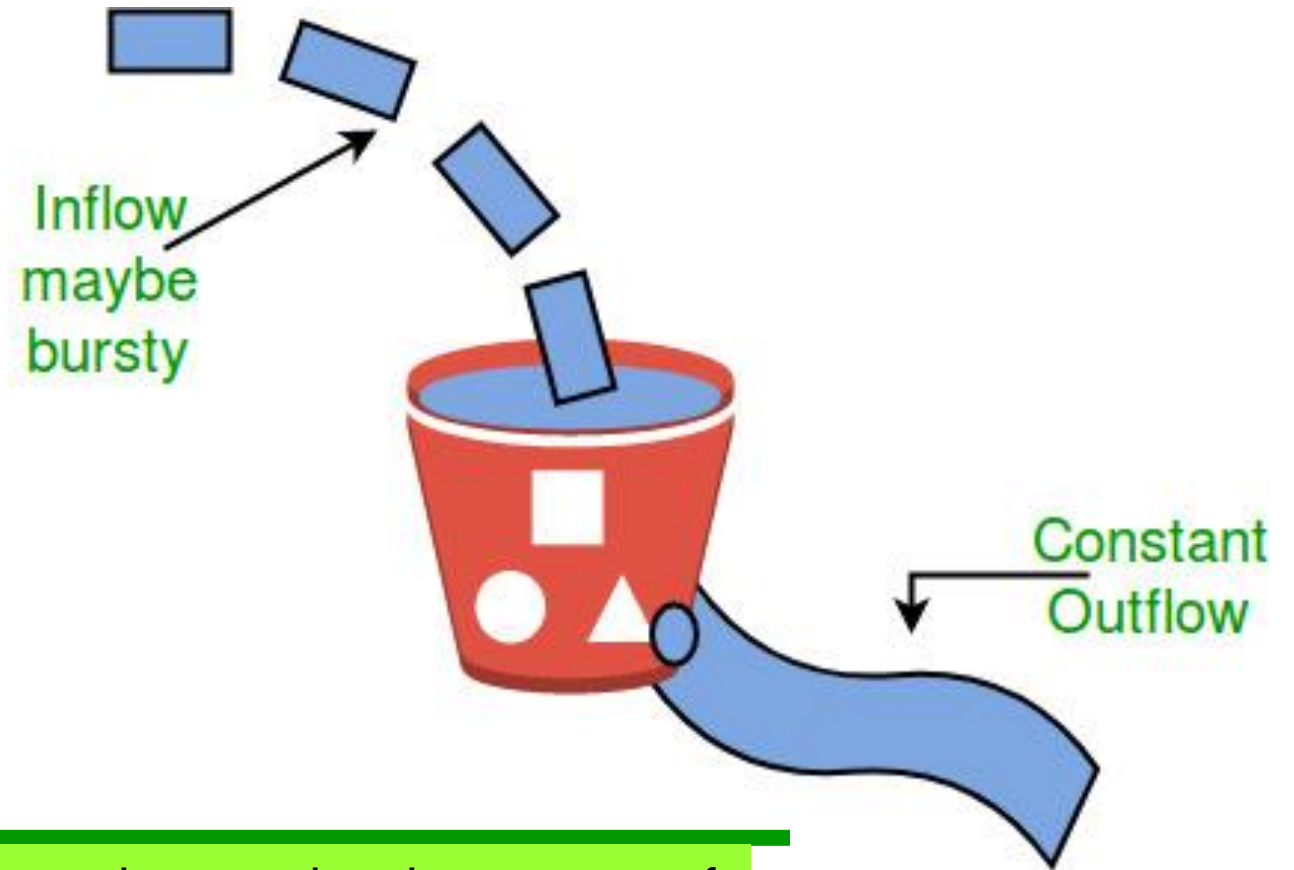


# Data link Layer Flow Control

# Flow Control

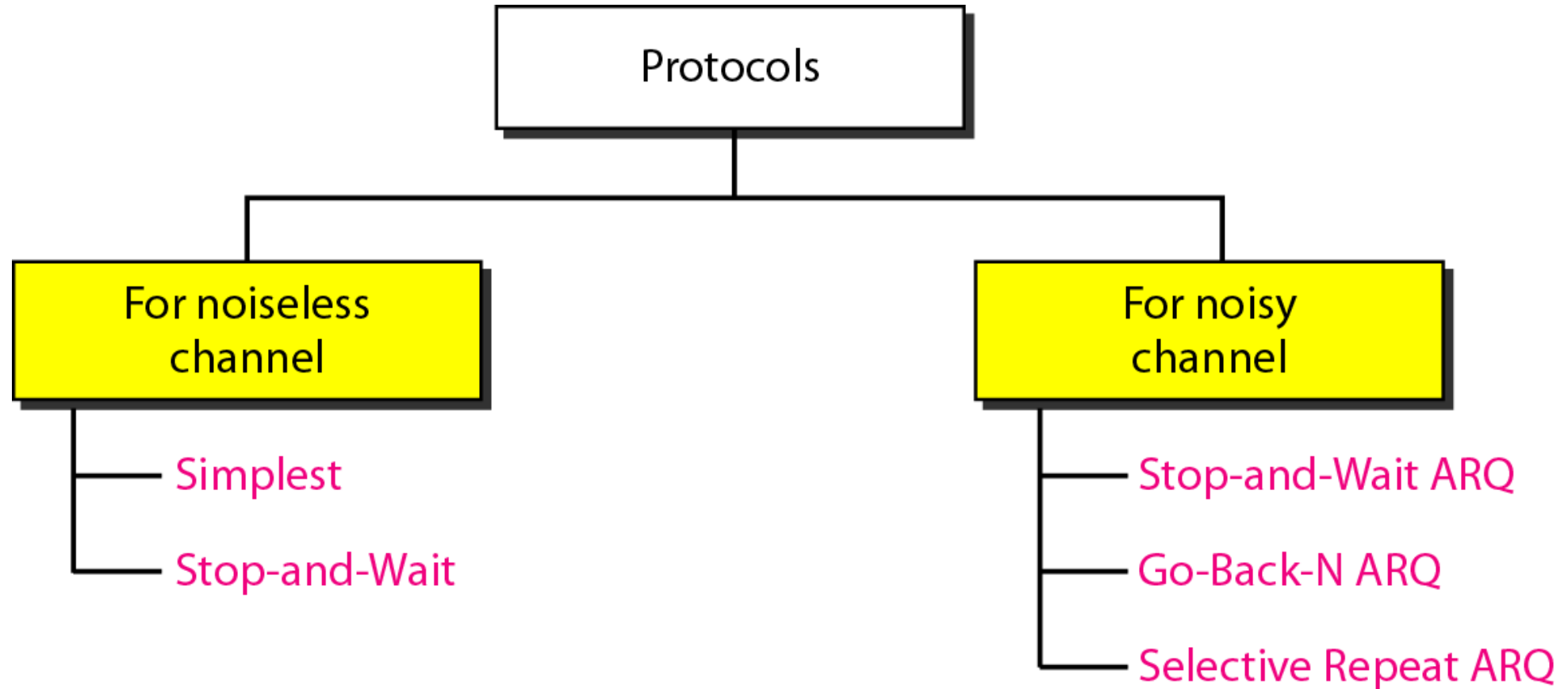
- Flow Control coordinates the amount of data that can be sent before receiving an acknowledgement.
- The flow of data must not be allowed to overwhelm the receiver.
- Any receiving device has a limited speed at which it can process incoming data and a limited amount of memory in which to store incoming data.
- The rate of such processing is often slower than the rate of transmission. For this reason, each receiving device has a block of memory, called a buffer, reserved for storing incoming data until they are processed.
- If the buffer begins to fill up, the receiver must be able to tell the sender to halt transmission until it is once again able to receive.

# Flow Control



Flow control refers to a set of procedures used to restrict the amount of data that the sender can send before waiting for acknowledgment.

