## **Solution Manual**

**Collected Problem Solutions** 

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## Part 1

## Domain Theoretic Foundations of Functional Programming

## Introduction

## **PCF** and its Operational Semantics

#### **Problem 2.0** (page 14)

Show that the  $\sigma$  with  $\Gamma \vdash M : \sigma$  is uniquely determined by  $\Gamma$  and M.

#### **Solution**

We prove this by induction on the structure.

- if  $M \equiv x$  (variable), then it must be by the variable rule:  $\Gamma', x : \sigma \Delta' \vdash x : \sigma$ ; thus  $\sigma$  must be unique by the definition of the context  $\Gamma$ . ( $\Gamma \equiv x_1 : \sigma_1, ..., x_n : \sigma_n$ , where  $x_i$  are pairwise distinct variables).
- if  $M \equiv Z$  (zero), then it must be derived by the zero rule:  $\Gamma \vdash Z : \mathbb{N}$ ; thus its type is unique.
- if  $M \equiv (\lambda x : \sigma.M)$ , then it must be derived by the abstraction rule:  $\frac{\Gamma, x: \sigma \vdash M: \tau}{\Gamma \vdash (\lambda x: \sigma.M): \sigma \to \tau}$ . By IH, M and x have unique types  $\tau$  and  $\sigma$ , respectively. Thus, the type of the abstraction is uniquely determined as  $\sigma \to \tau$ .
- if  $M \equiv (M(N))$ , then by the application rule, we would have  $\frac{\Gamma \vdash M: \sigma \to \tau}{\Gamma \vdash M(N):\tau}$ . By IH, M and N have unique types  $\sigma \to \tau$  and  $\sigma$ , respectively. Thus, the type of the application M(N) is uniquely determined as  $\tau$ .
- Same goes for the other cases (*succ*, *pred*,  $Y_{\sigma}$ , and ifz).

#### **Problem 2.0** (Page 16, Lemma 2.1.)

The evaluation relation  $\downarrow$  is deterministic, i.e. whenever  $M \downarrow V$  and  $M \downarrow W$  then  $V \equiv W$ 

#### **Solution**

We prove this by induction on the structure of the derivation.

#### Base cases.

- By the rules of the BigStep semantics for PCF, the lemma for the following base cases is trivial:
  - $M \equiv x$ , then  $x \downarrow x$ . So V and W can only be x; thus,  $V \equiv W \equiv x$ .
  - $-M \equiv \lambda x : \sigma.M$ , then  $\lambda x : \sigma.M \downarrow \lambda x : \sigma.M$ .
  - $M \equiv \underline{0}$ , then  $\underline{0} \downarrow \underline{0}$ .

#### **Inductive Steps.**

- If  $M \equiv succ(M)$ , then it must be derived by the rule  $\frac{M \Downarrow n}{succ(M) \Downarrow n+1}$ . Then we would have  $V \equiv \underline{n+1}$  and  $W \equiv \underline{m+1}$  since the successor rule is the only way to derive succ(M). By IH, we know that  $\underline{n} = \underline{m}$ , thus n+1=m+1, and hence V = W.
- If  $M \equiv M(N)$ . The derivation for M(N) must be of the form  $\frac{M \Downarrow \lambda x : \sigma.E \ E[N/x] \Downarrow V}{M(N) \Downarrow V}$ . A second derivation for M(N) must use the same rule. i.e.,  $\frac{M \Downarrow \lambda x : \sigma'.E' \ E'[N/x] \Downarrow W}{M(N) \Downarrow W}$ . But then by IH, we would have  $\lambda x : \sigma.E \equiv \lambda x : \sigma'.E'$ . So  $\sigma \equiv \sigma'$  and E = E'. Now, we have  $E[N/x] \Downarrow V$  and  $E[N/x] \Downarrow W$ . By the IH on the sub-derivation for E[N/x], we conclude  $V \equiv W$ .
- If  $M \equiv pred(M)$ , then the rules are  $\frac{M \Downarrow 0}{pred(M) \Downarrow 0}$  and  $\frac{M \Downarrow n+1}{pred(M) \Downarrow n}$ . For the derivation  $pred(M) \Downarrow V$ , we must have a sub-derivation for  $M \Downarrow \underline{x}$  for some numeral  $\underline{x}$ . Similarly, for  $pred(M) \Downarrow W$ , we must have a sub-derivation for  $M \Downarrow \underline{y}$  for some numeral  $\underline{y}$ . By the IH on the sub-derivation for M, we can conclude that  $\underline{x} \equiv y \equiv k$ .

