

# Machine Learning for Predictive Maintenance: A Multiple Classifier Approach

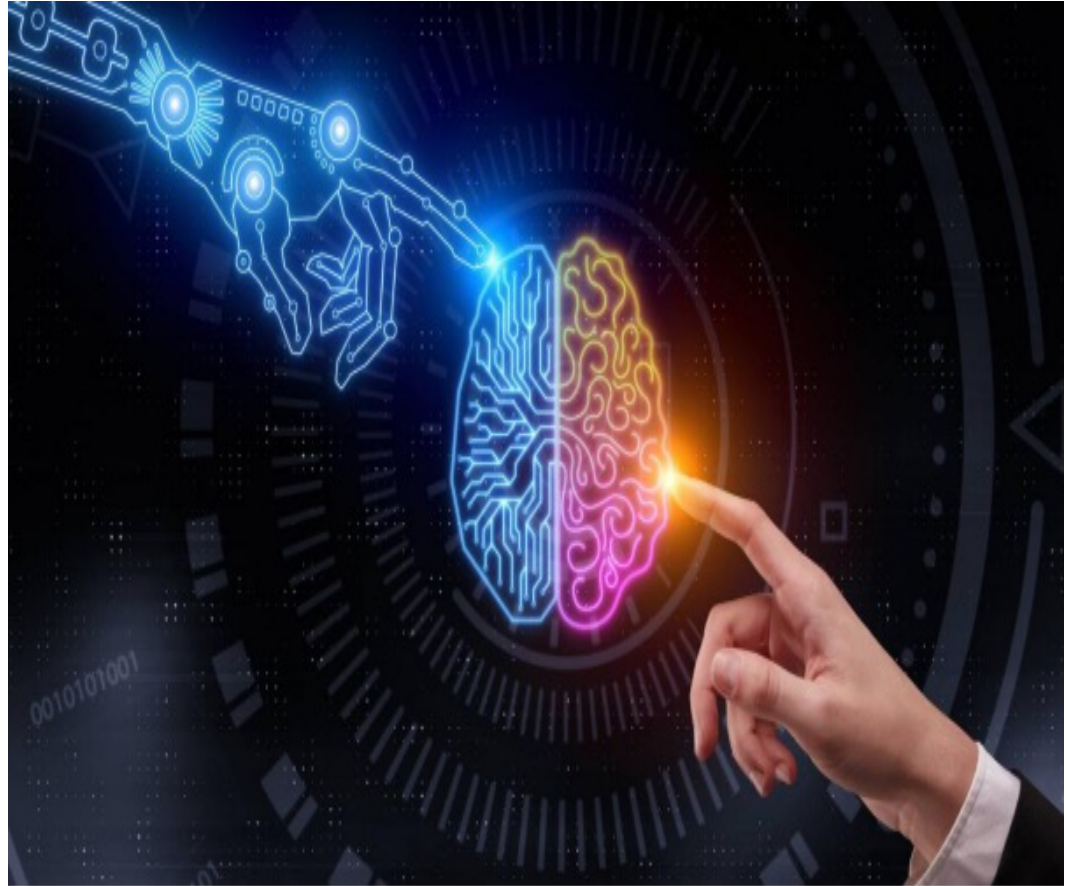
---

Ambuj Kumar Mondal

28-March-2022

# Agenda

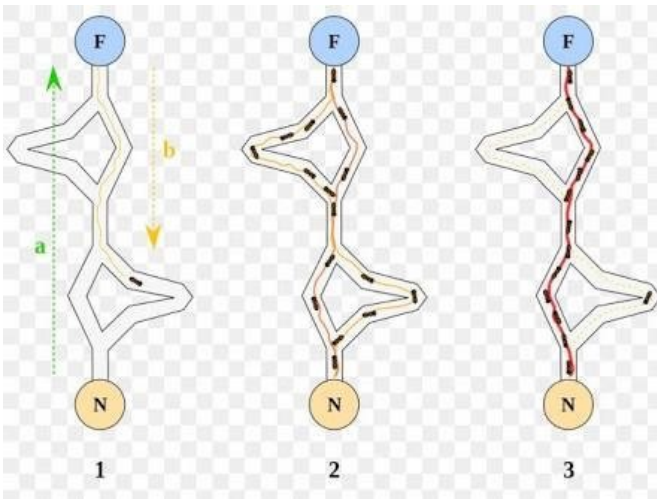
- PdM
  - Intelligence of Prediction
  - Maintenance types
  - Importance of PdM
- Machine Learning in PdM
  - Supervised Learning
  - Unsupervised Learning
  - Semi-supervised Learning
- Multiple Classifier Approach
  - Tradeoff Optimization
  - MC PdM algorithm
  - Classification algorithms
- Use case and Experiment
  - Semiconductor manufacturing
  - Challenges & future tasks
- Conclusion