# Taxonomy of protocols



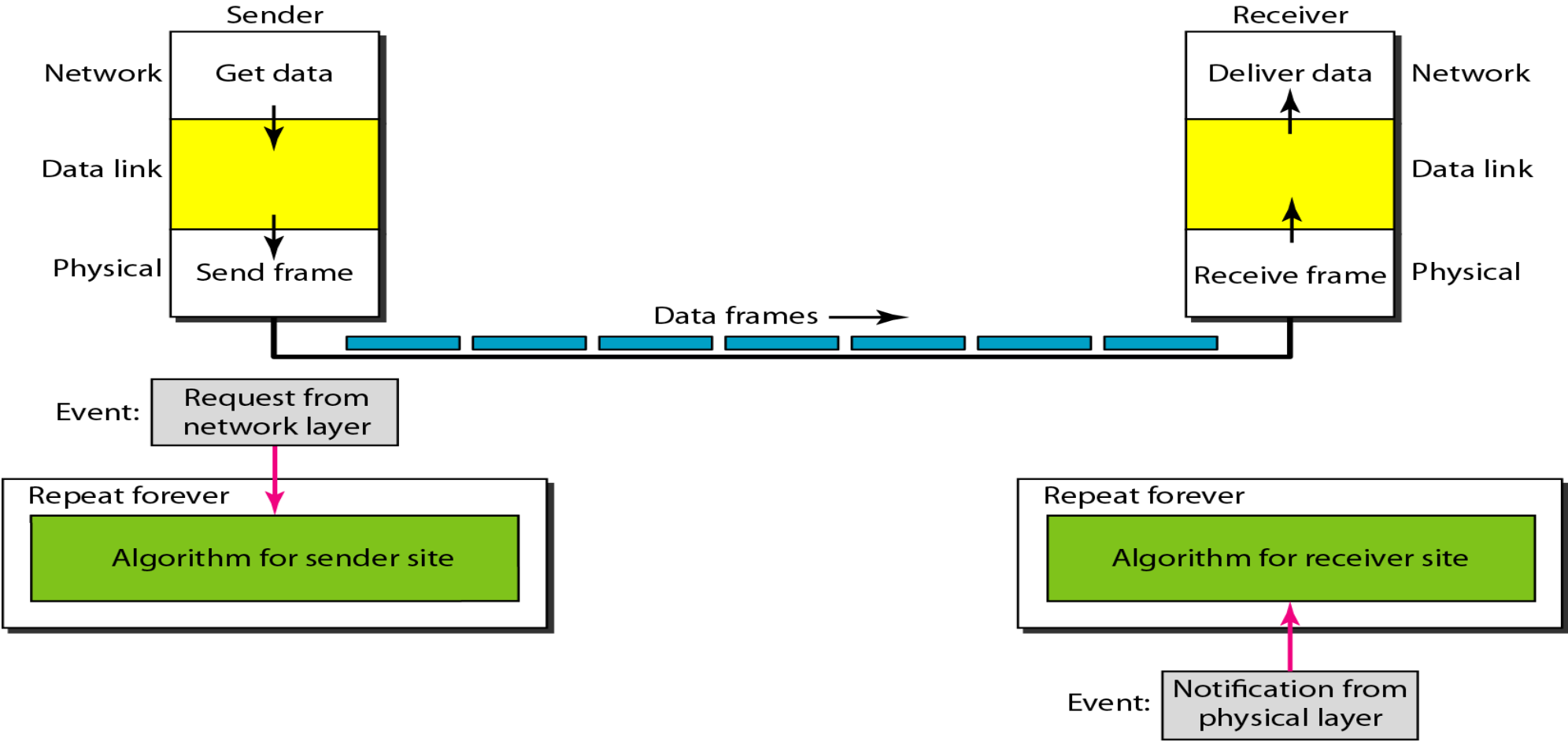
# Flow Control Protocols

- The protocols in the first category(**noiseless**) cannot be used in real life, but they serve as a basis for understanding the protocols of noisy channels.
- All the protocols are unidirectional in the sense that the data frames travel from one node, called the sender, to another node, called the receiver.
- Although special frames, called acknowledgment (**ACK**) and negative acknowledgment (**NAK**) can flow in the opposite direction for flow and error control purposes, data flow in only one direction.
- In a real-life network, the data link protocols are implemented as bidirectional; data flow in both directions.
- In these protocols the flow and error control information such as ACKs and NAKs is included in the data frames in a technique called piggybacking.

# Simplest Protocol

- We divide the discussion of protocols into those that can be used for noiseless (error-free) channels and those that can be used for noisy (error-creating) channels.
- The protocols in the first category cannot be used in real life, but they serve as a basis for understanding the protocols of noisy channels

# Simplest Protocol with no Flow or Error control



# Simplest Protocol with no Flow or Error control

**Algorithm** *Sender-site algorithm for the simplest protocol*

```
1 while(true)                                // Repeat forever
2 {
3     WaitForEvent();                          // Sleep until an event occurs
4     if(Event(RequestToSend))                //There is a packet to send
5     {
6         GetData();
7         MakeFrame();
8         SendFrame();                          //Send the frame
9     }
10 }
```

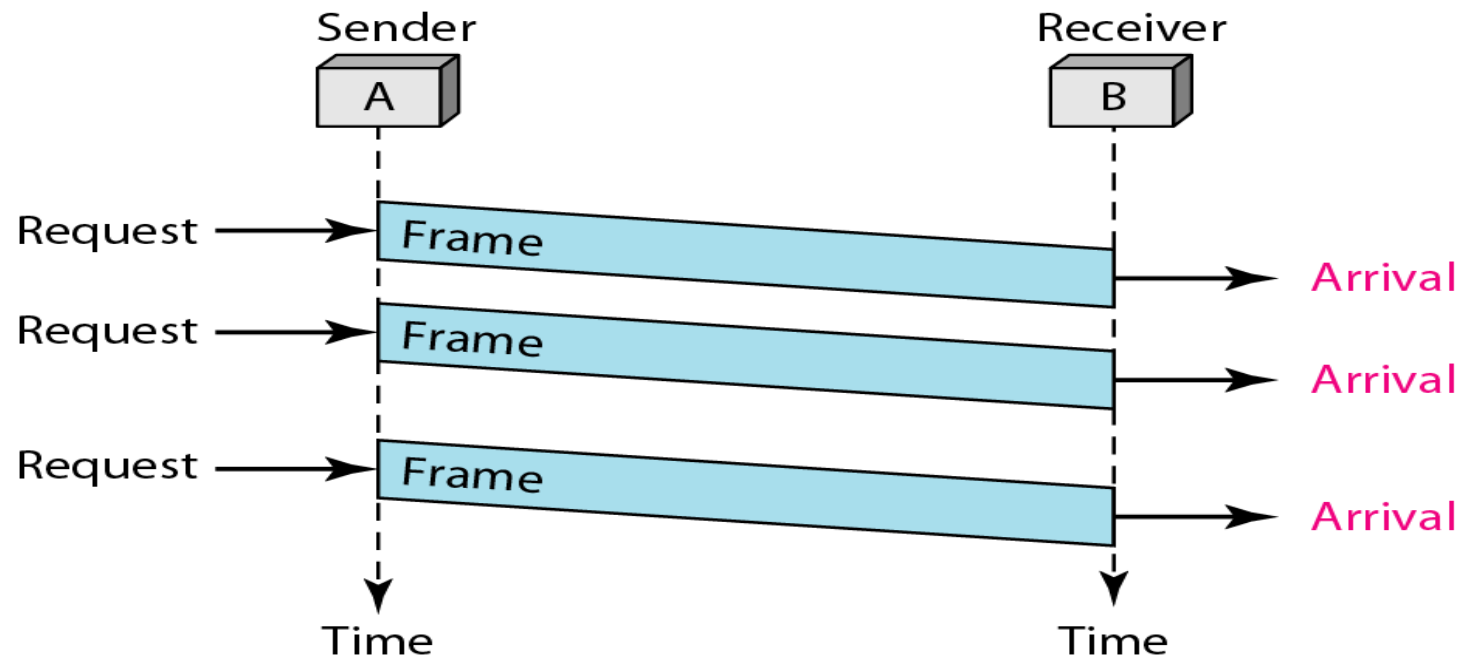
**Algorithm** *Receiver-site algorithm for the simplest protocol*

```
1 while(true)                                // Repeat forever
2 {
3     WaitForEvent();                          // Sleep until an event occurs
4     if(Event(ArrivalNotification))          //Data frame arrived
5     {
6         ReceiveFrame();
7         ExtractData();
8         DeliverData();                       //Deliver data to network layer
9     }
10 }
```



# Simplest Protocol

To send three frames, three events occur at the sender site and three events at the receiver site.



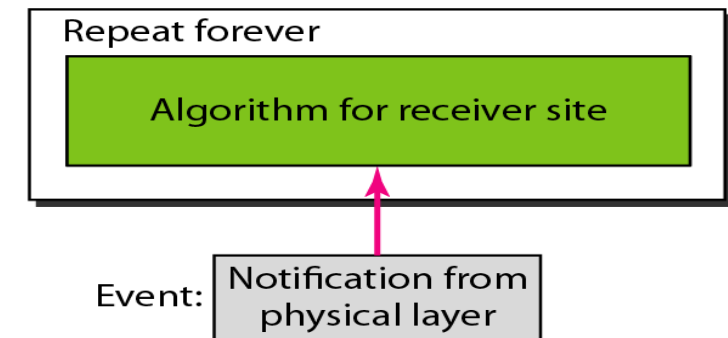
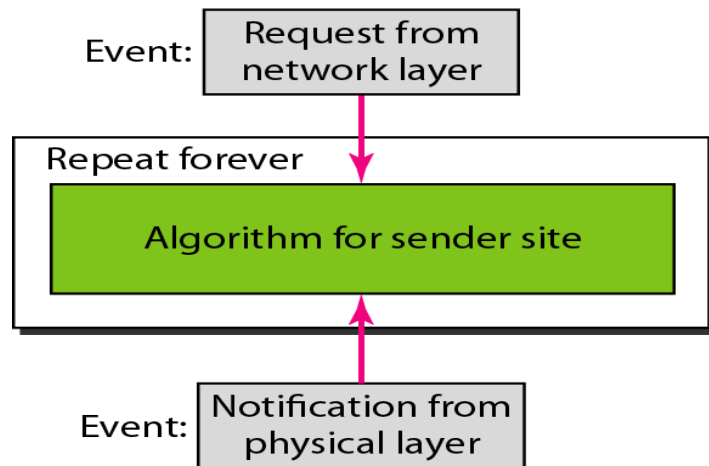
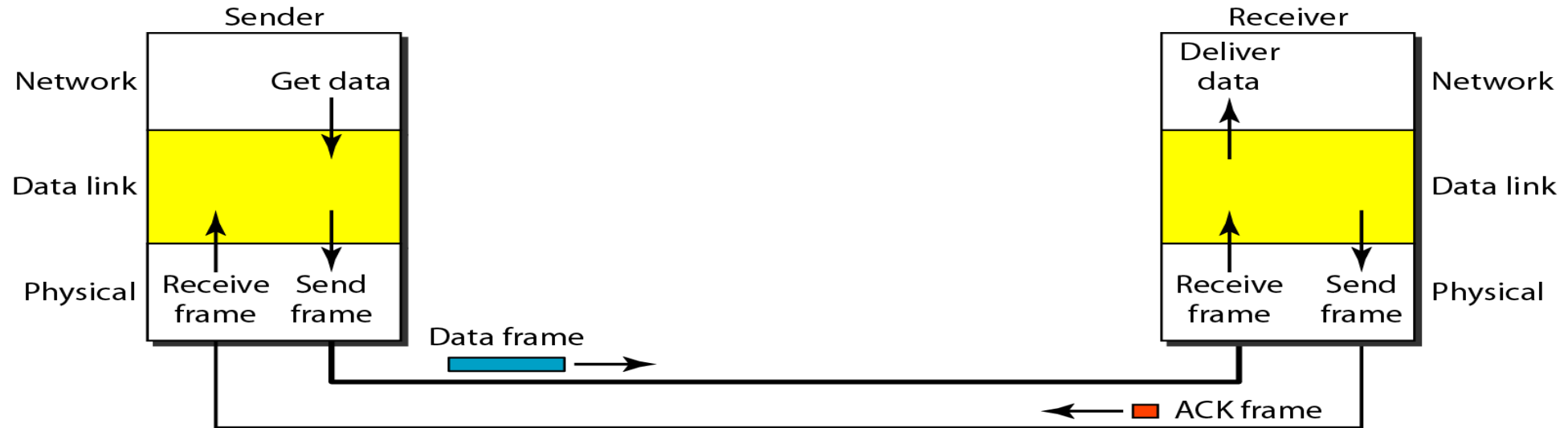
# Problem with Simplest Protocol

- If data frames arrive at the receiver site faster than they can be processed, the frames must be stored until their use.
- Normally, the receiver does not have enough storage space, especially if it is receiving data from many sources.
- This may result in either the discarding of frames or denial of service.
- To prevent the receiver from becoming overwhelmed with frames, we somehow need to tell the sender to slow down.
- There must be feedback from the receiver to the sender

# Stop and Wait Protocol

- Sender sends one frame, stops until it receives confirmation from the receiver (okay to go ahead), and then sends the next frame.
- We still have unidirectional communication for data frames, but auxiliary ACK frames (simple tokens of acknowledgment) travel from the other direction.
- We add flow control to simplest protocol.

