

# Neural Networks and Deep Learning

## CSCI 5922 – Assignment 4 (Fall 2017) by Akshit Arora (108631342)

As per guideline on piazza, here is the code I started working with: [https://github.com/Hvass-Labs/TensorFlow-Tutorials/blob/master/06\\_CIFAR-10.ipynb](https://github.com/Hvass-Labs/TensorFlow-Tutorials/blob/master/06_CIFAR-10.ipynb) and it uses a module that is used for managing cifar10 dataset: <https://github.com/Hvass-Labs/TensorFlow-Tutorials/blob/master/cifar10.py>.

### Part 1:

The intention for deciding how to split the data set into training and validation sets is to be able to compare two models.

In my case, I have chosen to take 20% of my data as validation set and the remaining is training set because it takes too long to do 1 learning experiment with 10,000 epochs. In order to make my training fast, I randomly select batches of 64 images from training data set and treat it as an iteration.

If I had better infrastructure (or some way to make my training faster):

- I could have done **5-fold cross validation** so that network is trained for every data point. By 5-fold cross validation I simply mean that I do 5 different learning experiments, each time a different 20% of the whole data set is selected as validation set and remaining is training set.
- And applied model averaging after the 5-fold cross validation.

Stopping Criterion: 10,000 epochs.

### Part 2:

I conducted 3 classes of experiments:

- 0) To test out my current implementation of CNN. (no CV set defined)
- 1) Setting Model's Hyper-parameters (figuring out number of hidden units etc.) More training time needed to do 5-fold cross validation.
- 2) Studying effect of drop-out.

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#### Experiment 0: (Code Available: 06\_CIFAR-10.ipynb)

**Objective:** To test out the current implementation of CNN.

**Input:**

- Random batches of 64 from training images (32\*32 pixels)
- Training images were pre-processed in the following ways:
  - Random cropping to (24\*24)-pixel size

- Random left/right flipping
- Random Hue, Saturation, Contrast and Brightness
- **No cross-validation data set defined for this experiment.**

**Network definition** (2 conv2D each followed by maxpool layer; Then 2 fully connected layers; ReLU activation used):

1. Conv2D (Receptive field: 5\*5\*64; Batch normalization)
2. Max pool (5\*5 size; Strides = 2)
3. Conv2D (Receptive field: 5\*5\*64)
4. Max pool (5\*5 size; Strides = 2)
5. Flatten output
6. Fully Connected Layer (256 units)
7. Fully Connected Layer (128 units)
8. SoftMax classifier (10 units)

#### Results:

Training Accuracy: **85%**  
Test Set: **74%**

CV Accuracy: **N/A**  
Epochs: **10,000**

#### Experiment 1:

**Objective:** Setting Model's Hyperparameters. I intend to use 20% training data as validation set and use its accuracy to figure out adequate number of conv2d layers and FC layers. 10,000 epochs fixed.

#### Input:

- Same as previous section except 20% of training set is now cross validation set.

#### Summary of results:

#	Brief Architecture Definition	Max. Training set accuracy observed	Validation set accuracy	Test set accuracy
A	<b>2 conv2d</b> followed by max pooling and <b>2 FC layers</b>	71.9%	65.6%	65.2%
B	<b>4 conv2d*</b> followed by max pooling and <b>2 FC layers</b>	76.6%	64.1%	63.8%
C	<b>2 conv2d</b> followed by max pooling and <b>3 FC layers**</b>	<b>84.4%</b>	<b>65.3%</b>	<b>64.7%</b>

\*\*unable to use 4 or more FC layers since Jupyter Kernel would crash all the time!

\*unable to use more than 4 conv2d layers since Jupyter Kernel crashes

**Details of sub-experiments:**

- A) Let's start with 2 conv2D each followed by max-pool layer; Then 2 fully connected layers.
- Network definition (ReLU activation used):
    - Conv2D (Receptive field:  $5*5*64$ ; Batch normalization)
    - Max pool ( $5*5$  size; Strides = 2)
    - Conv2D (Receptive field:  $5*5*64$ )
    - Max pool ( $5*5$  size; Strides = 2)
    - Flatten output
    - Fully Connected Layer (256 units)
    - Fully Connected Layer (128 units)
    - SoftMax classifier (10 units)
  - Training set accuracy: **71.9%**
  - Test set accuracy: **65.2%**
  - Validation set accuracy: **65.6%**
  - Code available: **06\_CIFAR-10-q1.ipynb**
- B) 4 conv2d layers instead of 2
- Network definition (same as before, 2 more conv2d added with max-pooling each)
  - Training set accuracy:
  - Test set accuracy:
  - Validation set accuracy:
  - Code available: **06\_CIFAR-10-q2.ipynb**
- C) 2 conv2d layers 3 fully connected layers
- Network definition:
    - Conv2D (Receptive field:  $5*5*64$ ; Batch normalization)
    - Max pool ( $5*5$  size; Strides = 2)
    - Conv2D (Receptive field:  $5*5*64$ )
    - Max pool ( $5*5$  size; Strides = 2)
    - Flatten output
    - Fully Connected Layer (256 units)
    - Fully Connected Layer (128 units)
    - Fully Connected Layer (128 units)**
    - SoftMax classifier (10 units)
  - Training set accuracy: **84.4%**
  - Test set accuracy: **65.3%**
  - Validation set accuracy: **64.7%**
  - Code available: **06\_CIFAR-10-q3.ipynb**
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**Experiment 2:**

**Objective:** Study the effect of drop-out. 10,000 epochs fixed.

**Input:**

- Same as previous

**Summary of Results:**

#	Brief Architecture Definition	Max. Training set accuracy observed	Validation set accuracy	Test set accuracy
A	With Dropout	67.2%	60.8%	60.8%
B	Without Dropout	71.9%	65.6%	65.2%

**Details:**

## A) With dropout:

## a. Network Definition

- 1) Conv2D (Receptive field: 5\*5\*64; Batch normalization)
- 2) Max pool (5\*5 size; Strides = 2)
- 3) Conv2D (Receptive field: 5\*5\*64)
- 4) Max pool (5\*5 size; Strides = 2)
- 5) Flatten output
- 6) Fully Connected Layer (256 units)
- 7) Dropout (keep probability = 0.5)
- 8) Fully Connected Layer (128 units)
- 9) SoftMax classifier (10 units)

b. Training set accuracy: **84.4%**c. Test set accuracy: **65.3%**d. Validation set accuracy: **64.7%**e. Code available: **06\_CIFAR-10-q2-1.ipynb**

## B) Without dropout:

## a. Network definition (ReLU activation used):

1. Conv2D (Receptive field: 5\*5\*64; Batch normalization)
2. Max pool (5\*5 size; Strides = 2)
3. Conv2D (Receptive field: 5\*5\*64)
4. Max pool (5\*5 size; Strides = 2)
5. Flatten output
6. Fully Connected Layer (256 units)
7. Fully Connected Layer (128 units)
8. SoftMax classifier (10 units)

- b. Training set accuracy: **71.9%**
- c. Test set accuracy: **65.2%**
- d. Validation set accuracy: **65.6%**
- e. Code available: **06\_CIFAR-10-q1.ipynb**

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Note: I learnt the implementation strategies (like using name scopes, helper functions for plotting layers etc.) with Tensorflow, from HVASS Tutorials (<https://github.com/Hvass-Labs/TensorFlow-Tutorials>) and therefore, my code looks very similar to the code used in their python notebook.

Also, I wanted to try more tricks with the architecture but I found myself limited to doing what the