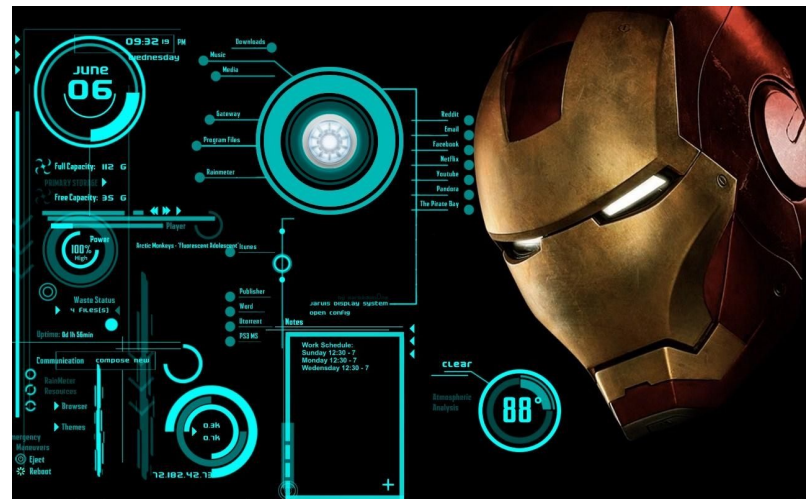
[pic0]

# Intelligence of Prediction

- “The ability to perceive or infer **information**, and to retain it as **knowledge** to be applied towards **adaptive** behaviours within an environment or context” [wikipedia]
- **Artificial Intelligence** : is the design of **artificial agents** that perceive their environment and make decisions to maximise the chances of achieving a goal.[2]



[pic1]



[pic2]