# Stop and Wait Protocol



# Stop and Wait Protocol

Algorithm *Sender-site algorithm for Stop-and-Wait Protocol*

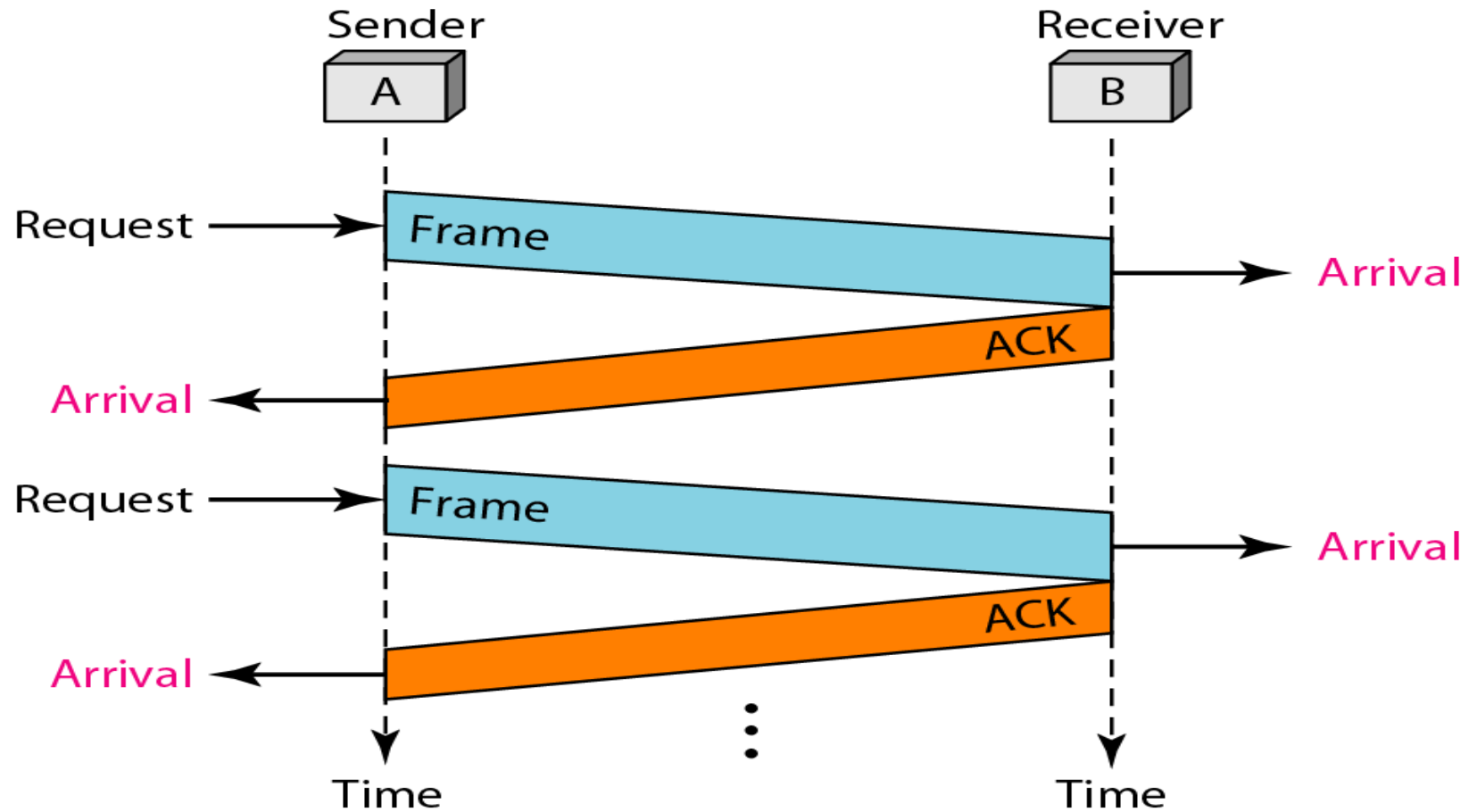
```
1 while(true)                                //Repeat forever
2   canSend = true                            //Allow the first frame to go
3   {
4     WaitForEvent();                          // Sleep until an event occurs
5     if(Event(RequestToSend) AND canSend)
6     {
7       GetData();
8       MakeFrame();
9       SendFrame();                          //Send the data frame
10      canSend = false;                      //Cannot send until ACK arrives
11    }
12    WaitForEvent();                          // Sleep until an event occurs
13    if(Event(ArrivalNotification) // An ACK has arrived
14    {
15      ReceiveFrame();                        //Receive the ACK frame
16      canSend = true;
17    }
18  }
```

# Stop and Wait Protocol

**Algorithm** *Receiver-site algorithm for Stop-and-Wait Protocol*

```
1 while (true)                                //Repeat forever
2 {
3     WaitForEvent();                          // Sleep until an event occurs
4     if (Event (ArrivalNotification))        //Data frame arrives
5     {
6         ReceiveFrame();
7         ExtractData();
8         Deliver(data);                      //Deliver data to network layer
9         SendFrame();                        //Send an ACK frame
10    }
11 }
```

# Stop and Wait Protocol



# Noisy Channels

- Although the Stop-and-Wait Protocol gives us an idea of how to add flow control to its predecessor, noiseless channels are nonexistent. We discuss three protocols in this section that use error control.

## Noisy Channels Flow control Protocols

- Stop-and-Wait Automatic Repeat Request
- Go-Back-N Automatic Repeat Request
- Selective Repeat Automatic Repeat Request



# Stop and Wait Automatic Repeat Request (1 bit protocol)

- The Error control mechanism added in Stop and Wait Protocol.
- To detect and correct corrupted frames, we need to add **redundancy bits** to our data frame.
- Lost frames are more difficult to handle than corrupted ones. In our previous protocols, there was no way to identify a frame.
- The received frame could be the correct one, or a duplicate, or a frame out of order. The solution is to number the frames.
- When the receiver receives a data frame that is out of order, this means that frames were either **lost** or **duplicated**

# Stop and Wait Automatic Repeat Request (1 bit protocol)

Error correction in Stop-and-Wait ARQ is done by keeping a copy of the sent frame and retransmitting of the frame when the timer expires.

# Stop and Wait Automatic Repeat Request

- If the timer expires and there is no ACK for the sent frame, the frame is resent, the copy is held, and the timer is restarted.
- Since an ACK frame can also be corrupted and lost, it too needs redundancy bits and a sequence number.
- The ACK frame for this protocol has a sequence number field.
- In this protocol, the sender simply discards a corrupted ACK frame or ignores an out-of-order one.

