# Compilation

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#### Administration

- Final grade:
  - Exam: 50%
  - Project: 50%
- For technical questions, please use the course forum
  - Moodle
- Reception time:
  - Wednesday 17:00 1800
  - davidtr1037@gmail.com
  - CheckPoint 246

#### Course Project

- Build a compiler for an OOP Programming Language
  - Simplified version of known programming languages
- Consists of 4 exercises
- Implement in Java
- Work in groups of 3-4 students
- Constitutes **50%** of the final grade

#### Submission Guidelines

- Submission with github
  - Each group should create a private repository
- Exercises submissions will be tested on **nova**
- Recommended development environment:
  - Ubuntu
  - Windows users can install a VM

#### Books

- Modern Compiler Implementation in C
  - Andrew W Appel
- Compilers: Principles, Techniques, and Tools
  - Aho et al.
- Modern Compiler Design
  - Grune et al.

#### What is compilation?

Translation of code (text) to executable code (machine code)

```
%rbp
                                       push
                                              %rsp,%rbp
                                       mov
                                              %edi,-0x4(%rbp)
                                       mov
int foo(int x, int y) {
                                              %esi,-0x8(%rbp)
                                       mov
    return x + y;
                                              -0x4(%rbp), %edx
                                       mov
                                              -0x8(%rbp),%eax
                                       mov
                                       add
                                              %edx,%eax
                                              %rbp
                                       pop
                                       retq
```

#### Common compilers

- GCC, LLVM, MSVC
- GCC and LLVM are both open source
- Very useful as an implementation reference....
  - *LLVM* specially...

#### Compilation Steps: Frontend

- Lexical analysis
  - Check the validity of tokens
- Syntax analysis
  - Check the syntactic structure
- Semantic analysis
  - Make sure it makes sense

These steps don't depend on the compilation target!

#### Compilation Steps: Backend

- Intermediate Code Generation
  - Can't be executed...
- Machine code generation
  - Naive register allocation (as if we had infinitely many registers)
  - Finite register allocation (real world scenario)

# Lexical Analysis

## Lexical Analysis

- The code text consists of *tokens*
- We need to check the **validity** of these *tokens*

#### Valid Tokens in C

Token	Examples
Constants	12, 0x1234, 1.7, 2e+8
Identifiers	var, tmp1
Reserved Keywords	if, while, int, char, do
Parentheses	(,)
Binary Operators	+,-,*,/
Unary Operators	-,*
Comments	/* */, //

```
void f(int a) {
    6;
}
```

```
void f(int a) {
    6;
}
```



```
void f(int a) {
    6b;
}
```

```
void f(int a) {
    6b;
}
```



```
void f(int a) {
    0x;
}
```

```
void f(int a) {
    0x;
}
```



```
void f(int a) {
    0u;
}
```

```
void f(int a) {
    0u;
}
```



```
void f(int a) {
```

```
void f(int a) {
```



```
void f(int a) {
    x = 1;
}
```

```
void f(int a) {
    x = 1;
}
```



```
void f(int a) {
    x 1;
}
```

```
void f(int a) {
    x 1;
}
```



```
void f(int a) {
    x 1
}
```

```
void f(int a) {
    x 1
}
```



```
void f(int a) {
    1 = x;
}
```

```
void f(int a) {
    1 = x;
}
```





```
void f(int a) {
   int @gmail = 0;
}
```

```
void f(int a) {
   int @gmail = 0;
}
```

# Invalid

```
void f(int a) {
    127.0;
}
```

```
void f(int a) {
    127.0;
}
```



```
void f(int a) {
    127.0.0.1;
}
```

```
void f(int a) {
    127.0.0.1;
}
```

# Invalid

```
void f(int a) {
    123e;
}
```

```
void f(int a) {
    123e;
}
```



```
void f(int a) {
    0xcafecafe;
}
```

```
void f(int a) {
    0xcafecafe;
}
```



```
void f(int a) {
   int x = 0x00000000000000000;
}
```



```
void f(int a) {
    void g() {};
}
```

```
void f(int a) {
    void g() {};
}
```



```
void f(int a) {
   /* @@@ */
}
```

```
void f(int a) {
   /* @@@ */
}
```



```
void f(int a) {
    /* @@@
}
```

```
void f(int a) {
   /* @@@
}
```

