# **Machine Learning Engineer Nanodegree**

# **Capstone Project**

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# Adaptive foreground-background segmentation using GMMs

# 1. Project Overview

Background subtraction is a common pre-processing step in many computer vision related tasks. Many applications [1][2] only require information about changes in a scene since such regions typically contain the objects we are interested in. For instance, cars that drive on a highway or pedestrians the walk on a sidewalk. Background segmentation allows us to detect such objects by a pixel-wise segmentation of frames into foreground and background regions.

Deep learning enthusiast might now suggest the use of novel neural networks like UNET [3] to solve such segmentation tasks. However, there are well-studied algorithms like the one proposed by Stauffer and Grimson [4] that provide an unsupervised learning based solution based on Gaussian mixture models (GMMs) to the segmentation problem. The proposed algorithm is also special due to its ability to actively adapt and learn from previously unseen frames. It doesn't require a prior training phase or training data. This advocates its usage for foreground-background segmentation tasks.

#### 2. Problem Statement

The goal of this project is to develop a solution for adaptive foreground-background video segmentation and to assess its performance. The solution is based on the algorithm introduced by Stauffer and Grimson [4]. The model's performance gets evaluated on the LASIESTA [5] dataset. A good model should finally be able to accurately segment any video into a foreground or background regions after "seeing" a few frames and actively adapt itself to scenery changes. Ideally, the model should be able to perform this segmentation task in real-time.

#### 3. Dataset

As already mentioned the LASIESTA [5] dataset is used to train and test the implemented model. The dataset comprises of 28 different scenes captured in different indoor and outdoor environments. However, in course of this project we focus on indoor scenes only. Scenes cover a broad spectrum of image distortions we may encounter in real-world like illumination changes, occlusion or shadows.

In each scene, we are given a set of frames corresponding to a video. Additionally, labeled images (ground truth) are available for each frame.

Colors of these labeled frames represent different types of objects:

- Black pixels (0,0,0): Background.
- Red pixels (255,0,0): Moving object with label 1 along the sequence.
- Green pixels (0,255,0): Moving object with label 2 along the sequence.
- Yellow pixels (255,255,0): Moving object with label 3 along the sequence.
- White pixels (255,255,255): Moving objects remaining static.
- Gray pixels (128,128,128): Uncertainty pixels.

In course of this project we will focus on the following eight different indoor scenes:

Scene	Description
I_SI_01	Sequences not containing camouflage, occlusions, illumination changes, modified background, camera motion, or bootstrapping.
I_CA_01	Sequences with moving objects remaining temporally static on background regions with similar color.
I_OC_01	Sequences containing totally or partially occluded moving objects.
I_IL_01	Sequences with global illumination changes.
I_MB_01	Sequences showing situations in which background elements are subtracted or where some objects are abandoned.
I_BS_01	Sequences containing moving objects from the first frame.
I_MC_01	Sequences recorded with non-completely static cameras (handy cameras or pan/tilt motion).
I_SM_01	Set of sequences simulating different types and intensities of camera motion.









# 4. GMM for segmentation tasks

As we focus on solving this problem by means of a particular class of algorithms, namely, Gaussian Mixture models, it's important to have a general understanding of how these algorithms work and how they can be applied to solve video segmentation tasks. This understanding will help us to understand what kind of information we have to look at when analyzing the dataset.

The idea is to fit a Gaussian mixture model to a time series of image pixels. In other words, we are given a video and take a certain number of frames out of this video. Next, we consider what's called a "pixel process". The "pixel process" is a time series of pixel values, for example, scalars for gray values or vectors for color images.

At any time *t* we know the history (intensity values) of a certain pixel:

$$\{X_1,\ldots,X_t\}=\{I(x,y,i):1\leq i\leq t\}$$
 where  $I$  is the image sequence

We can now use this time series to estimate the probability of seeing a certain pixel value. Formally, this means that we can estimate the probability of a certain pixel value by fitting a GMM on the recent history of pixel values.

