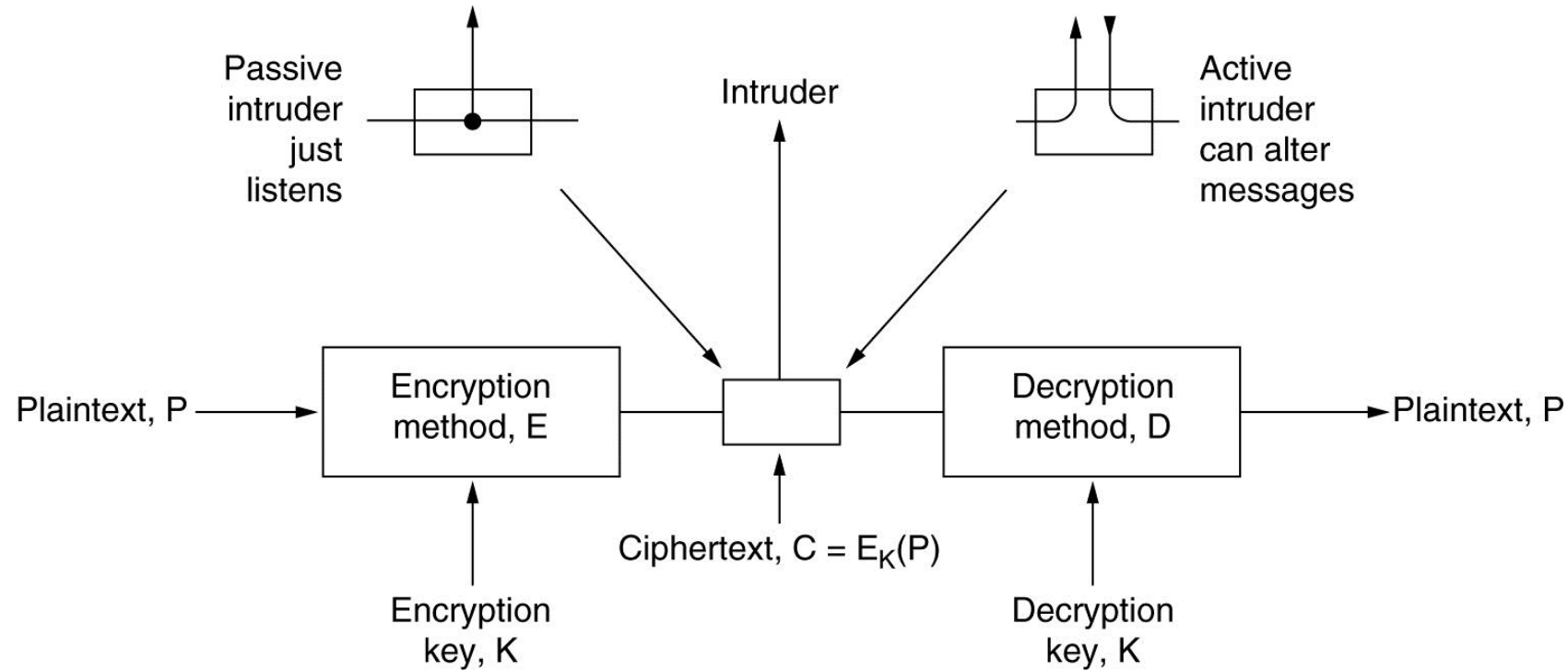


# Cryptography

# Types of cryptography

- Symmetric key cryptography
  - Involves the use one key
- Public key cryptography
  - Involves the use of two keys
- Hash functions
  - Involves the use of no keys

# Symmetric Key Cryptography



# Symmetric Encryption and Message Confidentiality

- also known as: conventional encryption, secret-key, or single-key encryption
  - only alternative before public-key crypto in 70's
  - still most widely used alternative
  - has ingredients: plaintext, encryption algorithm, secret key, ciphertext, and decryption algorithm
- generically classified along dimensions of:
  1. type of operations used
  2. number of keys used
  3. way in which the plaintext is processed

# Cryptanalysis

- attacks:
  - ciphertext only - least info, hardest
  - known plaintext - some plain/cipher pairs
  - chosen plaintext - get own plain/cipher pairs
  - chosen ciphertext - rarer
  - chosen text - rarer
- only weak algs fail a ciphertext-only attack
- usually design algs to withstand a known-plaintext attack

# Computationally Secure Algs

- encryption is computationally secure if:
  - cost of breaking cipher exceeds info value
  - time required to break cipher exceeds the useful lifetime of the info
- usually very difficult to estimate the amount of effort required to break
- can estimate time/cost of a brute-force attack

# Symmetric Key Cryptography

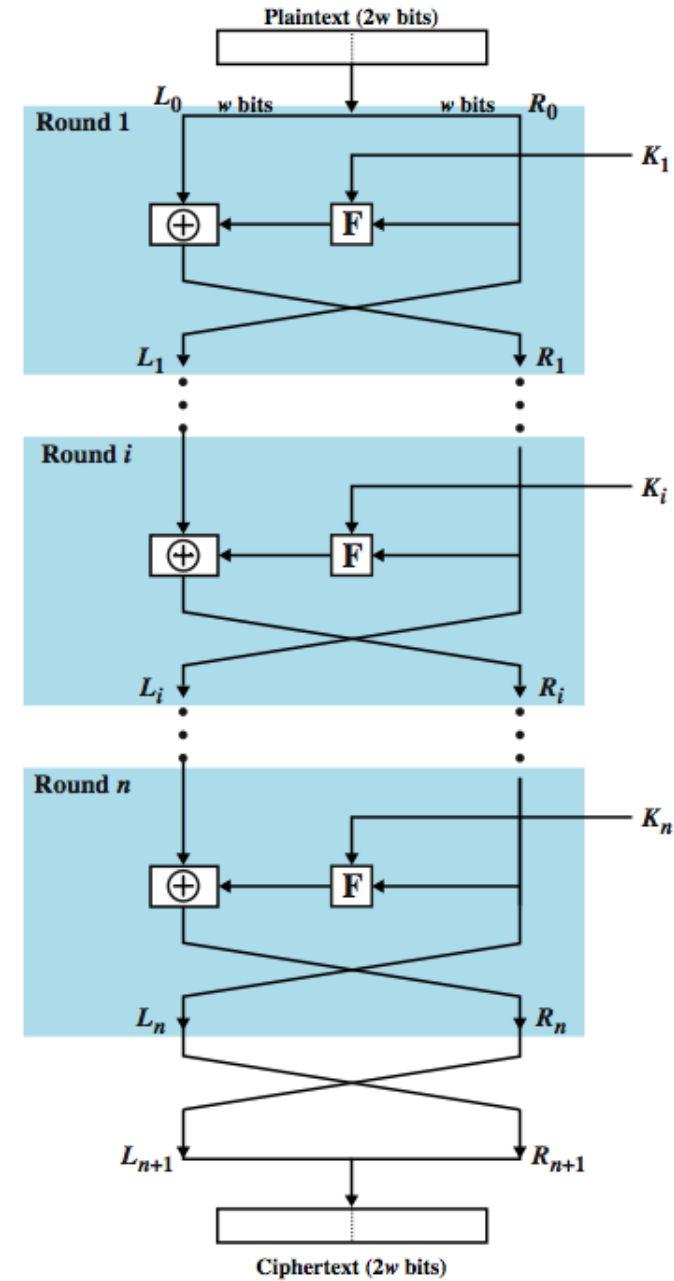
- Algorithms:
  - DES
    - Modes: ECB, CBC, CFB, OFB, CM
  - 3DES
  - AES
  - IDEA
  - Blowfish
  - RC4
  - RC5
  - CAST
  - SAFER
  - Twofish

# Block Cipher Structure

- have a general iterative block cipher structure
  - with a sequence of rounds
  - with substitutions / permutations controlled by key
- parameters and design features:
  - block size
  - key size
  - number of rounds
  - subkey generation algorithm
  - round function
  - also: fast software en/decrypt, ease of analysis



# Feistel Cipher Structure



# DES (Data Encryption Standard)

- Block Cipher
- Uses a combination of Substitution and Transpositions (permutations)
- Called a Product Cipher
- Goes through 16 cycles
- PlainText is organized into 64-bit Blocks
- Uses a 56-bit Key

# DES

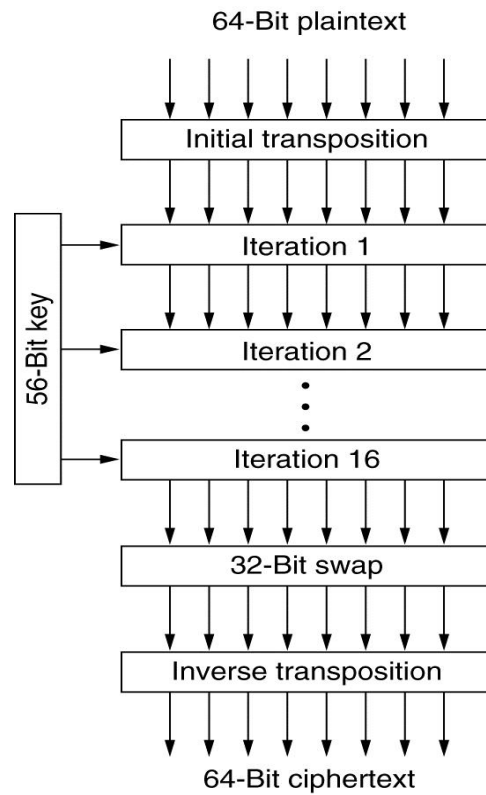
- Initial Permutation on Input Text (64-bit)
- Split into Right and Left Halves (32-bit)
- Take right half and permute it (Expansion Permutation) 48-bit
- Work on Key (shift) 56-bit, then permute key (48-bits)
- XOR resulting key with right half ...result is 32-bit (S-Box)
- Permute result
- XOR result with Left Half
- End of Cycle

