#### **Contents:-**

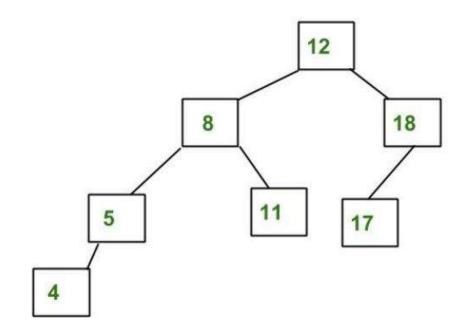
- AVL Tree
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#### **AVL Tree:-**

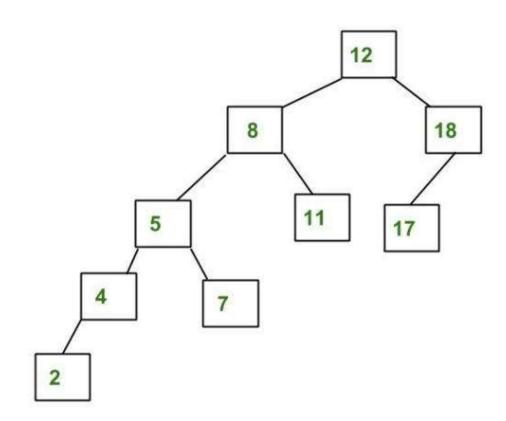
- AVL tree is a self-balancing Binary Search Tree (BST).
- where the difference between heights of left and right subtrees cannot be more than one for all nodes.
- Balancing Factor in AVL Tree =height(Left) height(Right)
- The Balancing factor will always be -1,0,+1.

## Example of AVL Tree :-

- Balancing Factor = height(Left) height(Right)
- **4** -> 0-0 =0
- **■** 5 -> 1-0 =1
- **■** 11-> 0-0 =0
- **■** 8 -> 2-1 =1
- **■** 17 -> 0-0 =0
- **■** 18 -> 1-0 =1
- **12** -> 3-2 =1



Example of a Tree that is NOT AVL Tree :-



#### Why AVL Trees?

- Most of the BST operations (e.g., search, max, min, insert, delete.. etc)
   take O(h) time where h is the height of the BST.
- The cost of these operations may become O(n) for a skewed Binary tree.
- If we make sure that the height of the tree remains **O(log(n))** after every insertion and deletion.
- Then we can guarantee an upper bound of O(log(n)) for all these operations.
- The height of an AVL tree is always O(log(n)) where n is the number of nodes in the tree.

#### Height of AVL Tree:-

- The height of a AVL Tree T Storing n keys is O(logn). Why?
- The minimum number of nodes in AVL tree of height h is n(h).
- We see that n(1)=1 and n(2)=2
- For h>= 3, an AVL tree of height h contains the root node, one subtree of height h-1 and other subtree of height h-1 or h-2.
- i.e. n(h)=1+n(h-1)+n(h-2)

#### Height of an AVL Tree:-

- Knowing n(h-1) >=n(h-2), we get
- As the height of tree increases the number of nodes can not reduce.

```
    n(h)=1+n(h-1)+n(h-2)
    n(h)=1+n(h-2)+n(h-2) > 2n(h-1)
    n(h) > 2n(h-2)
```

```
> 4n(h-4)
>6n(h-8).....
>2<sup>i</sup>(h-2i)
```

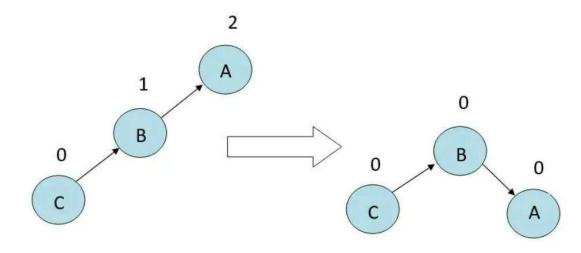
- When i=h/2 -1
- We get  $n(h) > 2^{h/2} 1 n(2) = 2^{h/2}$
- Taking log h < 2 log n(h)</li>

