Deep Learning case Study - Retinal OCT(optical coherence tomography) Images classification

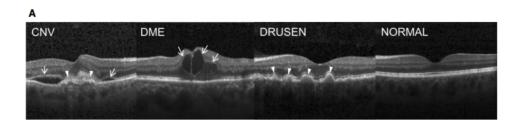
March 4, 2019

1 Deep Learning case Study: Retinal OCT Images classification.

Data Source: https://www.kaggle.com/paultimothymooney/kermany2018

1.1 Objective:

Given a new OCT image, determine whether the image belongs to which 4 class: CNV, DME, DRUSEN, and NORMAL. We are using CNN for the classification model.



1.2

Figure: Representative Optical Coherence Tomography Images and the Workflow Diagram 2. Reference: [Kermany et. al. 2018] http://www.cell.com/cell/fulltext/S0092-8674(18)30154-5

- 1. (Far left) choroidal neovascularization (CNV) with neovascular membrane (white arrowheads) and associated subretinal fluid (arrows).
- 2. (Middle left) Diabetic macular edema (DME) with retinal-thickening-associated intraretinal fluid (arrows).
- 3. (Middle right) Multiple drusen (arrowheads) present in early AMD.
- 4. (Far right) Normal retina with preserved foveal contour and absence of any retinal fluid/edema.

1.3 Context

http://www.cell.com/cell/fulltext/S0092-8674(18)30154-5

Retinal optical coherence tomography (OCT) is an imaging technique used to capture high-resolution cross sections of the retinas of living patients. Total **83,484** OCT images are there in the training dataset. Also **1000** OCT images are there in the test dataset.

It is an **imbalanced** dataset. Training dataset contains below number of images:

- 1. CNV = 26315
- 2. DME = 37205
- 3. DRUSEN = 11348
- 4. NORMAL = 8616

1.4 Content:

The dataset is organized into 2 folders (train, test) and contains subfolders for each image category (NORMAL,CNV,DME,DRUSEN). There are 84,495 X-Ray images (JPEG) and 4 categories (NORMAL,CNV,DME,DRUSEN).

Images are labeled as (disease)-(randomized patient ID)-(image number by this patient) and split into 4 directories: CNV, DME, DRUSEN, and NORMAL.

Optical coherence tomography (OCT) images (Spectralis OCT, Heidelberg Engineering, Germany) were selected from retrospective cohorts of adult patients from the Shiley Eye Institute of the University of California San Diego, the California Retinal Research Foundation, Medical Center Ophthalmology Associates, the Shanghai First People's Hospital, and Beijing Tongren Eye Center between July 1, 2013 and March 1, 2017.

Before training, each image went through a tiered grading system consisting of multiple layers of trained graders of increasing exper- tise for verification and correction of image labels. Each image imported into the database started with a label matching the most recent diagnosis of the patient. The first tier of graders consisted of undergraduate and medical students who had taken and passed an OCT interpretation course review. This first tier of graders conducted initial quality control and excluded OCT images containing severe artifacts or significant image resolution reductions. The second tier of graders consisted of four ophthalmologists who independently graded each image that had passed the first tier. The presence or absence of choroidal neovascularization (active or in the form of subretinal fibrosis), macular edema, drusen, and other pathologies visible on the OCT scan were recorded. Finally, a third tier of two senior independent retinal specialists, each with over 20 years of clinical retina experience, verified the true labels for each image. To account for human error in grading, a validation subset of 993 scans was graded separately by two ophthalmologist graders, with disagreement in clinical labels arbitrated by a senior retinal specialist.

1.4.1 Acknowledgements

- 1. Data: https://data.mendeley.com/datasets/rscbjbr9sj/2
- 2. Citation: http://www.cell.com/cell/fulltext/S0092-8674(18)30154-5

Dataset of validated OCT and Chest X-Ray images described and analyzed in "Deep learning-based classification and referral of treatable human diseases". The OCT Images are split into a training set and a testing set of independent patients. OCT Images are labeled as (disease)-(randomized patient ID)-(image number by this patient) and split into 4 directories: CNV, DME, DRUSEN, and NORMAL.

2 Overview of CNN Architecture:

1. Keras allows us to specify the number of filters we want and the size of the filters. So, in our **first layer** we specify the **shape of the input & Number of filters**.

- 2. The **second layer** is the Activation layer. We have used **ReLU** (rectified linear unit) as our activation function. ReLU function is f(x) = max(0, x), where x is the input. It sets all negative values in the matrix 'x' to 0 and keeps all the other values constant. It is the most used activation function since it reduces training time and prevents the problem of vanishing gradients.
- 3. The **third layer is the MaxPooling layer.** MaxPooling layer is used to down-sample the input to enable the model to make assumptions about the features so as to reduce overfitting. It also reduces the number of parameters to learn, reducing the training time.
- 4. We can repeat the Activation layers if we want to create a Deep CNN.
- 5. It's a best practice to always do **BatchNormalization**. BatchNormalization normalizes the matrix after it is been through a convolution layer so that the scale of each dimension remains the same. It reduces the training time significantly.
- 6. **Dropout is the method used to reduce overfitting.** It forces the model to learn multiple independent representations of the same data by randomly disabling neurons in the learning phase. In our model, dropout will randomnly disable 20% of the neurons.
- 7. After creating all the convolutional layers, we need to flatten them, so that they can act as an input to the Dense layers. Dense layers are keras's alias for Fully connected layers. These layers give the ability to classify the features learned by the CNN.
- 8. The **last layer is the Softmax Activation layer.** Softmax activation enables us to calculate the output based on the probabilities. Each class is assigned a probability and the class with the maximum probability is the model's output for the input.

```
In [1]: # Importing all the needed modules.
        import os
        from glob import glob
        import matplotlib.pyplot as plt
        import random
        import cv2
        import pandas as pd
        import numpy as np
        import matplotlib.gridspec as gridspec
        import seaborn as sns
        import zlib
        import itertools
        import sklearn
        import itertools
        import scipy
        import skimage
        from skimage.transform import resize
        import csv
        from tqdm import tqdm
        import warnings
        warnings.filterwarnings("ignore")
        from sklearn import model_selection
```

```
from sklearn.model_selection import train_test_split, KFold, cross_val_score, Stratific
from sklearn.utils import class_weight
from sklearn.metrics import confusion_matrix, make_scorer, accuracy_score, classificat
import keras
from keras.layers import Dense, Dropout, Activation, Flatten, Conv2D, MaxPooling2D, La
from keras.utils import np_utils
from keras.utils.np_utils import to_categorical
from keras.preprocessing.image import ImageDataGenerator
from keras import models, layers, optimizers
from sklearn.model_selection import train_test_split
from sklearn.metrics import confusion_matrix, accuracy_score
from sklearn.utils import class_weight
from keras.optimizers import SGD, RMSprop, Adam, Adagrad, Adadelta, RMSprop
from keras.models import Sequential, model_from_json
from keras.layers import Activation, Dense, Dropout, Flatten, Conv2D, MaxPool2D
from keras.layers import MaxPooling2D, AveragePooling2D, GlobalAveragePooling2D, BatchNo
from keras.preprocessing.image import array_to_img, img_to_array, load_img, ImageDataG
from keras.callbacks import ReduceLROnPlateau, ModelCheckpoint
from keras import backend as K
from keras.applications.vgg16 import VGG16
from keras.models import Model
from keras.applications.mobilenet import MobileNet
from keras.applications.inception_v3 import InceptionV3
from imblearn.over_sampling import RandomOverSampler
from sklearn.metrics import roc_auc_score
from sklearn.metrics import roc_curve
from sklearn.metrics import auc
%matplotlib inline
```

Using TensorFlow backend.