Let's examine k. If  $k \equiv \underline{0}$ , then both derivations must be  $\frac{M \Downarrow \underline{0}}{pred(M) \Downarrow \underline{0}}$ . Thus,  $V \equiv W \equiv \underline{0}$ . Same argument is valid for the case  $k \equiv n+1$ .

• The other cases  $(Y_{\sigma}, \text{ and both cases of } ifz)$  can be proved likewise.

#### Problem 2.0 (Page 16, Theorem 2.2, Subject Reduction)

If  $\Gamma \vdash M : \sigma$  and  $M \Downarrow V$  then  $\Gamma \vdash V : \sigma$ .

#### **Solution**

We prove this by induction on the structure of the derivation of  $M \downarrow V$ .

#### Base cases.

• If  $M \equiv x$ , then if  $\Gamma \vdash x : \sigma$ , then the goal is trivial since  $x \Downarrow x$ . Same goes for the other base cases  $(\lambda x : \sigma . M, \underline{0})$ .

#### **Inductive Steps.**

- If  $M \equiv succ(M)$ , then the only evaluation rule is  $\frac{M \Downarrow \underline{n}}{succ(M) \Downarrow \underline{n+1}}$ ; so  $V \equiv \underline{n+1}$ . We are given  $\Gamma \vdash succ(M) : \sigma$  and by the typing rule for succ, we have  $\Gamma \vdash M : nat$ .
  - Now, from  $M \Downarrow \underline{n}$  and  $\Gamma \vdash M : nat$ , by IH,  $\Gamma \vdash \underline{n} : nat$ ; thus, by the typing rule for *succ*, we have  $\Gamma \vdash n+1 : nat$ .
- If  $M \equiv M(N)$ , the evaluation rule is  $\frac{M \Downarrow \lambda x : \sigma.E \ E[N/x] \Downarrow V}{M(N) \Downarrow V}$ . We are given  $\Gamma \vdash M(N) : \sigma$  and by the typing rule for application, we have  $\Gamma \vdash M : \sigma \to \tau$  and  $\Gamma \vdash N : \sigma$ . Now, we have  $M \Downarrow \lambda x : \sigma.E$  and  $\Gamma \vdash M : \sigma \to \tau$  and by IH, we have  $\Gamma \vdash \lambda x : \sigma.E : \sigma \to \tau$ . The typing rule for abstraction is  $\frac{\Gamma, x : \sigma \vdash E : \tau}{\Gamma \vdash \lambda x : \sigma.E : \sigma \to \tau}$ , so we have  $\Gamma, x : \sigma \vdash E : \tau$ . Using the substitution lemma, we can conclude that  $\Gamma \vdash E[N/x] : \tau$ . Now, from  $E[N/x] \Downarrow V$  and  $\Gamma \vdash E[N/x] : \tau$ , by IH, we have  $\Gamma \vdash V : \tau$ .
- Same goes for the other cases (both cases of pred,  $Y_{\sigma}$ , and both cases of ifz).

#### **Problem 2.0** (Page 17)

 $M \Downarrow V \text{ iff } M \rhd^* V.$ 

#### **Solution**

In order to prove this, we prove the following two lemmas:

- (a). if  $M \downarrow V$  then  $M \rhd^* V$
- **(b).** if  $M \triangleright N$  then for all values V, if  $N \Downarrow V$ , then  $M \Downarrow V$ .

Then, applying **(b)** iteratively, it follows that:

(c). if  $M \rhd^* N$  and  $N \Downarrow V$ , then  $M \Downarrow V$ . and by (a) and (c), we can conclude that  $M \Downarrow V$  iff  $M \rhd^* V$ .

**Proof of (a).** We prove this by induction on the structure of the derivation of  $M \downarrow V$ . Base cases.

#### **Inductive Steps.**

• If  $M \equiv succ(M)$ , then the rule is  $\frac{M \Downarrow \underline{n}}{succ(M) \Downarrow \underline{n+1}}$ ; thus,  $V \equiv \underline{n+1}$ . By IH on  $M \Downarrow \underline{n}$ , we have  $M \rhd^* n$ . Now, there are two cases.

