

ConCat v-1.0 Manual

Alignment concatenation and analysis utility

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1. Introduction

ConCat is a biopython based alignment concatenation utility designed to obtain alignment super matrix from list of alignment files. It is divided into three modules (ConCat-import, ConCat-build, ConCat-analyze). ConCat-import module provides functions for CDS and mRNA sequence import from NCBI and dynamic sequence alignment options with multiple input/output format options. ConCat-build module is for concatenation, annotation handling and storage. ConCat-analyze module conducts post analysis of super matrix obtained from ConCat-build module. Workflow of ConCat is shown below:

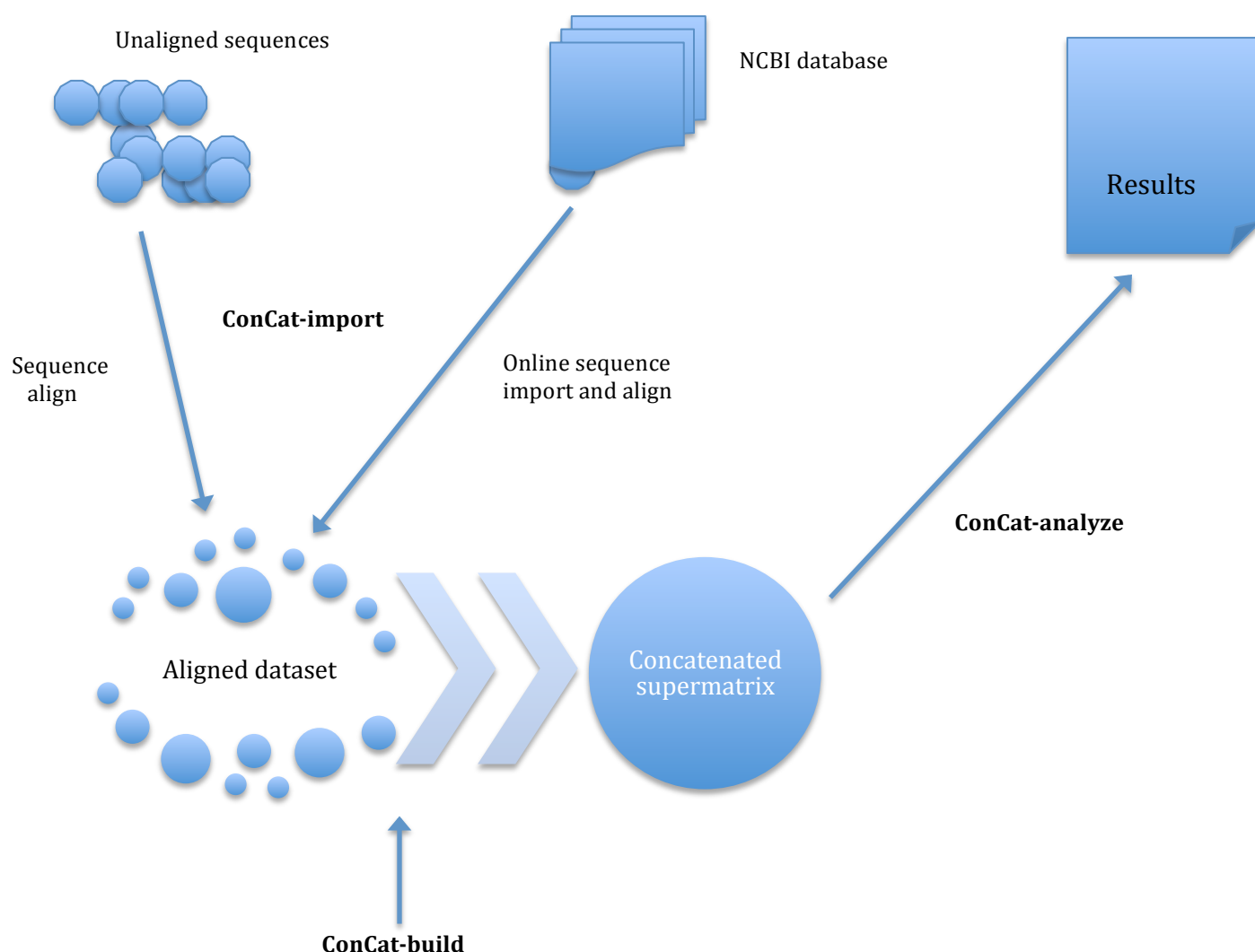


Fig 1. ConCat Workflow

ConCat produces rich character annotation obtained by user-selected functions applied during concatenation process. The program can handle input file in fasta, phylip-interleaved, phylip-relaxed and nexus format. ConCat has several alignment processing functions that helps user to create and store publication ready files. It extracts genome IDs (if available) from the taxon IDs and stores it in an excel sheet in a publication ready format. Furthermore, it scans for the spelling mistakes in the taxon name within the alignment files, identifies missing taxa in each alignment and stores these information in nexus output as Taxset data. Moreover, it allows user to select or reject specific taxa while performing concatenation, edit taxa name by adding or removing user supplied nomenclature group and creates useful bins by classifying different input alignment file datasets according to user defined criterion.

1.1. Input/Output

Creating, handling and storing annotation is one of the most important features of ConCat, which is why the nexus is a preferred input format in ConCat. Although, ConCat allows multiple input/output formats including fasta (.fas), nexus (.nex), phylip-interleaved (.phy) and phylip-relaxed (.phy). ConCat has “-CA” and “-convert” arguments to handle non-nexus input files. These arguments allows user to first convert non-nexus input files to nexus format and then run the analysis or select to convert and run the analysis simultaneously. ConCat also allows user to select fasta, nexus, phylip-interleaved and phylip-relaxed output formats.

1.2. ConCat block

ConCat allows users to define the alignment file type, define files to run RNA structure mapping and supply user defined RNA structure through ConCat block option. Structure of ConCat block is shown below:

```
begin ConCat;
    Ali_type = DNA;
    RNA_type = False;
    RNA_Struc = Species_Name, (((..(....))....).),6;
end;
```

ConCat block can be defined in nexus alignment input file after the first line that contains #NEXUS. This block has three variables and each carries special set of information.

Ali_type variable takes alignment type information from user. User can allow ConCat to create RaxML partition file by defining the alignment type in ConCat block. ConCat takes the user defined alignment type from each file and extract their corresponding nucleotide positions from the concatenated super matrix to create a final RaxML partition file. This variable also allows ConCat to distinguish between DNA and amino acid alignment data while calculating alignment entropy and RCV values.