2.1 Baseline Model: CNN with 3 Hidden Layers.

Due to large size of dataset we have used ImageDataGenerator module from keras for Batch wise training of our CNN model.

```
In [2]: # Model parameters
    image_size = 256
    batch_size = 32
    num_classes = 4
    epochs = 10

In [3]: # Baseline Model.
    model = Sequential()
    model.add(Conv2D(256, kernel_size=(3, 3), activation='relu', input_shape=(image_size, model.add(BatchNormalization())
    model.add(MaxPooling2D((2, 2)))
    model.add(Dropout(0.25))
```

```
model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
model.add(BatchNormalization())
model.add(MaxPooling2D(pool_size=(2, 2)))
model.add(Dropout(0.25))

model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
model.add(BatchNormalization())
model.add(Dropout(0.25))

model.add(Flatten())

model.add(Dense(64, activation='relu'))
model.add(BatchNormalization())
model.add(Dropout(0.5))

model.add(Dense(num_classes, activation='softmax'))

print(model.summary())
```

 $\verb|model.compile(loss=keras.losses.categorical_crossentropy, optimizer=keras.optimizers.Arguerant | Arguerant | A$

Layer (type)	Output Shape	Param #
conv2d_1 (Conv2D)	(None, 254, 254, 256)	7168
batch_normalization_1 (Batch	(None, 254, 254, 256)	1024
max_pooling2d_1 (MaxPooling2	(None, 127, 127, 256)	0
dropout_1 (Dropout)	(None, 127, 127, 256)	0
conv2d_2 (Conv2D)	(None, 125, 125, 128)	295040
batch_normalization_2 (Batch	(None, 125, 125, 128)	512
max_pooling2d_2 (MaxPooling2	(None, 62, 62, 128)	0
dropout_2 (Dropout)	(None, 62, 62, 128)	0
conv2d_3 (Conv2D)	(None, 60, 60, 64)	73792
batch_normalization_3 (Batch	(None, 60, 60, 64)	256
dropout_3 (Dropout)	(None, 60, 60, 64)	0
flatten_1 (Flatten)	(None, 230400)	0

```
(None, 64)
dense_1 (Dense)
                                                14745664
batch_normalization_4 (Batch (None, 64)
                                                 256
                    (None, 64)
dropout_4 (Dropout)
_____
dense_2 (Dense) (None, 4)
                                                 260
______
Total params: 15,123,972
Trainable params: 15,122,948
Non-trainable params: 1,024
None
In [4]: filepath="weights_baseline.best.hdf5"
       checkpoint = ModelCheckpoint(filepath, monitor='val_acc', verbose=1, save_best_only=Tr
       callbacks_list = [checkpoint]
In [5]: train_datagen = ImageDataGenerator(validation_split=0.2) # set validation split
       train_generator = train_datagen.flow_from_directory('OCT2017/train',target_size=(image
                                                      batch_size=batch_size,
                                                      class_mode='categorical',
                                                      subset='training') # set as traini
       validation_generator = train_datagen.flow_from_directory('OCT2017/train',target_size=(
                                                          batch_size=batch_size,
                                                          class_mode='categorical',
                                                           subset='validation') # set as
       test_datagen = ImageDataGenerator()
       test_generator = test_datagen.flow_from_directory("OCT2017/test",target_size=(image_size)
                                                    batch_size=batch_size,
                                                    class_mode='categorical')
Found 66788 images belonging to 4 classes.
Found 16696 images belonging to 4 classes.
Found 1000 images belonging to 4 classes.
In [6]: # Train the network
       history = model.fit_generator(train_generator,
                                  steps_per_epoch = train_generator.samples // batch_size,
                                  validation_data = validation_generator,
                                  validation_steps = validation_generator.samples // batch
```

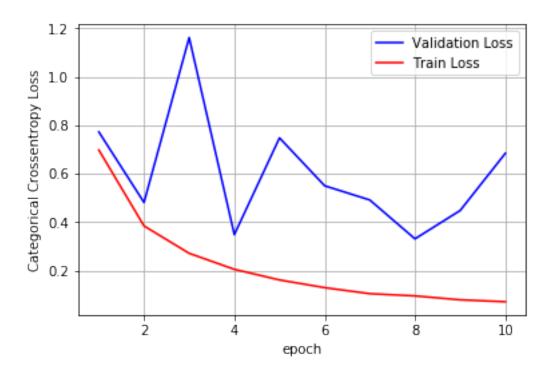
```
epochs = epochs,
callbacks=callbacks_list)
```

```
Epoch 1/10
Epoch 00001: val_acc improved from -inf to 0.71557, saving model to weights_baseline.best.hdf5
Epoch 2/10
Epoch 00002: val_acc improved from 0.71557 to 0.82615, saving model to weights_baseline.best.he
Epoch 3/10
Epoch 00003: val_acc did not improve from 0.82615
Epoch 4/10
Epoch 00004: val_acc improved from 0.82615 to 0.87494, saving model to weights_baseline.best.he
Epoch 5/10
Epoch 00005: val_acc did not improve from 0.87494
Epoch 6/10
Epoch 00006: val_acc did not improve from 0.87494
Epoch 7/10
Epoch 00007: val_acc improved from 0.87494 to 0.88832, saving model to weights_baseline.best.he
Epoch 8/10
Epoch 00008: val_acc improved from 0.88832 to 0.91053, saving model to weights_baseline.best.he
Epoch 9/10
Epoch 00009: val_acc did not improve from 0.91053
Epoch 10/10
Epoch 00010: val_acc did not improve from 0.91053
In [7]: # serialize model to JSON
   model_json = model.to_json()
    with open("model_baseline.json", "w") as json_file:
```

json_file.write(model_json)

```
In [12]: score = model.evaluate_generator(test_generator, steps = test_generator.samples // bat
        print("\n\n")
         print('Test Loss:', score[0])
         print('Test accuracy:', score[1])
         # https://gist.github.com/greydanus/f6eee59eaf1d90fcb3b534a25362cea4
         # https://stackoverflow.com/a/14434334
         # This function is used to update the plots for each epoch and error
         def plt_dynamic(x, vy, ty, ax, colors=['b']):
             ax.plot(x, vy, 'b', label="Validation Loss")
             ax.plot(x, ty, 'r', label="Train Loss")
             plt.legend()
             plt.grid()
             fig.canvas.draw()
         fig,ax = plt.subplots(1,1)
         ax.set_xlabel('epoch')
         ax.set_ylabel('Categorical Crossentropy Loss')
         # list of epoch numbers
         x = list(range(1,epochs+1))
         vy = history.history['val_loss']
         ty = history.history['loss']
         plt_dynamic(x, vy, ty, ax)
```

