

* Introduction to Algorithms.

* Algorithm:- It is the finite sequence of operational instructions which transform the given input to correct output.

* Properties of algorithm:-

- Input from a specified set,
- output from a specified set (solution),
- definiteness of every step in the computation.
- correctness of output for every possible input.
- finiteness of the number of calculation steps.
- Effectiveness of each calculation step
- Generality for a class of problems.

(*) Efficiency of algorithms:

→ which algorithm needs to be chosen when more than one algorithm available?

* Three approaches:

(1). Empirical:- preprogramming all the techniques and trying (posteriori) them at different instances.

(2). Theoretical:- determining mathematically the quantity of resources as a function of the size instance. Resource like computing time, storage space

(3). Hybrid:- Algo's efficiency is determined theoretically and required numerical parameters are determined empirically.

* Ch-2 Performance analysis.

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* Two criteria are used to judge algorithms:-

(1). Space complexity:: Space complexity of the program is the amount of the memory it needs to run to completion.

(2). Time complexity:: Time complexity of the program is the amount of CPU time it needs to run to completion.

* (i). Space Complexity:: SCP

⇒ Components of Space Complexity::

(i) Instruction Space::

→ space needed to store the compiled version of program instructions.

→ It depends on...

- The compiler used to compile the program.

→ Ex:: $a+b+(b*c)+(a+b-c)/(a+b)/4$.

- The compiler options in effect.

→ Ex:: overlay option.

- The target computer:

→ Ex:: floating point hardware.

(ii) Data Space :-

- space needed by constants and simple variables.
- space needed by dynamically allocated objects (arrays, class instance, etc..)

(iii) Environmental stack space :-

- The return address
- All local variables.
- All formal parameters.
- Recursion stack space.
 - ↳ • The space needed by local variables and parameters.
 - The maximum depth of recursion

* Memory space $S(p)$ Needed by a program p , consists of two components.

→ Fixed part :- Needed for instruction space (byte code), simple variable space, constants space etc..

Ex:- C.

→ A variable part :- Dependent on a particular instance of Input and output data.
 → $S_p(\text{instance})$.

$$\boxed{S(p) := C + S_p(\text{instance})}$$

Ex:-1). Algorithm abc (a,b,c)

{

 return $a+b+b*c + (a+b-c) / (a+b) + 4.0;$

}

→ For every instance 3 computer words required to store variables: a, b and c.

→ Therefore $S_p() = 3.$

$S_{CPD} = 3.$

Ex:-2). Algorithm sum (a[],n)

{

 s: 0.0;

 for i=1 to n do

 s: $s+a[i];$

 return s;

}

→ Every instance needs to store array $a[]$ & n.

- space needed to store $n = 1$ word.

- space needed to store $a[] = n$ floating points words (or at least n words)

- Space needed to store i and s = 2 words

→ $S_p(n) = (n+3).$

Hence $S_{CPD} = (n+3)$

Ex: 3). Algorithm Rsum (a[], n)

{
if ($n \geq 0$)

return Rsum (a, n-1) + a[n-1];

return 0;

}

→ It is recursive function.

→ Every Recursive function call needs to store address of a[], the value of n & return address.

- space needed to store $n = 1$ word.

- space needed to store the address of a[] = 1 word.

- space needed to store return address = 1 words

- Depth of Recursion = $n+1$

- space needed to store a[] = n words.

→ $Sp(n) = n$.

→ Hence $SCP = 3(n+1) + n$.

NOTE:-

In simple function we can't call address many times, so we can't store this address and not counting it's word in solution.

In Recursive function we need to call address many times, so we store this address and counting it's space in solution.

Ex:- 4). Algorithm Fact (int n)

{

 if ($n \leq 1$)

 return 1;

 else return ($n * \text{Fact}(n-1)$);

}

→ It is also Recursive Function.

→ Every Recursive function call needs to store the value of n & return address.

- Space needed to store $n = j$ words.

- Space needed to store return address = j words.

- Depth of Recursion = n .

→ Hence $SC(P) = 2(n)$.

* (2). Time Complexity :- $T(P)$

→ Time Required $T(P)$ to run a program p also consists of two Components :

→ fixed part :- Compile time which is independent of the problem instance.

Ex:- C

→ variable part :- Run time which depends on the problem instance.

→ t_{CP} instance.

{* $T(P) := C + t_p(\text{instance})$ }

* How To Measure $T(p)$?