The probability of observing the current pixel value is

$$P(x) = \sum_{i=1}^{K} w_{i,t} \cdot \eta(X_t, \mu_{i,t}, \Sigma_{i,t})$$

where K is the number of distributions,  $w_{i,t}$  is an estimate of the weight (what portion of the data is accounted for by this Gaussian) of the i-th Gaussian in the mixture at time t,  $\mu_{i,t}$  is the mean value of the i-th Gaussian in the mixture at time t, and where t is a Gaussian probability density function.

$$\eta(X_t, \mu_{i,t}, \Sigma_{i,t}) = \frac{1}{(2\pi)^{\frac{n}{2}} |\Sigma|^{\frac{1}{2}}} \cdot e^{-\frac{1}{2}(X_t - \mu_t)^T \Sigma^{-1}(X_t - \mu_t)}$$

#### **Background Model estimation**

The distribution of recently observed values of each pixel in the scene is now characterized by a mixture of Gaussians. This mixture can now be used to estimate the probability that a certain pixel value belongs to a background or foreground region. The idea is similar to an approach called Bog-of-words (BoW) classification. Some Gaussians are more likely to represent a background region than others. If we know which Gaussians represents background objects, we can assign new pixel values to either a background or foreground region by calculating its proximity to background Gaussians.

To understand this, consider the accumulation of supporting evidence and relatively low variance for "background" distributions when a static, persistent object is visible. In contrast, when a new object occludes the background object, it will not, in general, match one of the existing distributions which will result in either the creation of a new distribution or an increase in the variance of an existing distribution. Also, the variance of the moving object is expected to remain larger than a background pixel until the moving object stops.

#### 5. Metrics

A good way to assess the quality of the developed model is the F1-score [6] [7]. It's defined as the harmonic average of the precision and recall, where an F1 score reaches its best value at 1 and worst at 0.

$$F_1 = 2 \cdot \frac{\text{Precision-Recall}}{\text{Precision+Recall}}$$
 
$$\text{Precision} = \frac{\text{True Positive}}{\text{True Positive+False Positive}}$$
 
$$\text{Recall} = \frac{\text{True Positive}}{\text{True Positive+False Negative}}$$

In our problem domain True Positive, False Positive, False Negative and False Positive can be understood as:

- False Positive: Classified as background pixel, but it's a foreground pixel
- False Negative: Classified as foreground pixel, but it's a background pixel
- True Positive: Classified as background pixel and it's a background pixel

• True Negative: Classified as foreground pixel and it's a foreground pixel

## 6. Analysis

## 6.1. Data Exploration

We already discussed how GMMs can be used to segment video frames. In Layman's term we could say that our expectation is to find groups in a series of pixel values. Each group comprises of similar pixel values. If our assumption is correct, background / foreground pixel values tend to be in different categories. Therefore, let's see if such a behavior is evident in our dataset.

#### Overall distribution per category

First, we look at the overall distribution of pixel values by category (foreground/background) across the different scenes of the dataset. For instance, we simply take the values of all background pixels and compute statistical descriptors like the mean and standard deviation.

The following tables show the result for three out of the eight scenes we analyze. Results for the remaining scenes can be found in the project's repository [9].

I_SI_01	TOTAL (RED)	FG (RED)	BG (RED)	TOTAL (GREEN)	FG (GREEN)	BG (GREEN)	TOTAL (BLUE)	FG (BLUE)	BG (BLUE)
Mean	102.29	86.18	103.07	100.47	83.97	101.26	93.73	75.63	94.58
Std	65.38	60.19	65.61	63.31	63.57	57.03	60.20	32.56	61.11

I_BS_01	TOTAL (RED)	FG (RED)	BG (RED)	TOTAL (GREEN)	FG (GREEN)	BG (GREEN)	TOTAL (BLUE)	FG (BLUE)	BG (BLUE)
Mean	120.27	42.05	121.70	110.56	33.89	111.98	91.10	25.54	92.31
Std	42.59	37.58	41.36	41.14	26.03	40.03	39.46	21.01	38.69

I_IL_01	TOTAL (RED)	FG (RED)	BG (RED)	TOTAL (GREEN)	FG (GREEN)	BG (GREEN)	TOTAL (BLUE)	FG (BLUE)	BG (BLUE)
Mean	99.93	76.47	100.38	97.59	57.14	98.36	91.49	59.73	92.10
Std	62.39	49.09	62.57	60.28	37.96	60.40	57.85	32.85	58.10

#### Distribution per pixel process

Above we analyzed the overall distribution of pixel values across the whole scene. However, this doesn't fully represent the data what the actual algorithm looks at. Instead of looking at the distribution of pixel values across the whole scene, it is better to pick a certain pixel and look at the distribution of its values. This is what we call a pixel process.

The following tables show the mean and standard deviation for the selected pixel values of a pixel process.