# Maintenance Activities

- **R2F- Run to Failure**

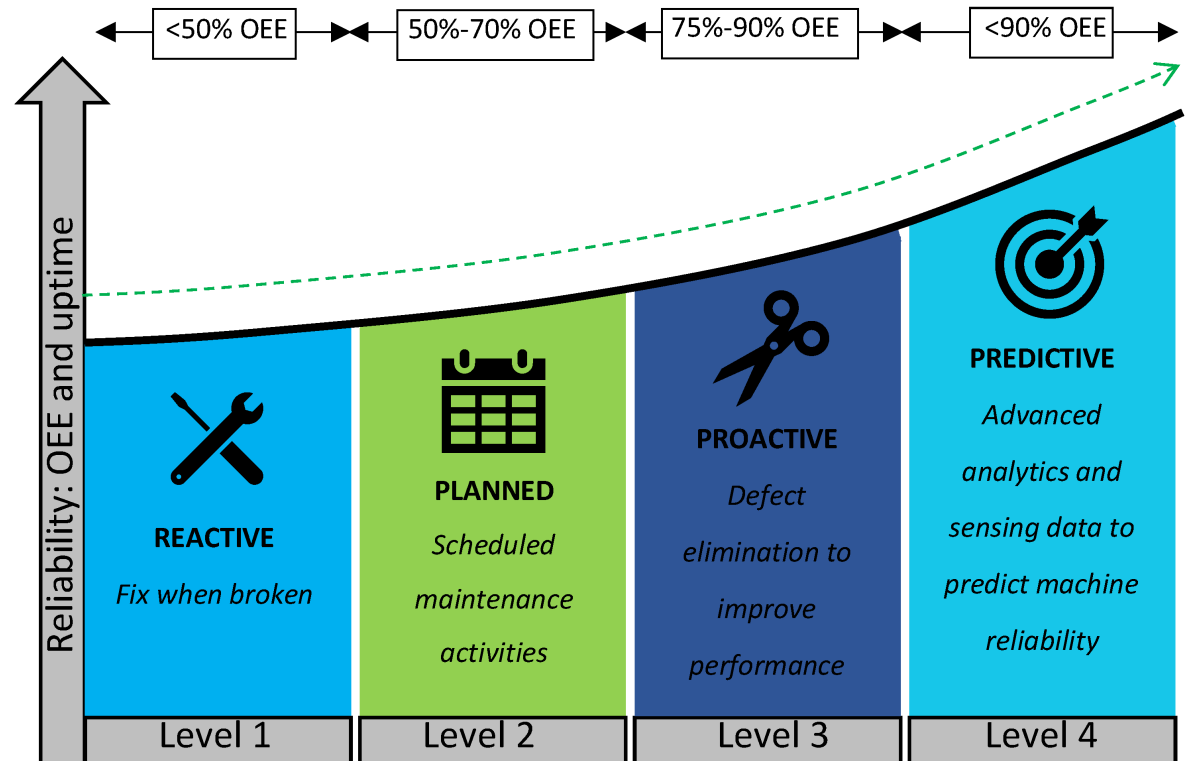
Descriptive  
Diagnostic

- **PvM- Preventive Maintenance**

Scheduled maintenance  
Planned Activities  
Avoid breakdowns

- **PdM- Predictive Maintenance**

Failure Prevention  
Efficient Operations  
Reduced maintenances  
frequency

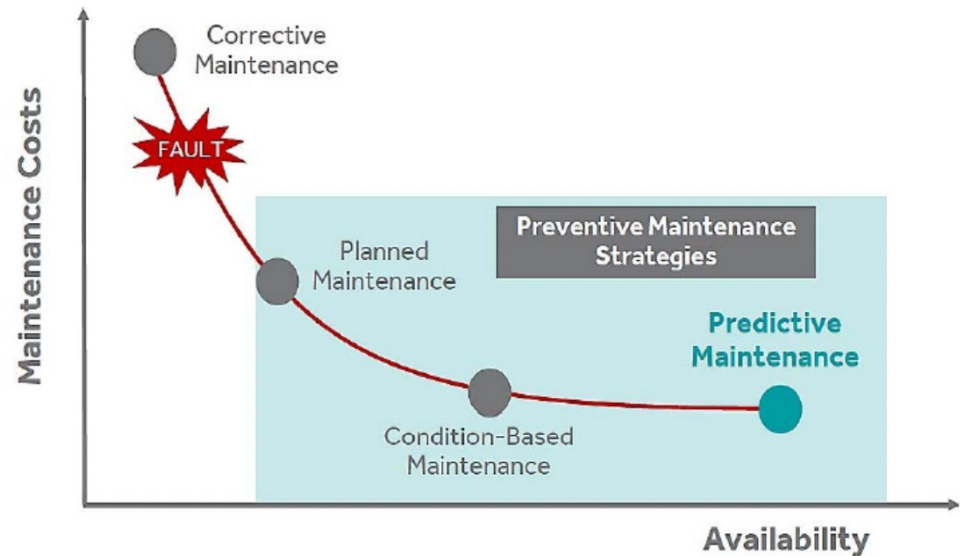


[pic3] : Different ways of Maintenance

# Why Predictive Maintenance (PdM)



[pic4] : Benefits of Predictive Maintenance