• All the base cases  $(M \equiv x, M \equiv \lambda x : \sigma.M$ , and  $M \equiv 0)$  are trivial by the reflexivity of  $>^*$ .

- If  $M \equiv n$ , then  $succ(M) \equiv succ(n) \equiv n+1$ . So  $M \triangleright^* V$ .
- If  $M \triangleright M_1 \triangleright ... \triangleright \underline{n}$ , then by the congruence rule for succ, we would have  $succ(M) \triangleright succ(M_1) \triangleright ... \triangleright succ(\underline{n}) \equiv n+1$ . So,  $succ(M) \triangleright^* n+1 \equiv V$ .
- If  $M \equiv M(N)$ , then the rule is  $\frac{M \Downarrow \lambda x: \sigma.E E[N/x] \Downarrow V}{M(N) \Downarrow V}$ . Then, by IH on  $M \Downarrow \lambda x: \sigma.E$ , we have  $M \rhd^* \lambda x: \sigma.E$ , and on  $E[N/x] \Downarrow V$ , we have  $E[N/x] \rhd^* V$ .

If  $M \equiv \lambda x : \sigma.E$ , then  $M(N) \equiv (\lambda x : \sigma.E)(N)$ . By small-step rule  $(\lambda x : \sigma.E)(N) \triangleright E[N/x]$ . Since  $E[N'/x] \triangleright^* V$ , we have  $M(N) \triangleright E[N/x] \triangleright^* V$ , so  $M(N) \triangleright^* V$ .

If  $M \triangleright M_1 \triangleright \cdots \triangleright \lambda x : \sigma.E$ , then by the congruence rule for application  $M(N) \triangleright M_1(N) \triangleright \cdots \triangleright (\lambda x : \sigma.E)(N)$ . Then  $(\lambda x : \sigma.E)(N) \triangleright E[N/x]$ , and  $E[N/x] \triangleright^* V$ . Then we would have:  $M(N) \triangleright^* (\lambda x : \sigma.E)(N) \triangleright E[N/x] \triangleright^* V$ . So  $M(N) \triangleright^* V$ .

• The other cases are fairly similar to these.

**Proof of (b).** We prove this by induction on the structure of the derivation of  $M \triangleright V$ . **Base cases.** 

- Assume  $M \equiv (\lambda x : \sigma.E)(A)$  and  $N \equiv E[A/x]$   $(M \rhd N)$ . Assume also  $N \Downarrow V$ , i.e.,  $E[A/x] \Downarrow V$ . We know  $\lambda x : \sigma.E \Downarrow \lambda x : \sigma.E$ . By the rule:  $\frac{\lambda x : \sigma.E \Downarrow \lambda x : \sigma.E}{(\lambda x : \sigma.E)(A) \Downarrow V}$ . So  $M \Downarrow V$ .
- Assume  $M \equiv Y_{\sigma}(E)$  and  $N \equiv E(Y_{\sigma}(E))$ . Assume also  $N \Downarrow V$ , i.e.,  $E(Y_{\sigma}(E)) \Downarrow V$ . By the fixpoint rule:  $\frac{E(Y_{\sigma}(E)) \Downarrow V}{Y_{\sigma}(E) \Downarrow V}$ . So  $M \Downarrow V$ .
- Assume  $M \equiv pred(\underline{0})$  and  $N \equiv \underline{0}$ . Assume also  $N \Downarrow V$ , i.e.,  $\underline{0} \Downarrow V$ . This means  $V \equiv \underline{0}$ . We need to show  $pred(\underline{0}) \Downarrow \underline{0}$ . This holds by the rule  $\frac{\underline{0} \Downarrow \underline{0}}{pred(\underline{0}) \Downarrow \underline{0}}$ .
- Assume  $M \equiv pred(\underline{k+1})$  and  $N \equiv \underline{k}$ . Assume also  $N \downarrow V$ , i.e.,  $\underline{k} \downarrow V$ . This means  $V \equiv \underline{k}$ . We need to show  $pred(\underline{k+1}) \downarrow \underline{k}$ . This holds by the rule  $\frac{\underline{k+1} \downarrow \underline{k+1}}{pred(\underline{k+1}) \downarrow \underline{k}}$ .
- Assume  $M \equiv if_Z(\underline{0}, E_1, E_2)$  and  $N \equiv E_1$ . Assume also  $N \downarrow V$ , i.e.,  $E_1 \downarrow V$ . We need to show  $if_Z(\underline{0}, E_1, E_2) \downarrow V$ . This holds by the rule  $\frac{0 \downarrow 0}{if_Z(0, E_1, E_2) \downarrow V}$ .
- Assume  $M \equiv ifz(\underline{k+1},E_1,E_2)$  and  $N \equiv E_2$ . Assume also  $N \Downarrow V$ , i.e.,  $E_2 \Downarrow V$ . We need to show  $ifz(\underline{k+1},E_1,E_2) \Downarrow V$ . This holds by the rule  $\frac{\underline{k+1} \Downarrow \underline{k+1} \quad E_2 \Downarrow V}{\underline{ifz(k+1},E_1,E_2) \Downarrow V}$ .