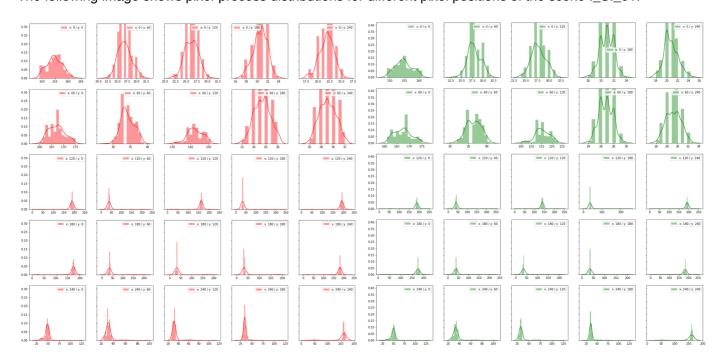
I_SI_01 x: 240 y: 160	TOTAL (RED)	FG (RED)	BG (RED)	TOTAL (GREEN)	FG (GREEN)	BG (GREEN)	TOTAL (BLUE)	FG (BLUE)	BG (BLUE)
Mean	186.01	113.89	191.20	184.96	98.47	191.21	183.69	89.52	190.84
Std	24.15	56.43	4.24	27.33	56.81	4.26	28.64	46.42	4.60

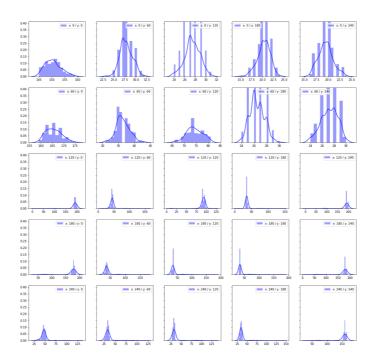
I_SI_01 x: 170 y: 150	TOTAL (RED)	FG (RED)	BG (RED)	TOTAL (GREEN)	FG (GREEN)	BG (GREEN)	TOTAL (BLUE)	FG (BLUE)	BG (BLUE)
Mean	101.55	35.68	133.38	96.83	39.86	125.33	71.97.	20.60	97.89
Std	47.41	26.40	2.18	40.45	16.00	2.12	36.20	11.05	2.11

I_IL_01 x: 150 y: 150	TOTAL (RED)	FG (RED)	BG (RED)	TOTAL (GREEN)	FG (GREEN)	BG (GREEN)	TOTAL (BLUE)	FG (BLUE)	BG (BLUE)
Mean	36.96	133.75	34.04	38.55	95.87	36.84	37.85	79.75	36.61
Std	18.80	48.48	3.78	13.27	50.00	3.87	10.24	38.56	3.94

# 6.2. Data Visualization

The following image shows pixel-process distributions for different pixel positions of the scene I\_SI\_01.





## "Running" histogram analysis

Remember that we want to develop an adaptive segmentation algorithm that is robust against distortions like illumination changes or camera motion. For instance, consider somebody who turns on the light in a room. The illuminance will increase sharply forcing our model to adapt to this change.

Histograms like the one above don't capture how distributions change over time. Therefore, to develop our intuition we can analyze how the histogram values of selected pixel values change over time.

Results of this analysis can be found in the Github repository inside the analysis/video folder.

#### 6.4. Benchmark Model

Fortunately, benchmarks are provided on the website of the LASIESTA dataset. This benchmark illustrates the obtained F1-score for eight different background subtraction algorithms including the algorithm suggested by Stauffer. Scores are provided for individual scenes as well as an average F1-score.

Unfortunately, the website is not clear on how these scores were obtained meaning that it's not fully clear whether they first trained the GMM on the whole scene to get a background reference image or if the updated the model frame-wise while computing the score. As the second approach would be similar to a real-world setting where we gradually have to update our model, it seems the better approach for evaluating this algorithm.

# 7. Methodology

### 7.1. Stauffer and Grimson's algorithm

In section 4 we already discussed the general idea of using GMM based algorithms for image segmentation. However, there as still things we have to think about before we can start implementing our algorithm.

Remember that we are required to fit a GMM for each pixel of an image. In our setting, this would mean that we have to fit 101376 (352x288 pixels) GMM every time we encounter a new frame. Fitting so many Gaussians from scratch

takes time and we would never be able to implement it in a way that it satisfied the needs of real-time applications.

To overcome this problem Stauffer and Grimson came up with the idea of updating the existing Gaussian iteratively every time it encounters a new frame. This reduces the time required to fit such Gaussian significantly.