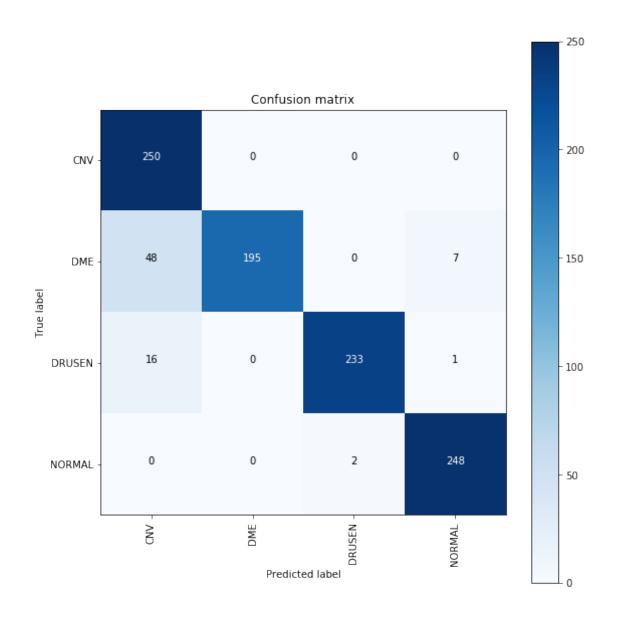
Test Loss: 0.6890423384087145 Test accuracy: 0.8543388429752066



```
In [3]: def load_test_data(folder):
            Function to load the images and labels.
            Image = []
            Label = []
            for folder_name in os.listdir(folder):
                # Reading the labels.
                if not folder_name.startswith('.'):
                    if folder_name in ['CNV']:
                        label = 0
                    elif folder_name in ['DME']:
                        label = 1
                    elif folder_name in ['DRUSEN']:
                        label = 2
                    elif folder_name in ['NORMAL']:
                        label = 3
                    else:
                        label = 4
                    for image_file_name in tqdm(os.listdir(folder + folder_name)):
                        # Reading the images.
                        image_file = cv2.imread(folder + folder_name + '/' + image_file_name)
                        if image_file is not None:
```

```
# Converting images into array.
                            image_file = skimage.transform.resize(image_file, (image_size, image_size))
                            image_array = np.asarray(image_file)
                            Image.append(image_array)
                            Label.append(label)
            Image = np.asarray(Image)
            Label = np.asarray(Label)
            return Image, Label
        #Reference: https://stackoverflow.com/questions/49220111/read-own-multiple-images-from
        #Reference: https://stackoverflow.com/questions/30230592/loading-all-images-using-imre
In [4]: # Load the Test labels.
        X_test,Y_test= load_test_data("OCT2017/test/")
100%|| 250/250 [00:04<00:00, 56.78it/s]
100%|| 250/250 [00:04<00:00, 59.67it/s]
100%|| 250/250 [00:04<00:00, 55.48it/s]
100%|| 250/250 [00:03<00:00, 65.00it/s]
In [5]: from keras.models import load_model
        model = load_model('weights_baseline.best.hdf5')
In [6]: pred_datagen = ImageDataGenerator()
        pred_generator = pred_datagen.flow_from_directory("OCT2017/test",target_size=(image_size)
                                                           batch_size=1,
                                                           class_mode='categorical',
                                                           shuffle = False)
Found 1000 images belonging to 4 classes.
In [7]: pred_generator.reset()
        y_pred = model.predict_generator(pred_generator,steps = 1000)
        Y_test = pred_generator.classes[pred_generator.index_array]
        Y_pred = np.argmax(y_pred, axis=-1)
In [8]: Y_pred = np.argmax(y_pred,axis = 1)
In [9]: import pickle
        with open('y_pred_baseline_model.pkl','wb') as f:
            pickle.dump(y_pred, f)
In [10]: def plot_confusion_matrix(cm, classes,
                                   normalize=False,
                                   title='Confusion matrix',
                                   cmap=plt.cm.Blues):
             if normalize:
```

```
cm = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]
            plt.imshow(cm, interpolation='nearest', cmap=cmap)
            plt.title(title)
            plt.colorbar()
            tick_marks = np.arange(len(classes))
            plt.xticks(tick_marks, classes, rotation=90)
            plt.yticks(tick_marks, classes)
            fmt = '.2f' if normalize else 'd'
            thresh = cm.max() / 2.
            for i, j in itertools.product(range(cm.shape[0]), range(cm.shape[1])):
                plt.text(j, i, format(cm[i, j], fmt),
                         horizontalalignment="center",
                         color="white" if cm[i, j] > thresh else "black")
            plt.tight_layout()
            plt.ylabel('True label')
            plt.xlabel('Predicted label')
In [11]: # confusion matrix
        cm = confusion_matrix(Y_test, Y_pred)
        print('----')
        print('| Confusion Matrix |')
        print('----')
        print('\n {}'.format(cm))
        # plot confusin matrix
        plt.figure(figsize=(8,8))
        plt.grid(b=False)
        plot_confusion_matrix(cm, classes=['CNV','DME','DRUSEN','NORMAL'], normalize=False,
                              title='Confusion matrix', cmap = plt.cm.Blues)
        plt.show()
| Confusion Matrix |
 [[250 0 0
              07
 [ 48 195
           0
               71
 [ 16 0 233
               17
 [ 0 0 2 248]]
```



	precision	recall	f1-score	support
CNV	0.80	1.00	0.89	250
DME	1.00	0.78	0.88	250
DRUSEN	0.99	0.93	0.96	250
Normal	0.97	0.99	0.98	250
micro avg	0.93	0.93	0.93	1000
macro avg	0.94	0.93	0.93	1000
weighted avg	0.94	0.93	0.93	1000

2.1.1 Observations:

Layer (type)