#### **Inductive Steps.**

- $\frac{M_1 \triangleright M_2}{succ(M_1) \triangleright succ(M_2)}$ :  $M = succ(M_1), N = succ(M_2)$ , where  $M_1 \triangleright M_2$ . Assume  $N \Downarrow V$ , i.e.,  $succ(M_2) \Downarrow V$ . This implies  $V \equiv \underline{k+1}$  and  $M_2 \Downarrow \underline{k}$  for some k. By IH on the subderivation  $M_1 \triangleright M_2$ : since  $M_2 \Downarrow \underline{k}$ , it follows that  $M_1 \Downarrow \underline{k}$ . Then, by the rule  $\frac{M_1 \Downarrow \underline{k}}{succ(M_1) \Downarrow \underline{k+1}}$ . So  $M \equiv succ(M_1) \Downarrow \underline{k+1}$ . Since  $V \equiv \underline{k+1}$ , we have  $M \Downarrow V$ .
- $\frac{M_1 \triangleright M_2}{M_1(A) \triangleright M_2(A)}$ :  $M = M_1(A)$ ,  $N = M_2(A)$ . Assume  $M_2(A) \Downarrow V$ . This means  $M_2 \Downarrow \lambda x.E$  and  $E[A/x] \Downarrow V$ . By IH on  $M_1 \triangleright M_2$ , since  $M_2 \Downarrow \lambda x.E$ , then  $M_1 \Downarrow \lambda x.E$ . Thus, by the rule,  $M_1(A) \Downarrow V$ .
- $\frac{M_1 \triangleright M_2}{pred(M_1) \triangleright pred(M_2)}$ :  $M = pred(M_1)$ ,  $N = pred(M_2)$ . Assume  $pred(M_2) \Downarrow V$ . This means  $M_2 \Downarrow \underline{k}$  and V is  $\underline{0}$  (if k = 0) or  $\underline{k-1}$  (if k > 0). By IH on  $M_1 \triangleright M_2$ , since  $M_2 \Downarrow \underline{k}$ , then  $M_1 \Downarrow \underline{k}$ . Thus, by the rule for pred,  $pred(M_1) \Downarrow V$ .
- $\frac{M_1 \triangleright M_2}{ifz(M_1,N_1,N_2) \triangleright ifz(M_2,N_1,N_2)}$ :  $M = ifz(M_1,N_1,N_2)$ ,  $N = ifz(M_2,N_1,N_2)$ . Assume  $ifz(M_2,N_1,N_2) \Downarrow V$ . This means  $M_2 \Downarrow \underline{k}$  and either  $N_1 \Downarrow V$  (if k=0) or  $N_2 \Downarrow V$  (if k>0). By IH on  $M_1 \triangleright M_2$ , since  $M_2 \Downarrow \underline{k}$ , then  $M_1 \Downarrow \underline{k}$ . Thus, by the rule for ifz,  $ifz(M_1,N_1,N_2) \Downarrow V$ .

#### **Problem 2.0** (Page 19)

Show that the applicative relation  $\subset_{\sigma}$  is a preorder on  $Prg_{\sigma}$ , i.e. that  $\subset_{\sigma}$  is reflexive and transitive.

#### **Solution**

**-Relfexivity.** We need to show that for any closed PCF term M of type  $\sigma$ ,  $M \sqsubseteq_{\sigma} M$ .

**Base Case.** For  $M \in Prg_{nat}, M \sqsubseteq_{nat} M$  means that  $\forall n \in \mathbb{N}, M \Downarrow \underline{n} \Rightarrow M \Downarrow \underline{n}$ . This is trivially true

**Inductive Case.** For  $M \in Prg_{\sigma \to \tau}, M \sqsubseteq_{\sigma \to \tau} M$  means that  $\forall P \in Prg_{\sigma}, M(P) \sqsubseteq_{\tau} M(P)$ , which holds by IH.