RNA_type variable allows user to label the alignment files for which ConCat is supposed to create RNA structure data and map it over the concatenated alignment matrix. ConCat uses RNAfold package to obtain the RNA structure data from the consensus sequence obtained from the input alignment file. Program will skip this step if RNAfold program is not installed on the users system.

RNA_Struc variable allows user to supply predefined RNA structure to map over the concatenated super matrix data. It contains three sub-variables Species_name, structure and structure starting position. So if user has following alignment file:

```
Homo_sapiens    ACTAGATACAGATACGATCAGATCA
Gorilla_gorilla ACTAGATAGAGAAACGATCAGATCA
Macaca_mulata   ACTAGATACAGAAACGAACCGCTCA
```

And Homo_sapiens RNA structure “(((..(....))....).)” starting at position 5th then the ideal way of RNA_Struc variable representation is

```
RNA_Struc = Homo_sapiens, (((..(....))....).), 5;
```

2. Usage/Options

2.1. ConCat-import

ConCat-import module allows user to import multi-taxa sequences from NCBI database and provides dynamic options to perform sequence alignment.

2.1.1. ConCat-import usage

Place all the unaligned sequence files in Align directory and run

```
python ConCat-import.py
```

Muscle is set as default alignment package. User can choose to run Mafft by using `-pkg` argument and supply alignment argument through `-args` argument.

```
python ConCat-import.py -pkg mafft -args "--localpair --maxiterate 1000"
```

ConCat-import module also allows user to supply distinct mafft arguments for each sequence file through `-argf` argument while conducting batch alignment. Arguments can be supplied by via authority text file. File format is shown below.

```
File1.fas = --localpair --maxiterate 1000
File2.fas = --globalpair --maxiterate 1000
File3.fas = --retree 2 --maxiterate 2
```

Now run:

```
Python ConCat-import.py -pkg mafft -argf authority.txt
```

ConCat-import usage descriptions are given below:

<code>-cds CDS</code>	Takes gene name via text file for CDS import
<code>-mrna MRNA</code>	Takes gene name via text file for mRNA import
<code>-orgn ORGN</code>	Takes organism group name to extract sequence data
<code>-pkg {muscle,mafft}</code>	Select alignment program
<code>-args ARGS</code>	Arguments to run MAFFT. EXAMPLE: "--retree 2 --maxiterate 10"
<code>-sep</code>	Include if you want to run different models for different alignment files
<code>-argf ARGF</code>	Takes argument file as input

2.1.2. Import sequences from NCBI

CDS and mRNA sequences can be directly fetched from NCBI through `-cds` and `-mrna` arguments. To obtain CDS sequence of Eutherian BRCA1, CENPJ and BRCA2 gene use

```
python ConCat-import.py -cds authority.txt -orgn Eutheria
```

authority.txt file contains list of genes in following format

```
BRCA1
CENPJ
BRCA2
```

Each gene name should be in a new line.