- 1. We have 3 hidden layers in this CNN model.
- 2. Test Loss: 0.689
- 3. Test accuracy: 0.854
- 4. precision = 0.94 | | recall = 0.93 | | f1-score = 0.93
- 5. Dataset imbalance causes low Train & Test accuracy:

2.2 CNN Model with 3 Hidden Layers & Class_weights balancing.

```
In [2]: # Model parameters
        image_size = 256
        batch_size = 32
        num_classes = 4
        epochs = 10
In [3]: # Baseline Model.
       model = Sequential()
        model.add(Conv2D(256, kernel_size=(3, 3), activation='relu', input_shape=(image_size, )
        model.add(BatchNormalization())
        model.add(MaxPooling2D((2, 2)))
        model.add(Dropout(0.25))
        model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
        model.add(BatchNormalization())
        model.add(MaxPooling2D(pool_size=(2, 2)))
        model.add(Dropout(0.25))
        model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
        model.add(BatchNormalization())
        model.add(Dropout(0.25))
        model.add(Flatten())
        model.add(Dense(64, activation='relu'))
        model.add(BatchNormalization())
        model.add(Dropout(0.5))
        model.add(Dense(num_classes, activation='softmax'))
        print(model.summary())
        model.compile(loss=keras.losses.categorical_crossentropy, optimizer=keras.optimizers.A
```

Param #

Output Shape

```
conv2d_1 (Conv2D)
                   (None, 254, 254, 256)
batch_normalization_1 (Batch (None, 254, 254, 256) 1024
max_pooling2d_1 (MaxPooling2 (None, 127, 127, 256)
dropout_1 (Dropout)
               (None, 127, 127, 256) 0
conv2d_2 (Conv2D)
                   (None, 125, 125, 128) 295040
batch_normalization_2 (Batch (None, 125, 125, 128) 512
max_pooling2d_2 (MaxPooling2 (None, 62, 62, 128) 0
                (None, 62, 62, 128) 0
dropout_2 (Dropout)
______
conv2d_3 (Conv2D)
                    (None, 60, 60, 64)
batch_normalization_3 (Batch (None, 60, 60, 64)
               (None, 60, 60, 64)
dropout 3 (Dropout)
-----
                   (None, 230400)
flatten_1 (Flatten)
            (None, 64)
dense_1 (Dense)
                                      14745664
batch_normalization_4 (Batch (None, 64)
                                      256
dropout_4 (Dropout) (None, 64)
               (None, 4)
dense_2 (Dense)
______
Total params: 15,123,972
Trainable params: 15,122,948
Non-trainable params: 1,024
 ______
```

None

batch_size=batch_size,
class_mode='categorical',

class_mode='categorical',
subset='training') # set as traini

validation_generator = train_datagen.flow_from_directory('OCT2017/train',target_size=(

```
test_datagen = ImageDataGenerator()
      test_generator = test_datagen.flow_from_directory("OCT2017/test",target_size=(image_size)
                                             batch_size=batch_size,
                                             class_mode='categorical')
Found 66788 images belonging to 4 classes.
Found 16696 images belonging to 4 classes.
Found 1000 images belonging to 4 classes.
In [6]: # https://stackoverflow.com/questions/41815354/keras-flow-from-directory-over-or-under
      class_weights = class_weight.compute_class_weight('balanced',
                                             np.unique(train_generator.classes),
                                             train_generator.classes)
In [7]: filepath="weights_balanced_cnn_best.hdf5"
      checkpoint = ModelCheckpoint(filepath, monitor='val_acc', verbose=1, save_best_only=Tr
      callbacks_list = [checkpoint]
In [8]: # Train the network
      history = model.fit_generator(train_generator,
                             steps_per_epoch = train_generator.samples // batch_size,
                             validation_data = validation_generator,
                             validation_steps = validation_generator.samples // batch
                             epochs = epochs,
                             callbacks=callbacks_list,
                             class_weight=class_weights)
Epoch 1/10
Epoch 00001: val_acc improved from -inf to 0.81520, saving model to weights_balanced_cnn_best.
Epoch 2/10
Epoch 00002: val_acc did not improve from 0.81520
Epoch 3/10
Epoch 00003: val_acc improved from 0.81520 to 0.88058, saving model to weights_balanced_cnn_be
Epoch 4/10
```

batch_size=batch_size,
class_mode='categorical',
subset='validation') # set as

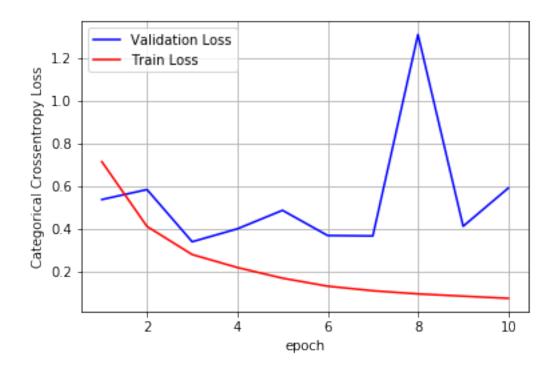
```
Epoch 00004: val_acc did not improve from 0.88058
Epoch 5/10
Epoch 00005: val acc did not improve from 0.88058
Epoch 6/10
Epoch 00006: val_acc improved from 0.88058 to 0.88598, saving model to weights_balanced_cnn_beautiful control of the control o
Epoch 7/10
Epoch 00007: val_acc improved from 0.88598 to 0.89222, saving model to weights_balanced_cnn_beautiful control of the control o
Epoch 8/10
Epoch 00008: val_acc did not improve from 0.89222
Epoch 9/10
Epoch 00009: val_acc improved from 0.89222 to 0.89450, saving model to weights_balanced_cnn_beautiful control of the control o
Epoch 10/10
Epoch 00010: val_acc did not improve from 0.89450
In [9]: # serialize model to JSON
                               model_json = model.to_json()
                               with open("model_balanced_cnn.json", "w") as json_file:
                                                json_file.write(model_json)
In [10]: score = model.evaluate_generator(test_generator, steps = test_generator.samples // bat
                                   print("\n\n")
                                   print('Test Loss:', score[0])
                                   print('Test accuracy:', score[1])
                                   # https://gist.github.com/greydanus/f6eee59eaf1d90fcb3b534a25362cea4
                                   # https://stackoverflow.com/a/14434334
                                   # This function is used to update the plots for each epoch and error
                                   def plt_dynamic(x, vy, ty, ax, colors=['b']):
                                                   ax.plot(x, vy, 'b', label="Validation Loss")
                                                   ax.plot(x, ty, 'r', label="Train Loss")
                                                   plt.legend()
                                                  plt.grid()
                                                   fig.canvas.draw()
                                   fig,ax = plt.subplots(1,1)
```

```
ax.set_xlabel('epoch')
ax.set_ylabel('Categorical Crossentropy Loss')

# list of epoch numbers
x = list(range(1,epochs+1))

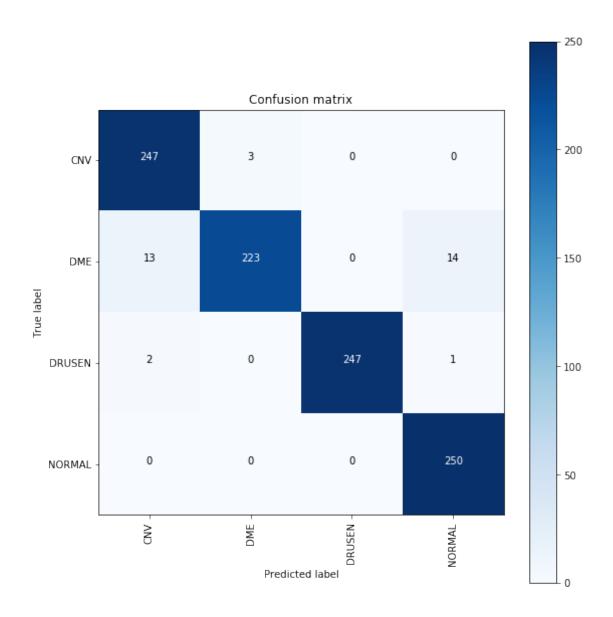
vy = history.history['val_loss']
ty = history.history['loss']
plt_dynamic(x, vy, ty, ax)
```