# Stop and Wait Automatic Repeat Request

## Sequence Number :

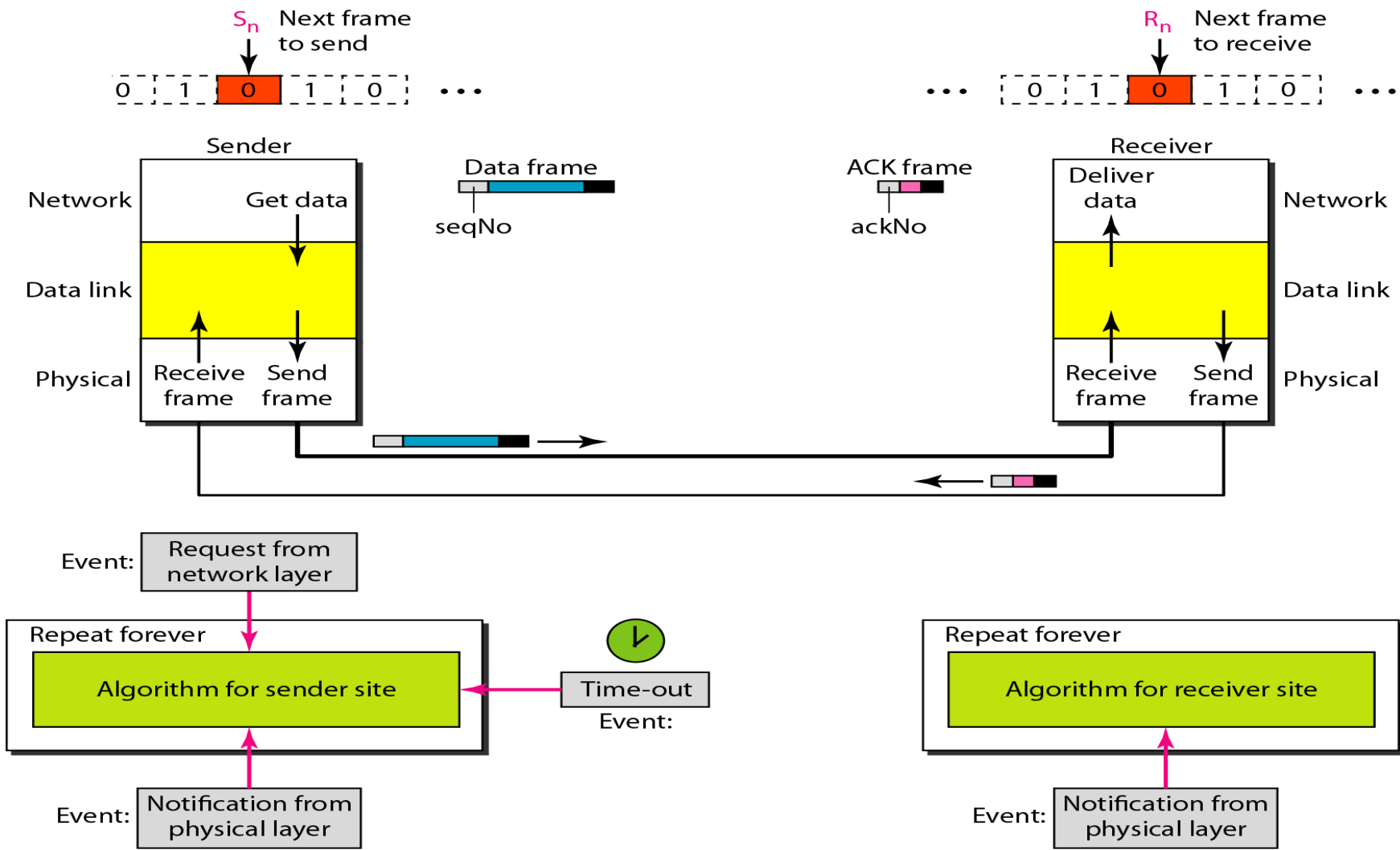
- A field is added to the data frame to hold the sequence number of that frame.
- We want to minimize the frame size, we look smallest range that provides unambiguous communication.

In Stop-and-Wait ARQ, we use sequence numbers to number the frames.  
The sequence numbers are based on modulo-2 arithmetic.

In Stop-and-Wait ARQ, the acknowledgment number always announces in modulo-2 arithmetic the sequence number of the next frame expected.

# Stop and Wait Automatic Repeat Request

Design of  
Stop-and-wait ARQ



# Stop and Wait Automatic Repeat Request

**Algorithm** *Sender-site algorithm for Stop-and-Wait ARQ*

```
1  Sn = 0;                                // Frame 0 should be sent first
2  canSend = true;                          // Allow the first request to go
3  while(true)                             // Repeat forever
4  {
5      WaitForEvent();                      // Sleep until an event occurs
6      if(Event(RequestToSend) AND canSend)
7      {
8          GetData();
9          MakeFrame(Sn);                  //The seqNo is Sn
10         StoreFrame(Sn);                //Keep copy
11         SendFrame(Sn);
12         StartTimer();
13         Sn = Sn + 1;
14         canSend = false;
15     }
16     WaitForEvent();                      // Sleep
```

*(continued)*

# Stop and Wait Automatic Repeat Request

```
17      if (Event (ArrivalNotification)           // An ACK has arrived
18      {
19          ReceiveFrame (ackNo) ;                //Receive the ACK frame
20          if(not corrupted AND ackNo == Sn)    //Valid ACK
21          {
22              Stoptimer() ;
23              PurgeFrame (Sn-1) ;              //Copy is not needed
24              canSend = true;
25          }
26      }
27
28      if (Event (TimeOut)                       // The timer expired
29      {
30          StartTimer() ;
31          ResendFrame (Sn-1) ;                //Resend a copy check
32      }
33 }
```

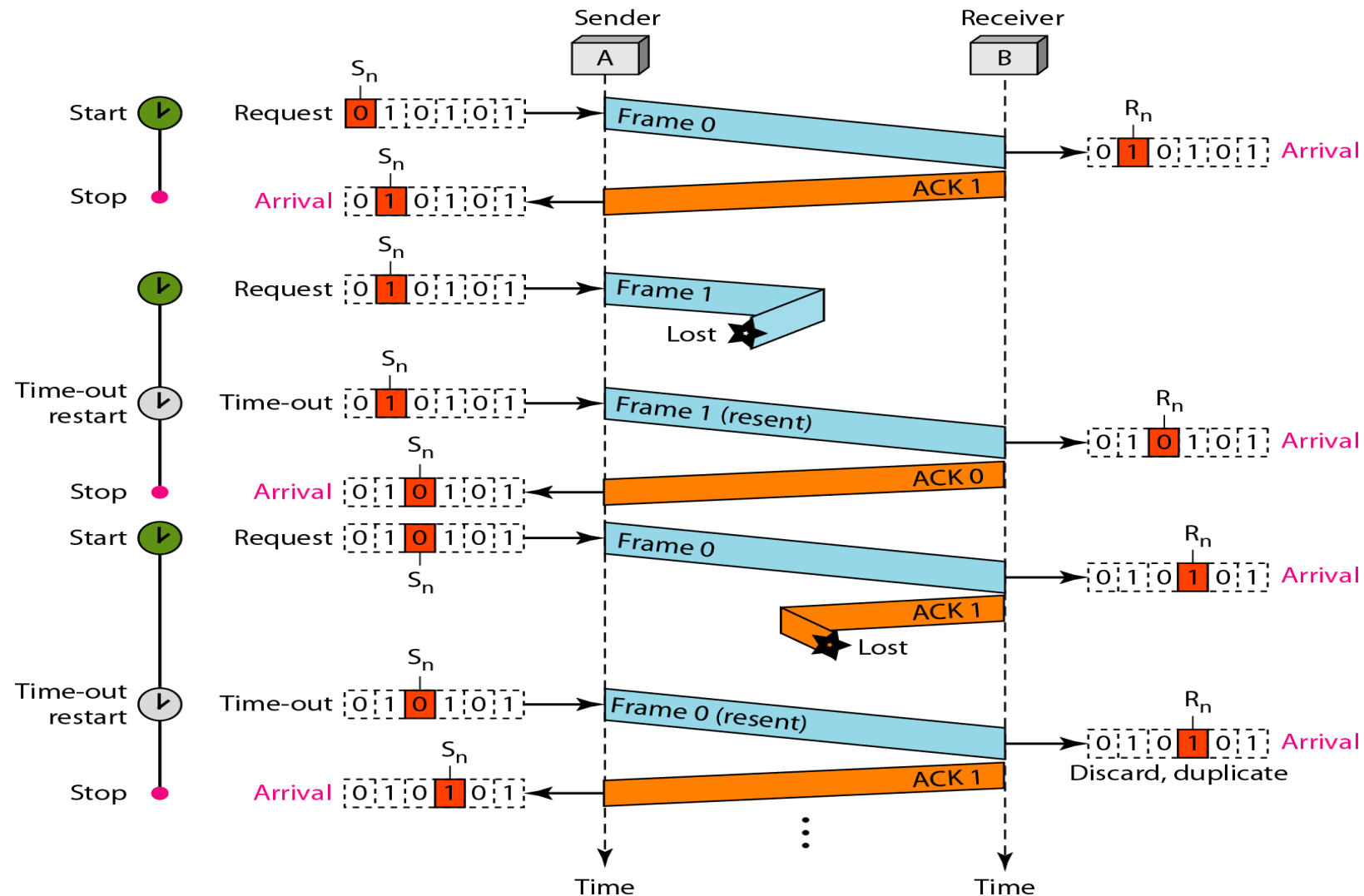
# Stop and Wait Automatic Repeat Request

**Algorithm** *Receiver-site algorithm for Stop-and-Wait ARQ Protocol*

```
1  Rn = 0;                                // Frame 0 expected to arrive first
2  while(true)
3  {
4      WaitForEvent();                      // Sleep until an event occurs
5      if(Event(ArrivalNotification))      //Data frame arrives
6      {
7          ReceiveFrame();
8          if(corrupted(frame));
9              sleep();
10         if(seqNo == Rn)                  //Valid data frame
11         {
12             ExtractData();
13             DeliverData();                //Deliver data
14             Rn = Rn + 1;
15         }
16         SendFrame(Rn);                  //Send an ACK
17     }
18 }
```



# Stop and Wait ARQ - Example



# Stop and Wait ARQ - Efficiency

- The Stop-and-WaitARQ is very inefficient if our channel is thick and long. By thick, we mean that our channel has a large bandwidth; by long,
- we mean the round-trip delay is long. The product of these two is called the bandwidth delay product(BDP).
- We can think of the channel as a pipe. The
- bandwidth-delay product then is the volume of the pipe in bits. The pipe is always there.
- If we do not use it, we are inefficient. The bandwidth-delay product is a measure of the number of bits we can send out of our system while waiting for news from the receive.



### Example 11.4

*Assume that, in a Stop-and-Wait ARQ system, the bandwidth of the line is 1 Mbps, and 1 bit takes 20 ms to make a round trip. What is the bandwidth-delay product? If the system data frames are 1000 bits in length, what is the utilization percentage of the link?*

### Solution

The bandwidth-delay product is

$$(1 \times 10^6) \times (20 \times 10^{-3}) = 20,000 \text{ bits}$$



### Example 11.4 (continued)

*The system can send 20,000 bits during the time it takes for the data to go from the sender to the receiver and then back again.  
However, the system sends only 1000 bits.*

*We can say that the link utilization is only  $1000/20,000$ , or 5 percent.  
For this reason, for a link with a high bandwidth or long delay, the use of Stop-and-Wait ARQ wastes the capacity of the link.*



### Example 11.5

*What is the utilization percentage of the link in Example 11.4 if we have a protocol that can send up to 15 frames before stopping and worrying about the acknowledgments?*

### *Solution*

*The bandwidth-delay product is still 20,000 bits. The system can send up to 15 frames or 15,000 bits during a round trip.*

*This means the utilization is  $15,000/20,000$ , or 75 percent. Of course, if there are damaged frames, the utilization percentage is much less because frames have to be resent.*

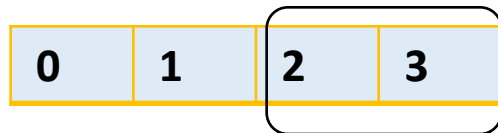
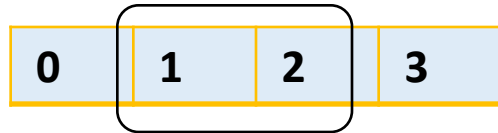
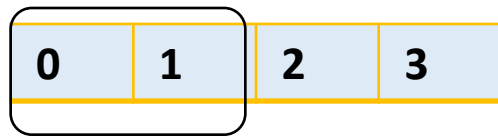
# Sliding window protocol

- Sequence number is given to each out bound frame from 0 to N.
- If the  $m$  bits allow for sequence number , the sequence number from 0 to  $2^m - 1$ .
- Sliding window : these are the imaginary boxes of transmitter and receiver ( buffer).