This function imports longest available CDS sequence for all the Eutherian mammals from NCBI database, performs coding sequence alignment using muscle alignment program and saves it in ConCat Input directory. ConCat scans for out-frame indels, automatically identifies the correct coding frame for the given sequence and adjusts it accordingly before performing alignment. Use the following argument to import mRNA sequences:

```
python ConCat-import.py -mrna authority.txt -orgn Eutheria
```

Sometimes we face annotation issues while extracting sequences from NCBI database. For example if we intent to fetch CEP63 CDS/mRNA sequence for Eutherian mammals by using above step, we might end up skipping *Loxodonta africana* and *Ailuropoda melanoleuca* since their CEP63 gene sequence is stored with the id name LOC100669045 and LOC100464770 respectively. To handle this situation ConCat allows user to import vertebrate sequences using orthologue method.

```
python ConCat-import.py -cds authority.txt -ortho Homo_sapiens
```

This argument will fetch CDS sequence for all the vertebrates that has the respective gene sequence annotated in NCBI by performing orthologue search using Human gene id. Same method can be used to extract mRNA sequence.

2.1.3. Import all CDS/mRNA sequence for a set of taxa

This functionality can be used in a situation where all the coding sequences encoded in a group of organism are required.

```
python ConCat-import.py -pull authority.txt
```

“*authority.txt*” file contains list of all the taxa to be for CDS import. Each taxon should be placed in a newline:

```
Homo sapiens
Gorilla gorilla
Felis catus
```

This will produce three files with the name “Homo_sapiens.fas”, “Gorilla_gorilla.fas” and “Felis_catus.fas” as an output having all their corresponding NCBI annotated CDS sequences.

2.1.4. Import set of CDS/mRNA sequence for specific taxa to create gene alignment

```
python ConCat-import.py -gcds authority.txt -orgn Homo_sapiens
&
python ConCat-import.py -gmrna authority.txt -orgn Homo_sapiens
```

These arguments will fetch Homo sapiens CDS/mRNA sequences for a set of genes supplied via authority.txt file and creates a gene CDS/mRNA alignment as an output using muscle package.

For example, if your authority.txt file contains following gene ids:

Gene1
Gene2
Gene3

and run

```
python ConCat-import.py -gcds authority.txt -orgn Homo_sapiens
```

Then the program will output an alignment:

```
Gene1    ATGGTGACT.....
Gene2    ATG---ACT.....
Gene3    ATGGTG---.....
```

2.2. ConCat-build

Steps to run ConCat via command line:

- a) Open terminal and move to the ConCat directory.
- b) Store your input files in the "Input" directory
- c) If input files are in nexus format the run

```
python ConCat.py
```

or else run

```
python ConCat.py -CA -ftype phylip-relaxed -otype phylip-relaxed
```

for phylip-relaxed input and output files. Select `-ftype` and `-otype` argument inputs accordingly.

2.3. ConCat-build options

2.3.1. `-ftype`, `-otype` and `-CA`

`-ftype` and `-otype` arguments are used for passing input and output file type respectively. Both arguments allow user to select from 5 options (fasta, nexus, phylip, phylip-interleaved, phylip-relaxed). If a user has input files in fasta format and requires output super matrix file in phylip-relaxed format, then the typical command line operation will be

```
python ConCat-build.py -CA -ftype fasta -otype phylip-relaxed
```

`-CA` argument first converts all the `-ftype` file format files to nexus file format, which is then imported by ConCat-build module. Output is produced in nexus as well as in `-otype` file format.

ConCat uses nexus as default input format when the `-ftype` argument is not supplied.

2.3.2. `-convert`

`-convert` argument allows user to convert between different file formats.

```
python ConCat-build.py -convert -ftype fasta -otype nexus
```

2.3.3. `-spell`

Spelling errors are common in taxon naming. ConCat-build `-spell` argument checks for possible spelling mistakes by comparing the taxon name in the input alignment files.