# DES

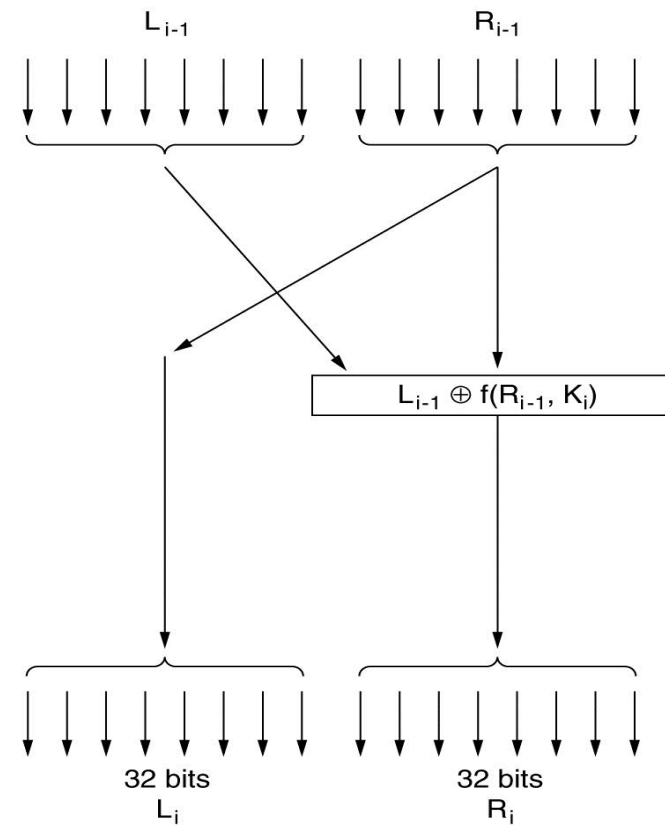
- The next cycle begins with:
  - The result of previous cycle as its right half
  - The old Right half (48-bit) as Its left half

Repeat

# DES

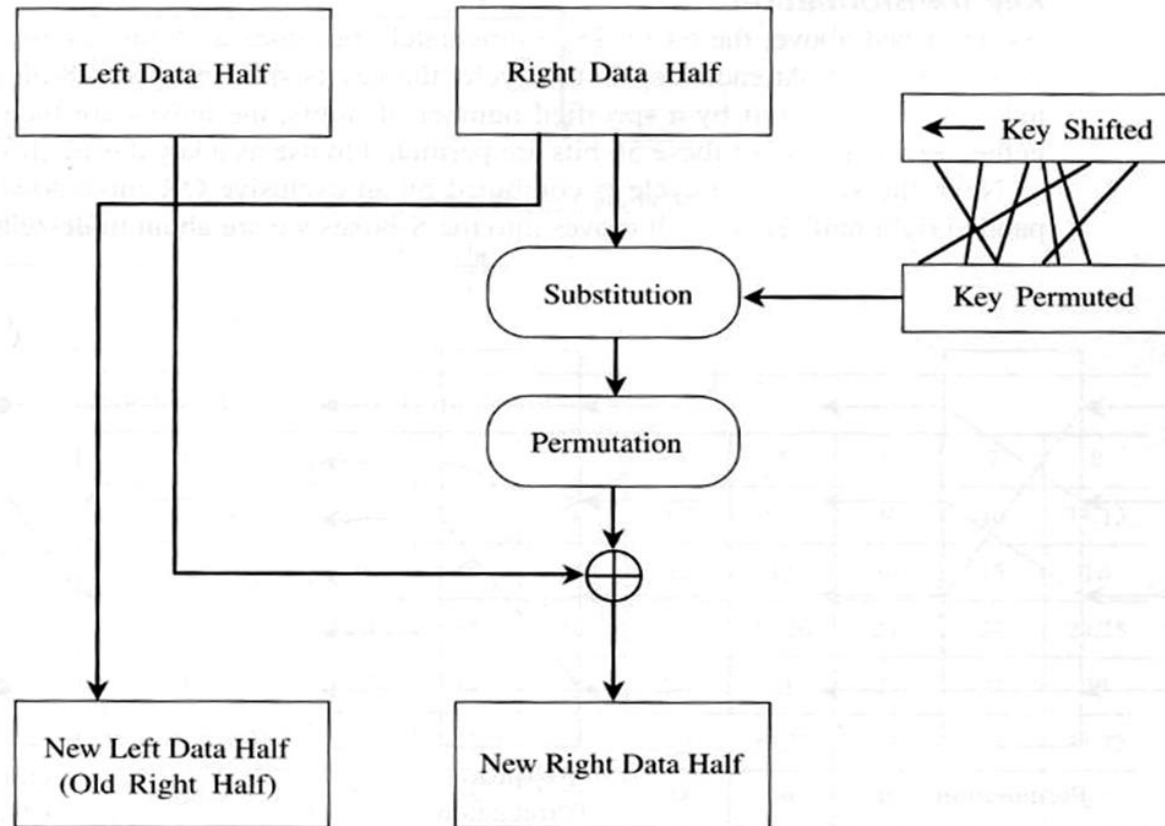


(a)



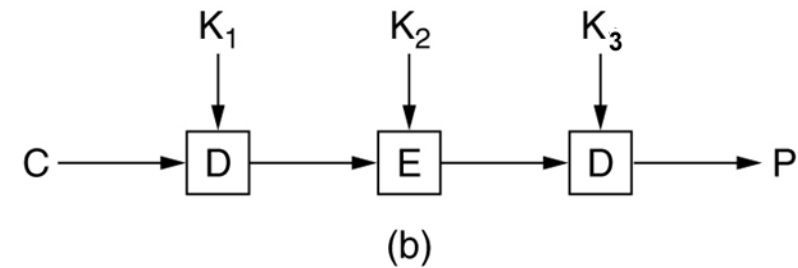
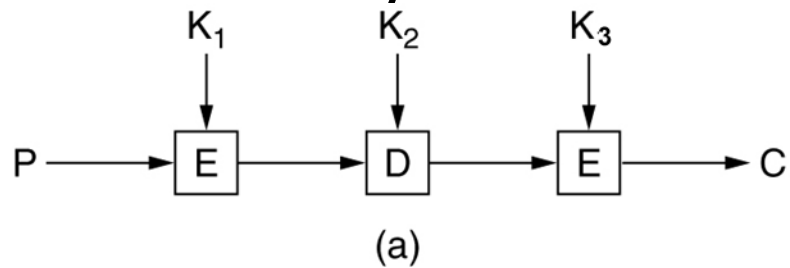
(b)

# Details of a cycle in DES



# Triple DES

- Uses three DES keys



- (a) encryption. (b) Decryption
- Compatible with old DES. If we use the same key instead a different K<sub>2</sub>.
- 128 bit key  $\rightarrow 2^{128} = 3 \times 10^{38}$  keys;

# Triple DES

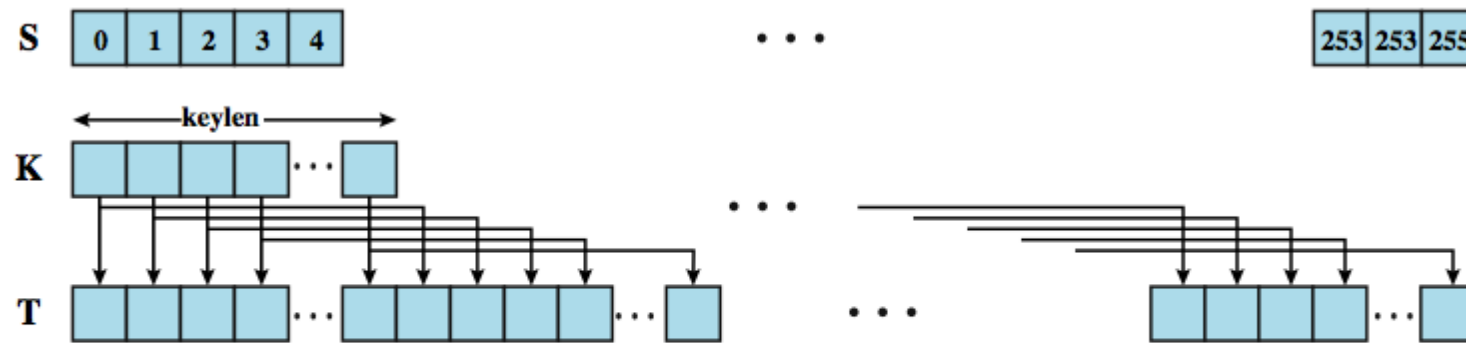
- Keying options
  - Option 1: All the 3 keys are independent
    - Strongest with  $3 \times 56$  bits = 168 independent key bits
  - $K_1$  and  $K_2$  are independent,  $K_3 = K_1$ 
    - Less security.  $2 \times 56 = 112$  independent key bits
  - All three keys are Identical  $K_1 = K_2 = K_3$ 
    - Weakest.
    - Equivalent to DES with 56 independent key bits



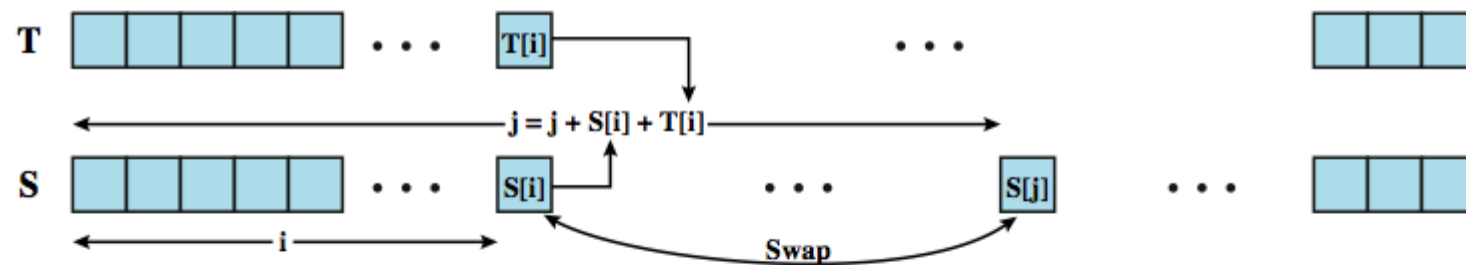
# Stream Ciphers

- processes input elements continuously
- key input to a pseudorandom bit generator
  - produces stream of random like numbers
  - unpredictable without knowing input key
  - XOR keystream output with plaintext bytes
- are faster and use far less code
- design considerations:
  - encryption sequence should have a large period
  - keystream approximates random number properties
  - uses a sufficiently long key