#### Insertion in AVL Tree:-

- To make sure that the given tree remains AVL after every insertion.
- We perform the following LL rotation, RR rotation, LR rotation, and RL rotation.
- a. Left Left Rotation
- b. Right Right Rotation
- c. Right Left Rotation
- d. Left Right Rotation

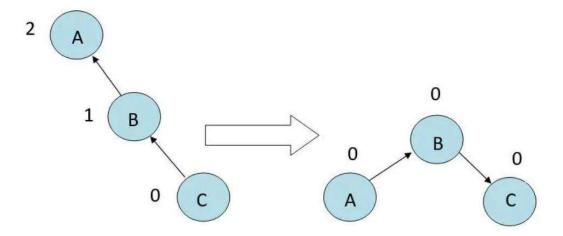
#### Left –Left Rotation

- This rotation is performed when a new node is inserted at the left child of the left subtree.
- LL rotation is also known as clockwise rotation.



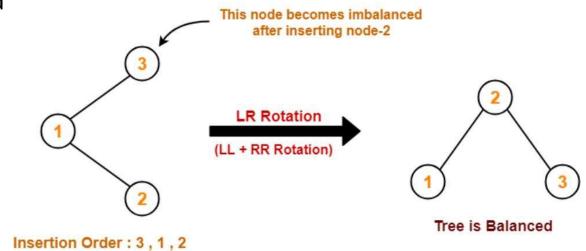
### Right- Right Rotation

- This rotation is performed when a new node is inserted at the right child of the right subtree.
- LL rotation is also known as anticlockwise rotation.



#### Left-Right Rotation:-

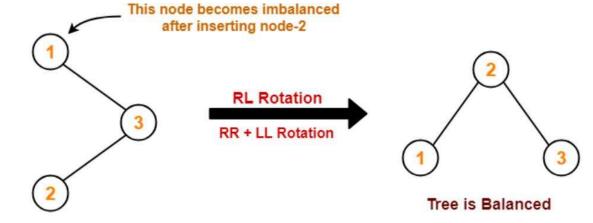
- This rotation is performed when a new node is inserted at the left child of the right subtree.
- Left-Right Rotation is the combination of RR rotation and LL rotation
- At first, RR rotation is performed on the subtree then, LL rotation is performed on the part of the full tree from inserted node to the first node



Tree is Imbalanced

#### Right-Left Rotation:-

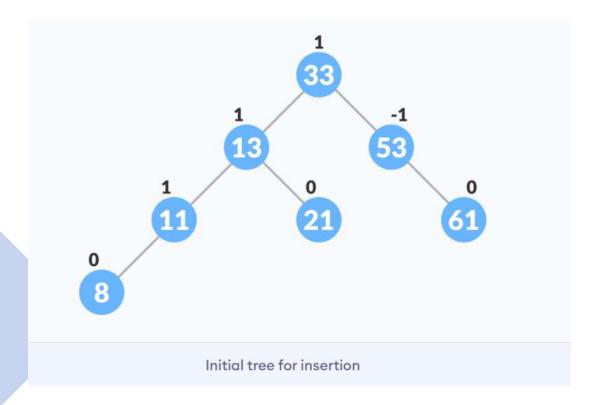
- This rotation is performed when a new node is inserted at the right child of the left subtree
- In this case, the first LL rotation is performed on the subtree where the change has been made
- the RR rotation is performed on the pa of the full tree from the inserted node to the top of the tree, that is, the first node