# Invalid

```
void f(int a) {
    // bla
}
```

```
void f(int a) {
    // bla
}
```



```
void f(int a) {
    / bla
}
```

```
void f(int a) {
    / bla
}
```



```
void f(int a) {
      "1234";
}
```

```
void f(int a) {
    "1234";
}
```



```
void f(int a) {
      "1234;
}
```

```
void f(int a) {
      "1234;
}
```

# Invalid

#### Detecting Numerical Constants

- We want an **efficient** algorithm for detecting numerical constants
- Can you use a dictionary?
  - Probably not...
  - Too many values to store

#### Using Regular Expressions

- We can use regular expressions for that
- Identifiers:
  - [ a-zA-Z][ a-zA-Z0-9]\*
- Hex-decimal constants:
  - [0][xX][0-9a-fA-F]+
- Floats
  - ... ?

Every token can be represented using a regular expressions.

#### Using Regular Expressions

- But what is the actual algorithm?
- The plan is:



#### Regular Expressions: Reminder

Given an alphabet  $\Sigma$ , the regular expression R represents the language L(R) as follows:

- Atomic expressions:
  - $L(a) = \{a\}, L(\epsilon) = \{\epsilon\}, L(\emptyset) = \emptyset$
- Concatenation:
  - $L(R_1R_2) = \{w_1w_2 \mid w_1 \in L(R_1), w_2 \in L(R_2)\}$
- Union:
  - $L(R_1|R_2) = L(R_1) \cup L(R_2)$
- Kleene Star:
  - $L(R^*) = {\epsilon} \cup L(R) \cup L(RR) \cup ...$

#### DFA: Reminder

A deterministic finite automaton M is a tuple:  $(Q, \Sigma, \delta, q_0, F)$ 

- Q is a finite set of states
- $\Sigma$  is a finite set of input symbols
- $\delta$  is the transition function:  $\delta: Q \times \Sigma \to Q$
- $q_0$  is the initial states
- *F* is a set of accepting states

A string  $a_1a_2$  ... is **accepted** by M if there is a state sequence  $s_0s_1$  ...:

- $s_0 = q_0$
- $\delta(s_i, a_{i+1}) = s_{i+1} \ (i = 0, 1, ..., n-1)$
- $s_n \in F$

#### NFA: Reminder

A non-deterministic finite automaton M is a tuple:  $(Q, \Sigma, \delta, q_0, F)$ 

- Q is a finite set of states
- $\Sigma$  is a finite set of input symbols
- $\delta$  is the transition function:  $\delta: Q \times \Sigma \to P(Q)$
- $q_0$  is the initial states
- *F* is a set of accepting states

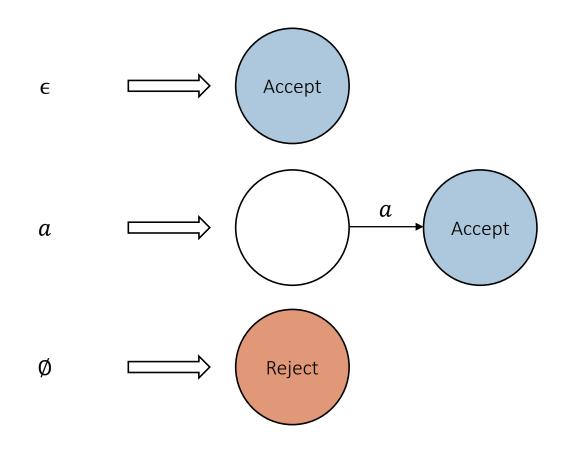
A string  $a_1a_2$  ... is **accepted** by M if there is a state sequence  $s_0s_1$  ...:

- $s_0 = q_0$
- $s_{i+1} \in \delta(s_i, a_{i+1}) \ (i = 0, 1, ..., n-1)$
- $s_n \in F$