Test Loss: 0.23170399683858117 Test accuracy: 0.9405241935483871



Found 1000 images belonging to 4 classes.

```
In [30]: pred_generator.reset()
        y_pred = model.predict_generator(pred_generator, steps = 1000)
        Y_test = pred_generator.classes[pred_generator.index_array]
        Y_pred = np.argmax(y_pred, axis=-1)
In [31]: Y_pred = np.argmax(y_pred,axis = 1)
In [32]: import pickle
        with open('y_pred_balanced_cnn_best.pkl','wb') as f:
           pickle.dump(y_pred, f)
In [33]: # confusion matrix
        cm = confusion_matrix(Y_test, Y_pred)
        print('----')
        print('| Confusion Matrix |')
        print('----')
        print('\n {}'.format(cm))
        # plot confusin matrix
        plt.figure(figsize=(8,8))
        plt.grid(b=False)
        plot_confusion_matrix(cm, classes=['CNV','DME','DRUSEN','NORMAL'], normalize=False,
                            title='Confusion matrix', cmap = plt.cm.Blues)
        plt.show()
_____
| Confusion Matrix |
_____
Γ[247 3 0
               07
[ 13 223  0 14]
 [ 2
       0 247
              1]
[ 0 0 0 250]]
```



	precision	recall	f1-score	support
CNV	0.94	0.99	0.96	250
DME	0.99	0.89	0.94	250
DRUSEN	1.00	0.99	0.99	250
Normal	0.94	1.00	0.97	250
micro avg	0.97	0.97	0.97	1000
macro avg	0.97	0.97	0.97	1000
weighted avg	0.97	0.97	0.97	1000

2.2.1 Observations:

- 1. We have 3 hidden layers in this CNN model.
- 2. Test Loss: 0.231
- 3. Test accuracy: 0.94
- 4. precision = 0.97 | | recall = 0.97 | | f1-score = 0.97
- 5. Class weights balancing has dtastically improved Test Loss & Test accuracy

2.3 CNN Model with 5 Hidden Layers.

```
In [15]: # Model parameters
         image_size = 256
         batch\_size = 32
         num_classes = 4
         epochs = 10
In [16]: # Baseline Model.
        model = Sequential()
         model.add(Conv2D(256, kernel_size=(3, 3), activation='relu', input_shape=(image_size,
         model.add(BatchNormalization())
         model.add(MaxPooling2D((2, 2)))
         model.add(Dropout(0.4))
         model.add(Conv2D(256, kernel_size=(3, 3), activation='relu'))
         model.add(BatchNormalization())
         model.add(MaxPooling2D(pool_size=(2, 2)))
         model.add(Dropout(0.4))
         model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
         model.add(BatchNormalization())
         model.add(MaxPooling2D(pool_size=(2, 2)))
         model.add(Dropout(0.4))
         model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
         model.add(BatchNormalization())
         model.add(Dropout(0.4))
         model.add(Conv2D(32, kernel_size=(3, 3), activation='relu'))
         model.add(BatchNormalization())
         model.add(Dropout(0.5))
         model.add(Flatten())
         model.add(Dense(32, activation='relu'))
         model.add(BatchNormalization())
```

```
model.add(Dropout(0.5))
model.add(Dense(num_classes, activation='softmax'))
print(model.summary())
```

model.compile(loss=keras.losses.categorical_crossentropy, optimizer=keras.optimizers..

Layer (type)	Output Shape	Param #
conv2d_11 (Conv2D)	(None, 254, 254, 256)	7168
batch_normalization_13 (Batc	(None, 254, 254, 256)	1024
max_pooling2d_7 (MaxPooling2	(None, 127, 127, 256)	0
dropout_13 (Dropout)	(None, 127, 127, 256)	0
conv2d_12 (Conv2D)	(None, 125, 125, 256)	590080
batch_normalization_14 (Batc	(None, 125, 125, 256)	1024
max_pooling2d_8 (MaxPooling2	(None, 62, 62, 256)	0
dropout_14 (Dropout)	(None, 62, 62, 256)	0
conv2d_13 (Conv2D)	(None, 60, 60, 128)	295040
batch_normalization_15 (Batc	(None, 60, 60, 128)	512
max_pooling2d_9 (MaxPooling2	(None, 30, 30, 128)	0
dropout_15 (Dropout)	(None, 30, 30, 128)	0
conv2d_14 (Conv2D)	(None, 28, 28, 64)	73792
batch_normalization_16 (Batc	(None, 28, 28, 64)	256
dropout_16 (Dropout)	(None, 28, 28, 64)	0
conv2d_15 (Conv2D)	(None, 26, 26, 32)	18464
batch_normalization_17 (Batc	(None, 26, 26, 32)	128
dropout_17 (Dropout)	(None, 26, 26, 32)	0
flatten_3 (Flatten)	(None, 21632)	0