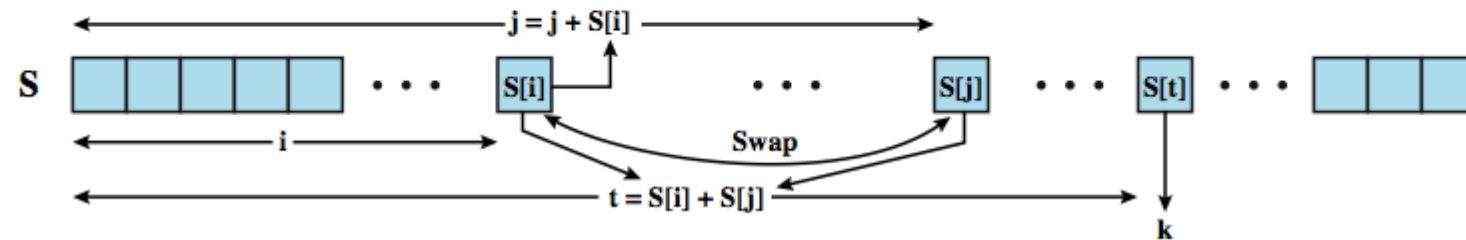
# RC4



(a) Initial state of S and T



(b) Initial permutation of S



(c) Stream Generation

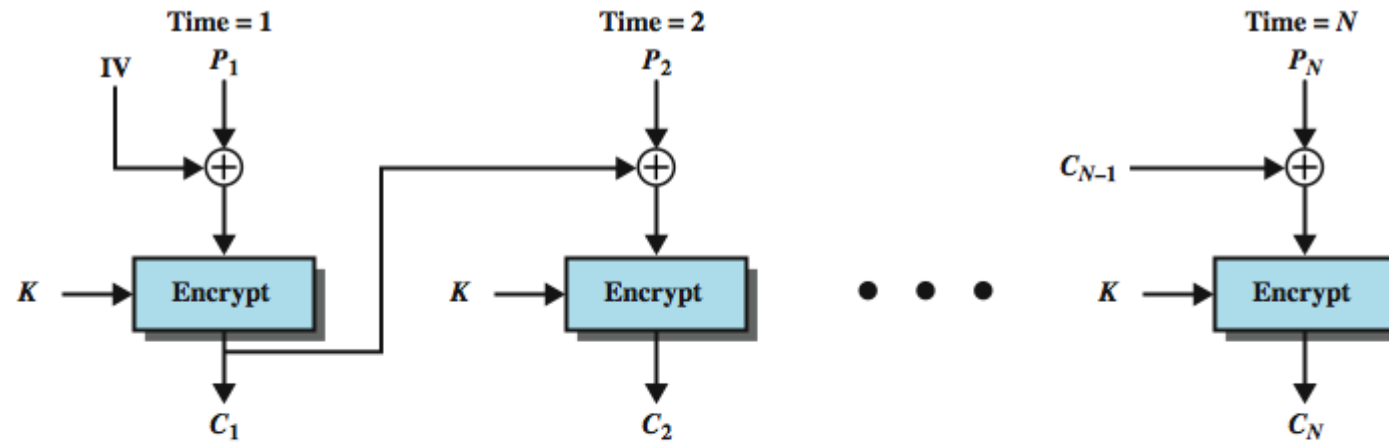
# Modes of Operation

- block ciphers process data in blocks
  - e.g. 64-bits (DES, 3DES) or 128-bits (AES)
- for longer messages must break up
  - and possibly pad end to blocksize multiple
- have 5 **modes of operation** for this
  - defined in NIST SP 800-38A
  - modes are: ECB, CBC, CFB, OFB, CTR

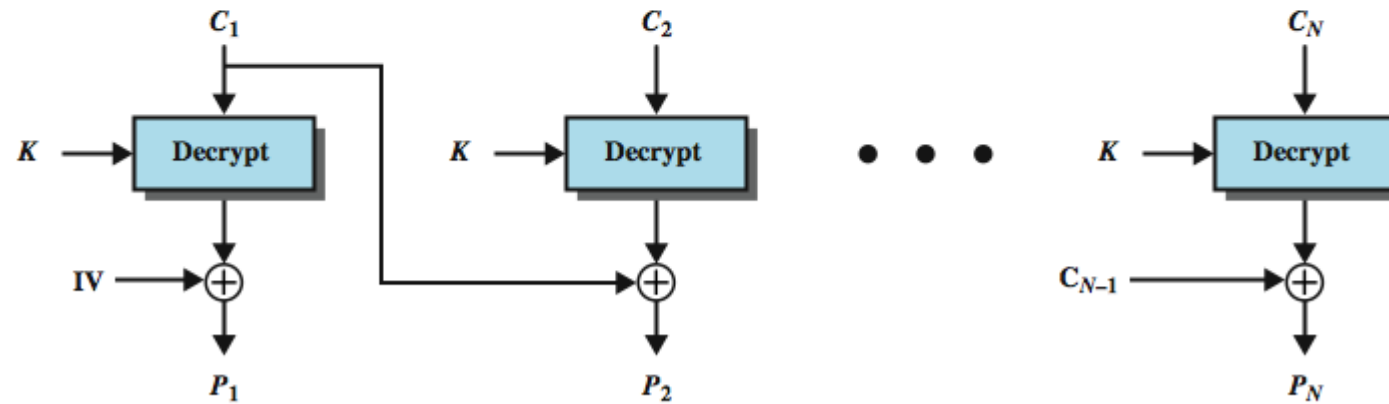
# Electronic Codebook (ECB)

- simplest mode
- split plaintext into blocks
- encrypt each block using the same key
- “codebook” because have unique ciphertext value for each plaintext block
  - not secure for long messages since repeated plaintext is seen in repeated ciphertext