**-Transitivity.** We need to show that for any closed PCF terms M, N, K of type  $\sigma$ , if  $M \sqsubseteq_{\sigma} N$  and  $N \sqsubseteq_{\sigma} K$ , then  $M \sqsubseteq_{\sigma} K$ .

**Base Case.** For  $M, N, K \in Prg_{nat}$ , assume  $M \sqsubseteq_{nat} N$  and  $N \sqsubseteq_{nat} K$ . Then, by definition, we have the followings:

- $\forall n \in \mathbb{N}, M \Downarrow \underline{n} \Rightarrow N \Downarrow \underline{n}$
- $\forall n \in \mathbb{N}, N \Downarrow n \Rightarrow K \Downarrow n$

Thus, if  $M \Downarrow \underline{n}$  then  $K \Downarrow \underline{n}$ , which means  $M \sqsubseteq_{nat} K$ .

**Inductive Case.** For  $M, N, K \in Prg_{\sigma \to \tau}$ , assume  $M \sqsubseteq_{\sigma \to \tau} N$  and  $N \sqsubseteq_{\sigma \to \tau} K$ . Then, by definition, we have the followings:

- $\forall P \in Prg_{\sigma}, M(P) \sqsubseteq_{\tau} N(P)$
- $\forall P \in Prg_{\sigma}, N(P) \sqsubseteq_{\tau} K(P)$

Thus, we would have  $\forall P \in Prg_{\sigma}, M(P) \sqsubseteq K(P)$ .

### The Scott Model of PCF

#### **Problem 3.0** (Page 26)

Show that (Scott) continuous functions between predomains are always monotonic.

#### **Solution**

Let  $f:(A, \sqsubseteq_A) \to (B, \sqsubseteq_B)$  be a Scott continuous function between predomains. For any  $x,y \in A$  with  $x \leq y$ , we have that  $X = \{x,y\}$  is a directed subset of A. The supremum of the set X is obviously y. Thus  $\bigsqcup X = y$ , and since f is continuous,  $f(\bigsqcup X) = f(y) = \bigsqcup f(\{x,y\})$ . Hence,  $f(x) \leq f(y)$ .

#### **Problem 3.0** (Page 26, Theorem 3.3)

Let  $(A_i|i \in I)$  be a family of predomains. Then their product  $\prod_{i \in I} A_i$  is a predomain under the componentwise ordering, and the prodjections  $\pi_i : \prod_{i \in I} A_i \to A_i$  are Scott continuous. If, moreover, all  $A_i$  are domains then so is their product  $\prod_{i \in I} A_i$ .

#### **Solution**

Let  $D = \prod_{i \in I} A_i = \{f : I \to \bigcup_{i \in I} A_i | \forall i \in I, f(i) \in A_i\}$ . We need to show that  $(D, \sqsubseteq_D)$  is a poset, and every directed subset of D has a least upper bound. Note that the order  $\sqsubseteq_D$  is defined as follows:

$$(d_i)_{i\in I} \sqsubseteq_D (d_i')_{i\in I} \quad iff \quad \forall i\in I, d(i) \sqsubseteq_{A_i} d'(i)$$

We now show that  $(D, \sqsubseteq_D)$  forms a poset.

- **Reflexivity:** For any  $(d_i)_{i \in I} \in D$ ,  $d_i \sqsubseteq_{A_i} d_i$ ,  $\forall i \in I$  since each  $A_i$  is a poset. Thus  $(d_i)_{i \in I} \sqsubseteq_D (d_i)_{i \in I}$
- Transitivity: Assume  $(d_i)_{i \in I} \sqsubseteq_D (d_i')_{i \in I}$  and  $(d_i')_{i \in I} \sqsubseteq_D (d_i'')_{i \in I}$ . And by each  $A_i$  being

transitive, it follows immediately that  $d_i \sqsubseteq_{A_i} d_i''$  for all  $i \in I$ . Therefore,  $(d_i)_{i \in I} \sqsubseteq_D (d_i'')_{i \in I}$ .

• Antisymmetry: Similar to the previous case, it follows immediately from the fact that each  $A_i$  is antisymmetric.

