FedRAMP Significant Change Request Form
INSTRUCTIONS

PLEASE REMOVE THE INSTRUCTIONS BEFORE SUBMITTING FORM.

WHO SHOULD USE THIS FORM?

Cloud Service Providers (CSPs) with systems that have an existing FedRAMP authorization, who intend to implement a significant change within the systems' authorization boundary.

ABOUT THIS FORM

CSPs are required to submit this completed form to FedRAMP and receive FedRAMP approval prior to implementing a significant change to a system with an existing FedRAMP authorization.

For more information about significant changes, see the *FedRAMP Continuous Monitoring Strategy Guide, Section 3.2, Change Control*.

FORM AND ATTACHMENT INSTRUCTIONS

1. Complete the form and attach additional pages if necessary.
    a. If changing the system's FIPS-199 categorization level from Moderate to High, please also complete all of Attachment A and include it with your submission.
2. Upload either a digitally signed copy or a physically signed and scanned copy to OMB MAX.
3. Send a notification message to info@fedramp.gov - include the OMB MAX location of the document.

NOTE: FedRAMP must also review your 3PAO's security assessment plan (SAP) prior to implementing the change. Please include this plan with the form if it is available at the time of submission.

FedRAMP ACRONYMS

The *FedRAMP Master Acronyms & Glossary* contains definitions for all FedRAMP publications, and is available on the FedRAMP website Documents page under Program Overview Documents.

(https://www.fedramp.gov/resources/documents-2016/)

Please send suggestions about corrections, additions, or deletions to info@fedramp.gov.

HOW TO CONTACT US

Questions about FedRAMP or this form should be directed to info@fedramp.gov.

For more information about FedRAMP, visit the website at http://www.fedramp.gov.

FedRAMP Significant Change Request Form

Instructions:	1. Complete the form and attach additional pages if necessary. 2. Upload either a digitally signed copy or a physically signed and scanned copy to OMB MAX. 3. Send a notification message to info@fedramp.gov - include OMB MAX location of the document.

CSP Contact Information

Company Name			
System Name			
System Owner	Name		Title	
Primary POC	Name		Title	
	Phone		Email	

System Information

Type of System *(please choose from drop down menu)*	Choose an item.
Please briefly describe your system	
List current and pending Federal customers	

3PAO Information (Required)

3PAO Company Name			
3PAO Primary POC	Name		Title	
	Phone		Email	
Currently on contract for significant change proposed?	☐ Yes ☐ No
Security Assessment Plan attached?	☐ Yes ☐ No

Nature of Change

Change Details – Please provide background and brief description *(attach additional pages if necessary):*	

Type of Change *(check all that apply):*	☐ Authentication or access control	☐ Backup mechanism or process
	☐ Storage	☐ SaaS or PaaS changing underlying provider
	☐ New code release	
	☐ Replacement of COTS product	☐ Changing alternate or compensating control
	☐ Change in services offered	
	☐ Change in FIPS 199 Categorization Level (Moderate to High requires Attachment A)	☐ Removal of security control(s)
		☐ Change in system scope
	☐ Other (Please Specify):	

System Component(s) Impacted *(List all)*	

Security Control(s) Impacted *(List all)*	

Has the 3PAO validated above control list?	☐ Yes ☐ No

Status of Change	

Is there a date by which this change must be operational?	☐ Yes ☐ No	If Yes, what is the date?
	If Yes, why?:	

Validation	
Please describe how the impacted controls will be validated once the change is complete. *(attach additional pages if necessary)*	

Demand/Justification

Which customers are driving this change? *(Always required for changes to service, scope, or FIPS-199 Level)*	
Justification for change. *(attach additional pages if necessary)*	
Is the change required because a previous version is reaching end of life or end of support?	☐ Yes ☐ No
	If Yes, what is the end of life date?
Is this change intended to enhance ConMon performance?	☐ Yes ☐ No

CSP Signature *(To be signed by an individual with the authority to represent the CSP to FedRAMP)*

Name *(Type)*:	Title:
_____	_____
Signature	Date

FedRAMP Standing (to be Completed by FedRAMP)

Annual Assessment

Was the last Assessment Completed?	☐ Yes ☐ No
When is the next Annual Assessment Due?	
Is CSP currently overdue on its Annual Assessment?	☐ Yes ☐ No If Yes, why:

ConMon Performance

Was CSP on a corrective action plan in the past six months?	☐ Yes ☐ No

For FedRAMP PMO Use Only

Approved: ☐ Yes ☐ No	Date:
FedRAMP Reviewer's Name:	
FedRAMP Reviewer's Notes *(Optional)*	

FedRAMP Significant Change Request Form:
Attachment A – Part 1

FedRAMP

Attachment A Instructions:	This attachment is only required if changing the system's FIPS-199 categorization level from Moderate to High. If this is the case, please complete all subsequent pages. Otherwise, remove these pages before submission.
Table A-1 Instructions:	Table A-1, below, lists all additional controls that do not exist in the Moderate baseline, but must be addressed as part of the High baseline. Please provide the status of each in the table below.

