

## OS

- It is an interface between the user and hardware of the computer.
- Controls the execution of application programs
- Also known as resource manager

## Objectives of OS

- ① Convenience - Computer system can be conveniently used due to OS
- ② Efficiency - Computer system comprises of many resources
  - All these resources are utilized by user's application in efficient manner due to OS
- ③ Ability to Evolve - Design of OS permits the efficient development, testing. Flexible to work on

## Functions of OS

- ① Process Management
  - Control access to shared resources like file, memory, i/o, cpu.
  - Control execution of user application
  - creation, execution, deletion of user and system processes
  - Resume a process execution or cancel it
  - Scheduling of a process
  - Synchronization, communication, deadlock handling
- ② Memory Management
  - Allocates primary and secondary memory to user and system processes
  - Reclaim the allocated memory from the completed processes
  - Once used block become free, OS allocates it again
- ③ File Management
  - Creation and deletion of files and directories
  - OS offers the service to access the files.
  - Keeps backup of files
  - Offers the security for files.
- ④ Device Management
  - Device drivers are opened, closed, written by OS.
  - Communicate, control and monitor to the device drivers
- ⑤ Protection and Security
  - Resources are protected by OS
  - OS makes use of user authentication
  - Read, write, encryption, back up data
- ⑥ User Interface
  - offers set of commands
  - Software and hardware interaction
- ⑦ Booting the Computer
  - Process of starting or restarting the computer
  - switched off → Turned on → Cold booting
  - Using OS → Restart → Warm booting
- ⑧ Performs basic computer tasks
  - By i/p & o/p devices
  - Plug & play → Automatic recognition

## OS structures

- Monolithic system
- Layered system
- Virtual machines
- Client server model

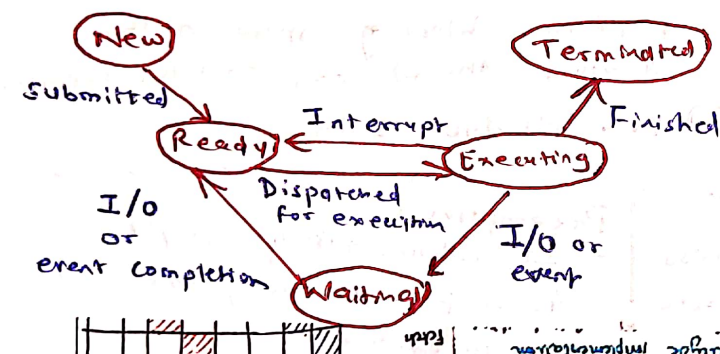
### monolithic kernel

- ① It is a single large process running entirely in single address space
- ② All kernel services exists and executes in kernel address space
- ③ Difficult to add new functionality
- ④ Fast
- ⑤ Kernel contains the OS services
- ⑥ Larger in size
- ⑦ Failure in one component will affect the entire system
- ⑧ Advantage
  - Addition/removal is not possible
  - less / zero flexible
- ⑨ Disadvantage
  - Intercomponent communication is better
- ⑩ The kernel can invoke function directly

### Process

- It is a program under execution
- It is not as same as program code but a lot more than it.

### Process state Transition diagram



### microkernel

- ① The kernel is broken down into separate processes, known as servers
- ② All servers are separate and runs in different address space
- ③ Easier to add new functionality
- ④ Slow
- ⑤ OS services and kernel are separated
- ⑥ Smaller in size
- ⑦ Failure in one component will not affect the other component
- ⑧ Advantage
  - Flexible for changes
- ⑨ Disadvantage
  - Communication Overhead
- ⑩ Communication is done via message passing

- ① New state - The new process being created
- ② Ready state - A process is ready to run but it is waiting for CPU instruction
- ③ Executing state - A process is in executing state if currently CPU is allocated to it.
- ④ Waiting state - A process can't continue the execution because it is waiting for event to happen as I/O completion
- ⑤ Terminated state - The process has completed execution



## Process

- ① Program in execution called as process
- ② Processes are heavy weight operation
- ③ Every process has its own memory space
- ④ Context switch takes more time
- ⑤ New process creation takes more time
- ⑥ New process termination takes more time
- ⑦ Processes don't share memory with other processes
- ⑧ Each process executes the same code but has its own memory

### User level Thread

- ① Implemented by user
- ② OS doesn't recognize user level thread
- ③ Implementation of user level thread is easy
- ④ Context switch time is less
- ⑤ Context switch requires no hardware support
- ⑥ If one user level thread performs blocking operation then entire process will be blocked
- ⑦ Example - Java Thread, POSIX Thread

### Thread

- A thread is a single stream within a process
- Threads are also called as lightweight processes
- Each thread belongs to exactly one process
- Types of thread
  - ① User level thread
  - ② Kernel level thread

### Multithreading

- It is a type of execution model that allows multiple threads to run independently but share their process resources
- Types of multithreading
  - ① Preemptive multithreading
  - ② Co-operative multithreading

### Response Time

- Time from submission till the first response is produced

### Fairness

- Every process should get fair share of CPU time

### Busy Time

- Time required by the process to execute

### Waiting Time

- Processes waits in ready queue to get CPU.