# Basic Sliding window protocol

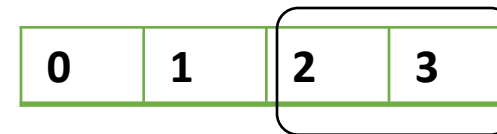
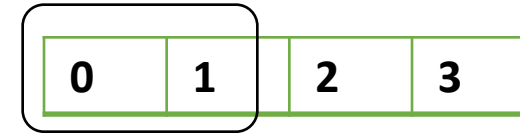
- Sliding window size is 2

Sender

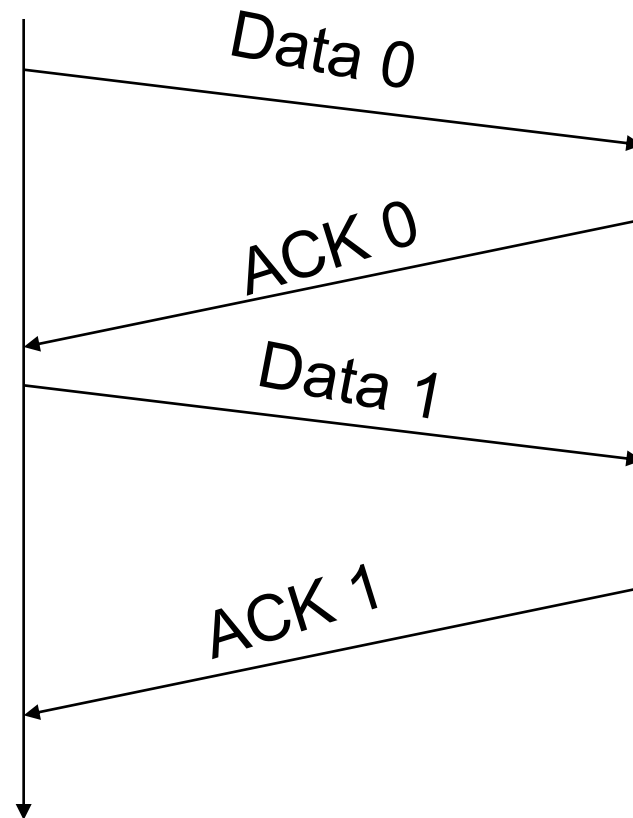


Move right, when ACK is received

Receiver

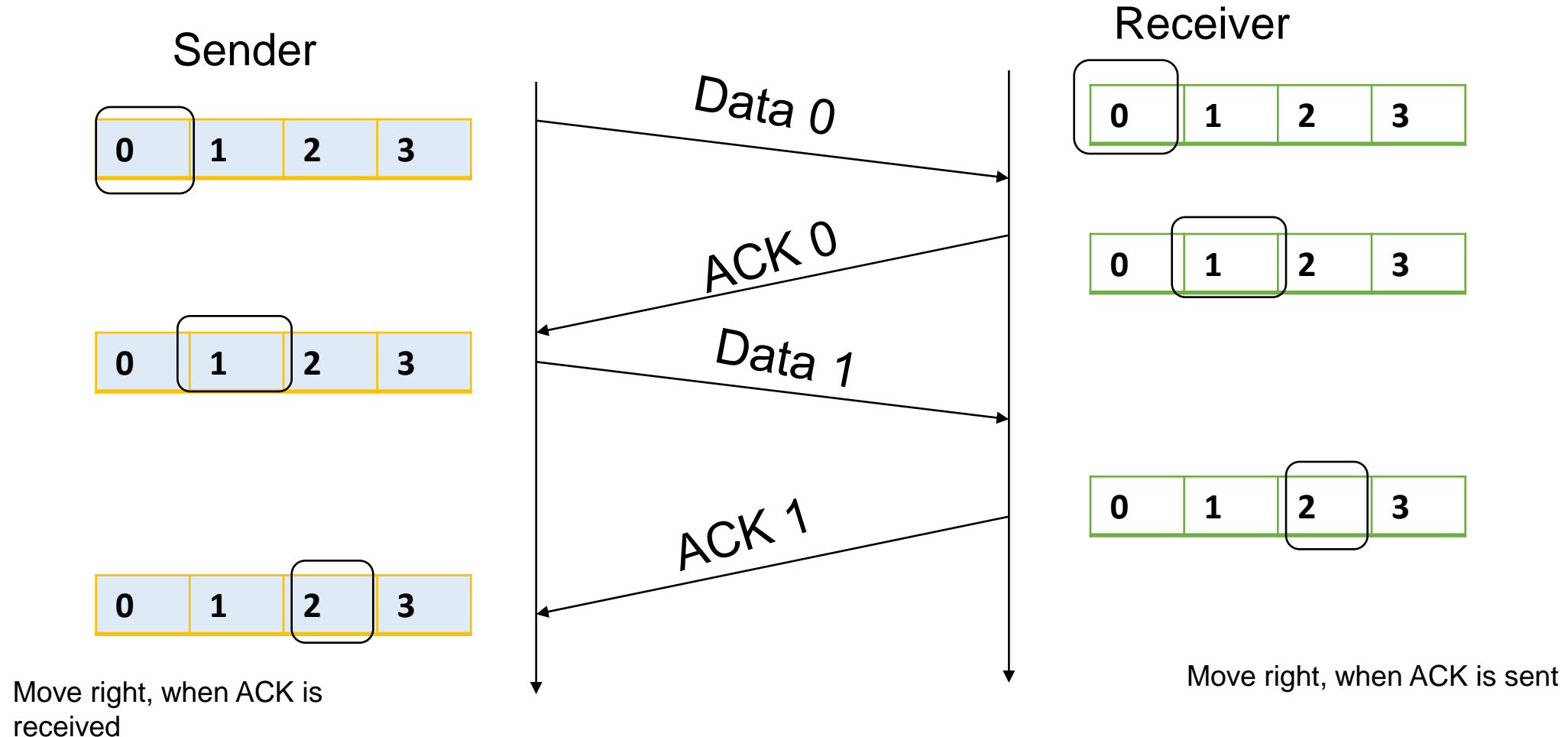


Move right, when ACK is sent



# Stop and Wait ARQ ( with sliding window)

- Maximum window size is 1 ( one bit protocol)





# Go-Back-N ARQ ( Automatic Repeat Request) Protocol

- To improve the efficiency of transmission (filling the pipe), multiple frames must be in transition while waiting for acknowledgment.
- Keep copy of frames until the acknowledgment arrive.
- Sender windows size is **N** and receiver window size is **1**
- If the  $m$  bits allow for sequence number , the sequence number from 0 to  $2m - 1$ .

if  $m=2$  then frame number from 0 to 3

$0, 1, 2, 3, 0, 1, 2, 3, 0, 1, 2, 3, 0, 1, 2, 3, 0, 1, 2, 3, 0, 1, 2, 3,$

# Go-Back-N ARQ ( Automatic Repeat Request) Protocol

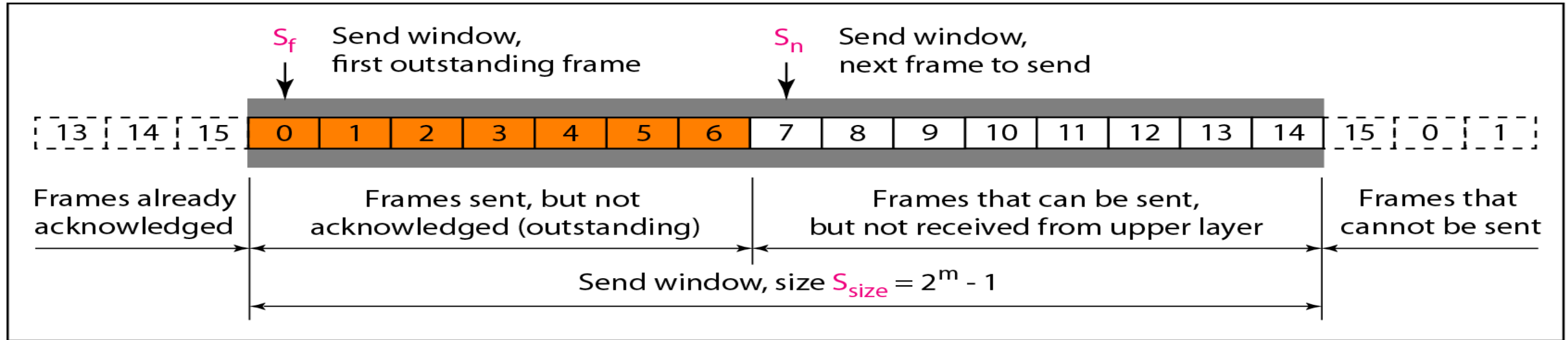
In the Go-Back-N Protocol, the sequence numbers are modulo  $2^m$ , where  $m$  is the size of the sequence number field in bits.