Table A-1 – New controls required when changing from Moderate to High

Control	Applicability (Check one per row)			Implementation Status Notes *If "Pending Implementation", provide implementation date. If "Not Applicable", explain why.*
	Implemented	Pending Implementation	Not Applicable	
AC-02 (11)	☐	☐	☐	
AC-02 (13)	☐	☐	☐	
AC-04 (08)	☐	☐	☐	
AC-06 (03)	☐	☐	☐	
AC-06 (07)	☐	☐	☐	
AC-06 (08)	☐	☐	☐	
AC-07 (02)	☐	☐	☐	
AC-12 (01)	☐	☐	☐	
AC-18 (03)	☐	☐	☐	
AC-18 (04)	☐	☐	☐	
AC-18 (05)	☐	☐	☐	
AT-03 (03)	☐	☐	☐	
AT-03 (04)	☐	☐	☐	
AU-03 (02)	☐	☐	☐	
AU-05 (01)	☐	☐	☐	

Control	Applicability (Check one per row)			Implementation Status Notes *If "Pending Implementation", provide implementation date.* *If "Not Applicable", explain why.*
	Implemented	Pending Implementation	Not Applicable	
AU-05 (02)	☐	☐	☐	
AU-06 (04)	☐	☐	☐	
AU-06 (05)	☐	☐	☐	
AU-06 (06)	☐	☐	☐	
AU-06 (07)	☐	☐	☐	
AU-06 (10)	☐	☐	☐	
AU-09 (03)	☐	☐	☐	
AU-10	☐	☐	☐	
AU-12 (01)	☐	☐	☐	
AU-12 (03)	☐	☐	☐	
CA-07 (03)	☐	☐	☐	
CM-03 (01)	☐	☐	☐	
CM-03 (02)	☐	☐	☐	
CM-03 (04)	☐	☐	☐	
CM-03 (06)	☐	☐	☐	
CM-04 (01)	☐	☐	☐	
CM-05 (02)	☐	☐	☐	
CM-06 (02)	☐	☐	☐	
CM-08 (02)	☐	☐	☐	
CM-08 (04)	☐	☐	☐	
CM-11 (01)	☐	☐	☐	
CP-02 (04)	☐	☐	☐	

Control	Applicability (Check one per row)			Implementation Status Notes *If "Pending Implementation", provide implementation date.* *If "Not Applicable", explain why.*
	Implemented	Pending Implementation	Not Applicable	
CP-02 (05)	☐	☐	☐	
CP-03 (01)	☐	☐	☐	
CP-04 (02)	☐	☐	☐	
CP-06 (02)	☐	☐	☐	
CP-07 (04)	☐	☐	☐	
CP-08 (03)	☐	☐	☐	
CP-08 (04)	☐	☐	☐	
CP-09 (02)	☐	☐	☐	
CP-09 (05)	☐	☐	☐	
CP-10 (04)	☐	☐	☐	
IA-02 (04)	☐	☐	☐	
IA-02 (09)	☐	☐	☐	
IA-05 (08)	☐	☐	☐	
IA-05 (13)	☐	☐	☐	
IR-02 (01)	☐	☐	☐	
IR-02 (02)	☐	☐	☐	
IR-04 (02)	☐	☐	☐	
IR-04 (03)	☐	☐	☐	
IR-04 (04)	☐	☐	☐	
IR-04 (06)	☐	☐	☐	
IR-04 (08)	☐	☐	☐	
IR-05 (01)	☐	☐	☐	

Control	Applicability (Check one per row)			Implementation Status Notes If "Pending Implementation", provide implementation date. If "Not Applicable", explain why.
	Implemented	Pending Implementation	Not Applicable	
MA-02 (02)	☐	☐	☐	
MA-04 (03)	☐	☐	☐	
MA-04 (06)	☐	☐	☐	
MP-06 (01)	☐	☐	☐	
MP-06 (03)	☐	☐	☐	
PE-03 (01)	☐	☐	☐	
PE-06 (04)	☐	☐	☐	
PE-08 (01)	☐	☐	☐	
PE-11 (01)	☐	☐	☐	
PE-13 (01)	☐	☐	☐	
PE-15 (01)	☐	☐	☐	
PE-18	☐	☐	☐	
PS-04 (02)	☐	☐	☐	
RA-05 (04)	☐	☐	☐	
RA-05 (10)	☐	☐	☐	
SA-12	☐	☐	☐	
SA-15	☐	☐	☐	
SA-16	☐	☐	☐	
SA-17	☐	☐	☐	
SC-03	☐	☐	☐	
SC-07 (10)	☐	☐	☐	
SC-07 (20)	☐	☐	☐	

Control	Applicability (Check one per row)			Implementation Status Notes *If "Pending Implementation", provide implementation date.* *If "Not Applicable", explain why.*
	Implemented	Pending Implementation	Not Applicable	
SC-07 (21)	☐	☐	☐	
SC-12 (01)	☐	☐	☐	
SC-23 (01)	☐	☐	☐	
SC-24	☐	☐	☐	
SI-02 (01)	☐	☐	☐	
SI-04 (11)	☐	☐	☐	
SI-04 (18)	☐	☐	☐	
SI-04 (19)	☐	☐	☐	
SI-04 (20)	☐	☐	☐	
SI-04 (22)	☐	☐	☐	
SI-04 (24)	☐	☐	☐	
SI-05 (01)	☐	☐	☐	
SI-07 (02)	☐	☐	☐	
SI-07 (05)	☐	☐	☐	
SI-07 (14)	☐	☐	☐	