#### Gaussian update rule:

$$\mu_t = (1 - \rho)\mu_{t-1} + \rho X_t$$

$$\sigma_t^2 = (1 - \rho)\sigma_{t-1}^2 + \rho(X_t - \mu)^T (X_t - \mu)$$

where  $\rho = \alpha \cdot \eta(X_t | \mu_k, \sigma_k)$ ,  $\alpha$  is the learning rate and  $X_t$  the new pixel value we observe.

## Estimating the background model

Fitting a GMM is not enough to classify a frame's pixel value as background or foreground pixel value. We still need to determine which Gaussian represents background or foreground pixel value.

Stauffer and Grimson suggest ordering the Gaussians of a mixture model according to their  $\omega/\sigma$  ratio. This value increases both as a distribution gains more evidence and as the variance decreases. This ordering of the model is effectively an ordered, open-ended list, where the most likely background distributions remain on top and the less probable transient background distributions gravitate towards the bottom.

Then first B distributions are chosen as background model that accounts for a predefined fraction of the evidence P.

$$B = \operatorname{argmin}_b \sum_{k=1}^b w_k > T$$

#### Classification of pixel values

As we know which Gaussian best represent background regions, we can now use them to classify new pixel values. A pixel value is considered as "close" to the Gaussian if the value is not more than 2.5 standard deviations away from its mean. If this is the case, we classify the point as background pixel.

Such a proximity measure is the **Mahalanobis distance**. It measures how many standard deviations away a point is from the mean  $\mu$ .

$$D(x) = \sqrt{(x - \mu)^T \Sigma^{-1} (x - \mu)}$$

## 7.2. Pseudocode

The paper [4] explains the basic idea of the algorithm. However, it lacks important details required to implement the algorithm. For example, it does not state how parameters like learning rate or the initial variance of a Gaussian should be initialized. Furthermore, it's not clear on whether all matching Gaussians have to be updated or just the first matching Gaussians.

After reading various research papers the right approach seems to only update the likeliest Gaussian. This is because we apply a winner-takes-it-all update strategy.

The paper defines the weight update rule as follows:

```
w_{k,t} = (1 - \alpha)w_{k,t-1} + \alpha M_{k,t}
```

The winner-takes-it-all strategy choose  $M_{k,t} = 1$  for the best fitting Gaussian and  $M_{k,t} = 0$  for all other ones.

This results in the following method that updates the GMM after seeing a new pixel value:

```
Given:
A GMM with k Gaussians sorted in desc order according to their \omega/\sigma ratio.
def fit(X):
   best_matching_gaussian = None
   For each Gaussian i:
      If the Mahalanobis-Dist(i,X) < 2.5 and best_matching_gaussian is None:
         Update k using Gaussian update rule
         w_i = (1 - lr) * w_i + lr
         best_matching_gaussian = I
      Else:
         w_i = (1 - lr) * w_i
    If best matching gaussian is None:
        // Replace last gaussian with a new one
        GMM[k-1] = Gaussian(X, initial variance)
   // Re-normalize the weights
   For each Gaussian k:
      w_k = w_k / sum(w)
   Sort Gaussian in desc order according to their \omega/\sigma ratio.
```

## 7.3. Implementation

In this section, we take a closer look at the actual implementation. We developed a framework that takes frames of a video and returns a segmented image as output.

#### Segmentizer

A video can be segmentized by creating a Segmentizer object. The fit\_and\_predict method takes a Numpy image as input and returns a two-dimensional segment map.

Each pixel value of the segment map can either be True or False. True marks a background pixel and False marks a foreground pixel.

As we can see in the code, the fit\_and\_predict method maintains an RGBPixelProcess object which essentially holds a Gaussian mixture model that characterizes a pixel process.

```
class Segmentizer:
    def __init__(self, width, height):
        self._image_model = [[RGBPixelProcess(3) for _ in range(width)] for _ in range(heig
ht)]
        self. width = width
        self. height = height
    def fit and predict(self, image, init weight=0.03, init variance=36.0, lr=0.005):
        background = []
        for i in range(self._height):
            row = []
            for j in range(self._width):
                x = image[i,j]
                self. image model[i][j].fit(x, init weight, init variance, lr)
                row.append(self._image_model[i][j].is_background_pixel(x))
            background.append(row)
        return background
```

#### **RGBPixelProcess**

The pixel process maintains a Gaussian mixture model that models the pixel process. Furthermore, it's able to predict whether a certain pixel value is a background or foreground pixel.