Now, suppose that  $X \subseteq D = \prod_{i \in I} A_i$  is a directed subset. define  $X_i = \{\pi_i(x) | x \in X\}$ , that is, the projection of X to  $A_i$ .  $X_i$  is directed since X is directed. Moreover,  $X_i$  has a least upper bound  $\bigsqcup X_i \in A_i$ . Define  $z \in D$  with  $z_i = \bigsqcup X_i$  for each  $i \in I$ . By construction, it is obvious that z is the least upper bound of X in D. Thus, D is a predomain.

#### **Problem 3.0** (Page 27)

Prove that the evaluation map  $ev: [A_1 \to A_2] \times A_1 \to A_2$  with ev(f,a) = f(a) is continuous in each argument.

#### **Solution**

For the first argument, fix  $a \in A_1$  and let  $F \subseteq [A_1 \to A_2]$  be a directed set of continuous functions. By Theorem 3.5, we have  $\bigsqcup F(a) = g(a) = \bigsqcup_{f \in F} f(a)$ . Thus,  $ev(\bigsqcup F, a) = g(a) = \bigsqcup_{f \in F} f(a) = \bigsqcup \{ev(f, a) | f \in F\}$ .

Now, for the second argument, fix  $f \in [A_1 \to A_2]$  and let  $X \subseteq A_1$  be a directed set. Because f is continuous, we have  $f(\bigcup X) = \bigcup \{f(x) | x \in X\}$ . Thus,

$$ev(f, \bigsqcup X) = f(\bigsqcup X) = \bigsqcup \{f(x) | x \in X\} = \bigsqcup \{ev(f,x) | x \in X\}.$$

Hence, ev is continuous in both arguments and by Lemma 3.4, it is jointly continuous.

#### **Problem 3.0** (Page 30)

Prove that  $\Psi: [[D \to D] \to D] \times [D \to D] \to D: (F, f) \mapsto f(F(f))$  is continuous in each argument.

#### **Solution**

For the first argument, fix  $f \in [D \to D]$  and let  $\mathscr{F} \subseteq [[D \to D] \to D]$  be a directed set. We know that  $(\sqcup \mathscr{F})(f) = \sqcup \{F(f)|F \in \mathscr{F}\}$ . Thus,

$$\underbrace{f((\bigsqcup\mathscr{F})(f))}_{\Psi(|\mathscr{F},f)} = f(\bigsqcup\{F(f)|F\in\mathscr{F}\}) = \bigsqcup\{f(F(f))|F\in\mathscr{F}\}$$

For the second argument, fix  $F \in [[D \to D] \to D]$  and let  $X \subseteq [D \to D]$  be a directed set. We

know that  $F(\bigsqcup X) = \bigsqcup \{F(f) | f \in X\}$ . Thus,

$$f(F(\left| \begin{array}{c} |X\rangle) = f(\left| \begin{array}{c} |\{F(f)|f \in X\}\rangle = \left| \begin{array}{c} |\{f(F(f))|f \in X\}\rangle \end{array} \right|$$

Hence,  $\Psi$  is continuous in both arguments and by Lemma 3.4, it is jointly continuous.

#### **Problem 3.0** (Page 33)

( $\beta$ -equality). If, Γ,x :  $\sigma \vdash M$  :  $\tau$  and Γ  $\vdash$  N :  $\sigma$  then

$$\llbracket \Gamma \vdash (\lambda x : \sigma.M)(N) \rrbracket = \llbracket \Gamma \vdash M[N/x] \rrbracket$$

#### **Solution**

 $\llbracket \Gamma \vdash M[N/x] \rrbracket (\vec{d}) = \llbracket \Gamma, x : \sigma \vdash M \rrbracket (\vec{d}, \llbracket \Gamma \vdash N \rrbracket (\vec{d}))$  by Lemma 3.15. Now, for the other side,

$$\begin{split} & \llbracket \Gamma \vdash (\lambda x : \sigma.M)(N) \rrbracket(\vec{d}) = ev(\llbracket \Gamma \vdash \lambda x : \sigma.M \rrbracket(\vec{d}), \llbracket \Gamma \vdash N \rrbracket(\vec{d})) \\ &= \llbracket \Gamma \vdash \lambda x : \sigma.M \rrbracket(\vec{d})(\llbracket \Gamma \vdash N \rrbracket(\vec{d})) = \llbracket \Gamma, x : \sigma \vdash M \rrbracket(\vec{d}, \llbracket \Gamma \vdash N \rrbracket(\vec{d})) \end{split}$$

#### **Problem 3.0** (Page 34)

( $\eta$ -equality). If,  $\Gamma \vdash M : \sigma \to \tau$  then

$$\llbracket \Gamma \vdash \lambda x : \sigma . M(x) \rrbracket = \llbracket \Gamma \vdash M \rrbracket$$

for  $x \notin Var(\Gamma)$ .