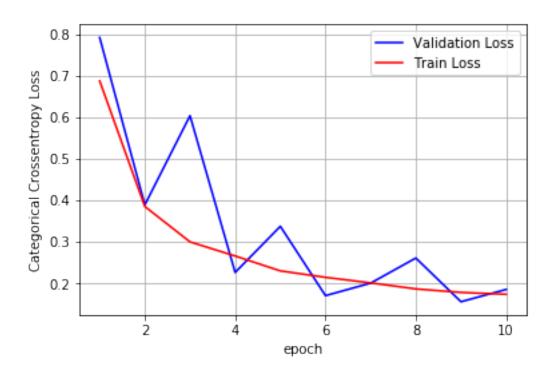
```
(None, 32)
dense_5 (Dense)
                                                   692256
batch_normalization_18 (Batc (None, 32)
                                                   128
                      (None, 32)
dropout_18 (Dropout)
dense_6 (Dense) (None, 4) 132
______
Total params: 1,680,004
Trainable params: 1,678,468
Non-trainable params: 1,536
None
In [17]: train_datagen = ImageDataGenerator(validation_split=0.2) # set validation split
        train_generator = train_datagen.flow_from_directory('OCT2017/train', target_size=(imagenerator)
                                                         batch_size=batch_size,
                                                         class_mode='categorical',
                                                         subset='training') # set as train
        validation_generator = train_datagen.flow_from_directory('OCT2017/train',target_size=
                                                              batch_size=batch_size,
                                                              class_mode='categorical',
                                                              subset='validation') # set a
        test_datagen = ImageDataGenerator()
        test_generator = test_datagen.flow_from_directory("OCT2017/test",target_size=(image_s
                                                        batch_size=batch_size,
                                                        class_mode='categorical')
Found 66788 images belonging to 4 classes.
Found 16696 images belonging to 4 classes.
Found 1000 images belonging to 4 classes.
In [18]: # https://stackoverflow.com/questions/41815354/keras-flow-from-directory-over-or-unde
        class_weights = class_weight.compute_class_weight('balanced',
                                                       np.unique(train_generator.classes),
                                                       train_generator.classes)
In [19]: filepath="weights_balanced_cnn_5layered_best.hdf5"
        checkpoint = ModelCheckpoint(filepath, monitor='val_acc', verbose=1, save_best_only=T:
        callbacks_list = [checkpoint]
```

```
In [20]: # Train the network
    history = model.fit_generator(train_generator,
                     steps_per_epoch = train_generator.samples // batch_size
                     validation_data = validation_generator,
                     validation_steps = validation_generator.samples // batc
                     epochs = epochs,
                     callbacks=callbacks_list,
                     class_weight=class_weights)
Epoch 1/10
Epoch 00001: val_acc improved from -inf to 0.65349, saving model to weights_balanced_cnn_5laye:
Epoch 2/10
Epoch 00002: val_acc improved from 0.65349 to 0.87128, saving model to weights_balanced_cnn_51
Epoch 00003: val_acc did not improve from 0.87128
Epoch 4/10
Epoch 00004: val_acc improved from 0.87128 to 0.92469, saving model to weights_balanced_cnn_51
Epoch 00005: val_acc did not improve from 0.92469
Epoch 6/10
Epoch 00006: val_acc improved from 0.92469 to 0.94395, saving model to weights_balanced_cnn_51
Epoch 7/10
Epoch 00007: val_acc did not improve from 0.94395
Epoch 8/10
Epoch 00008: val_acc did not improve from 0.94395
Epoch 9/10
Epoch 00009: val_acc improved from 0.94395 to 0.94869, saving model to weights_balanced_cnn_51
Epoch 10/10
```

```
Epoch 00010: val_acc did not improve from 0.94869
```

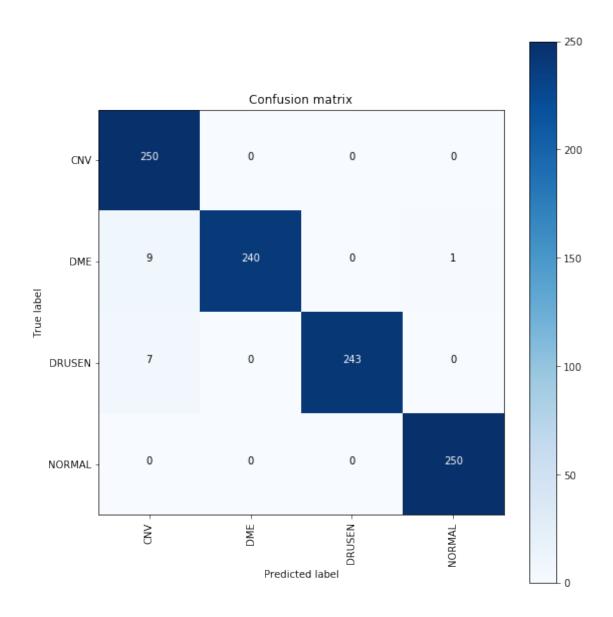
```
In [21]: # serialize model to JSON
         model_json = model.to_json()
         with open("model_balanced_cnn5layered.json", "w") as json_file:
             json_file.write(model_json)
In [22]: score = model.evaluate_generator(test_generator, steps = test_generator.samples // bat
        print("\n\n")
         print('Test Loss:', score[0])
         print('Test accuracy:', score[1])
         # https://gist.github.com/greydanus/f6eee59eaf1d90fcb3b534a25362cea4
         # https://stackoverflow.com/a/14434334
         # This function is used to update the plots for each epoch and error
         def plt_dynamic(x, vy, ty, ax, colors=['b']):
             ax.plot(x, vy, 'b', label="Validation Loss")
             ax.plot(x, ty, 'r', label="Train Loss")
             plt.legend()
             plt.grid()
             fig.canvas.draw()
         fig,ax = plt.subplots(1,1)
         ax.set_xlabel('epoch')
         ax.set_ylabel('Categorical Crossentropy Loss')
         # list of epoch numbers
         x = list(range(1,epochs+1))
         vy = history.history['val_loss']
         ty = history.history['loss']
         plt_dynamic(x, vy, ty, ax)
```

Test Loss: 0.04069264918085067 Test accuracy: 0.9899193548387096



Found 1000 images belonging to 4 classes.

```
print('----')
       print('| Confusion Matrix |')
       print('----')
       print('\n {}'.format(cm))
       # plot confusin matrix
       plt.figure(figsize=(8,8))
       plt.grid(b=False)
       plot_confusion_matrix(cm, classes=['CNV','DME','DRUSEN','NORMAL'], normalize=False,
                         title='Confusion matrix', cmap = plt.cm.Blues)
       plt.show()
_____
| Confusion Matrix |
_____
[[250 0 0 0]
[ 9 240 0
             1]
[ 7 0 243
             0]
[ 0 0 0 250]]
```



	precision	recall	f1-score	support
CNV	0.94	1.00	0.97	250
DME	1.00	0.96	0.98	250
DRUSEN	1.00	0.97	0.99	250
Normal	1.00	1.00	1.00	250
micro avg	0.98	0.98	0.98	1000
macro avg	0.98	0.98	0.98	1000
weighted avg	0.98	0.98	0.98	1000

2.3.1 Observations:

- 1. We have 5 hidden layers in this CNN model.
- 2. Test Loss: 0.040
- 3. Test accuracy: 0.989
- 4. precision = 0.98 | | recall = 0.98 | | f1-score = 0.98
- 5. CNN model with 5 hidden layers perform brilliantly.