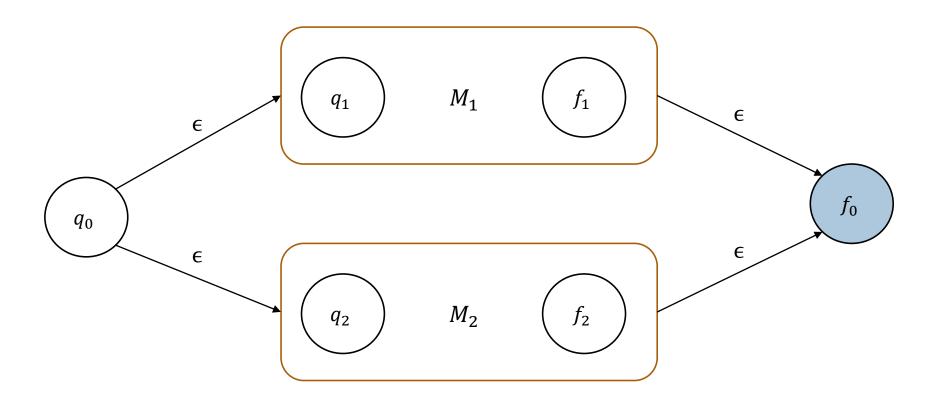
#### RE to DFA

- For every regular expression, there is a deterministic finite automaton than accepts it's language
  - Proof by construction...
- Once we have the DFA, we can implement using a transition table
  - As done in *Flex*