2.3.4. `-block`

By passing `-block` argument user tells ConCat-build module to check ConCat block in all the alignment files. ConCat-build creates RaxML partition file if `Ali_type` variable is initiated in the ConCat block in the input alignment files.

`-block` argument is important when user wants to create partition file, supply RNA structure or create and map RNA structure on the final super matrix data. Therefore, it

is important to initiate `-block` argument when defining ConCat block in the input alignment file or using `-RNA` argument.

Example:

```
python ConCat-build.py -CA -ftype fasta -otype phytip-relaxed -block -RNA
```

2.3.5. `-RNA`

`-RNA` argument can be used to create and map RNA structures from the input alignment file. ConCat uses RNAfold program to generate RNA structure for the input files that has ConCat block `RNA_type` variable set as `True`. Program checks for the RNAfold program on the users system and skips this step if the RNAfold program is not installed.

2.3.6. `-pipe`

`-pipe` argument is very useful when user has database IDs attached with the taxa name separated by pipes.

Example:

```
Cricetulus_griseus|NM_001246726.1
Nannospalax_galili|XM_008839441.1
Microtus_ochrogaster|XM_005368436.1
Rattus_norvegicus|NM_207592.1
```

`-pipe` argument allows ConCat-build module to extract these IDs and store it as a Taxset in nexus output file. It also creates a publication ready database ID excel sheet as output.

2.3.7. `-shannon`

`-shannon` argument allows user to obtain an estimate of variability within each alignment input files. ConCat has separate modules to calculate Shannon entropy for DNA and protein alignments.

For protein alignment, ConCat first divides each amino acid into 12 unique groups on the basis of their chemical similarity.

```
'u': ['D', 'E']
'b': ['R', 'K']
'i': ['I', 'V']
'l': ['L', 'M']
'f': ['F', 'W', 'Y']
'n': ['N', 'Q']
```

```

's': ['S', 'T']
'c': ['C']
'h': ['H']
'a': ['A']
'g': ['G']
'p': ['P']

```

For DNA sequence A, C, G and T forms their own group. Gaps (-) are considered as a separate group in entropy calculation. Finally the entropy values for a particular alignment position is calculated by using following equation:

$$\xi = -\sum_{i=1}^n p_i \log p_i$$

Where p_i is the fraction of residue of amino acid group type and n is the number of amino acid group types. These values are averaged over the alignment length to obtain overall entropy.

2.3.8. -rcv

Relative Composition Variability (RCV) value is a good indication of alignment quality. Similar to Entropy calculation, ConCat has separate modules for amino acid and DNA alignment RCV calculations. Similar to entropy calculation function, RCV calculation for amino acid alignment is performed by grouping amino acids into 12 groups on the basis of their chemical composition. A, C, G and T are the only groups taken into account while performing RCV calculation for DNA alignment.

$$RCV = \sum_{i=1}^n (|A_i - A^*| + |T_i - T^*| + |C_i - C^*| + |G_i - G^*|) / n \times t$$

where A_i , T_i , C_i , and G_i are the numbers of each nucleotide for the i^{th} taxon. A^* , T^* , C^* , and G^* are averages across the n taxa, and t is the number of sites. Constant sites were excluded for all χ^2 and RCV calculations.

2.3.9. -OV

To calculate observed variability (OV), all sequences for a given position are compared in a pair-wise fashion. Mismatches are scored as 1 and matches as 0; the mean value amongst all the comparisons for a given position is used as the measure of character variability in the subsequent data sorting:

$$OV = \sum_{p=1}^k d_{ij} / p$$

Here k is the number of pair-wise comparisons made for a given position and d_{ij} is the score of character variability in each pair-wise comparison made (can be either 0 or 1). If n is the number of aligned sequences which do not have a gap at the given alignment position, then $k = (n^2 - n)/2$.

2.3.10. -GC

This argument calculates GC content of each input alignment file.

2.3.11. -RY

-RY argument asks user for filenames to conduct RY coding either on all the coding positions or for 3rd coding position. File names can be supplied through a text file having one file name in each line and RY coding position separated by commas.

ASPM.nex, 3

CENPJ.nex, all

WDR62.nex, all

ConCat-build extracts these filenames and selects the RY coding position by matching it with the concatenated supermatrix nexus Charsets. The program performs RY coding for 3rd position if the position supplied by user is "3", whereas it performs RY coding for all position if the position supplied by user is "all".