# Go-Back-N ARQ ( Automatic Repeat Request) Protocol

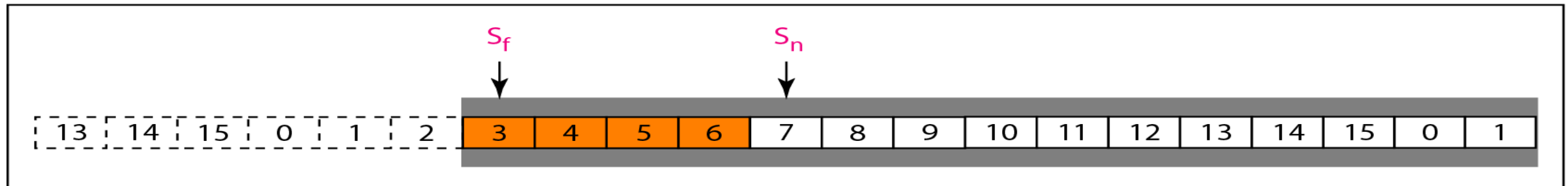
## Sliding Window

- Sliding window define the range of sequence numbers that is concern of the sender and receiver.
- The range which is the concern of the sender is called the ***send sliding window***
- The range that is the concern of the receiver is called the ***receive sliding window.***
- consider window size is  $m = 4$  , so frame sequence number is from 0 to 15.
- The window at any time divides the possible sequence numbers into four regions.

# Send window for Go-Back-N ARQ



a. Send window before sliding



b. Send window after sliding

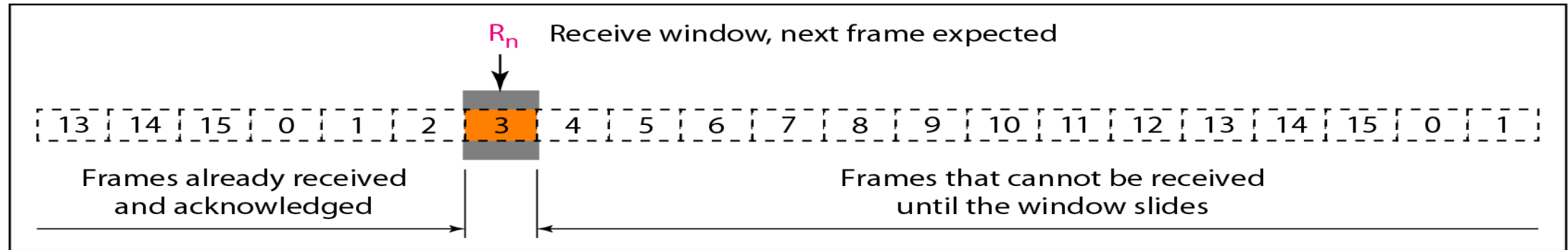
# Send window for Go-Back-N ARQ

The send window is an abstract concept defining an imaginary box of size  $2^m - 1$  with three variables: Sf, Sn, and S<sub>size</sub>.

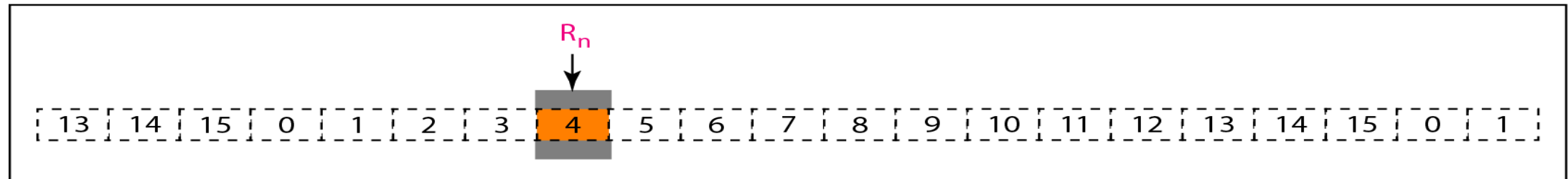
# Send window for Go-Back-N ARQ

The send window can slide one or more slots when a valid acknowledgment arrives.

# Receiver window for Go-Back-N ARQ



a. Receive window



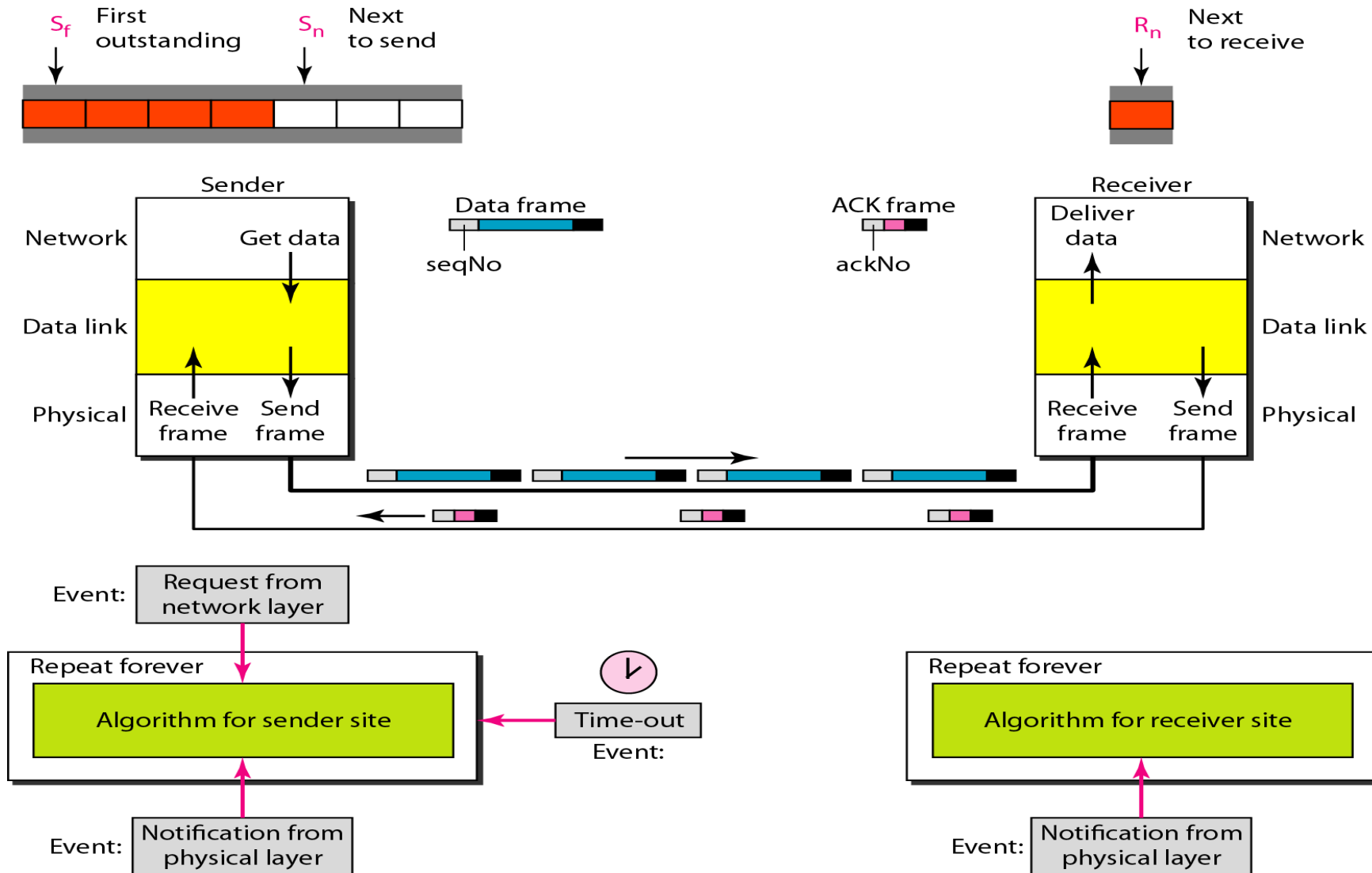
b. Window after sliding

# Receiver window for Go-Back-N ARQ

The receive window is an abstract concept defining an imaginary box of size 1 with one single variable  $R_n$ .  
The window slides  
when a correct frame has arrived; sliding occurs one slot at a time.



# Design of Go-Back-N ARQ





## *Note*

In Go-Back-N ARQ, the size of the send window must be less than  $2^m$ ;  
the size of the receiver window  
is always 1.

## Go-Back-N sender algorithm

```
1  Sw = 2m - 1;  
2  Sf = 0;  
3  Sn = 0;  
4  
5  while (true)                                //Repeat forever  
6  {  
7      WaitForEvent();  
8      if (Event (RequestToSend))              //A packet to send  
9      {  
10         if (Sn - Sf >= Sw)                 //If window is full  
11             Sleep();  
12         GetData();  
13         MakeFrame (Sn);  
14         StoreFrame (Sn);  
15         SendFrame (Sn);  
16         Sn = Sn + 1;  
17         if (timer not running)  
18             StartTimer();  
19     }  
20
```

(continued)

```
21  if (Event (ArrivalNotification))  //ACK arrives
22  {
23      Receive (ACK);
24      if (corrupted (ACK))
25          Sleep();
26      if ((ackNo > Sf) && (ackNo ≤ Sn))  //If a valid ACK
27          While (Sf ≤ ackNo)
28          {
29              PurgeFrame (Sf);
30              Sf = Sf + 1;
31          }
32          StopTimer();
33  }
34
35  if (Event (TimeOut))  //The timer expires
36  {
37      StartTimer();
38      Temp = Sf;
39      while (Temp < Sn);
40      {
41          SendFrame (Sf);
42          Sf = Sf + 1;
43      }
44  }
45 }
```

## Go-Back-N receiver algorithm

```
1  Rn = 0;
2
3  while (true)                                //Repeat forever
4  {
5      WaitForEvent();
6
7      if(Event(ArrivalNotification))           //Data frame arrives
8      {
9          Receive(Frame);
10         if(corrupted(Frame))
11             Sleep();
12         if(seqNo == Rn)                       //If expected frame
13         {
14             DeliverData();                     //Deliver data
15             Rn = Rn + 1;                       //Slide window
16             SendACK(Rn);
17         }
18     }
19 }
```



### *Note*

Stop-and-Wait ARQ is a special case of Go-Back-N ARQ in which the size of the send window is 1.