#### **Solution**

$$\forall \vec{d} \in \llbracket \Gamma \rrbracket, d' \in D_{\sigma}, \llbracket \Gamma \vdash \lambda x : \sigma.M(x) \rrbracket (\vec{d})(d') = \llbracket \Gamma, x : \sigma \vdash M(x) \rrbracket (\vec{d}, d')$$
$$= ev(\llbracket \Gamma, x : \sigma \vdash M \rrbracket (\vec{d}, d'), \underbrace{\llbracket \Gamma, x : \sigma \vdash x \rrbracket (\vec{d}, d')}_{\pi_{x}(\vec{d}, d') = d'}) =^{\star} \llbracket \Gamma \vdash M \rrbracket (\vec{d})(d')$$

For the last equation  $(\star)$ , because  $x \notin Var(\Gamma)$  and  $\Gamma \vdash M$ , we have

$$\llbracket \Gamma, x : \sigma \vdash M \rrbracket (\vec{d}, d') = \llbracket \Gamma \vdash M \rrbracket (\vec{d})$$

•

## **Computational Adequacy**

## Milner's Context Lemma

#### **Problem 5.0** (Page 44)

Prove that  $\leq_{\sigma}$  is closed under suprema of directed sets. That is, if  $X \subseteq D_{\sigma} \times D_{\sigma}$  is directed and  $X \subseteq \leq_{\sigma}$ , meaning that for every  $(x,y) \in X, x \leq_{\sigma} y$ , then  $\bigcup X \in \leq_{\sigma}$ .

#### **Solution**

Let  $X = \{(x_k, y_k) | k \in K\}$  be a directed subset of  $D_{\sigma} \times D_{\sigma}$  such that for all  $k \in K$ ,  $(x_k, y_k) \in \leq_{\sigma}$ . This means for each  $k \in K$ , we have  $\forall P \in Prg_{\sigma}, y_kR_{\sigma}P \implies x_kR_{\sigma}P(\star)$ .

Let  $x = \bigsqcup_{k \in K} x_k$  and  $y = \bigsqcup_{k \in K} y_k$  (Note that  $\bigsqcup X = (x, y)$ ). We need to show  $x \le_{\sigma} y$ . That is,  $\forall P \in Prg_{\sigma}yR_{\sigma}P \implies xR_{\sigma}P$ .

Let  $P \in Prg_{\sigma}$  be an arbitrary closed PCF term and that  $yR_{\sigma}P$ . Now, for each  $k \in K$ , we have  $y_k \sqsubseteq y$  and by Lemma 4.2(1), we get  $y_kR_{\sigma}P$  for all  $k \in K$ . Using  $(\star)$ , we have  $x_kR_{\sigma}P$  for each  $k \in K$ .

By Lemma 4.2(2),  $R_{\sigma}P$  is closed under directed suprema and  $\{x_k|k \in K\}$  is a directed subset of  $D_{\sigma}$  whose elements are all in  $R_{\sigma}P$ . So, their suprema x must also be in  $R_{\sigma}P$ , meaning that  $xR_{\sigma}P$ .

## **The Full Abstraction Problem**

## **Logical Relations**

#### **Problem 7.0** (Page 52)

(Theorem 7.2 When M is Variable) Let R be a logical relation of arity W on the Scott model of PCF. Then for  $\lambda$ -terms  $x_1: \sigma_1,...,x_n: \sigma_n \vdash x_k: \sigma_k$  for some k and  $d_j \in R_{\sigma_j}$  for j=1,...,n it holds that

$$\underline{\lambda}i \in W.[x_1:\sigma_1,...,x_n:\sigma_n \vdash x_k](\vec{d}(i)) \in R_{\sigma_k}$$

#### **Solution**

By premise,  $d_k \in R_{\sigma_k}$ . By definition, the goal reduces to  $d_k \in R_{\sigma_k}$ !

# Part 2 Type Theory and Formal Proof