# Cipher Block Chaining (CBC)

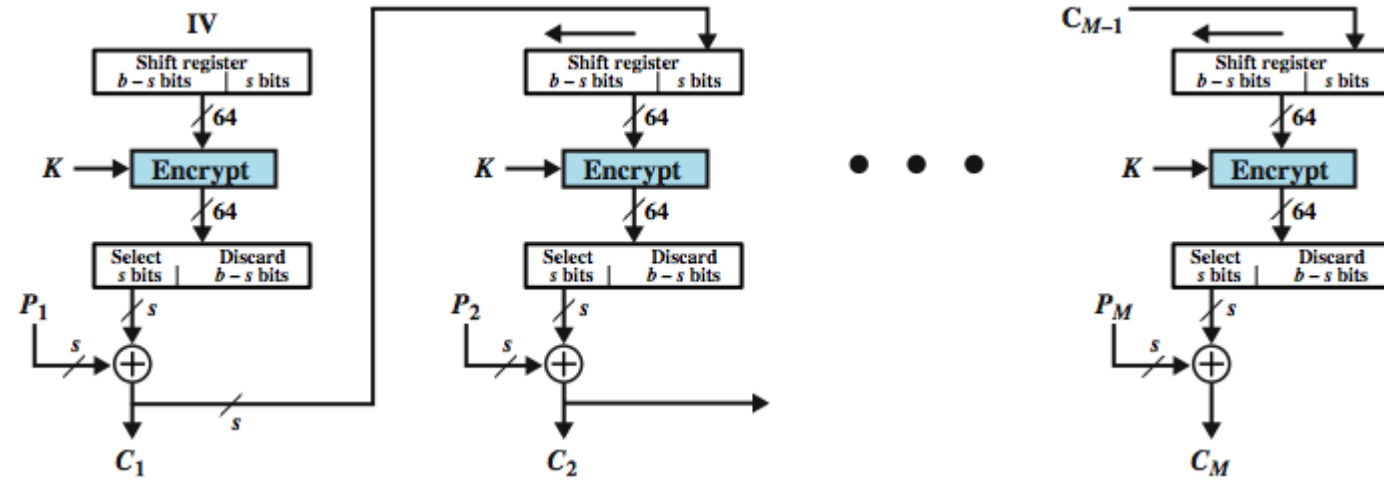


(a) Encryption

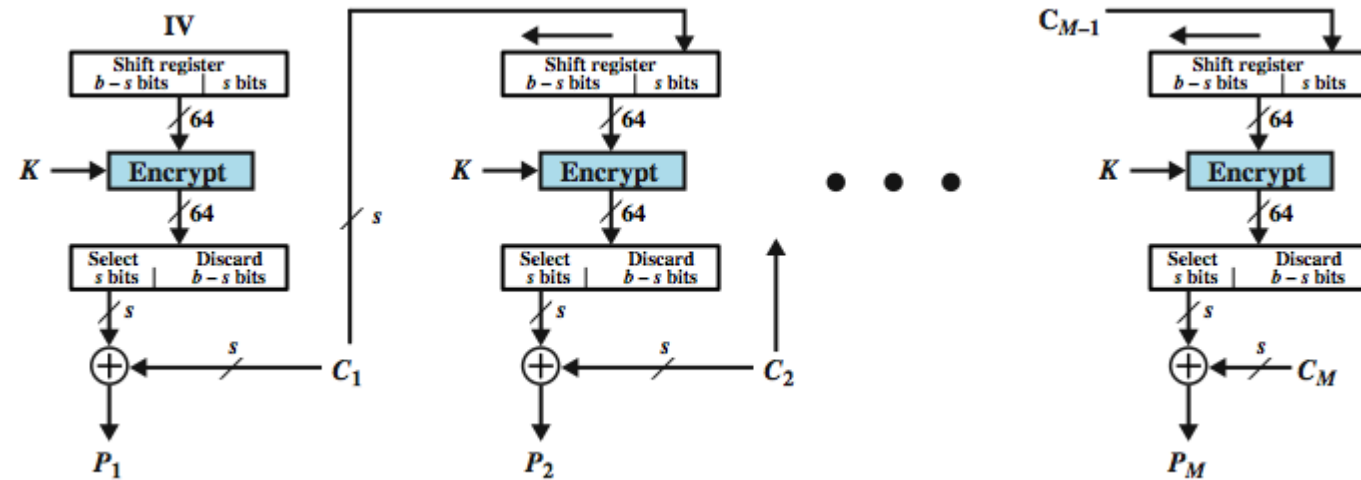


(b) Decryption

# Cipher Feedback (CFB)

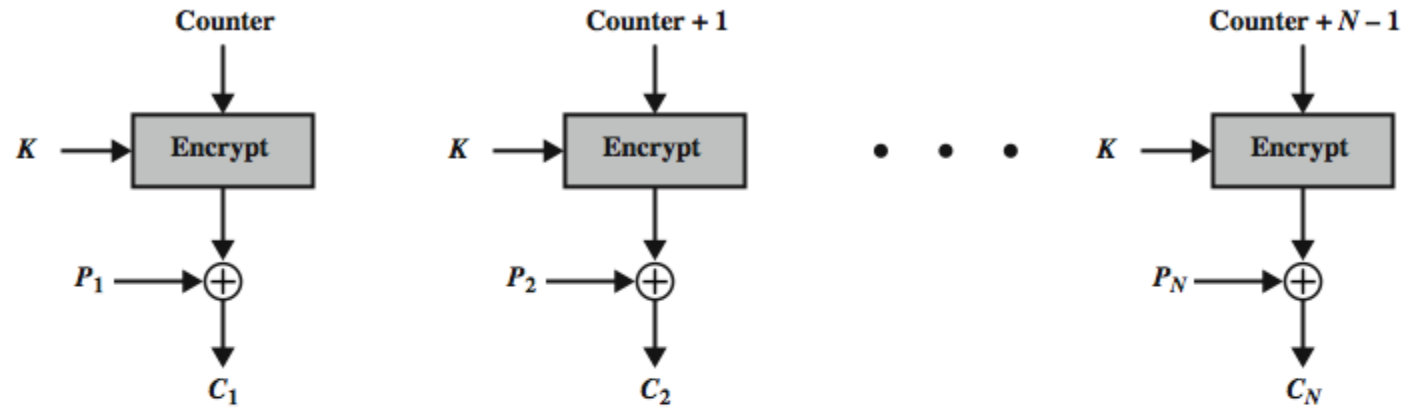


(a) Encryption

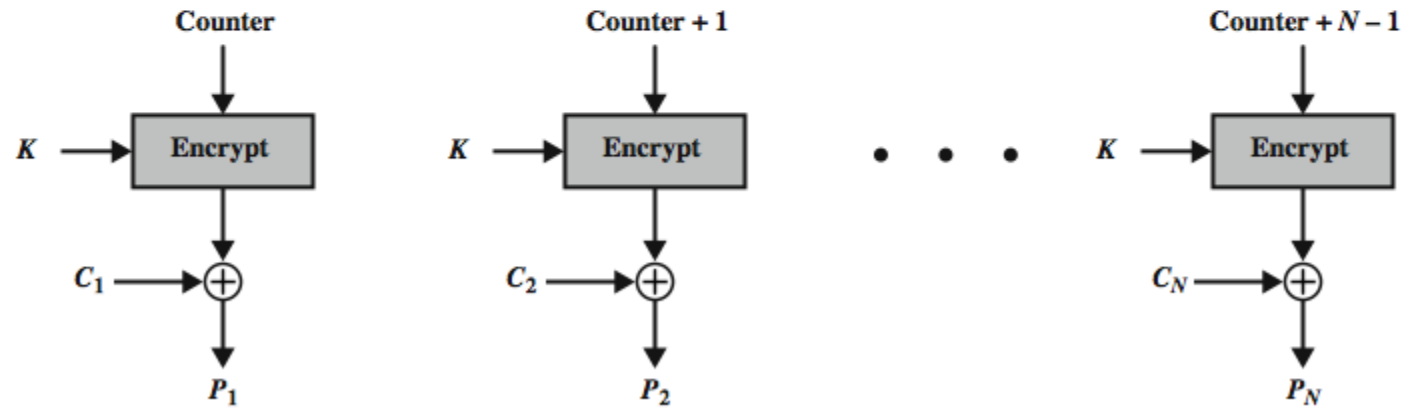


(b) Decryption

# Counter (CTR)

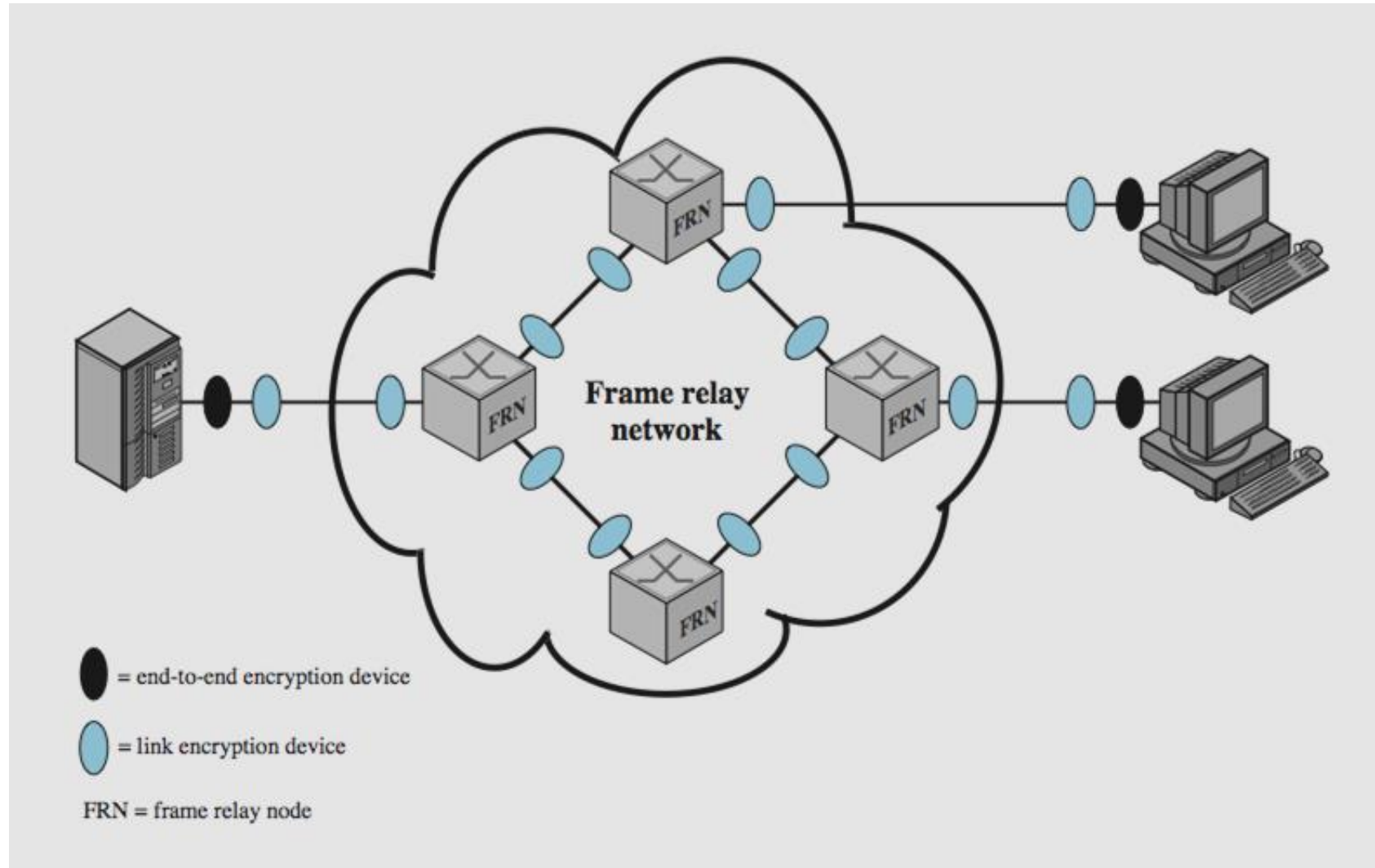


(a) Encryption



(b) Decryption

# Location of Encryption

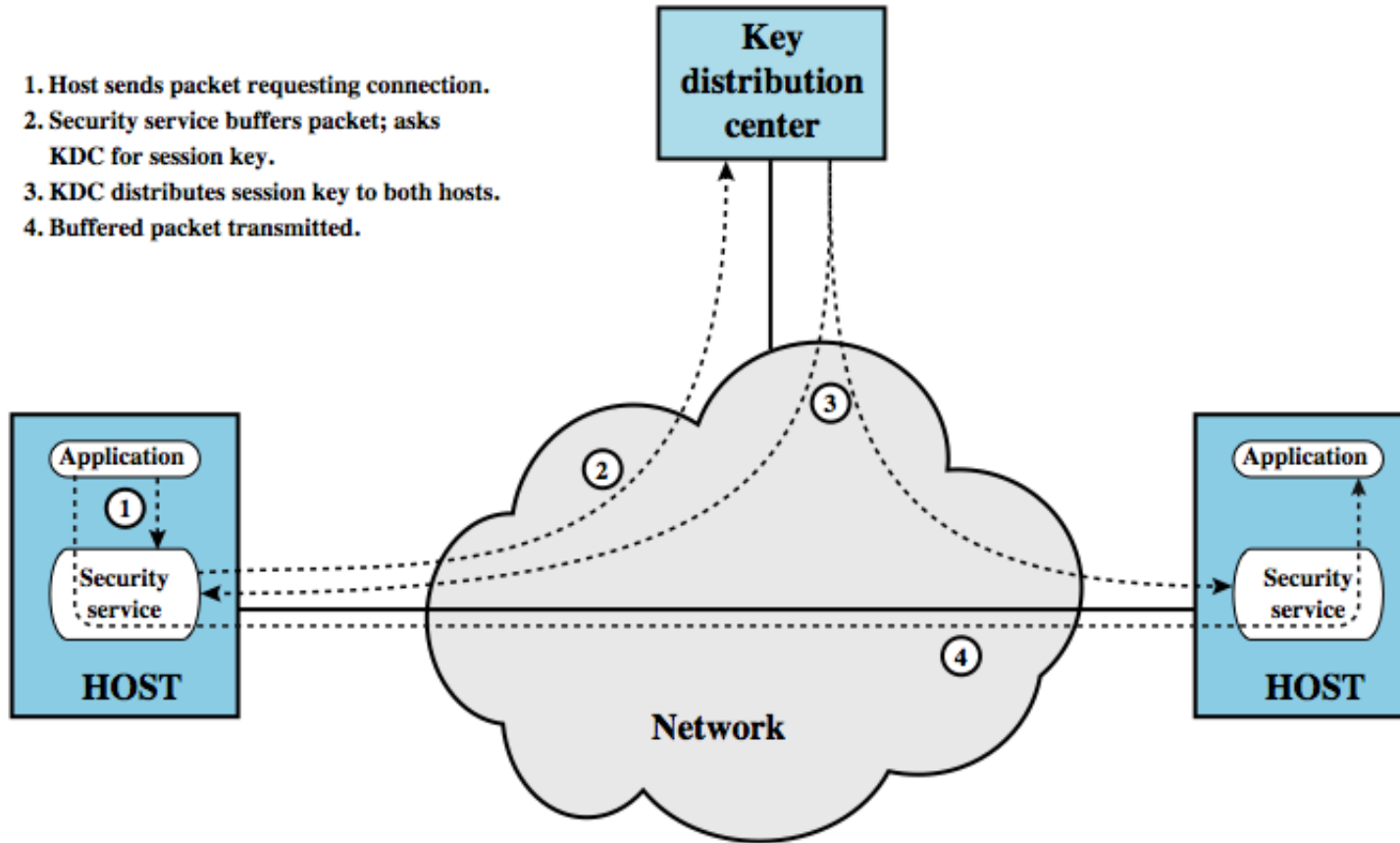




# Key Distribution

- symmetric crypto needs a shared key:
- two parties A & B can achieve this by:
  - A selects key, physically delivers to B
  - 3rd party select keys, physically delivers to A, B
    - reasonable for link crypto, bad for large no's users
  - A selects new key, sends encrypted using previous old key to B
    - good for either, but security fails if any key discovered
  - 3rd party C selects key, sends encrypted to each of A & B using existing key with each
    - best for end-to-end encryption

# Key Distribution



# Symmetric Key Cryptography

- Problems:
  - The key must be pre-shared between the sender and the recipient. Implies impossibility if sender and receiver have never interacted before.
  - Key maintenance challenges
    - Need to keep so many keys for each person one wishes to communicate with
- Birth of public key cryptography in 1976

# Public Key Cryptography

- Uses two keys
  - Private key:
    - Known only to the sender
    - Used for decryption
  - Public key:
    - Known to everybody
    - Used for encryption
- Sender and receiver do not share key

# Public Key Cryptography

- Alice {Pr\_a, Pu\_a}

- Bob {Pr\_b, Pu\_b}

$E_{Pr_a}(M) == C_a \{ D_{Pu_a}(C_a) == M \}$

- $E_{Pu_a}(M) == C_a \{ D_{Pr_a}(C_a) == M \}$

# Main difference from symmetric key cryptography

- The public encryption key is different from the secret decryption key.
- Infeasible for an attacker to find out the secret decryption key from the public encryption key.
- No need for sender and receiver to distribute a shared secret key beforehand
- only one pair of public and secret keys is required for each user

# How public key cryptography works

- Bob:
  - Receives the ciphertext from Alice
  - Decrypts the ciphertext using his secret decryption key, together with the decryption algorithm

# Public Key Cryptography Algorithms

- 1976 Dillfie and Hellman proposed crypto scheme with two keys; public key and private key.
- Requirements:
  - Must be computationally easy to encipher/decipher messages using these keys
  - Must be computationally infeasible to derive the private key from public key
  - Must be computationally infeasible to determine the private key from a chosen plaintext attack
- Symmetric key exchange protocol
- RSA
- Other Public-Key Algorithms



# Prime and Composite numbers

- Prime and composite numbers
  - a prime number is an integer that can be divided only by 1 and itself
  - all other integers are composite

# Public Key Cryptography Algorithms

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# Modular operation

- “remainder”
  - $(13 \bmod 5) = 3$ ,  $(1 \bmod 7) = 1$
  - $(20 \bmod 5) = 0$ ,  $(32 \bmod 7) = 4$
- modular exponentiation
  - $(2^2 \bmod 3) = 1$ ,  $(3^2 \bmod 3) = 0$
  - $(2^2 \bmod 5) = 4$ ,  $(10^2 \bmod 92) = 8$
  - $(4^6 \bmod 10) = 6$ ,  $(3^{11} \bmod 10) = 7$

# Diffie-Hellman's Symmetric Key Exchange Protocol

- It is based on discrete logarithm problem.
- Alice and Bob chooses a prime  $p=53$  and  $g=17$  which is not 0, 1, or  $p-1=52$ .