- Amount of time spent in ready queue is waiting time

## Threads

- ① Thread is a part of process
- ② Threads are light weight operations
- ③ Threads use the memory of process they belong to
- ④ Context switch takes less time
- ⑤ New Thread creation takes less time
- ⑥ New Thread termination takes less time
- ⑦ Threads share the memory with other threads of the same process
- ⑧ All threads can share same set of open files, child processes

### Kernel Level Thread

- ① Implemented by OS
- ② Kernel threads are recognized by OS
- ③ Implementation of kernel level thread is complicated
- ④ Context switch time is more
- ⑤ Hardware support is needed
- ⑥ If one kernel level thread performs blocking operation then another thread can continue execution
- ⑦ Ex - Window Solaris

### Preemptive

- It allows taking away CPU from process during execution

### Non preemptive

- Once process is allocated to CPU, It does not free CPU until it complete its execution

### CPU Utilization

- The amount of time CPU remains busy

### Throughput

- Number of jobs processed per unit time

### Turnaround Time

- The time difference between completion and arrival time

### Completion time

- The time when process completes its execution

### Arrival Time

- The time when the process is arriving into ready state



## System calls

① system calls provide an interface to the services made available by an OS.

② 2 Modes

→ User mode - All user processes are executed

→ System mode - All privileged operations are executed

③ Advantage

→ security

→ Due to system calls a user program is unable to enter in OS

## Concurrency / process synchronization

- Concurrency is the execution of several instruction sequences at the same time

- In an OS, this happens when there are several process threads running in parallel

- These threads communicate with each other through → ① shared memory  
② message passing

① Open() - A program initializes access to a file

in a file system using the open system call.

② Read() - A program that needs to access data from a file stored in a file system uses the read system call.

③ Write() - It writes data from a buffer declared by the user to a given file.

- Primary way to output data from a program by directly using system call

④ Exec() - Exec is a functionality of an OS that runs an executable file in the context of an already existing file, replacing the previous executable

⑤ Fork() - Fork is an operation whereby a process creates a copy of itself

- Primary way of process creation on Unix-like OS.

## Application software

① It is used by user to perform specific task

② Appl<sup>n</sup> Software installed according to user's requirement

③ User interacts with appl<sup>n</sup> software

④ Appl<sup>n</sup> software can't run independently  
System software is needed to run Appl<sup>n</sup> software

⑤ Runs when the user requires

⑥ Ex - Web browser, spreadsheets, etc.

## System software

① It is used for operating computer hardware

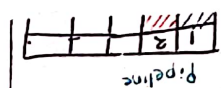
② System softwares are installed when OS is installed

③ User does not interact with system software

④ System software can run independently

⑤ Run when the system starts and runs till end

⑥ Ex - OS, device drivers

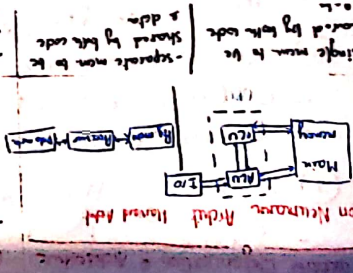


Instruction

Pipeline stalls  
- The hardware inserts a special instruction → NOP  
- hardware inserts a buffer

Pipeline Processing  
- divide the execution of instructions into steps  
- in OS  
- in high level language support  
- in real-time control unit  
- in microdiagnostics  
- in user forecasting  
- in emulation

Application of pipeline  
- divided in 4 types  
1) Register  
2) ALU  
3) Interrupt control  
4) Timing & count counting  
- convert of PTO  
- separate memory → then data address  
- separate mem to be shared by both code & data



## Kernel

- Central part of an OS

- manages operations of the computer and hardware, memory, CPU Time

- When a process makes requests to the kernel, → called as system calls

Shell

- Unix term for Interactive user interface

- Known as Command interpreter

## Interprocess Communication

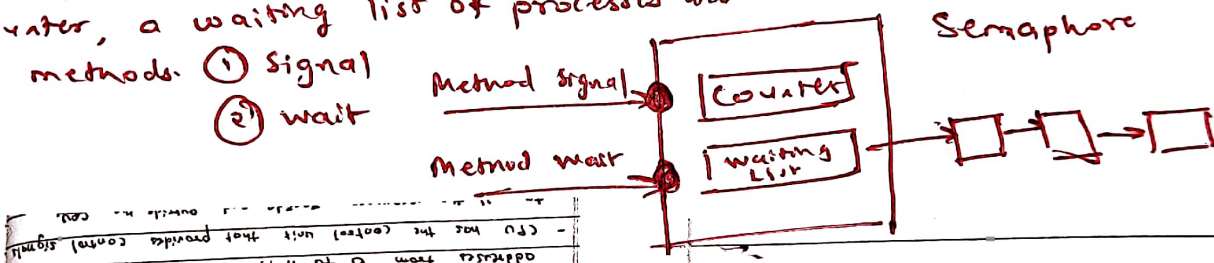
- Synchronization and Communication are two basic requirements which should satisfy when process communicates with each other
- Synchronization of processes is required to achieve the mutual exclusion
- Independent processes do not communicate with each other.
- Cooperative processes may need to exchange info.
- Cooperative process communicates through
  - ① shared memory
  - ② message passing

## Mutual Exclusion

- When a process is accessing a shared variable, the process is said to be in a critical section
- No two process can be in the same critical section at the same time
- This is called mutual exclusion

## Semaphores

- A semaphore is an object that consists of a counter, a waiting list of processes and two methods: ① Signal ② wait



## Shared Memory

- A region of memory that is shared by cooperative processes is established
- Processes can exchange info by reading and writing data to the shared region

## Message Passing

- In message passing, communication takes place by means of messages exchanged between the cooperative processes
- Primitives used in message passing:
  - ① send (destination, message)
  - ② receive (source, message)
- A process sends data in the form of a message to another process indicated by a destination
- A process receives data by executing the receive primitive, indicating the source, the message