# Go-Back-N

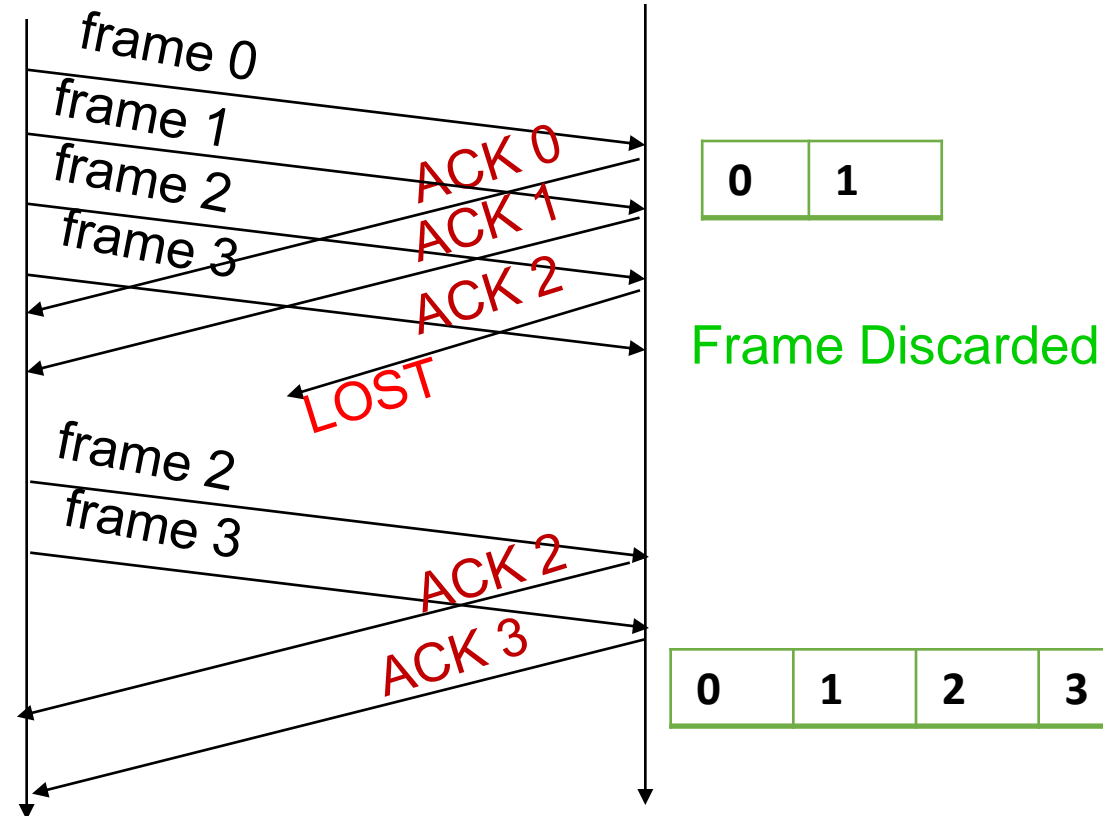
- Sliding window size is 4, ACK is lost



Timer

Sender

Receiver



# Go-Back-N

- Sliding window size is 4, Frame lost/damaged

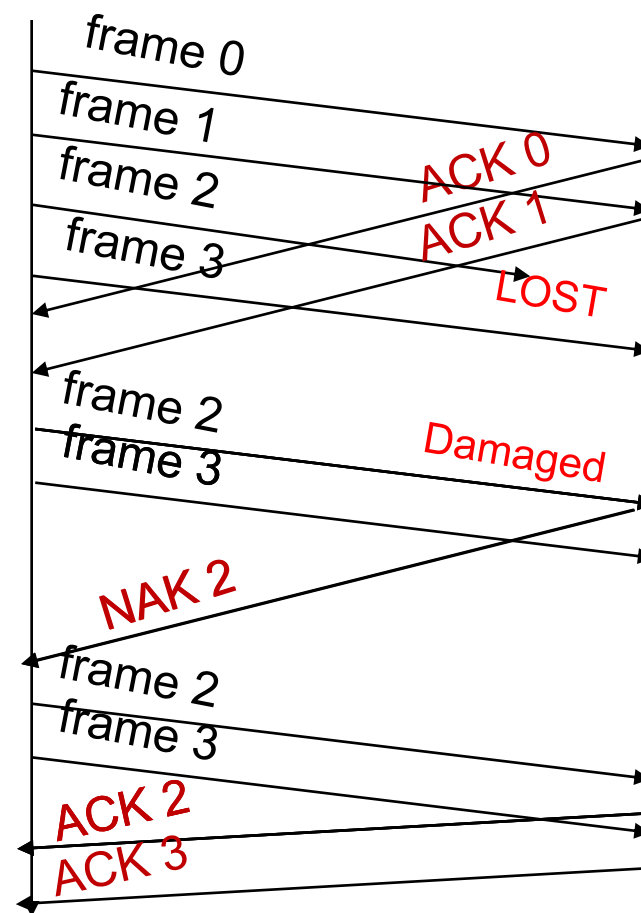
|   |   |   |   |
|---|---|---|---|
| 0 | 1 | 2 | 3 |
|---|---|---|---|

Sender

Receiver

|   |   |   |   |
|---|---|---|---|
| 0 | 1 | 2 | 3 |
|---|---|---|---|

Timer



|   |   |
|---|---|
| 0 | 1 |
|---|---|

Frame Discarded

Frame Discarded

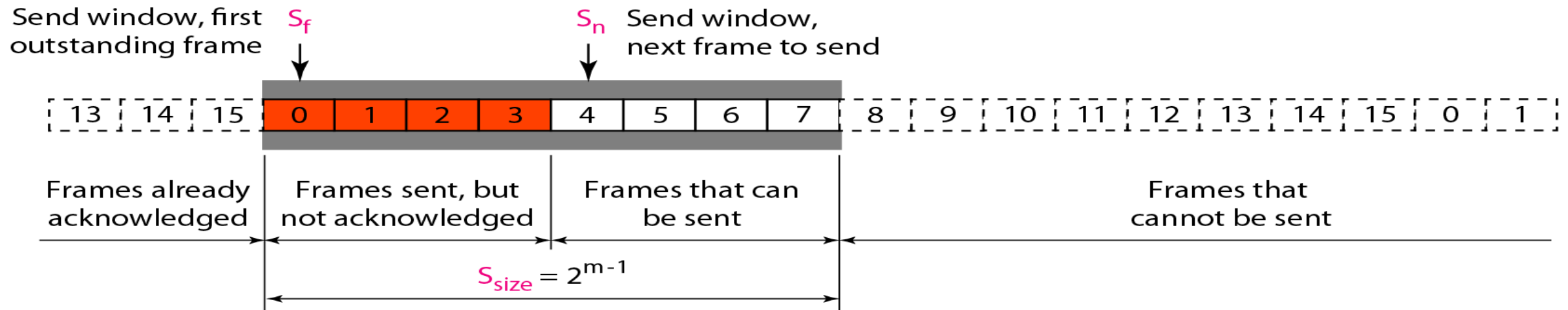
|   |   |   |   |
|---|---|---|---|
| 0 | 1 | 2 | 3 |
|---|---|---|---|



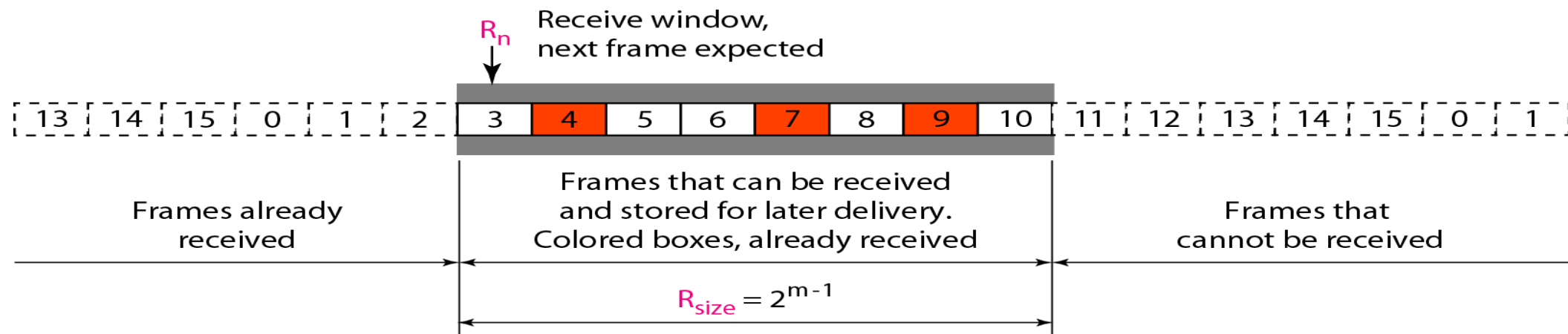
# Selective Repeat – ARQ ( Automatic Repeat Request) Protocol

- In Go-Back-N , the Sender windows size is **N** and receiver window size is **1**. so at receiver there is no need to buffer out-of-order frames.
- However, Go-Back-N is very inefficient for a noisy link. In a noisy link a frame has a higher probability of damage, which means the resending of multiple frames.
- This resending uses up the bandwidth and slows down the transmission. For noisy links, there is another mechanism that does not resend N frames when just one frame is damaged; only the damaged frame is resent. This mechanism is called Selective Repeat ARQ.
- It is more efficient for noisy links, but the processing at the receiver is more complex.
- In Selective Repeat ARQ , the Sender windows size is **N** and receiver window size is **N**.