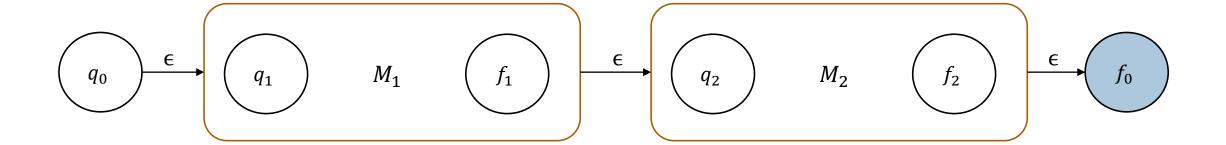
#### RE to NFA: Atomic Expressions



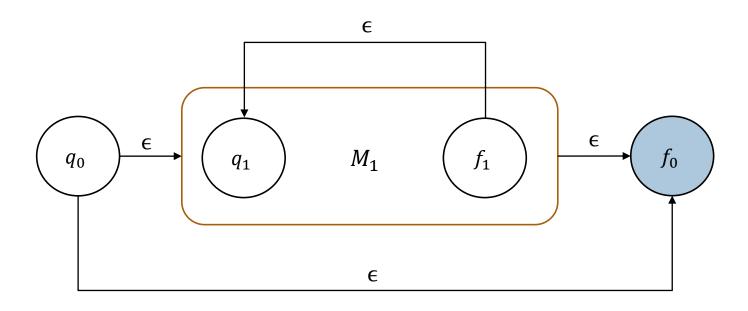
#### RE to NFA: Union



#### RE to NFA: Concatenation

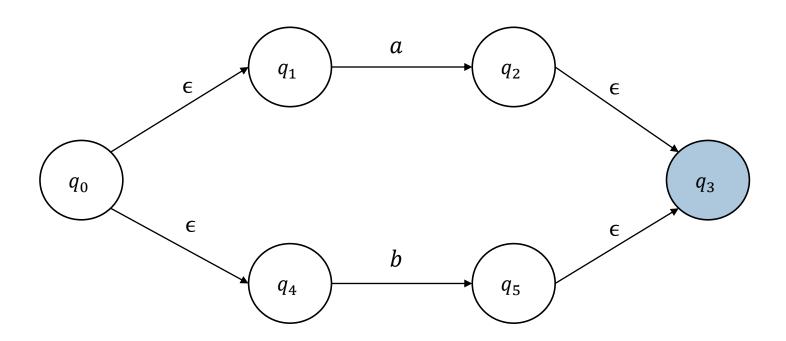


#### RE to NFA: Kleene Star



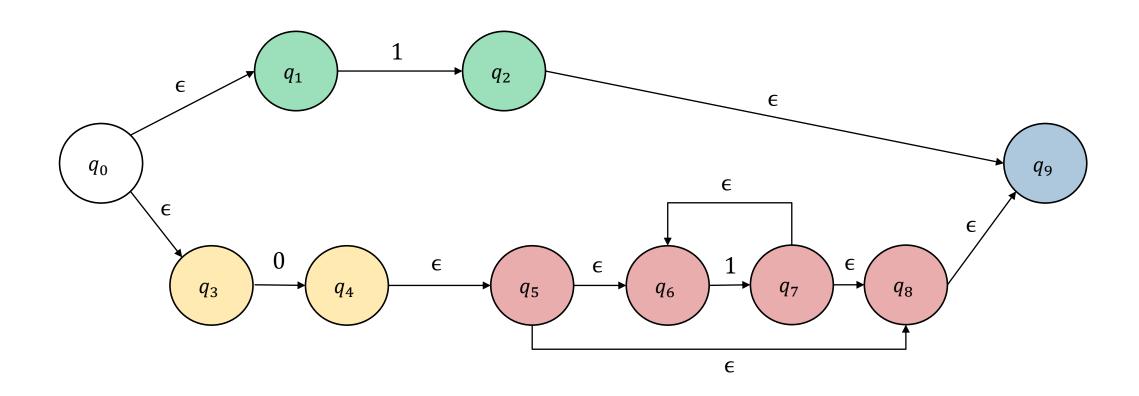
### RE to NFA: Example

• NFA for a | b



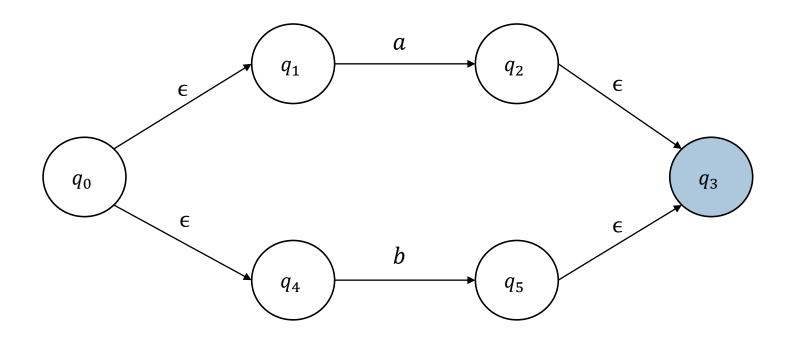
## RE to NFA: Another Example

• NFA for  $01^* \mid 1$ 



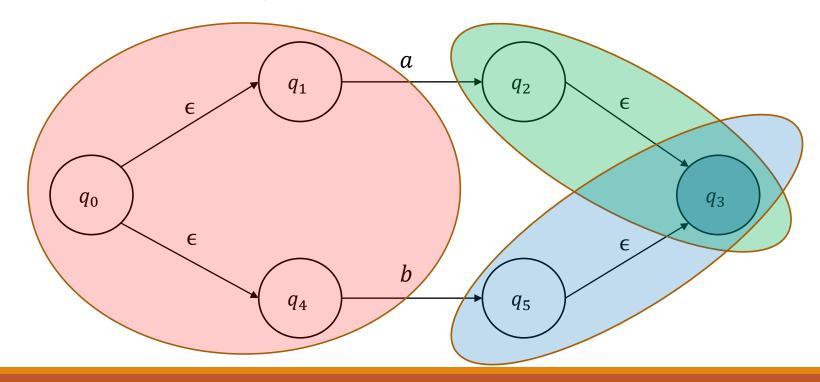
#### NFA to DFA: Example

- At the beginning, we may be at:  $q_0, q_1, q_4$
- If next token is a then we may be at:  $q_2$ ,  $q_3$
- If next token is b then we may be at:  $q_5$ ,  $q_3$



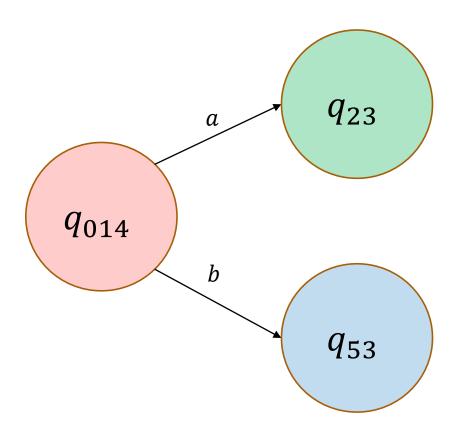
### NFA to DFA: Example

- At the beginning, we may be at:  $q_0, q_1, q_4$
- If next token is a then we may be at:  $q_2$ ,  $q_3$
- If next token is b then we may be at:  $q_5$ ,  $q_3$