#### Initializing the GMM

A tricky question was to figure out how the GMM should be initialized. Initialization could be done in various ways. For instance:

- Don't perform any initialization at all and simply add the first Gaussian as soon as we encounter the first pixel value
- Initialize the mixture with k Gaussian with arbitrary mean and variance
- Force the user to provide multiple frames for initialization

I finally ended up with pre-initializing all Gaussians with mean [0,0,0] and variance 1 (to avoid divisions by zero). The weight's of all Gaussians get set to zero. In practice, mean [0,0,0] and variance 1 guarantees that the first Gaussian gets replace once we obtain the first pixel value. The new distribution is likely to fit the pixel process since it's mean will then be initialized with the pixels value.

```
def _init_mixture(self):
    self._mixture = []
    for _ in range(self._n_clusters):
        self._mixture.append((0, IIDGaussian(np.array([0.,0.,0.]), 1.)))
```

#### Fit method

Next, we take a closer look at the fit method which was already discussed in section 7.2. Again, we had to find good values for the learning rate (Ir), the variance (init\_variance) and weight (init\_weight) new Gaussian get initialized with.

It turned out that setting Ir = 0.005, initial variance = 36.0 and weight = 0.03 works well in practice.

```
def fit(self, x, init_weight, init_variance, lr):
   best matching gaussian idx = None
   total_weight = 0.0
    for i, (w, gaus) in enumerate(self._mixture):
        # One the first (best) match gets the "treat"
        if gaus.mahalanobis_distance_between(x) < 2.5 and best_matching_gaussian_idx is Non
e:
            gaus.partial_fit(x, lr)
            new weight = (1 - lr) * w + lr
            best matching gaussian idx = i
        else:
        new_weight = (1 - lr) * w
            self._mixture[i] = (new_weight, gaus)
            total_weight += new_weight
   # Replace last gaussian (w/sigma) if no match was found
    if best matching gaussian idx is None:
        total_weight = total_weight - self._mixture[self._n_clusters-1][0] + init_weight
        self._mixture[self._n_clusters-1] = (init_weight, IIDGaussian(x.astype('float64'),
init_variance))
   self. normalize weights(total weight)
   self._mixture.sort(key=lambda x: x[0] / x[1].get_sigma(), reverse=True)
```

### Identifying background distributions

We set the threshold **t=0.7**. This value determines how many distributions are considered as background distributions. The higher t is, the more distributions we consider as background distributions. Section 7.1 (Estimating the background model) shows the formula the implementation is based on.

```
def _get_background_distributions(self, t=0.7):
    sum_weight = 0.0
    background_distributions = []

for weight, gaussian in self._mixture:
    sum_weight += weight
    background_distributions.append(gaussian)
    if sum_weight > t:
        return background_distributions
    return []

def is_background_pixel(self, x):
    background_dist = self._get_background_distributions()
    for gaus in background_dist:
        if gaus.mahalanobis_distance_between(x) < 2.5:
        return True
    return False</pre>
```

#### **IIDGaussian**

Now, we turn our attention to the class I spent the most time on. <code>IIDGaussian</code> represents a three-dimensional Gaussian assuming that the data are independently and identically distributed. The IID assumption is made due to the fact that it reduces the computational complexity significantly. Most matrix computations become a lot easier if we are allowed to apply the IID assumption.

### **Probability density function**

Since we are required to compute the Gaussian's probability density to had to implement such a function. Initially, I tried to reuse function implemented in Numpy and Scikit-Learn. However, it turned out that these pre-implemented functions cannot make use of the IID assumption meaning that they were significantly slower than my IID-optimized code.

The following snippet illustrates how it's possible to compute the probability density by making use of the IID assumption.

```
def pdf(self, x):
    # DIFF = x-mu
    diff = x - self._mean

# A = DIFF^T * COV^-1
    a = diff * self._inv_variance

# B = A * (X-MU)
    b = a[0] * diff[0] + a[1] * diff[1] + a[2] * diff[2]

# EXPONENT = -0.5 * B
    exp = -0.5 * b

# C = (2*pi)^(3/2) * |COV|^(1/2) = (VARIANCE^3)^(1/2)
    c = math.pow(2 * math.pi * self._variance, 3/2)

# pdf = 1 / C * e ^ EXPONENT
    pdf = 1 / c * math.exp(exp)

return pdf
```