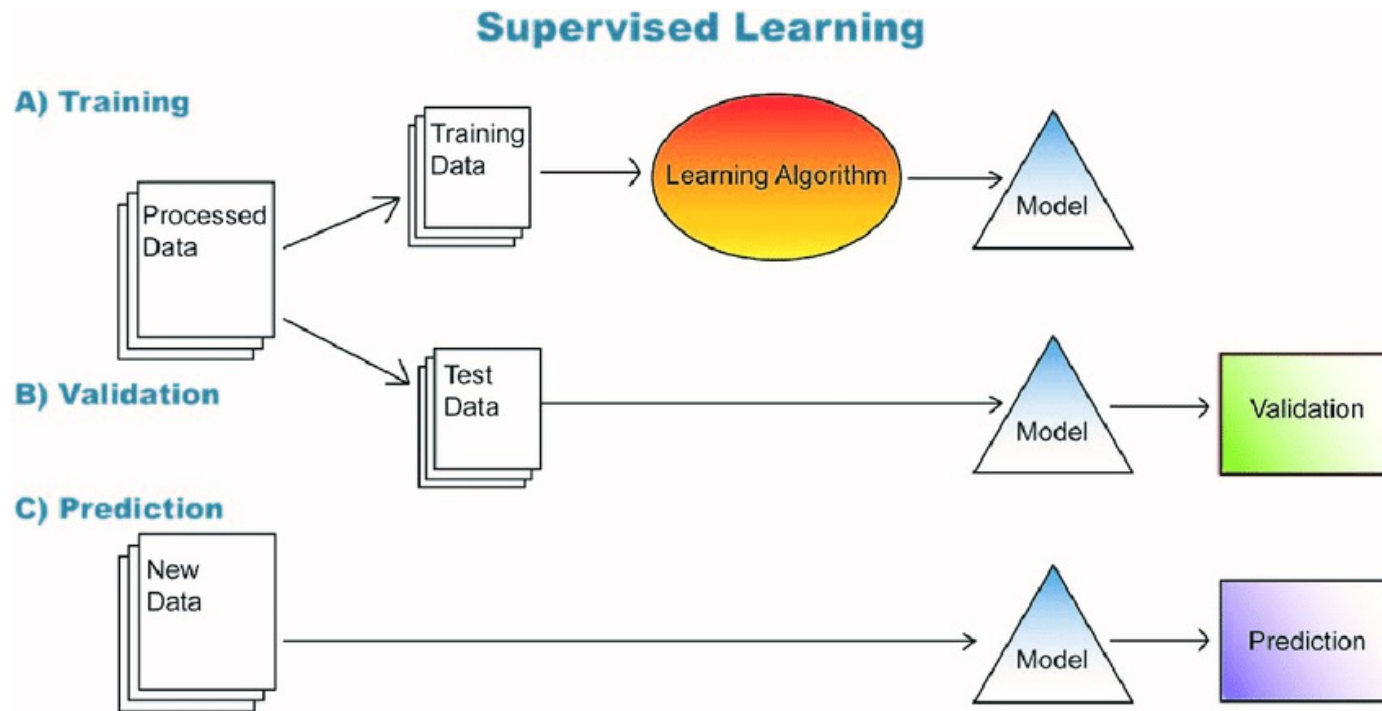
[pic5] : Cost vs availability, different maintenance approaches  
[MPR18]

# Machine Learning in PdM

## ▪ Supervised Learning

- Needs the availability of labelled dataset S
- Classification and Regression

$$S = \{x_i, y_i\}_{i=1}^n$$



[pic6] Supervised Learning steps

# Supervised Machine Learning in PdM

---

- **Classification:**

- Algorithm for accurately assigning input data into a specific class.
- SVMs – Support Vector Machines, K-NN – K Nearest neighbours