- Alice chooses private key=5, public key= $17^5 \bmod 53 = 40$ .
- Bob choose private key=7, public key= $17^7 \bmod 53 = 6$ .
- Bob would like to send message to Alice.

# Diffie-Hellman's Symmetric Key Exchange Protocol

- Bob compute the shared secret key by enciphering Alice's public key using his private key:  
 $40^7 \bmod 53 = 38$
- Encipher the message with key=38.
- Alice computes the shared secret key as  
 $6^5 \bmod 53 = 38$ .
- Then decipher the message with key=38.

# Realising public key ciphers

- The most famous system that implements Diffie & Hellman's ideas is RSA.
- Derived from names of inventors:
  - Ronald [R](#)ivest
  - Adi [S](#)hamir
  - Leonard [A](#)dleman

# RSA

- An exponentiation cipher.
- Choose two prime numbers  $p$  and  $q$ .
- Let  $n = pq$ . The totient  $\phi(n)$  of  $n$  is the number of numbers less than  $n$  with no factors in common with  $n$ .
- $\phi(n) = (p-1)(q-1)$ ?
- E.g.,  $\phi(10) = 4$ ; since 1, 3, 7, 9 are relative prime of 10.



# RSA

- Choose  $e < n$ ;  $e$  be relative prime to  $\phi(n)$ .
- Find  $d$  such that  $ed \bmod \phi(n) = 1$ .
- The public key is  $(e, n)$ , private key is  $d$ .
- $C = m^e \bmod n$
- $M = c^d \bmod n$

# RSA

- Actual RSA primes should be at least 512 bits  $\rightarrow$  modulus at least 1024 bits.
- An example of the RSA algorithm. Here  $p=3$ ,  $q=11$ ,  $n=33$ ,  $z=(p-1)*(q-1)=20$ , choose  $d=7$ , which is relative prime of  $z$ . choose  $e=3$  where  $e*d \bmod 20 = 1$ .
- Here  $(3, 20)$  is public key.  $(7,20)$  is private key.
- $C=P^e \bmod n$ ;  $P=C^d \bmod n$ ;

# RSA

Plaintext (P)		Ciphertext (C)		After decryption		
<u>Symbolic</u>	<u>Numeric</u>	<u>P<sup>3</sup></u>	<u>P<sup>3</sup> (mod 33)</u>	<u>C<sup>7</sup></u>	<u>C<sup>7</sup> (mod 33)</u>	<u>Symbolic</u>
S	19	6859	28	13492928512	19	S
U	21	9261	21	1801088541	21	U
Z	26	17576	20	1280000000	26	Z
A	01	1	1	1	01	A
N	14	2744	5	78125	14	N
N	14	2744	5	78125	14	N
E	05	125	26	8031810176	05	E
Sender's computation				Receiver's computation		

# Private Vs public key ciphers

- Public key cipher strengths
  - No key distribution challenges
- Public key cipher weaknesses
  - Relatively expensive to use
  - Relatively slow
  - VLSI chips not available or relatively high cost

# Private Vs Public key ciphers

- Usage:
  - Use a public key cipher (such as RSA) to distribute keys
  - Use a private key cipher (such as DES) to encrypt and decrypt messages

# Computer Systems Security

Advanced Cryptography

(Hashing Algorithms and Digital Signatures)

# Hashing

- Possible message alteration scenarios
  - Insertion of messages from fraudulent sources
  - Changing of message content
  - Modification of message sequence by insertion, deletion or re-ordering of message chunks
  - Modification of message timings by replaying valid sessions

# Hashing

- Definition:
  - Condensing of arbitrary messages to fixed size messages
    - $h = H(M)$ ,  $H$  – hash function
    - Condensed representation is known as a hash.
    - Also commonly referred to as message digest
- Purpose
  - Authentication, not encryption



# Hashing

- Cryptographic hash function is usually public
- Hashing is used to detect modifications on messages
- Cryptographic hash functions, properties:
  - One-way
    - Computationally infeasible to find recreate the message given a hash
  - Collision-free
    - Computationally infeasible to map two or more data with the same hash