#### Fitting a Gaussian

The following code shows how to fit the Gaussian to a new pixel value. Again, we make use of the IID assumption.

```
def partial_fit(self, x, lr):
    roh = lr * self.pdf(x)

# mu = (1-roh) * mu + roh * X
    new_mean = (1 - roh) * self._mean + roh * x

# DIFF = X - mu
    diff = x - self._mean

# A = DIFF^T * DIFF
    a = diff[0]* diff[0] + diff[1]*diff[1] + diff[2]*diff[2]

# VAR = (1-roh) * VAR + roh * A
    new_variance = (1 - roh) * self._variance + roh * a

self._variance = new_variance
    self._sigma = math.sqrt(new_variance)
    self._inv_variance = 1 / new_variance
    self._mean = new_mean
```

#### 7.4. Refinement

One of the major issues I ran into was the implementation's performance. I've implemented the algorithm in Python and it turned out to be a really bad choice for implementing an algorithm that requires near "real-time" capabilities. One of the major reasons why such algorithms usually get implemented in C or C++. Python is significantly slower

than these programming languages since the code needs to be interpreted first. Furthermore, it doesn't allow just-intime compilation and running multiple threads in parallel is often not faster due to GIL.

However, there were still a few things that could be done to make the code run a little bit faster. I started to profile the code using cprofile. It provides you with detailed information about how much time is spent in each function.

```
python3 -m cProfile -s cumtime test.py
```

It turned out that most of the time is spent with matrix computations and therefore I started to think about ways to optimize these computations. As already mentioned, it turned out that replacing some Numpy / Scikit-Learn functions by my own implementation (making use of the IID assumption) can decrease the runtime of the algorithm significantly. However, still, I wasn't happy with the result.

Next, I came across a framework called Numba [10]. It allows you to speed up applications by means of just-in-time compilation. Simple functions, typically array-oriented and math-heavy functions, can be annotated and then get compiled to native machine instructions.

And indeed, this turned out to speed up the application significantly. We are still not even close to real-time, but the speedup is still remarkable.

#### Time to process 10 frames:

With Numba: 18.79 sec Without Numba: 34.89 sec

#### 8. Result

As stated in section 5 we evaluated the algorithm's performance on eight different scenes by means of the F1-score.

The following table shows the result for each category:

	I_BS_01	I_CA_01	I_IL_01	I_MB_01	I_MC_01	I_OC_01	I_SI_01	I_SM_01
F1-Score (our model)	0.964	0.97	0.862	0.982	0.690	0.99	0.989	0.72
F1-Score (benchmark)	0.355	0.8811	0.2392	0.8342	-	0.95	0.8409	-

According to these numbers our model seems to be far better than the benchmark model. However, it seems to me that we shouldn't trust this comparison. Unfortunately, the website doesn't state how exactly these results were obtained.

For example, our developed model initially tends to treat all pixel values as background pixels. This comes in handy since all scenes first start with multiple background-only frames. As our algorithm predicts background frames first our dataset naturally acts in favor of our dataset.



### 8.1. Justification

In this section, we will take a closer look at the results. First, let's take a look at the result for scene I\_SI\_01. According to the description these scene s do not contain camouflage, occlusions, illumination changes, modified background, camera motion or bootstrapping wherefore it should easy to segment. Our model also performs extremely well on this scene with an F1-score of 0.989. This confirms our assumption.

We also achieve similar results for scene I\_CA\_01 (moving objects remaining temporally static on background regions), I\_BS\_01 (moving objects from the first frame) and I\_OC\_01 (totally or partially occluded moving objects).

However, with an F1-score of 0.862 our model seems to have problems with illumination changes. It performs even worse when the camera is not static anymore and starts to move. This behavior can be observed in case of scene I\_MC\_01 and I\_SM\_01.

#### Improvement

When we look at the resulting segmented videos we can observe that the algorithm has problems to cope with shadows. This suggests the introduction of further post-processing steps like shadow removal.

## 9. Conclusion

We've analyzed, implemented and evaluated an algorithm for adaptive video foreground-background segmentation. The final performance evaluation was done on eight difference scenes from the LASIESTA dataset. The best F1-scores we obtained were 0.989 and 0.99 on a scene not containing camouflage, illumination changes, modified background, camera motion and not or partially occluded objects. The worst F1-score was 0.690 obtained on a scene with non-completely static cameras. Further improvements could be done by applying shadow removal.

#### References

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