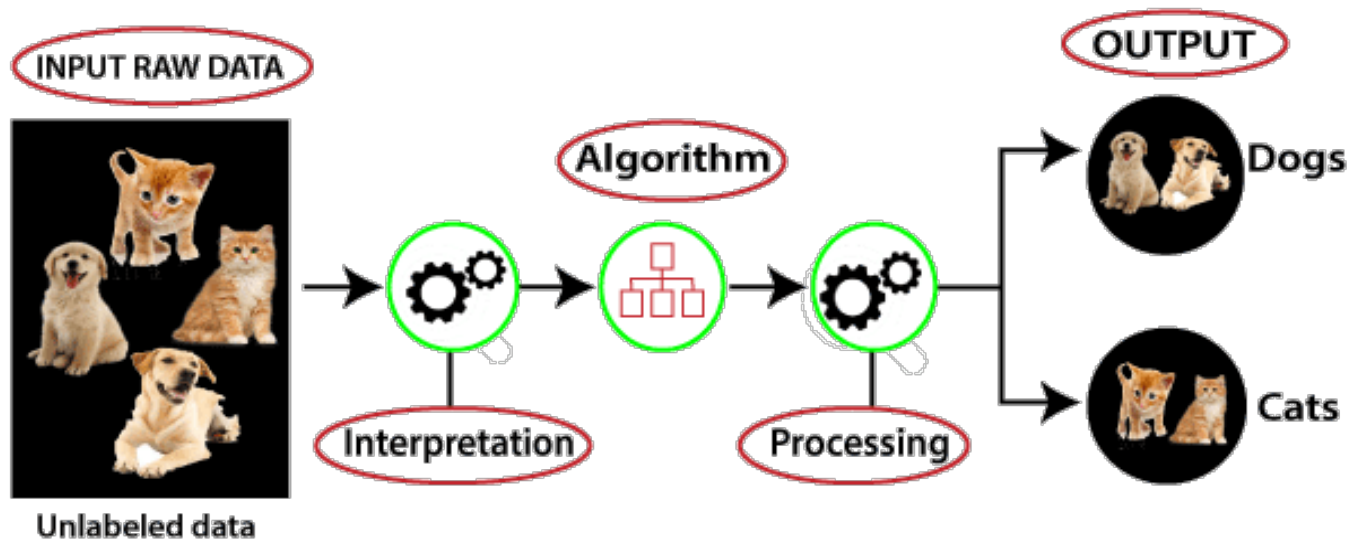
- **Regression:**

- Algorithm for understanding the relationship between different dependent and independent entities
- Linear Regression, Logistic Regression, Polynomial regression
- ***Remaining Useful Lifetime (RuL)***: duration that a machine can run further before it faces a breakdown, provided no maintenance activity was performed. [BR10]

# UnSupervised Learning in PdM

## ■ UnSupervised Learning:

- Data is given as input and the algorithm finds hidden structures in the data
- useful in *clustering* problems and probability density estimation
- complex compared to supervised models.
- Need more CPU cores and processing powers.



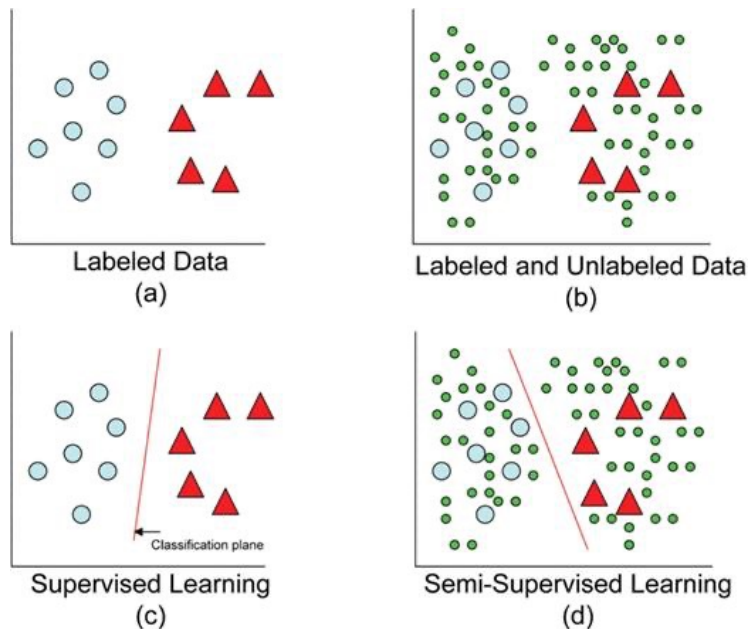
[pic7] Unsupervised Learning steps



# Semi Supervised Learning

## ■ Practical Scenario

- Data for a particular state is known and most data for the complete system is not known or labelled
- predict a target value for a specific input data set and can detect *outliers*.
- needs proper knowledge about normal production behaviour.
- useful in *Anomaly Detection* and One-Class classification problems.



[pic8] Difference between supervised and semi supervised learning methods

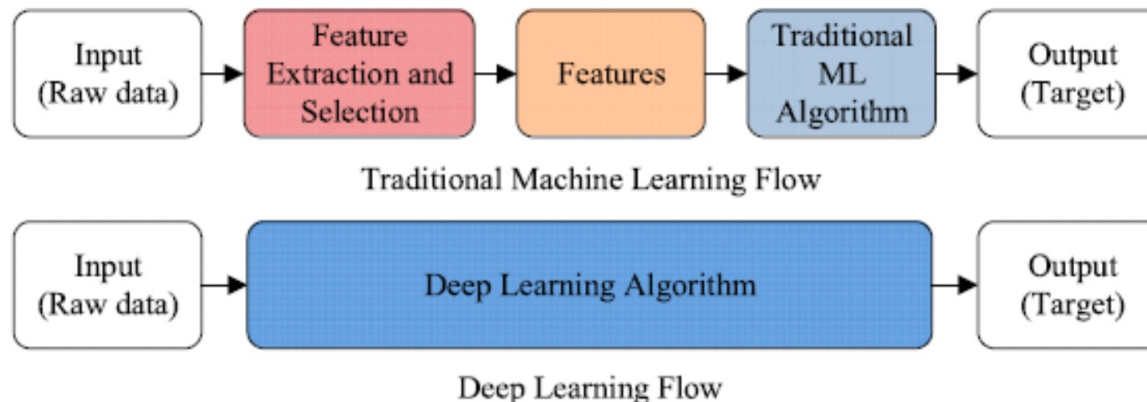
# Machine Learning Flow

## ▪ Data Exploration:

- Sensor data, Logs, Monitoring data

## ▪ Feature Extraction:

- Data Interpolation
- mathematical value that can be used to train the machine learning algorithm
- Manufacturing industry – thousands of possible features.
- **Feature Selection** is important – Average, standard deviation, skewness, Kurtosis etc.