1. Measure Experimentally, using a "stop watch".
→ $T(p)$ obtained in sec, msecs.
2. Count Number of major operations / instructions:
→ Identify one or more major operations and determine how many times each is executed.
→ Ignore the other minor operations / instructions.
- Ex:- In problem we have for loop so we consider it as major operation and count $T(p)$. We consider condition, return value etc... and ignore minor operations.

3. Count program steps: → $T(p)$ obtained as step count.

→ We count all the steps of the program from first step to last step.

NOTE:- Fixed part is usually ignored; only the variable part $T_p()$ is measured.

Ex:- 1) Algorithm IndexofMax (int a[], int n)
{

```
int index = 0;
for (int i = 1; i < n; i++)
    if (a[index] < a[i])
        index = i;
return index;
```

Solⁿ: → Comparison can be considered as major operation in above algorithm.

→ Total number of comparison = max{ $n-1, 0\}$

→ Hence, $T_{CP} = n-1$

Ex:- 2 Algorithm polyEval (int coeff[], int n, int x)

```
int y = 1, value = coeff[0];
for (int i = 1; i < n; i++) {
    y = y * x;
    value = value + y * coeff[i];
```

y

↓

Solⁿ: → Here, additions and multiplications can be identified as major operations.

- Total Number of additions = $n-1$

- Total number of multiplications = $2(n-1)$

- Hence, total number of operations = $(n-1) + 2(n-1)$

- $T_{CP} = 3n-3$.

* Step Count:

- Consider all Executable statements of an algorithm
- what is program step?
- $a+b+b*c+(c_1+b) \mid (c_1-b)$ → one step;
- Comments → zero steps;
- while ($<\text{Expr}>$) do → step count equal to the number of times $<\text{Expr}>$ is executed.
- for $i = <\text{Exp}o>$ to $<\text{Exp}o_j>$ do → step count equal to number of times $<\text{Exp}o_j>$ is checked.

Ex: 1).	Statements	SIE	freq.	Total.
1.	Algorithm sum (arr, n)	0	-	0
2.	{	0	-	0
3.	$S = 0.0$	1	1	1
4.	for $i = 1$ to n do	1	$n+1$	$n+1$
5.	$S = S + arr[i];$	1	n	n
6.	return $S;$	1	1	1
7.	}	0	-	0
				$2n+3$

→

$$\text{space complexity} = O(3+n)$$

Ex:-2).

Statements

S/E

freq.

Total

1. Algorithm sum (arr, n, m)

0

-

0

2. {

0

-

0

3. for i=1 to n do :

1

n+1

n+1

4. for j=1 to m do

1

m(m+1)

n(m+1)

5. s = arr[i][j];

1

nm

nm

6. return s;

1

1

1

7. }

0

0

$2nm + 2n + 2$

→ Space complexity = mn+s.

Ex:-3).

Statements

S/E

freq.

Total.

1. Algo Transpose (arr, row)

0

0

2. {

0

0

3. for (i=0 ; i<row ; i++)

1

row+1

row+1

4. for (j=i+1 ; j<row ; j++)

1

row(row+i)/2 - "

"

5. swap (arr[i][j] , arr[j][i]);

1

row(row-i)/2 - "

"

6. }

0

0

→ 5th line : $\sum_{n=1}^r \frac{n(n+1)}{2}$

$row^2 + row/2$

$$\sum_{n=1}^{r-1} \frac{(n-1)(n-1+1)}{2}$$

$$= \frac{n(n-1)}{2}$$

Ex: 4) statements	SIE	For eg.	Total
1. Algo ABC (a[], b[], n)	0	-	0
2. {	0	-	0
3. for (j=0; j < n; j++)	1	$n+1$	$n+1$
4. $b[j] = \text{sum}(a, j+1);$	$2j+6$	n	$n(n+5)$
5. }	0	-	$\underline{n^2 + 6n + 1}$

$$\rightarrow \text{sum}(a, n) \rightarrow 2n+3$$

$$+ \rightarrow 2(j+1)+3$$

$$j+1 \rightarrow 2j+6$$

$$(2j+6) \rightarrow n$$

$$n-1$$

$$\sum_{j=0}^{n-1} (2j+6)$$

$$2 \sum_{j=0}^{n-1} j + 6 \sum_{j=0}^{n-1}$$

$$\frac{n(n-1)}{2} + 6n$$

$$n^2 - nt + 6n$$

$$n^2 + 5n$$

$$n(5tn)$$

Ex:- (1). for ($i=0$; $i < n$; $i = i + 2$) ;

→ Ans:- Time complexity = $\frac{n+1}{2}$.