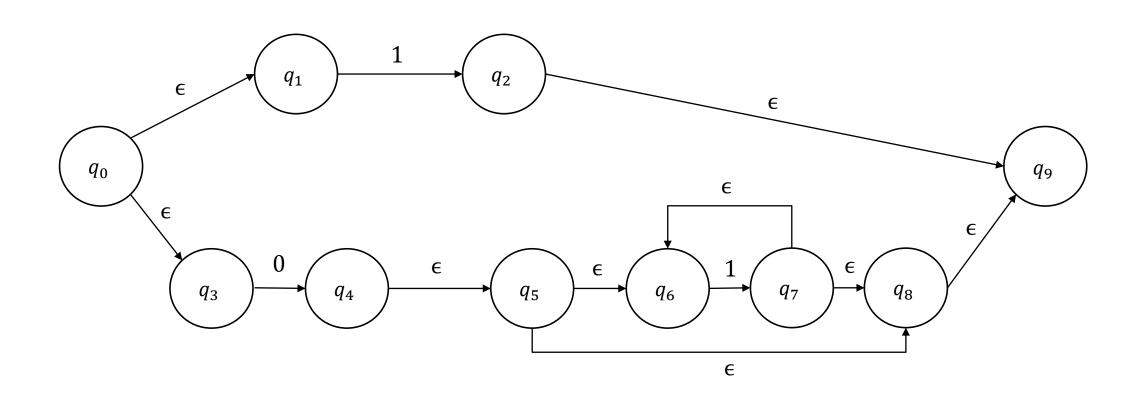
### NFA to DFA: Example

• So we can transform to the following DFA:

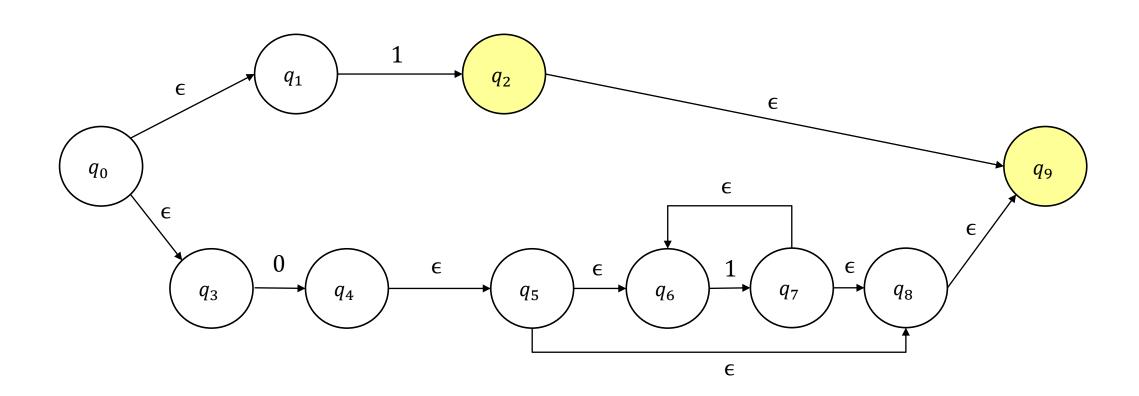


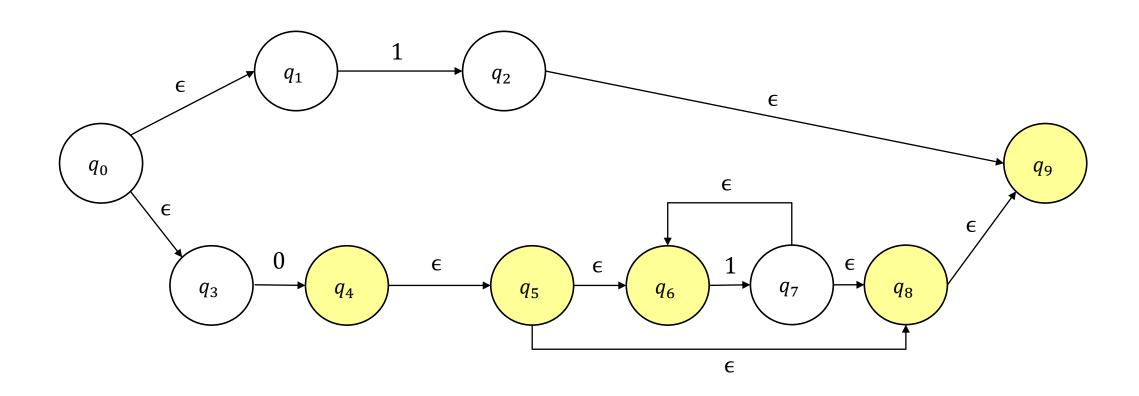
#### NFA to DFA: Formal Details

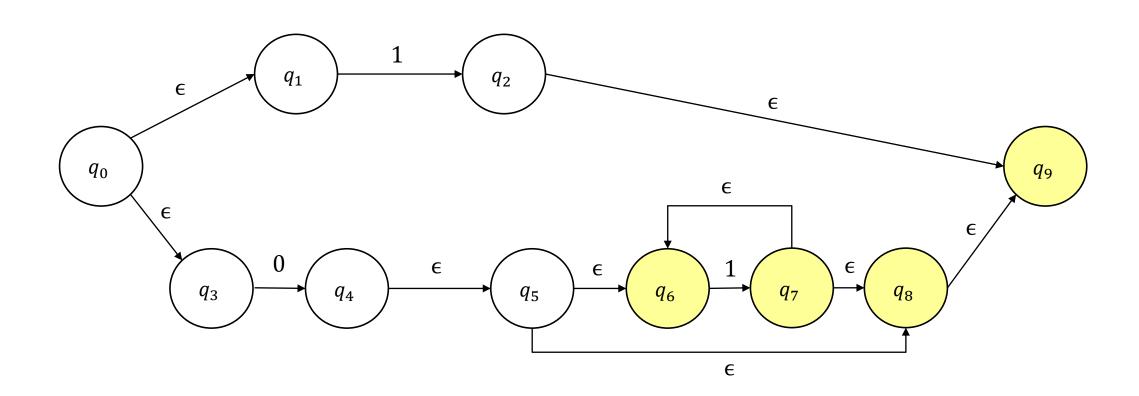
- Let  $(Q, \Sigma, \Delta, q_0, F)$  be a non-deterministic finite automaton
- The set of states is the P(Q)
- The initial state is the  $\epsilon$ -closure of  $q_0$
- For every state in the set (now, a state is a *set of states*):
  - Compute the union over the  $\epsilon$ -closure of the successor states
- A state is accepting if it contains a set from F

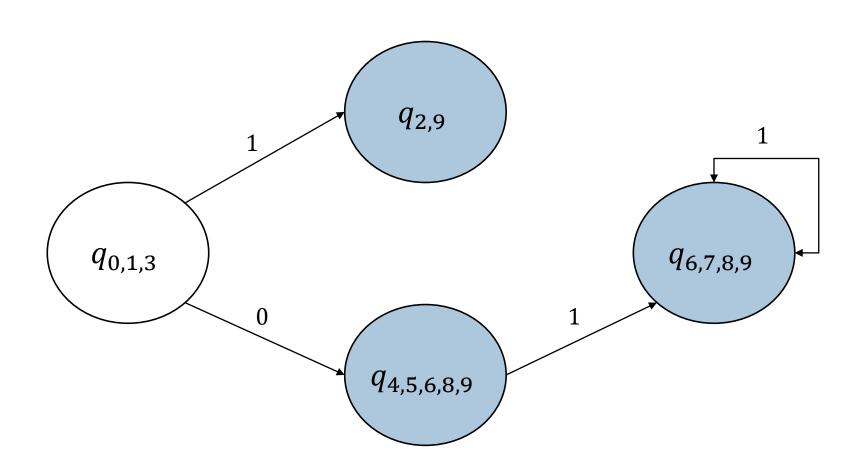












### Building a Lexical Analyzer

- Construct a regular expressions for token types:
  - Identifiers, numbers, reserved keywords
- If we have a collision (a token is accepted in more than one DFA):
  - Define priority
  - Token with longest match wins
  - If more than one matching token:
    - The token that was defined earlier will take advantage

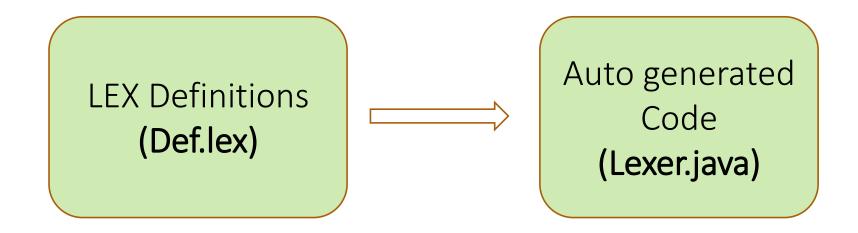
### Regular Expressions Definitions for C

- Here we can see the regular expression definitions:
  - http://www.lysator.liu.se/c/ANSI-C-grammar-l.html
  - Quite simple and modular...