# Hashing - Applications

- Public Key Algorithms
  - Password logins
  - Encryption key management
  - Digital signatures
- Integrity checking
  - Virus and malware scanning
- Authentication
  - Secure web
    - (SSH, SSL, S/MIME) etc
- Pseudorandom functions (PRFs) /pseudorandom number generators (PRNGS)

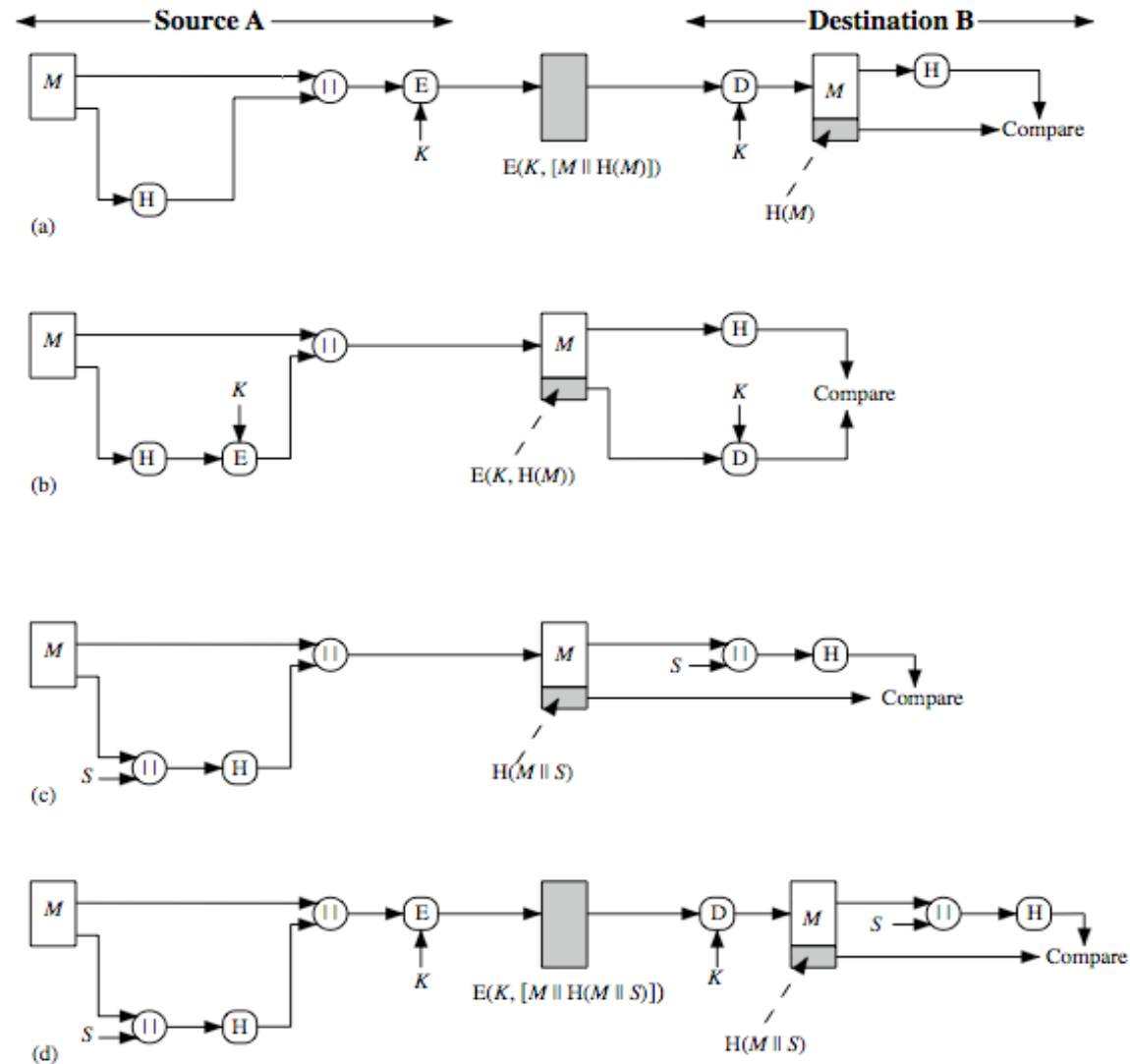
# Simple Hash functions

- Simple and insecure
  - bit-by-bit XOR of every block
  - $C_i = b_{i1} \text{ xor } b_{i2} \text{ xor } \dots \text{ xor } b_{im}$
  - reasonably effective as data integrity check
- One bit circular shift on hash value
  - For each successive n bit block
  - Shift current hash value to the left by 1 bit and XOR block

# Hashing and Message Authentication

- Message Authentication
  - A mechanism or service used to verify the integrity of a message, by assuring that the data received are exactly as sent.

# Hashing and Message Authentication



# Hashing Algorithms

- MD4 and MD5 (by Ron Rivest)
- SHA-0, SHA-1 by NSA
- RIPEMD-160
- SHA-2 (2002 – 224, 256, 384, 512)
- SHA-3 (Selected in 2012)
- etc

# Attacks on Hash functions

- Brute force attack and cryptanalysis
  - find  $m$  such tha.  $H(m)$  equals a given hash value
- Collision resistance attack
  - Find two messages  $x$  &  $y$  with same hash so  $H(x) = H(y)$

# Secure Hashing Algorithm (SHA)

- Originally designed by NIST & NSA in 1993
- Revised in 1995 as SHA-1
- Based on design of MD4 with key differences
- produces 160-bit hash values
- In 2005 results on security of SHA-1 raised concerns on its use in future applications
- In 2012, SHA-3 was defined.



# Secure Hashing Algorithm (SHA-1)

- Step 1: Append Padding Bits
  - Message is “padded” with a 1 and as many 0’s as necessary to bring the message length to 64 bits fewer than an even multiple of 512.
- Step 2: Append Length
  - 64 bits are appended to the end of the padded message. These bits hold the binary format of 64 bits indicating the length of the original message.

# Secure Hashing Algorithm

- Step 3: Prepare Processing Functions

- SHA1 requires 80 processing functions defined as:

- $f(t;B,C,D) = (B \text{ AND } C) \text{ OR } ((\text{NOT } B) \text{ AND } D)$  (  $0 \leq t \leq 19$  )
- $f(t;B,C,D) = B \text{ XOR } C \text{ XOR } D$  (  $20 \leq t \leq 39$  )
- $f(t;B,C,D) = (B \text{ AND } C) \text{ OR } (B \text{ AND } D) \text{ OR } (C \text{ AND } D)$  (  $40 \leq t \leq 59$  )
- $f(t;B,C,D) = B \text{ XOR } C \text{ XOR } D$  (  $60 \leq t \leq 79$  )

- Step 4: Prepare Processing Constants

- SHA1 requires 80 processing constant words defined as:

- $K(t) = 0x5A827999$  (  $0 \leq t \leq 19$  )
- $K(t) = 0x6ED9EBA1$  (  $20 \leq t \leq 39$  )
- $K(t) = 0x8F1BBCDC$  (  $40 \leq t \leq 59$  )
- $K(t) = 0xCA62C1D6$  (  $60 \leq t \leq 79$  )

# Secure Hashing Algorithm

- Step 5: Initialize Buffers
  - SHA1 requires 160 bits or 5 buffers of words (32 bits):
    - $H0 = 0x67452301$
    - $H1 = 0xEFCDAB89$
    - $H2 = 0x98BADCFE$
    - $H3 = 0x10325476$
    - $H4 = 0xC3D2E1F0$

# Secure Hashing Algorithm

- Step 6: Processing Message in 512-bit blocks (L blocks in total message)
  - Loops through the padded and appended message in 512-bit blocks
  - Input and predefined functions:
    - $M[1, 2, \dots, L]$ : Blocks of the padded and appended message
    - $f(0;B,C,D), f(1;B,C,D), \dots, f(79;B,C,D)$ : 80 Processing Functions
    - $K(0), K(1), \dots, K(79)$ : 80 Processing Constant Words
    - $H_0, H_1, H_2, H_3, H_4, H_5$ : 5 Word buffers with initial values

# SHA - Pseudocode

For loop on  $k = 1$  to  $L$

*/\* Divide  $M[k]$  into 16 words \*/*

$(W(0), W(1), \dots, W(15)) = M[k]$

For  $t = 16$  to  $79$  do:

$W(t) = (W(t-3) \text{ XOR } W(t-8) \text{ XOR } W(t-14) \text{ XOR } W(t-16)) \lll 1$

$A = H_0, B = H_1, C = H_2, D = H_3, E = H_4$

For  $t = 0$  to  $79$  do:

$TEMP = A \lll 5 + f(t; B, C, D) + E + W(t) + K(t)$   $E = D, D = C,$

$C = B \lll 30, B = A, A = TEMP$

End of for loop

$H_0 = H_0 + A, H_1 = H_1 + B, H_2 = H_2 + C, H_3 = H_3 + D, H_4 = H_4 + E$

End of for loop

Output:

$H_0, H_1, H_2, H_3, H_4, H_5$ : Word buffers with final message digest

# SHA versions

	SHA-1	SHA-224	SHA-256	SHA-384	SHA-512
<b>Message digest size</b>	160	224	256	384	512
<b>Message size</b>	$< 2^{64}$	$< 2^{64}$	$< 2^{64}$	$< 2^{128}$	$< 2^{128}$
<b>Block size</b>	512	512	512	1024	1024
<b>Word size</b>	32	32	32	64	64
<b>Number of steps</b>	80	64	64	80	80

# Digital Signatures

- An **electronic signature** that can be used to authenticate the identity of the sender of a message or the signer of a document.
  - Can be used to identify when the contents of the original document has been changed
- A type of **asymmetric cryptography**
  - Gives two algorithms,
    - one for signing : Involves the user's secret or **private key**, and
    - one for verifying signatures: Involves the user's **public key**.
    - The output of the signature process is called the "digital signature."