2.3.12. -addT and -remT

-addT and -remT arguments are used for editing taxa name while performing concatenation. -addT takes taxon genus, class, order, phylum and kingdom names as input from Taxonomy.csv file. Use -addT Phylum to add phylum name to an existing taxa name.

```
python ConCat-build.py -addT Phylum
```

This will extract Phylum names of each taxon from Taxonomy.scv file and add it to the corresponding taxon names. Use -remT to remove the last segment of taxa name from the final concatenated super matrix object.

```
python ConCat-build.py -remT
```

2.3.13. -inc and -exc

-inc and -exc arguments allows user to select specific set of taxa from input alignment files to perform concatenation. These two arguments take input from and authority file. -inc argument limits the concatenation process for set of taxa supplied by the user via authority file whereas -exc argument limits the concatenation process for set of taxa which are absent in the authority file.

2.3.14. -pbin

-pbin argument invokes a function that creates multiple 0-25, 25-75 and 75-100 percentile bins (defined as ConCat_Bin) by characterizing the input alignment data on the basis of their corresponding RCV, GC and Entropy values.

```
python ConCat-build.py -rcv -GC -shannon -pbin
```

This will generate 0-25, 25-75 and 75-100 percentile bins each for the data characterized by their corresponding RCV, GC and entropy values.

2.3.15. -rbin, -ebin, -gcbn

These binning functions takes bin range from user as input and creates one bin of each argument type for the range supplied by user.

```
python ConCat-build.py -rcv -GC -shannon -rbin 0.1-0.3 -ebin 0.2-0.4 -gcbn 35-50
```

2.3.16. -ugcbn

-ugcbn argument allows user to set values for bin partitioning. If user enters -ugcbn 20 then the program creates and populates 5 bins with range 0-20, 20-40, 40-60, 60-80 and 80-100, whereas if 15 is supplied then the program forms 7 bins with range 0-15, 15-30, 30-45, 45-60, 60-75, 75-90, 90-100. -pbin argument is required to run -ugcbn.

```
python ConCat-build.py -GC -pbin -ugcbn 15
```

2.3 ConCat-analyze

ConCat-analyze takes nexus as input file format.

2.3.1. -fevol

-fevol argument allows user to eliminate fast evolving sites from the alignment either by manual selection of sites or by conduction fast evolving site search available in ConCat program.

Using ConCat-analyze to detect fast evolving sites

```
python ConCat-analyze.py -i Combined.nex -fevol -OV 0.9 -o out.phy -fout phytip-relaxed
```

Here -OV argument is used to define OV cutoff threshold to detect fast evolving sites. -i argument is for giving input alignment file and -o is to define output file name. Output file formats can be defined through -fout argument (Nexus is set as default).

2.3.2. --rembin

--rembin argument tells ConCat to read ConCat bins from input alignment file and initiate bin selection based alignment editing module. User can select to remove alignment regions in 0-25th, 25th -75th or 75th -100 percentile RCV, GC or/and Entropy bin range. To remove alignment regions those are in 25th -75th percentile RCV and GC bin range:

```
python ConCat-analyze.py -i Combined.nex --rembin -RCVrem 25-75 -GCreM 25-75 -o out.nex
```

--rembin argument is import to initiate -RCVrem, -GCreM and -ENTrem (Selection based on entropy bins) module.

2.3.3. -ugcbin

-ugcbin is a --rembin independent argument that allows user to input any range of GC values in order to remove the corresponding alignment regions from the input alignment file.

3. Liscence/Help Desk/Citations

ConCat v1.0 was developed by Ambuj Kumar in 2014. It is implemented in python and available at <https://github.com/Ambuj-UF/ConCat-1.0>. It can be distributed and/or modified under the terms of the GNU General Public License as published by the Free Software Foundation; either 2 of the license, or (at your option) any later version. This program is distributed with the hope that it will be useful, but WITHOUT ANY WARRANTY; without even the implied warranty of MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the GNU General Public License for more details. You should have received a copy of the GNU General Public License along with this program; if not, write to the Free Software Foundation, Inc., 675 Mass Ave, Cambridge, MA 02139, USA.

If you have any problems, error-reports or other questions about ConCat, feel free and write an email to ambuj@ufl.edu.

Reference: Manuscript under preparation...

4. Copyright

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