[pic9] Flow of ML and DL [ZYW19]

# Multiple Classifier (MC) in PdM

## ■ **Classification of PdM:** [ZYW19]

- Assume data of  $N$  maintenance cycles available
- Define matrix  $X$  containing all the collectible information
- Information on maintenance events is contained in the variable  $Y$

$$n = \sum_{i=1}^N n_i$$

$$X = [x_1 \quad x_2 \quad \dots \quad x_n]^T$$

$$Y(i) = y_i = \begin{cases} F, & \text{if iteration } i \text{ is faulty} \\ NF, & \text{if iteration } i \text{ is not faulty.} \end{cases}$$

## ■ **Weak Form:** [ZYW19]

- In the case of R2F, each cycle results in  $F$  - Dataset is unbalanced and skewed.
- Only current process iteration is classified. No fault prevention
- No operating cost optimization policy
- Key Metrics –

*Frequency of unexpected breaks (FuB) - percentage of failures not prevented*

*Amount of unexploited lifetime (FuL) – number of iteration that could have run before breakdown*

# MC PdM Concept

---

- Costs,  $CuB$  and  $CuL$  associated with for  $FuB$  and  $FuL$ ;  $CuB$  relates to unplanned interruptions in Production.  $CuB \gg CuL$
- an optimal tradeoff solution is sought through minimization of the total operating costs  $J = FuB.CuB + FuL.CuL$
- Possible approach to prevent unexpected failures.
- Label last  $m$  iterations as  $F$  instead of considering just last failed cycle and reduce skewness of Dataset.
- The  $j^{th}$  classifier is associated with the labels  $Y_j$ , where considering the  $t^{th}$  process iteration of the  $i^{th}$  maintenance cycle (of length  $n_i$ )

$$y_t^{(j)} = \begin{cases} \text{NF}, & \text{if } t \leq n_i - m^{(j)} \\ F, & \text{otherwise} \end{cases}$$

# Tradeoff Optimization

---

- $N_m$  samples for F and  $n - N_m$  samples for the class NF. This can be repeated with  $k$  different values of horizon  $m$ .
- given the current costs  $CUB(t)$  and  $CUL(t)$  at time  $t$ , the MC PdM suggests a corrective action when the  $j^{th}$  classifier

$$\begin{aligned} j^* &= \arg \min_{j=1, \dots, k} J^{(j)}(t) \\ &= \arg \min_{j=1, \dots, k} \rho_{UB}^{(j)} CUB(t) + \rho_{UL}^{(j)} CUL(t) \end{aligned}$$

- **Algorithm 1:** [ZYW19]

- In the performance of each classifier is evaluated using repeated random subsampling validation, also known as *Monte Carlo cross validation (MCCV)*
- $MCR[\%]$  = Percentage of misclassified samples
- Tuning by cross validation with MCR

# Mc PdM Algorithm

- CuB and CuL are provided by the user, and may be changed at each evaluation of the PdM module
  - Unexpected breakdown brings full maintenance cycle
  - Valuable new data are available to update the MC PdM module and related performance metrics
- It is important to retain the facility to update the MC PdM module following deployment.