# DS Creation

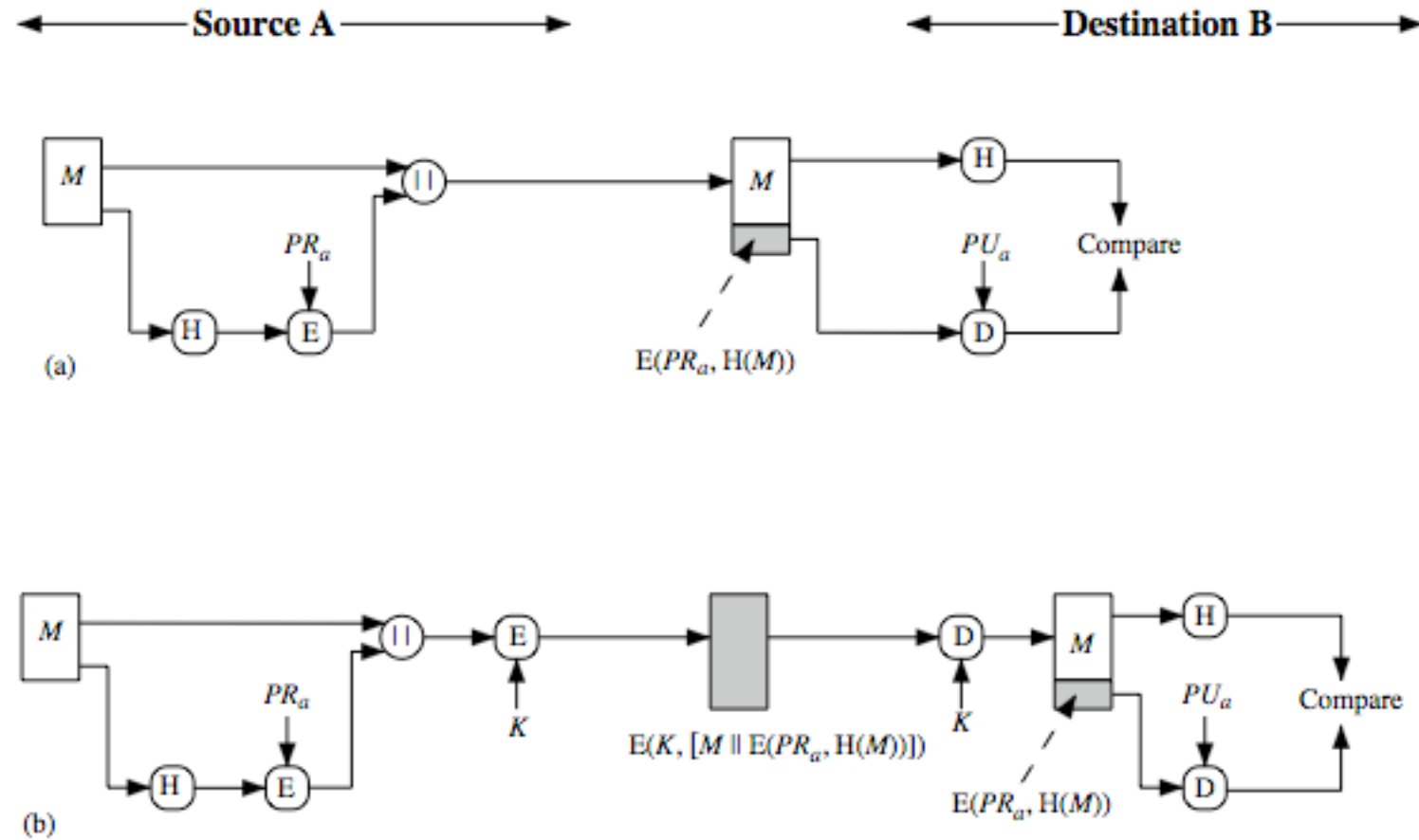
- Performed by the signer
  - Uses a hash result derived from and unique to both the signed message and a given private key.
  - There must be only a negligible possibility that the same digital signature could be created by the combination of any other message or private key.



# DS Verification

- Process of checking the digital signature by reference to the original message and a given public key
  - Helps to determine whether the digital signature was created for that same message using the private key that corresponds to the referenced public key.

# Hashing and Digital Signatures



# Computer Systems Security

Advanced Cryptography  
(Digital Certificates and PKI)

# Digital Signature

- Services
  - Authentication
  - Integrity
  - Non-repudiation

# Digital Signatures

- Problem
  - “REAL” identity of the signer
  - Why should the receiver trust the sender to be whom he/she claims to be?

# Digital Certificates

- Digital Signature:
  - Self signed by the sender
- Digital Certificate:
  - A binding between an entity's public key and one or more attributes relating to the entity.
  - Entity:
    - Person, Browser, Hardware
- A Digital Certificate is issued and signed by someone.
  - A trusted third party (TTP)

# Digital Certificates

- Specifies:
  - Issuer (usually a CA)
  - Serial Number (Allocated by the CA)
  - Validity period, (usually a year)
  - Subject (the user)
  - User's public key parameters e.g. RSA
  - Signing algorithm
  - Issuer's Digital signature (CA signature)

# Digital Certificates

- Additional features
  - Tamper-evident
  - The TTP is usually a CA
  - Complete user identification
  - Have fixed expiration



# Attribute vs Identity Certificates

- A certificate may bind:
  - A public key with a name or
  - A set of attributes with a name and public key.
- Concerns:
  - More likely to be revoked since attributes are more likely to be changed than the name/key.
  - Certificate becomes too long with description of all attributes

# Digital Certificates - Standards

- ITU-T X.509 PKI standard
  - Defined and standardized to be a general, flexible certificate format.
- Three nested components in an X.509 certificate
  - Tamper evident envelop (outer most)
  - Basic certificate contents
  - Certificate extensions (options)

# Tamper-evident Envelop

- “Encloses” the certificate (contents)
- Provides:
  - The signature algorithm (e.g. DSA with SHA-1)
  - The signature value

# Basic Certificate Contents

- X.509 certificate contents:
  - Version number
  - Serial number
  - Issuer
  - Subject issued certificate
  - Validity period
  - Public key algorithm information of the certificate
  - Digital Signature of the issuing authority
  - Public key of the subject

# Certificate Extensions

- Subject type (CA or end entity)
- Names and identity information
- Key attributes
- Policy information
- Additional information
  - CRL distribution points
  - Freshest CRL
  - Authority (CA) information access

# X.509 Certificate Usage Model

- To verify a Digital Certificate, the user:
  - Fetches the certificate
  - Fetches certificate revocation list (CRL)
  - Checks certificate against CRL
  - Checks signature using the certificate

# Digital Certificates

- Challenges
  - Who issues them?
  - How are they Issued?
  - Why should I Trust the Certificate Issuer?
  - How can I check if a Certificate is valid?
  - How can I revoke a Certificate?
  - Who is revoking Certificates?

# Public Key Infrastructure (PKI)

- The goal is to ensure scalable security services
- PKI supports scalable security services using public key cryptography
- PKI: An Infrastructure to support and manage Public Key-based Digital Certificates



# Public Key Infrastructure (PKI)

- A PKI is a set of:
  - Agreed-upon standards,
  - Certification Authorities (CA),
  - Structure between multiple CAs,
  - Methods to discover and validate Certification Paths, Operational Protocols, Management Protocols, Interoperable Tools and supporting Legislation

# Public Key Infrastructure (PKI)

- Full PKI includes:
  - Certification authority
  - Certificate repository
  - Certificate revocation
  - Key backup and recovery
  - Automatic key update
  - Key history management
  - Cross-certification
  - Support for non-repudiation
  - Time stamping
  - Client software

# PKI Architectures

- Single CA
- Hierarchical PKI
- Mesh PKI
- Trust lists (Browser model)
- Bridge CAs

# A single CA

- A CA that issues certificates to users and systems, but not to other CAs
- Advantages:
  - Easy to build
  - Easy to maintain
  - All users trust this CA
  - Paths have one certificate and one CRL
- Disadvantages:
  - Doesn't scale particularly well

# Hierarchical PKI

- CAs have a hierarchical relationship
- All CAs trust the root CA
- Root CA certifies its child CAs, and they in turn certify their child CAs, and so on.
- Advantages:
  - Easy to establish/verify trust relationship between any two CAs

# Mesh PKI

- CAs have peer-to-peer relationships
- Users trust the CA that issued their certificates

# Trusted Lists

- User trusts more than one CA
- Each CA could be a single CA or part of a PKI
  - For hierarchies, should be the root
  - For mesh PKIs, could be any CA

# Bridge CA

- Addresses the shortcomings of the trust lists and cross-certified enterprise architecture
- Unify many PKIs into a single PKI
  - Acts as a sort of trust arbitrator
  - If the trust domain is implemented as a hierarchical PKI, the bridge CA will establish a relationship with the root CA
  - If the domain is implemented as a mesh, the bridge will establish a relationship with one of its CAs.