2.4 CNN Model with 7 Hidden Layers.

```
In [2]: # Model parameters
        image_size = 256
        batch_size = 32
        num_classes = 4
        epochs = 10
In [3]: # Baseline Model.
       model = Sequential()
        model.add(Conv2D(256, kernel_size=(3, 3), activation='relu', input_shape=(image_size, )
        model.add(BatchNormalization())
        model.add(MaxPooling2D((2, 2)))
        model.add(Dropout(0.5))
        model.add(Conv2D(256, kernel_size=(3, 3), activation='relu'))
        model.add(BatchNormalization())
        model.add(MaxPooling2D(pool_size=(2, 2)))
        model.add(Dropout(0.5))
        model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
        model.add(BatchNormalization())
        model.add(MaxPooling2D(pool_size=(2, 2)))
        model.add(Dropout(0.5))
        model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
        model.add(BatchNormalization())
        model.add(MaxPooling2D(pool_size=(2, 2)))
        model.add(Dropout(0.5))
        model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
        model.add(BatchNormalization())
        model.add(Dropout(0.5))
        model.add(Conv2D(32, kernel_size=(3, 3), activation='relu'))
        model.add(BatchNormalization())
        model.add(Dropout(0.5))
```

```
model.add(Conv2D(32, kernel_size=(3, 3), activation='relu'))
model.add(BatchNormalization())
model.add(Dropout(0.5))

model.add(Flatten())

model.add(Dense(32, activation='relu'))
model.add(BatchNormalization())
model.add(Dropout(0.5))

model.add(Dense(32, activation='relu'))
model.add(BatchNormalization())
model.add(BatchNormalization())
model.add(Dropout(0.5))

model.add(Dense(num_classes, activation='softmax'))

print(model.summary())
```

model.compile(loss=keras.losses.categorical_crossentropy, optimizer=keras.optimizers.A

Layer (type)	Output	Shape	Param #
conv2d_1 (Conv2D)	(None,	254, 254, 256)	7168
batch_normalization_1 (Batch	(None,	254, 254, 256)	1024
max_pooling2d_1 (MaxPooling2	(None,	127, 127, 256)	0
dropout_1 (Dropout)	(None,	127, 127, 256)	0
conv2d_2 (Conv2D)	(None,	125, 125, 256)	590080
batch_normalization_2 (Batch	(None,	125, 125, 256)	1024
max_pooling2d_2 (MaxPooling2	(None,	62, 62, 256)	0
dropout_2 (Dropout)	(None,	62, 62, 256)	0
conv2d_3 (Conv2D)	(None,	60, 60, 128)	295040
batch_normalization_3 (Batch	(None,	60, 60, 128)	512
max_pooling2d_3 (MaxPooling2	(None,	30, 30, 128)	0
dropout_3 (Dropout)	(None,	30, 30, 128)	0

conv2d_4 (Conv2D)	(None,	28, 28, 64)	73792
batch_normalization_4 (Batch	(None,	28, 28, 64)	256
max_pooling2d_4 (MaxPooling2	(None,	14, 14, 64)	0
dropout_4 (Dropout)	(None,	14, 14, 64)	0
conv2d_5 (Conv2D)	(None,	12, 12, 64)	36928
batch_normalization_5 (Batch	(None,	12, 12, 64)	256
dropout_5 (Dropout)	(None,	12, 12, 64)	0
conv2d_6 (Conv2D)	(None,	10, 10, 32)	18464
batch_normalization_6 (Batch	(None,	10, 10, 32)	128
dropout_6 (Dropout)	(None,	10, 10, 32)	0
conv2d_7 (Conv2D)	(None,	8, 8, 32)	9248
batch_normalization_7 (Batch	(None,	8, 8, 32)	128
dropout_7 (Dropout)	(None,	8, 8, 32)	0
flatten_1 (Flatten)	(None,	2048)	0
dense_1 (Dense)	(None,	32)	65568
batch_normalization_8 (Batch	(None,	32)	128
dropout_8 (Dropout)	(None,	32)	0
dense_2 (Dense)	(None,	32)	1056
batch_normalization_9 (Batch	(None,	32)	128
dropout_9 (Dropout)	(None,		0
dense_3 (Dense)	(None,	4) ====================================	132
Total params: 1,101,060 Trainable params: 1,099,268 Non-trainable params: 1,792			
None			

```
In [4]: train_datagen = ImageDataGenerator(validation_split=0.2) # set validation split
       train_generator = train_datagen.flow_from_directory('OCT2017/train',target_size=(image
                                                         batch_size=batch_size,
                                                         class_mode='categorical',
                                                         subset='training') # set as traini
       validation_generator = train_datagen.flow_from_directory('OCT2017/train', target_size=(
                                                              batch_size=batch_size,
                                                              class_mode='categorical',
                                                              subset='validation') # set as
       test_datagen = ImageDataGenerator()
       test_generator = test_datagen.flow_from_directory("OCT2017/test",target_size=(image_size)
                                                       batch_size=batch_size,
                                                       class_mode='categorical')
Found 66788 images belonging to 4 classes.
Found 16696 images belonging to 4 classes.
Found 1000 images belonging to 4 classes.
In [5]: # https://stackoverflow.com/questions/41815354/keras-flow-from-directory-over-or-under
       class_weights = class_weight.compute_class_weight('balanced',
                                                       np.unique(train_generator.classes),
                                                       train_generator.classes)
In [6]: filepath="weights_balanced_cnn_7layered_best.hdf5"
       checkpoint = ModelCheckpoint(filepath, monitor='val_acc', verbose=1, save_best_only=Tr
       callbacks_list = [checkpoint]
In [7]: # Train the network
       history = model.fit_generator(train_generator,
                                    steps_per_epoch = train_generator.samples // batch_size,
                                    validation_data = validation_generator,
                                    validation_steps = validation_generator.samples // batch
                                    epochs = epochs,
                                    callbacks=callbacks_list,
                                    class_weight=class_weights)
Epoch 1/10
Epoch 00001: val_acc improved from -inf to 0.77417, saving model to weights_balanced_cnn_7layer
Epoch 2/10
```

```
Epoch 00002: val_acc did not improve from 0.77417
Epoch 3/10
Epoch 00003: val_acc improved from 0.77417 to 0.88754, saving model to weights_balanced_cnn_71
Epoch 4/10
Epoch 00004: val_acc improved from 0.88754 to 0.90134, saving model to weights_balanced_cnn_71a
Epoch 5/10
Epoch 00005: val_acc did not improve from 0.90134
Epoch 6/10
Epoch 00006: val_acc improved from 0.90134 to 0.92349, saving model to weights_balanced_cnn_71
Epoch 7/10
Epoch 00007: val_acc did not improve from 0.92349
Epoch 8/10
Epoch 00008: val_acc did not improve from 0.92349
Epoch 9/10
Epoch 00009: val_acc improved from 0.92349 to 0.92931, saving model to weights_balanced_cnn_71
Epoch 10/10
Epoch 00010: val_acc improved from 0.92931 to 0.93483, saving model to weights_balanced_cnn_71
In [8]: # serialize model to JSON
    model_json = model.to_json()
     with open("model_balanced_cnn_7layered.json", "w") as json_file:
       json_file.write(model_json)
In [9]: score = model.evaluate_generator(test_generator, steps = test_generator.samples // batc
     print("\n\n")
     print('Test Loss:', score[0])
     print('Test accuracy:', score[1])
     # https://gist.github.com/greydanus/f6eee59eaf1d90fcb3b534a25362cea4
     # https://stackoverflow.com/a/14434334
     # This function is used to update the plots for each epoch and error
```