---

## Algorithm 1. MC PdM Training

---

**Data:**  $X, Y, k, q_1, q_2, Q_1, Q_2, \{m^{(j)}\}_{j=1}^k$

**Result:** Classifiers  $\{f^{(j)}\}_{j=1}^k$  and PdM performances

1. Let  $\rho_{UB} = [\cdot]$  and  $\rho_{UL} = [\cdot]$  (empty vectors)

**for**  $j = 1$  *to*  $k$  **do**

2. Compute  $Y^{(j)}$  as in Eq. (5) **for**  $i = 1$  *to*  $Q_1$  **do**

3. Randomly split the maintenance cycles between training and validation samples, keeping the ratio  $q_1$

4. Compute the MCR of the classifier with different hyper-parameters

5. Chose the hyper-parameters based on the averaged MCR over the  $Q_1$  simulations

6. Compute  $f^{(j)}$  with the selected hyper-parameters

**for**  $i = 1$  *to*  $Q_2$  **do**

7. Randomly split the maintenance cycles between training and validation, keeping the ratio  $q_2$

8. Compute  $f^{(j)}$  with the selected hyper-parameters

9. Compute  $\rho_{UB}$  and  $\rho_{UL}$  of  $f^{(j)}$

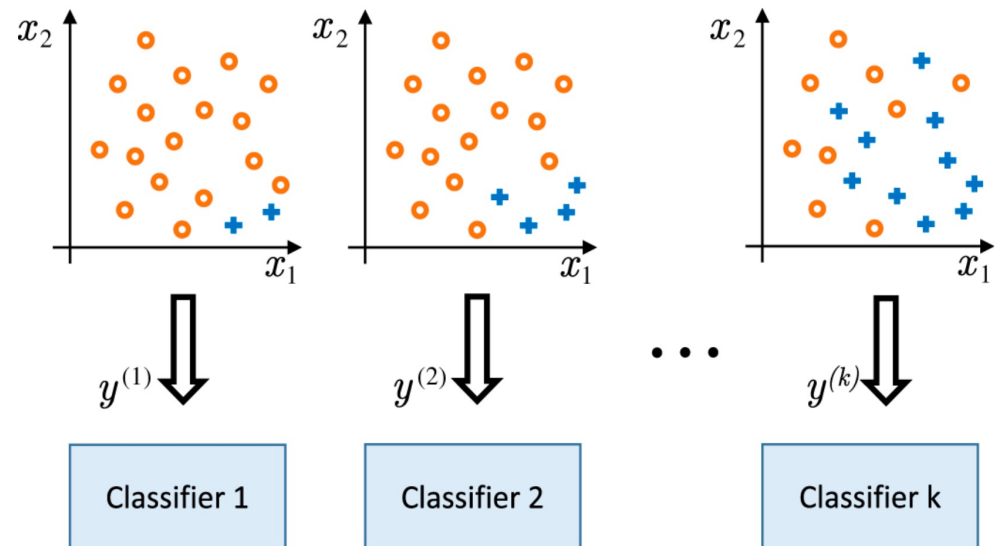
10. Compute  $\rho_{UB}^*$  and  $\rho_{UL}^*$  as averaged over the  $Q_2$  simulations

11.  $\rho_{UB} = [\rho_{UB}; \rho_{UB}^*]$  and  $\rho_{UL} = [\rho_{UL}; \rho_{UL}^*]$

---

# K Classifiers

- Performance of the MC PdM methodology increases with the number of classifiers  $k$
- Define the failure horizon, depends on the nature of the fault under investigation

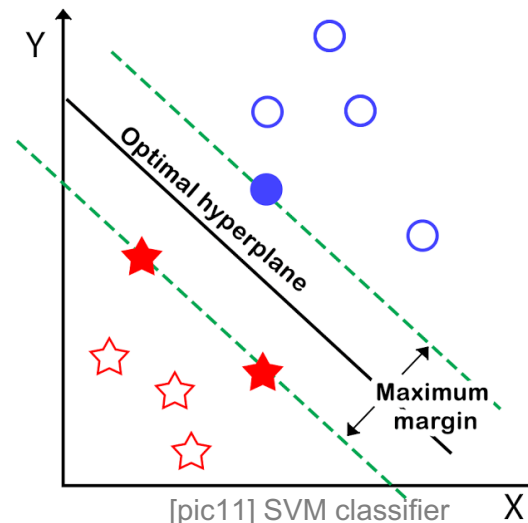


[pic10] Two-dimensional example of  $k$  classifiers. [ZYW19]

# Classification Algorithms

## ▪ Support Vector Machines (SVMs)

- A *hyperplane* is defined such that it separates the two classes  $F$  and  $NF$  with the highest margin.
- In real classification problems, classes are often non separable, i.e., the two categories overlap
- With the liberty of wrong classification of some data points to the other class, SVMs can still be used for classification.
- The computational cost for training a nonlinear SVM is generally between  $O(n^2)$  and  $O(n^3)$