Attachment A Instructions:	This attachment is only required if changing the system's FIPS-199 categorization level from Moderate to High.
	If this is the case, please complete all subsequent pages. Otherwise, remove these pages before submission.
Table A-2 Instructions:	The controls listed in Table A-2, below, exist in both the Moderate and High baselines; however, the FedRAMP prescribed parameter is different in the High baseline.
	When transitioning from Moderate to High, the CSP must update these parameters appropriately in their System Security Plan (SSP). The revised parameter changes the control requirement. The CSP must also revise the control implementation within the system, and the control description within the SSP to align with the new parameter.
	Please provide the status of each in the table below.

Table A-2 – Controls with different FedRAMP parameters when changing from Moderate to High

Control	Applicability (Check one per row)			Implementation Status Notes If "Parameter Pending", provide implementation date. If "Not Applicable", explain why.
	Parameter & Control Updated	Parameter & Control Update Pending	Not Applicable	
AC-01	☐	☐	☐	
AC-02	☐	☐	☐	
AC-02 (02)	☐	☐	☐	
AC-02 (03)	☐	☐	☐	
AC-02 (04)	☐	☐	☐	
AC-02 (05)	☐	☐	☐	
AC-02 (07)	☐	☐	☐	
AC-02 (09)	☐	☐	☐	
AC-02 (12)	☐	☐	☐	
AC-02 (13)	☐	☐	☐	
AC-06 (01)	☐	☐	☐	
AC-06 (03)	☐	☐	☐	
AC-06 (07)	☐	☐	☐	
AC-06 (08)	☐	☐	☐	

Control	Applicability (Check one per row)			Implementation Status Notes *If "Parameter Pending", provide implementation date.* *If "Not Applicable", explain why.*
	Parameter & Control Updated	Parameter & Control Update Pending	Not Applicable	
AC-07	☐	☐	☐	
AC-07 (02)	☐	☐	☐	
AT-03 (04)	☐	☐	☐	
AT-04	☐	☐	☐	
AU-01	☐	☐	☐	
AU-03 (01)	☐	☐	☐	
AU-03 (02)	☐	☐	☐	
AU-05 (02)	☐	☐	☐	
AU-06 (05)	☐	☐	☐	
AU-06 (07)	☐	☐	☐	
AU-08	☐	☐	☐	
AU-10	☐	☐	☐	
AU-11	☐	☐	☐	
CA-01	☐	☐	☐	
CA-03 (05)	☐	☐	☐	
CM-01	☐	☐	☐	
CM-02 (03)	☐	☐	☐	
CP-01	☐	☐	☐	
CP-04	☐	☐	☐	
CP-09 (01)	☐	☐	☐	
IA-01	☐	☐	☐	

Control	Applicability (Check one per row)			Implementation Status Notes *If "Parameter Pending", provide implementation date.* *If "Not Applicable", explain why.*
	Parameter & Control Updated	Parameter & Control Update Pending	Not Applicable	
IA-04	☐	☐	☐	
IA-05 (01)	☐	☐	☐	
IA-05 (04)	☐	☐	☐	
IR-01	☐	☐	☐	
IR-02	☐	☐	☐	
IR-03	☐	☐	☐	
IR-09 (02)	☐	☐	☐	
MA-01	☐	☐	☐	
MP-01	☐	☐	☐	
MP-02	☐	☐	☐	
MP-03	☐	☐	☐	
MP-06	☐	☐	☐	
MP-06 (02)	☐	☐	☐	
PE-01	☐	☐	☐	
PE-02	☐	☐	☐	
PL-01	☐	☐	☐	
PL-04	☐	☐	☐	
PS-01	☐	☐	☐	
PS-02	☐	☐	☐	
PS-04	☐	☐	☐	
PS-05	☐	☐	☐	

Control	Applicability (Check one per row)			Implementation Status Notes If "Parameter Pending", provide implementation date. If "Not Applicable", explain why.
	Parameter & Control Updated	Parameter & Control Update Pending	Not Applicable	
PS-06	☐	☐	☐	
PS-07	☐	☐	☐	
PS-08	☐	☐	☐	
RA-01	☐	☐	☐	
RA-03	☐	☐	☐	
SA-01	☐	☐	☐	
SA-04 (02)	☐	☐	☐	
SA-05	☐	☐	☐	
SC-01	☐	☐	☐	
SC-07 (04)	☐	☐	☐	
SC-07 (12)	☐	☐	☐	
SC-28 (01)	☐	☐	☐	
SI-01	☐	☐	☐	
SI-03	☐	☐	☐	
SI-07 (01)	☐	☐	☐	

Additional Guidance

If the significant change is to increase the FIPS-199 system categorization level from Moderate to High, FedRAMP will not approve the change until all High vulnerability findings in the significant change SAR are mitigated to a lower level or remediated