#### **JFlex**

- Java Fast Lexical Analyzer
  - Inspired by the original flex project (written in C)
- Accepts an input file with tokens definitions
- Generates Java code with a scanning API
- This scanning API reads the input and returns:
  - The type of the read token
  - Or an error...

#### **JFlex**



## Example 1

- We want 2 kind of tokens:
  - a
  - *b*\*
  - Everything else is rejected...

#### Example 1: Tokens Definitions

```
public interface TokenNames {
    /* terminals */
    public static final int EOF = 0;
    public static final int A = 1;
    public static final int B_STAR = 2;
}
```

```
%{
private Symbol symbol(int type) { return new Symbol(type, yyline, yycolumn); }
public int getLine() { return yyline + 1; }
public int getTokenStartPosition() { return yycolumn + 1; }
%}
A = a
B STAR = b^*
%% // separator...
<YYINITIAL> {
{A} { return symbol(TokenNames.A); }
{B_STAR} { return symbol(TokenNames.B_STAR); }
<<EOF>> { return symbol(TokenNames.EOF);}
```

User define code/handlers:

```
%{
private Symbol symbol(int type) {
    return new Symbol(type, yyline, yycolumn);
}
public int getLine() { return yyline + 1; }
public int getTokenStartPosition() { return yycolumn + 1; }
%}
```

Regular expressions definitions:

```
A = a

B_STAR = b^*
```

Putting it all together:

```
<YYINITIAL> {
{A} { return symbol(TokenNames.A); }
{B_STAR} { return symbol(TokenNames.B_STAR); }
<<EOF>> { return symbol(TokenNames.EOF);}
}
```

#### Example 1: Main

```
Lexer I = new Lexer(fileReader); // auto-generated lexer
Symbol s = l.next token();
while (s.sym != TokenNames.EOF) {
      System.out.print("[");
      System.out.print(l.getLine() + ", " + l.getTokenStartPosition);
      System.out.print("]:");
      System.out.print(s.sym + "\n");
      s = l.next token();
```

What will be the output for the following input?

• ababbbb

What will be the output for the following input?

ababbbb

[1,1]:1 [1,2]:2 [1,3]:1 [1,4]:2

Format: [line,column]:<token\_type>

What will be the output for the following input?

• ab abbbb

What will be the output for the following input?

• ab abbbb

[1,1]:1

[1,2]:2

Exception in ...

### Example 1: The EOF Token

- Why do we need the EOF token?
- What will happened without it?

#### Example 2: Counting Words

- How can we use JFlex to count words for a given input file?
  - Only letters...

### Counting Words: Tokens Definitions

```
public interface TokenNames {
     /* terminals */
     public static final int EOF = 0;
}
```

### Counting Words: Lex Definitions

```
%{
private Symbol symbol(int type) { return new Symbol(type, yyline, yycolumn); }
public int getLine() { return yyline + 1; }
public int getTokenStartPosition() { return yycolumn + 1; }
public int words_count = 0;
%}
WORD = [a-zA-Z]+
ANY = \langle n |.
%% // separator...
<YYINITIAL> {
{WORD} { words_count++; }
{ANY} { }
<<EOF>> { return symbol(TokenNames.EOF); }
```

### Example 2: Counting Words

Other definitions instead of ANY?

### Example 2: Counting Words

Other definitions instead of ANY?

• [^a-zA-Z]+

- How can we use JFlex to detect calculator tokens?
  - Numbers, parentheses, operators, ...
  - 1+1, (9), 1+(0000, ...

```
public interface TokenNames {
    /* terminals */
    public static final int EOF = 0;
    public static final int PLUS = 1;
    public static final int L_PAREN = 2;
    public static final int R_PAREN = 3;
    public static final int NUMBER = 4;
}
```

Regular expressions definitions:

```
PLUS = "+"

L_PAREN = "("

R_PAREN = ")"

NUMBER = [0-9]+
```