# Cross-certification

- Peer-to-peer relationships among CAs
  - CA of one organization being certified by another CA of a different organization
  - Appropriate when a small number of enterprise PKIs intend to establish trust relationships

# PKI Design Guidelines

- Identity implementation:
  - Use a locally meaningful identifier
  - User name, email address, Account number
- If possible, design your PKI so that revocation isn't required
- Alternately, use a mechanism which provides freshness guarantees

# PKI Design Guidelines

- Application-specific PKIs
- Simple PKI (SPKI) Binds a key to an authorization
- X.509 binds a key to an identity which must then somehow be mapped to an authorization
- PGP is designed to secure email

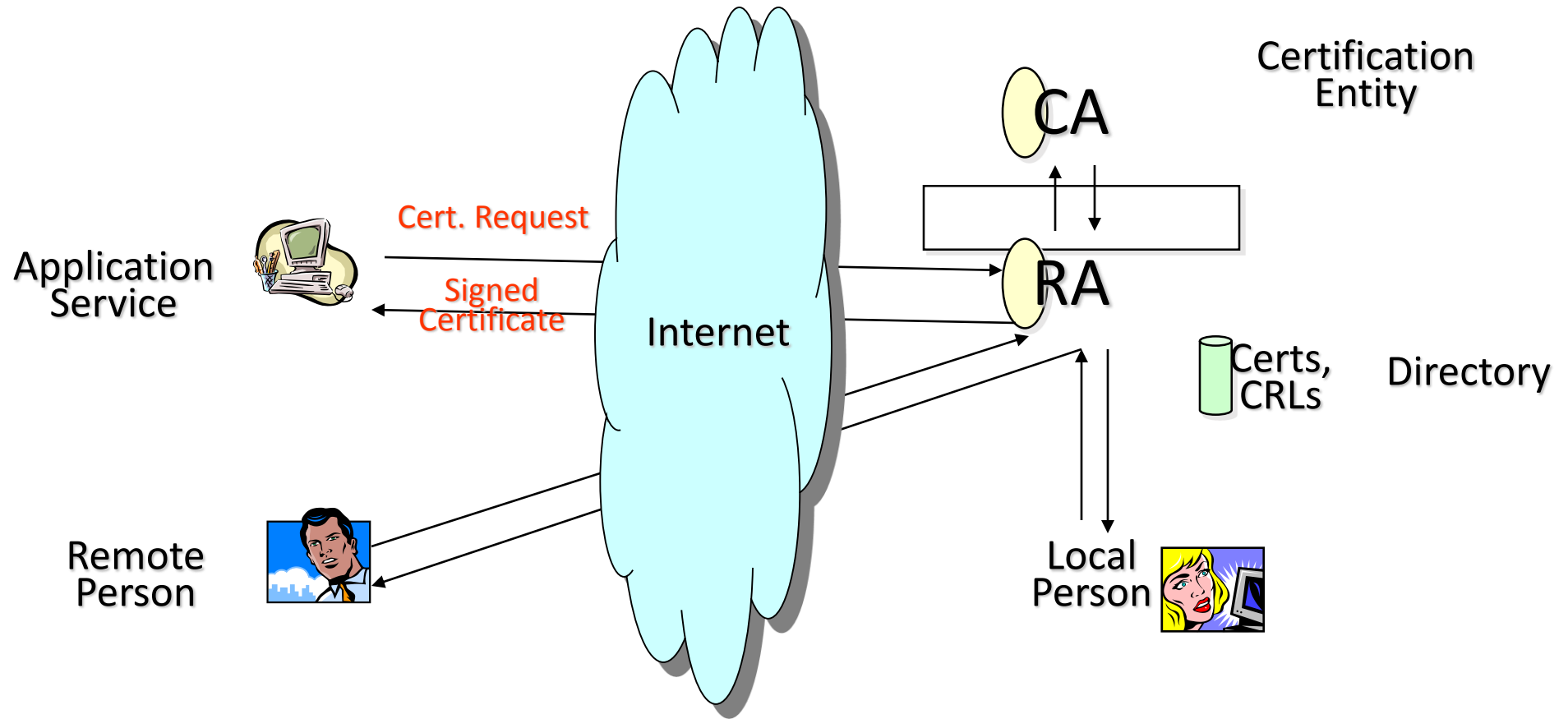
# Public Key Infrastructure (PKI)

- Approaches:
  - SPKI
  - X509 PKI
- Focus on IETF defined standards:
  - X509 PKI
  - X509 Digital Certificates

# X.509 PKI Overview

- Basic components
  - Provider Side
    - Certificate Authority (CA)
    - Registration Authority (RA)
    - Certificate Distribution System
  - Consumer Side
    - PKI enabled applications

# X509 PKI Overview



# X509 PKI Certificate Authority (CA)

- Tasks and responsibilities
  - Key Generation
  - Digital Certificate Generation
  - Certificate Issuance and Distribution
  - Revocation
  - Key Backup and Recovery System
  - Cross-Certification

# X509 PKI Registration Authority (RA)

- Tasks and Responsibilities
  - Registration of Certificate Information
  - Face-to-Face Registration
  - Remote Registration
  - Automatic Registration
  - Revocation



# X.509 Certificate Distribution System (CDS)

- Typically implemented as:
  - Special Purposes Databases
  - Lightweight Directory Access Protocol (LDAP) directories
- Provides a repository for:
  - Digital Certificates
  - Certificate Revocation Lists (CRLs)

# Certificate Revocation List (CRL)

- Revoked certificates remain in a CRL until they expire
- Published by CAs at well defined time intervals
- User application must deal with the revocation processes
- “Users” of certificates “download” a CRL and verify if a certificate has been revoked

# CRL Problems

- Similar to Credit card black list problems
  - Not issued frequently enough to be effective against an attacker
  - Expensive to distribute
  - Vulnerable to simple DOS attacks
    - Attacker can prevent revocation by blocking CRL delivery

# CRL Problems

- Can contain retroactive invalidity dates:
  - CRL issued right now can indicate that a cert was invalid last week
  - Checking that something was valid at time  $t$  isn't sufficient to establish validity
  - Back-dated CRL can appear at any point in the future
  - Destroys the entire concept of non-repudiation

# CRL Problems

- CRLs have a fixed validity period
  - Valid from *issue date* to *expiry date*
  - At *expiry date*, all relying parties connect to the CA to fetch the new CRL
  - Massive peak loads when a CRL expires (DDOS attack)
  - The problem worsens if CRLs have to be issued to provide timely revocations

# Certificate Revocation List (CRL)

- A CRL typically contains
  - Certificate Issuer (Usually CA)
  - Time of this update
  - Time of the next update
  - List of revoked certificate serial numbers with dates and reasons

# Online Certificate Status Protocol (OCSP)

- An alternative to CRLs
- IETF/PKIX standard for a real-time check if a certificate has been revoked/suspended
- Requires a high availability OCSP Server
- Inquires of the issuing CA whether a given certificate is still valid
  - Acts as a simple responder for querying CRLs
  - Still requires the use of a CA to check validity

# Online Certificate Status Protocol (OCSP)

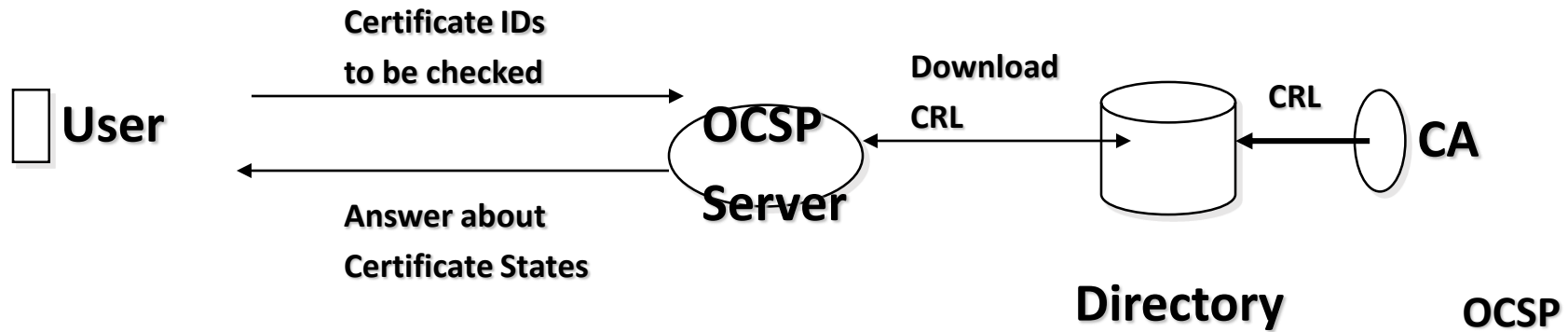
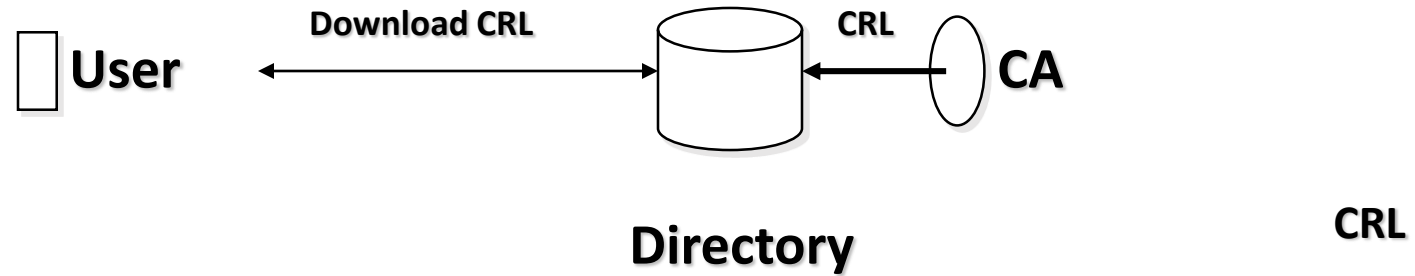
- Acts as a selective CRL protocol
  - Standard CRL process: “Send me a CRL for everything you’ve got”
  - OCSP process: “Send me a pseudo-CRL/OCSP response for only the specified certificates”
  - Lightweight pseudo-CRL avoids CRL size problems



# Online Certificate Status Protocol (OCSP)

- Reply is created on the spot in response to the request
- Ephemeral pseudo-CRL avoids CRL validity period problems
- Requires a signing operation for every query

# CRLs Vs OCSP Server



# X.509 PKI – User Applications

- PKI enabled applications need:
  - Cryptographic functionality
  - Secure storage of Personal Information
  - Digital Certificate Handling
  - Directory Access
  - Communication Facilities

# Running an Enterprise PKI

- Factors to consider:
  - PKI functionality
    - Modular design that is reliable and has high performance in certificate issuance
  - Ease of integration
  - Availability and scalability
  - Security and risk management
  - Expertise

# Running an Enterprise PKI

- Deployment models
  - In-house deployment of standalone PKI software
  - Outsourced deployment to an integrated PKI platform E.g. Verisign's managed PKI