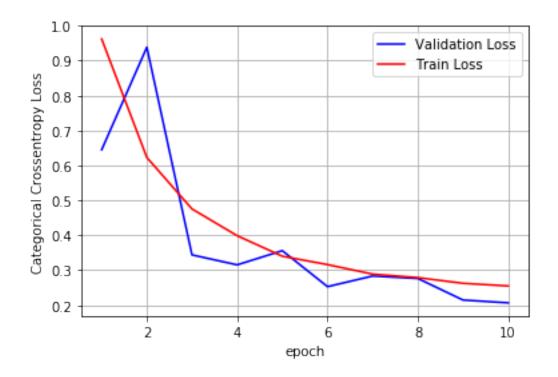
```
def plt_dynamic(x, vy, ty, ax, colors=['b']):
    ax.plot(x, vy, 'b', label="Validation Loss")
    ax.plot(x, ty, 'r', label="Train Loss")
    plt.legend()
    plt.grid()
    fig.canvas.draw()

fig,ax = plt.subplots(1,1)
ax.set_xlabel('epoch')
ax.set_ylabel('Categorical Crossentropy Loss')

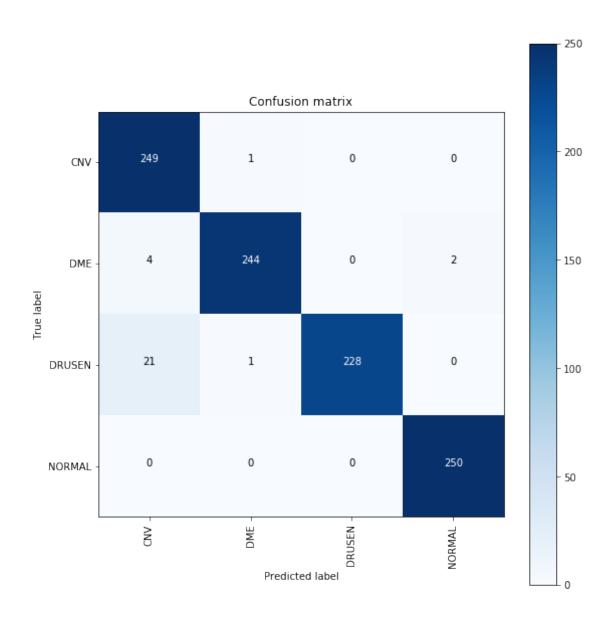
# list of epoch numbers
x = list(range(1,epochs+1))

vy = history.history['val_loss']
ty = history.history['loss']
plt_dynamic(x, vy, ty, ax)
```

Test Loss: 0.08890247164714721 Test accuracy: 0.9707661290322581



```
In [44]: from keras.models import load_model
        model = load_model('weights_balanced_cnn_7layered_best.hdf5')
In [45]: pred_datagen = ImageDataGenerator()
        pred_generator = pred_datagen.flow_from_directory("OCT2017/test",target_size=(image_s
                                                        batch_size=1,
                                                         class_mode='categorical',
                                                         shuffle = False)
Found 1000 images belonging to 4 classes.
In [46]: pred_generator.reset()
        y_pred = model.predict_generator(pred_generator, steps = 1000)
        Y_test = pred_generator.classes[pred_generator.index_array]
        Y_pred = np.argmax(y_pred, axis=-1)
In [47]: import pickle
        with open('y_pred_balanced_cnn_7layered_best.pkl','wb') as f:
            pickle.dump(y_pred, f)
In [48]: # confusion matrix
        cm = confusion_matrix(Y_test, Y_pred)
        print('----')
        print('| Confusion Matrix |')
        print('----')
        print('\n {}'.format(cm))
        # plot confusin matrix
        plt.figure(figsize=(8,8))
        plt.grid(b=False)
        plot_confusion_matrix(cm, classes=['CNV','DME','DRUSEN','NORMAL'], normalize=False,
                             title='Confusion matrix', cmap = plt.cm.Blues)
        plt.show()
| Confusion Matrix |
_____
 [[249 1 0
               0]
               2]
 [ 4 244
           0
 [ 21 1 228
 [ 0 0 0 250]]
```



	precision	recall	f1-score	support
CNV	0.91	1.00	0.95	250
DME	0.99	0.98	0.98	250
DRUSEN	1.00	0.91	0.95	250
Normal	0.99	1.00	1.00	250
micro avg	0.97	0.97	0.97	1000
macro avg	0.97	0.97	0.97	1000
weighted avg	0.97	0.97	0.97	1000

2.4.1 Observations:

1. We have 7 hidden layers in this CNN model.

2. Test Loss: 0.088

3. Test accuracy: 0.971

4. precision = 0.97 | | recall = 0.97 | | f1-score = 0.97

5. CNN model with 5 hidden layers perform brilliantly.

3 Models Performance Table

Retinal OCT Images classification Using CNN								
Sr. No.	of Convolution Accuracy							
1	3 Layer's	3*3	85.40	0.689	0.93			
2	3 Layer's + Class Weights Balanced	3*3	94.05	0.231	0.97			
3	5 Layer's	3*3	98.99	0.040	0.98			
4	7 Layer's	3*3	97.07	0.088	0.97			

3.1

4 Conclusion:

- 1. We have Deep learning CNN for classification model.
- 2. Due to large size of dataset we have used ImageDataGenerator module from keras for Batch wise training of our CNN model.
- 3. CNN model with 5 Hdden Layers gives best results:

1. Test Loss: 0.040

2. Test accuracy: 0.989