Putting it all together:

```
<YYINITIAL> {
{PLUS} { return symbol(TokenNames.PLUS); }
{L_PAREN} { return symbol(TokenNames.L_PAREN); }
{R PAREN} { return symbol(TokenNames.R PAREN); }
{NUMBER} {
      return symbol(TokenNames.NUMBER, new Integer(yytext()));
<<EOF>> { return symbol(TokenNames.EOF); }
```

What will be the output for:

• 1(+2345

[1,1]:4 1 [1,2]:2 null [1,3]:1 null [1,4]:3 2345

#### Example 4: Definition Order

```
%{
private Symbol symbol(int type) { return new Symbol(type, yyline, yycolumn); }
public int getLine() { return yyline + 1; }
public int getTokenStartPosition() { return yycolumn + 1; }
%}
T1 = a
T2 = ab*
%% // separator...
<YYINITIAL> {
{T1} { return symbol(TokenNames.T1); }
{T2} { return symbol(TokenNames.T2); }
<<EOF>> { return symbol(TokenNames.EOF); }
```

What will be the output for:

• aabbbba

What will be the output for:

• aabbbba

[1,1]:1

[1,2]:2

[1,7]:1

What will be the output if we swap the order (same input)?

```
{T2} { return symbol(TokenNames.T2); }
{T1} { return symbol(TokenNames.T1); }
```

What will be the output if we swap the order (same input)?

```
{T2} { return symbol(TokenNames.T2); }
{T1} { return symbol(TokenNames.T1); }
```

[1,1]:2

[1,2]:2

[1,7]:2

- Consider the following flex-like definition:
  - a\*b { print "1" }
  - ca { print "2" }
  - a\*ca\* { print "3" }
- What will the lexer print for the input:
  - abcaacacaaabbaaabcaaca

• Consider the following flex-like definition:

```
a*b { print "1" }ca { print "2" }a*ca* { print "3" }
```

### abcaacacaaabbaaabcaaca

• Consider the following flex-like definition:

```
a*b { print "1" }
ca { print "2" }
a*ca* { print "3" }
```

## ab | caacacaaabbaaabcaaca

• Consider the following flex-like definition:

```
a*b { print "1" }
ca { print "2" }
a*ca* { print "3" }
```

## ab | caa | cacaaabbaaabcaaca

- Consider the following flex-like definition:
  - a\*b { print "1" }
  - ca { print "2" }
  - a\*ca\* { print "3" }

## ab | caa | ca | caaabbaaabcaaca

- Consider the following flex-like definition:
  - a\*b { print "1" }
  - ca { print "2" }
  - a\*ca\* { print "3" }

## ab | caa | ca | caaa | bbaaabcaaca

- Consider the following flex-like definition:
  - a\*b { print "1" }
  - ca { print "2" }
  - a\*ca\* { print "3" }

## ab | caa | ca | caaa | b | baaabcaaca

- Consider the following flex-like definition:
  - a\*b { print "1" }
  - ca { print "2" }
  - a\*ca\* { print "3" }

## ab | caa | ca | caaa | b | b | aaabcaaca

- Consider the following flex-like definition:
  - a\*b { print "1" }
  - ca { print "2" }
  - a\*ca\* { print "3" }

## ab | caa | ca | caaa | b | b | aaab | caaca

• Consider the following flex-like definition:

```
a*b { print "1" }ca { print "2" }a*ca* { print "3" }
```

# ab | caa | ca | caaa | b | b | aaab | caa | ca

- Consider the following flex-like definition:
  - a\*b { print "1" }
  - ca { print "2" }
  - a\*ca\* { print "3" }

## ab | caa | ca | caaa | b | b | aaab | caa | ca

#### Answer:

• 132311132

```
void f(int a) {
   int i = 8;
   int j = 3;
   i--+--j;
}
```

```
void f(int a) {
   int i = 8;
   int j = 3;
   i--+--j;
}
```

Valid

```
void f(int a) {
   int i = 8;
   int j = 3;
   i----j;
}
```

```
void f(int a) {
    int i = 8;
    int j = 3;
    i----j;
}
```

## Invalid

```
void f(int a) {
   int i = 8;
   int j = 3;
   (i--)-(--j);
}
```

```
void f(int a) {
   int i = 8;
   int j = 3;
   (i--)-(--j);
}
```

Valid

```
void f(int a) {
   int i = 8;
   int j = 3;
   i---(--j);
}
```

```
void f(int a) {
   int i = 8;
   int j = 3;
   i---(--j);
}
```

Valid