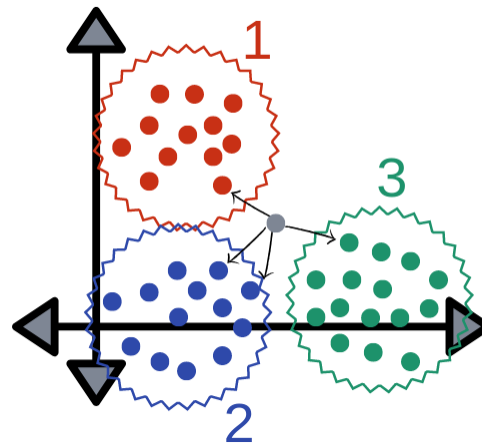




# Classification Algorithms

## ▪ K Nearest Neighbours (k-NN)

- requires just computation of distances between samples.
- each point of the input space is labelled according to the labels of its  $k$  closest neighbouring samples
- choice of  $k$  is usually data-driven (often decided through cross-validation)
- larger values of  $k$  reduce the effect of noise on the classification
- optimized algorithms for computing k-NN have a computational cost of  $O(\log n)$ .



[pic12] k-NN algorithm

# Use Case and Experiment

---

## ▪ **Semi conductor Manufacturing**

- replacing tungsten filaments used in ion implantation
- Every time a filament is changed, the tool is down for approximately 3 h making this the most important maintenance issue
- high values of pressure, voltage, and filament current can drastically reduce the lifetime of the filament

## ▪ **Data Description**

- $N = 33$  maintenance cycles and total of  $n = 3671$  batches
- A total of 31 variables having have a time-series evolution during each run
- extracted six features for each of the 31 time series  
maximum, minimum, average, variance, skewness, kurtosis

# Experiment Results

---

- **Semi conductor Manufacturing**
- PdM and MC PdM outperform PvM approaches
- For FuL, MC PdM classifiers achieve similar performance to the PdM approaches
- In case of FuB, MC PdM-svm consistently achieved the best results
- MC PdM-svm guarantees better performance than MC PdM-knn but is more time consuming

# Challenges and Future

---

 Data is crucial, Data exploration is very difficult in manufacturing industry

 MC PdM is available only for labelled data.

 Using Unsupervised learning methods such as Deep Neural Networks

 e-Maintenance, remote maintenance

 Prescriptive Maintenance – Automated decision making, self healing systems

 Sustainable and Ecological growth

# Conclusion

---

- PdM avoids unnecessary scheduled maintenance of healthy systems unlike Preventive maintenance approaches.
- PdM targets surgical maintenance and help reducing downtimes and optimize operational cost.
- Multiple ML classifiers work in parallel to exploit the knowledge at each process iteration in order to enhance decision making.
- MC PdM module is robust to variations in the operating costs associated with unexpected breaks and unexploited equipment part lifetime.
- k classifiers enhance process understanding and allow cost aware maintenance management decisions.
- SVMs offer superior performance to k-NN classifiers.
- Choice of the fault horizon m strongly affects the performance of the corresponding classifier in MC PdM.

---

# Thank you

# References

---

## ■ *Papers-*

- **[SSP+14]** Susto et.al. Machine learning for predictive maintenance: A multiple classifier approach, pages 812– 820, 2014
- **[ZYW19]** Zhang et.al. Data-driven methods for predictive maintenance of industrial equipment: A survey , 2019
- **[MPR18]** Motaghare et.al. Predictive maintenance architecture, pages 1–4. IEEE, 2018
- **[Mob02]** R Keith Mobley. An introduction to predictive maintenance. Elsevier, 2002.

## ■ *Images-*

- [pic0] <https://images.app.goo.gl/6KdrSqQNHngmQ2an9>
- [pic1] <https://images.app.goo.gl/PnQtCRahUS7sjKNj9>
- [pic2] <https://www.pinterest.com/pin/411446115928434072/>
- [pic3] <https://images.app.goo.gl/Y6DwVDsqh6odgQjJ6>
- [pic4] <https://images.app.goo.gl/vFGHvDcHgu2KGsxd6>
- [pic6] <https://images.app.goo.gl/ibrZFqd914G6kCS28>
- [pic7] <https://images.app.goo.gl/2CAAtAQsptQTaNzA5A>
- [pic8] <https://images.app.goo.gl/ggYKYPoVqJxuTdUbA>
- [pic11] <https://images.app.goo.gl/VbYcLdTVmMjvhxGv7>
- [pic12] <https://images.app.goo.gl/LW8bnJFEHLvBax2q6>