(2). for ($i=j$; $i < n$; $i = i + 2$) ;

→ solⁿ: Take different values for j

$j=2 \rightarrow 1, 2 \rightarrow 2$ times (for loop)

$j=3 \rightarrow 1, 2, 4 \rightarrow 3$ times

$j=4 \rightarrow 1, 2, 4 \rightarrow 3$ times

$j=5 \rightarrow 4$ times

$j=6 \rightarrow 4$ times

$j=7 \rightarrow 4$ times

→ Time complexity = $(\log n)_2$

(3). for ($i=0$; $i < n$; $i++$)
 {

for ($j=0$; $j < n$; $j++$)
 {

 Statements ;

 }

 }

→ Solution on next page.

→ Soln:- statements SIE For eg. Total

(1)	for (i=0; i<n; i++)	I	$n+1$	$n+1$
(2)	{	O	0	0
(3)	for (j=0; j<n; j++)	I	$n(n+1)$	$n(n+1)$
(4)	{	O	0	0
(5)	Statement:	I	$n \cdot n$	n^2
(6)	}	O	0	0
(7)	}	O	0	0
				$2n^2 + 2n + 1$

* Asymptotic Notations:-

Ex:- $f(n) = 2n^3 + n^2 + 2n$
→ $O(n^3)$.

Ex:- $f(n) = 4n^3 + 2n + 3$
→ $O(n^3)$.

Ex:- $f(n) = 2^n + 6n^2 + 3n$
→ $O(2^n)$.

* Linear Homogeneous Recurrence Relation:

* General form of homogenous recurrence relation

$$\rightarrow a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = 0.$$

* characteristic equation.

$$\rightarrow a_0 x^k + a_1 x^{k-1} + \dots + a_k = 0,$$

* all roots are distinct (different) :-

$$\rightarrow t_n = \sum_{i=1}^k c_i r_i^n$$

$$\rightarrow t_n = c_1 r_1 n + c_2 r_2 n + c_3 r_3 n$$

* all roots are not distinct :-

$$\rightarrow t_n = \sum_{i=1}^l \sum_{j=0}^{m_i-1} c_{ij} n^j r_i^n$$

$$\rightarrow t_n = c_1 r_1^n + c_2 r_2^n + c_3 r_3^n + c_4 r_4^n + c_5 r_5^n + c_6 r_6^n + c_7 r_7^n$$

$$\text{Ex:- } x^2 - x - 1 = 0$$

$$\rightarrow x = \frac{-b^2 \pm \sqrt{b^2 - 4ac}}{2a} = \frac{1 \pm \sqrt{1+4}}{2} = \frac{1 \pm \sqrt{5}}{2} \rightarrow r_1$$

$$= \frac{1 - \sqrt{5}}{2} \rightarrow r_2$$

Problem statement: Find nth Fibonacci number.

<p>Iterative Method:</p> <p>Algorithm:</p> <pre>f1(n): first=0; second=1; for i = 3 to n { next = first + second; first = second; second = next; } return next;</pre> <p>Time complexity: O(1) + O(n) + O(1) = O(n)</p>	<p>Recursive Method:</p> <p>Algorithm:</p> <pre>f2(n): if n <= 1 return n return f2(n - 1) + f2(n - 2)</pre> <p>Time complexity: ?</p> <p>If n=0 => F(0) = 0 n=1 => F(1) = 1 n>1 => F(n) = F(n-1) + F(n-2)</p>
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Example-1:

What is the solution of the recurrence relation $f_n = f_{n-1} + f_{n-2}$ with $f_0=0$ and $f_1=1$?

Solution:

Rewrite recurrence, $f_n - f_{n-1} - f_{n-2} = 0$

Compare recurrence with $a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = 0$

K=2, $a_0=1$, $a_1=-1$, $a_2=-1$

Characteristic eq. is $x^2 - x - 1 = 0$

Quadratic equation

$$ax^2 + bx + c = 0$$

$$a=1, b=-1, c=-1$$

$$\text{delta} = \sqrt{b^2 - 4ac} = \sqrt{1 + 4} = \sqrt{5}$$

$$\text{root1} = (-b + \text{delta})/2a = (1 + \sqrt{5})/2$$

$$\text{root2} = (-b - \text{delta})/2a = (1 - \sqrt{5})/2$$

$$\text{Possible roots are } r1 = (1 + \sqrt{5})/2, r2 = (1 - \sqrt{5})/2$$

General Solution is $f_n = c_1 r_1^n + c_2 r_2^n$

$$= c_1 ((1+\sqrt{5})/2)^n + c_2 ((1-\sqrt{5})/2)^n$$

Use initial condition to find c_1 and c_2

$$n=0 \Rightarrow f_0 = c_1 + c_2 \Rightarrow 0 = c_1 + c_2 \Rightarrow c_1 = -c_2$$

$$n=1 \Rightarrow f_1 = c_1((1+\sqrt{5})/2) + c_2((1-\sqrt{5})/2) \Rightarrow 1 = c_1((1+\sqrt{5})/2) + c_2((1-\sqrt{5})/2)$$