Insertion Order: 1, 3, 2
Tree is Imbalanced

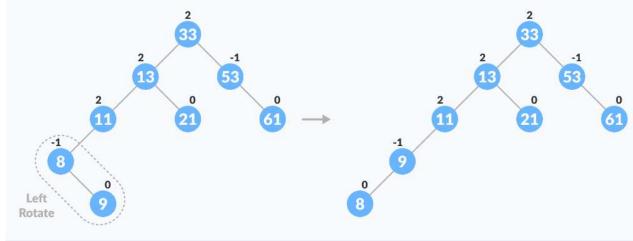
# Example of Insertion:-

• We need to insert 9

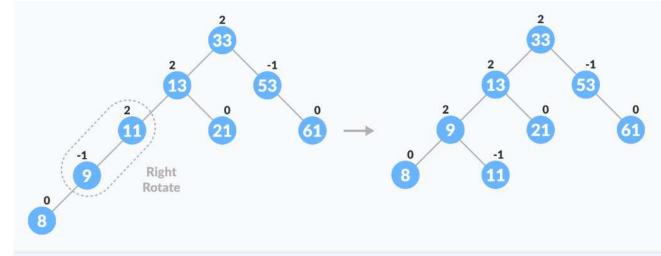


#### Example of insertion:-

- If balanceFactor > 1, it means the height of the left subtree is greater than that of the right subtree. So, do a right rotation or left-right rotation.
- If newNodeKey < leftChildKey do right rotation.
- Else, do left-right rotation.

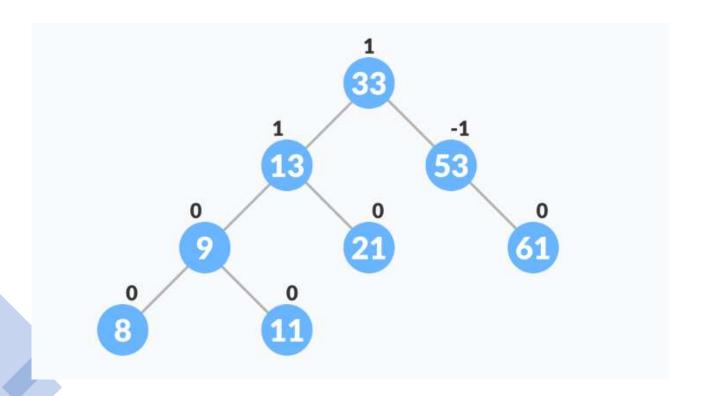


#### Balancing the tree with rotation



Balancing the tree with rotation

# Example of insertion:-

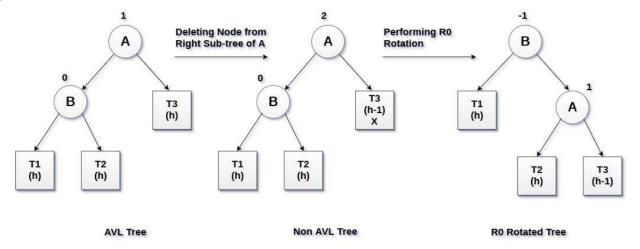


#### Deletion in AVL Tree :-

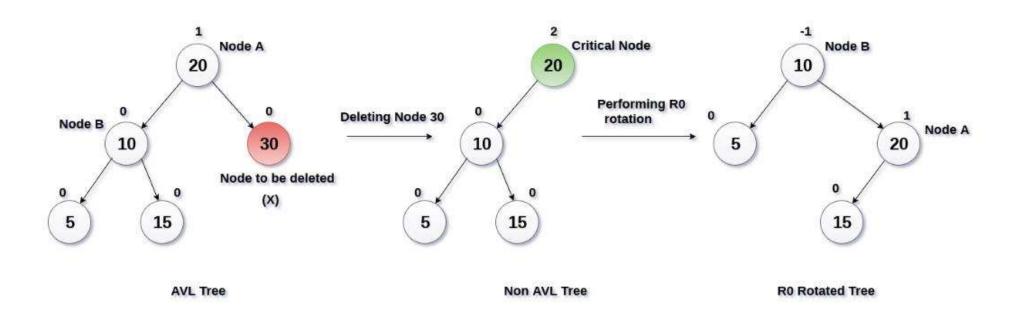
- Deleting a node from an AVL tree is similar to that in a binary search tree
- Deletion may disturb the balance factor of an AVL tree and therefore the tree needs to be rebalanced in order to maintain the AVLness.
- For this purpose, we need to perform rotations. The two types of rotations are L rotation and R rotation.
- Here, we will discuss R rotations. L rotations are the mirror images of them.
- If the node which is to be deleted is present in the left sub-tree of the critical node, then L rotation needs to be applied.
- if the node which is to be deleted is present in the right sub-tree of the critical node, the R rotation will be applied.
- Let us consider that, A is the critical node and B is the root node of its left sub-tree. If node X,
   present in the right sub-tree of A, is to be deleted, then there can be three different situations:

#### R O rotation (Node B has BF O)

- If the node B has 0 balance factor, and the balance factor of node A disturbed upon deleting the node X, then the tree will be rebalanced by rotating tree using RO rotation.
- The critical node A is moved to its right and the node B becomes the root of the tree with T1 as its left sub-tree.

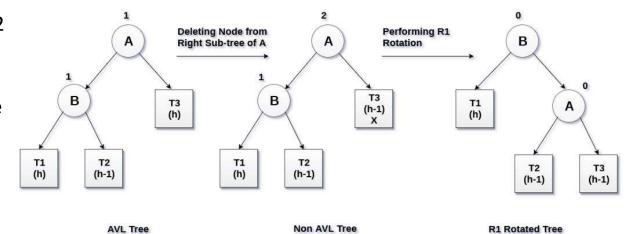


# RO rotation (Node B has BF 0)

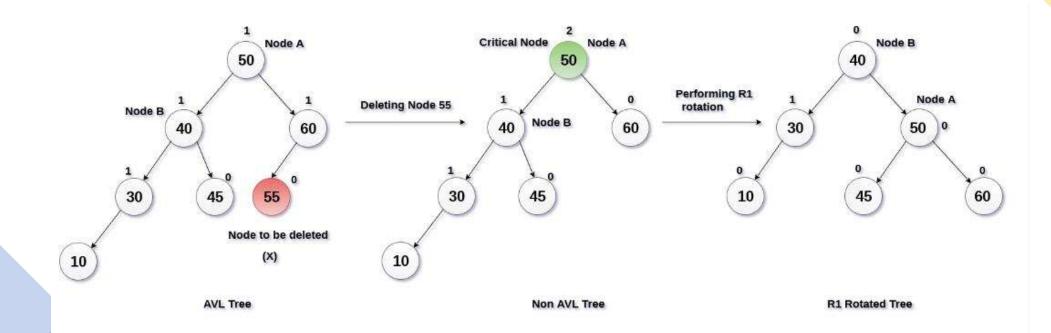


#### R1 Rotation (Node B has BF 1)

- R1 Rotation is to be performed if the balance factor of Node B is 1.
- In R1 rotation, the critical node A is moved to its right having sub-trees T2 and T3 as its left and right child respectively.
- T1 is to be placed as the left sub-tree of the node B.

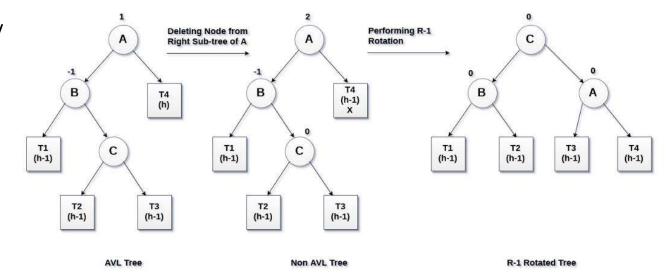


## R1 Rotation (Node B has BF 1)



#### R-1 Rotation (Node B has BF -1)

- R-1 rotation is to be performed if the node B has balance factor -1.
- This case is treated in the same way as LR rotation.
- In this case, the node C, which is the right child of node B.
- becomes the root node of the tree with B and A as its left and right children respectively.



## R-1 Rotation (Node B has BF -1)