Solving above equations, $c_1 = 1/\sqrt{5}$, $c_2 = -1/\sqrt{5}$

$$\text{Thus, } f_n = (1/\sqrt{5}) ((1+\sqrt{5})/2)^n - (1/\sqrt{5}) ((1-\sqrt{5})/2)^n$$

$$f_n = O(1.6^n) = O(2^n)$$

Example-2:

Solve the recurrence:

$$t_n = \begin{cases} 0 & , \text{if } n = 0 \\ 5 & , \text{if } n = 1 \\ 3t_{n-1} + 4t_{n-2} & , \text{otherwise} \end{cases}$$

$$\text{Rewrite recurrence, } t_n - 3t_{n-1} - 4t_{n-2} = 0$$

$$\text{Compare recurrence with } a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = 0$$

$$K=2, a_0=1, a_1=-3, a_2=-4$$

$$\text{Characteristic eq. is } x^2 - 3x - 4 = 0$$

$$\text{Possible roots are } r_1 = -1, r_2 = 4$$

General Solution is $t_n = c_1 r_1^n + c_2 r_2^n$

$$= c_1 (-1)^n + c_2 (4)^n$$

Use initial condition to find c_1 and c_2

$$n=0 \Rightarrow t_0 = c_1 + c_2 \Rightarrow 0 = c_1 + c_2 \Rightarrow c_1 = -c_2$$

$$n=1 \Rightarrow t_1 = c_1(-1) + c_2(4) \Rightarrow 5 = c_2 + 4c_2 \Rightarrow c_2 = 1$$

Solving above equations, $c_1 = -1$, $c_2 = 1$

$$\text{Thus, } t_n = (-1) (-1)^n + (1) (4)^n$$

$$t_n = O(4^n)$$

Example-3:

Solve the recurrence:

$$t_n = \begin{cases} n & , \text{if } n = 0, 1, 2 \\ 5t_{n-1} - 8t_{n-2} + 4t_{n-3} & , \text{otherwise} \end{cases}$$

Rewrite recurrence, $t_n - 5t_{n-1} + 8t_{n-2} - 4t_{n-3} = 0$

Compare recurrence with $a_0 t_n + a_1 t_{n-1} + \dots + a_k t_{n-k} = 0$

K=3, $a_0=1$, $a_1=-5$, $a_2=8$, $a_3=-4$

Characteristic eq. is $x^3 - 5x^2 + 8x - 4 = 0$

Possible roots are $r_1 = 1$, $r_2 = 2$, $r_3 = 2 \Rightarrow r_1=1$, $m_1=1$ and $r_2=2$, $m_2=2$

General Solution is $t_n = c_1 r_1^n + c_2 r_2^n + c_3 n * r_2^n$

$$t_n = c_1 (1)^n + c_2 (2)^n + c_3 n (2)^n \quad \text{----- (a)}$$

Use initial condition to find c_1, c_2 and c_3

$$n=0 \Rightarrow t_0 = c_1 + c_2 \Rightarrow 0 = c_1 + c_2 \Rightarrow c_1 = -c_2 \quad \text{----- (1)}$$

$$n=1 \Rightarrow t_1 = c_1(1)^1 + c_2(2)^1 + c_3(2)^1 \Rightarrow 1 = c_1 + 2c_2 + 2c_3 \quad \text{----- (2)}$$

$$n=2 \Rightarrow t_2 = c_1(1)^2 + c_2(2)^2 + c_3(2)^2 \Rightarrow 2 = c_1 + 4c_2 + 8c_3 \quad \text{----- (3)}$$

Solving above equations (1), (2) and (3), $c_1 = -2$, $c_2 = 2$, $c_3 = -(1/2)$

Put c_1 , c_2 and c_3 into equation (a)

$$t_n = -2(1)^n + 2(2)^n - (1/2)n(2)^n$$

$$\text{Thus, } t_n = 2(2^n) - n(2^{n-1}) - 2$$

$$t_n = O(2^n)$$