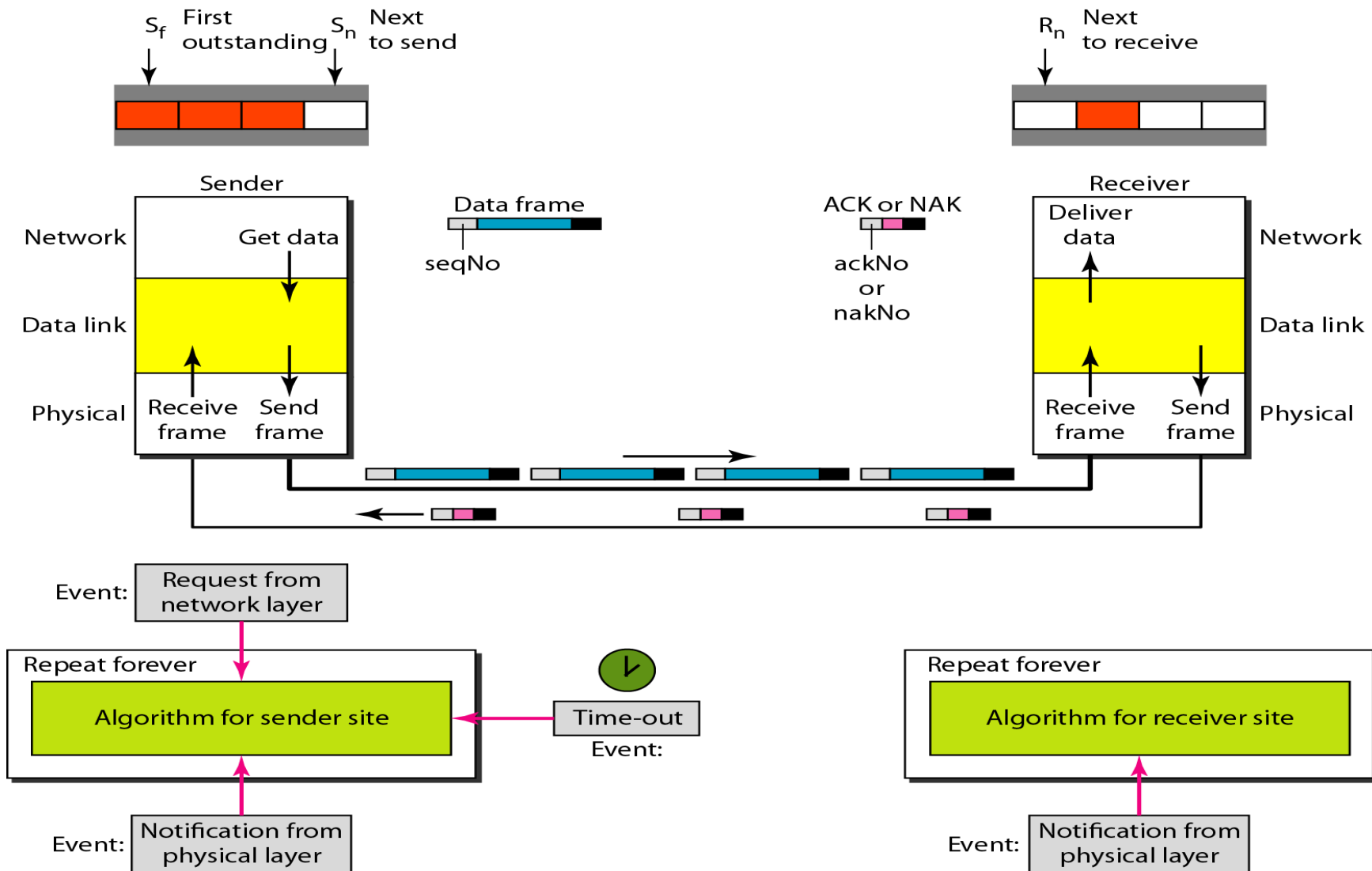
# Send window for Selective Repeat ARQ



# Receive window for Selective Repeat ARQ



# Design of Selective Repeat ARQ



## Sender-site Selective Repeat algorithm

```
1   $S_w = 2^{m-1} ;$ 
2   $S_f = 0 ;$ 
3   $S_n = 0 ;$ 
4
5  while (true)                                //Repeat forever
6  {
7      WaitForEvent() ;
8      if (Event (RequestToSend) )             //There is a packet to send
9      {
10         if ( $S_n - S_f \geq S_w$ )                //If window is full
11             Sleep() ;
12         GetData() ;
13         MakeFrame ( $S_n$ ) ;
14         StoreFrame ( $S_n$ ) ;
15         SendFrame ( $S_n$ ) ;
16          $S_n = S_n + 1 ;$ 
17         StartTimer ( $S_n$ ) ;
18     }
19
```

(continued)

## Sender-site Selective Repeat algorithm

(continued)

```
20  if (Event (ArrivalNotification)) //ACK arrives
21  {
22      Receive (frame); //Receive ACK or NAK
23      if (corrupted (frame))
24          Sleep();
25      if (FrameType == NAK)
26          if (nakNo between  $S_f$  and  $S_n$ )
27          {
28              resend (nakNo);
29              StartTimer (nakNo);
30          }
31      if (FrameType == ACK)
32          if (ackNo between  $S_f$  and  $S_n$ )
33          {
34              while ( $s_f < \text{ackNo}$ )
35              {
36                  Purge ( $s_f$ );
37                  StopTimer ( $s_f$ );
38                   $S_f = S_f + 1$ ;
39              }
40          }
41  }
```

(continued)

```
42
43  if (Event (TimeOut (t) ) )           //The timer expires
44  {
45      StartTimer (t) ;
46      SendFrame (t) ;
47  }
48 }
```

## Receiver-site Selective Repeat algorithm

```
1  Rn = 0;
2  NakSent = false;
3  AckNeeded = false;
4  Repeat(for all slots)
5      Marked(slot) = false;
6
7  while (true)                                //Repeat forever
8  {
9      WaitForEvent();
10
11     if(Event(ArrivalNotification))           //Data frame arrives
12     {
13         Receive(Frame);
14         if(corrupted(Frame)) && (NOT NakSent)
15         {
16             SendNAK(Rn);
17             NakSent = true;
18             Sleep();
19         }
20         if(seqNo <> Rn) && (NOT NakSent)
21         {
22             SendNAK(Rn);
```

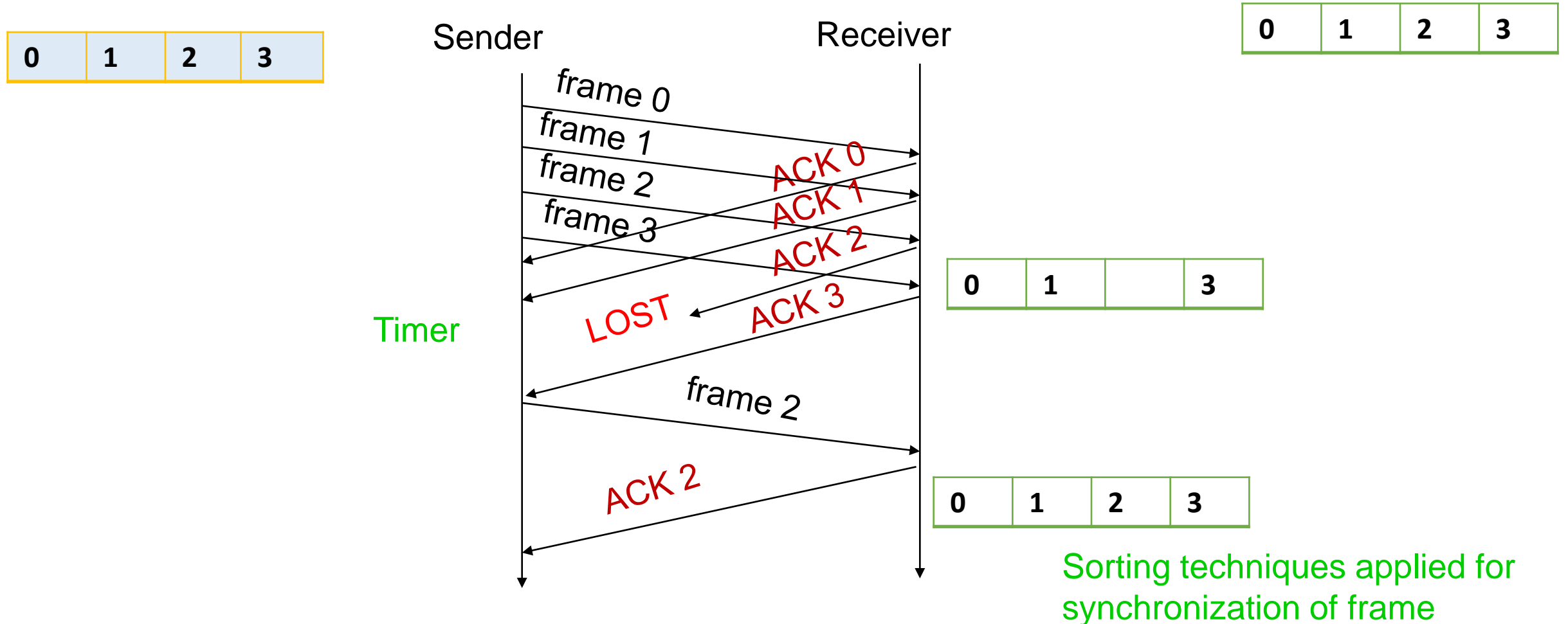


## Receiver-site Selective Repeat algorithm

```
23     NakSent = true;
24     if ((seqNo in window)&&(!Marked(seqNo)))
25     {
26         StoreFrame(seqNo)
27         Marked(seqNo)= true;
28         while(Marked(Rn))
29         {
30             DeliverData(Rn);
31             Purge(Rn);
32             Rn = Rn + 1;
33             AckNeeded = true;
34         }
35         if(AckNeeded);
36         {
37             SendAck(Rn);
38             AckNeeded = false;
39             NakSent = false;
40         }
41     }
42 }
43 }
44 }
```

# Selective Repeat – ARQ Example

- Sliding window size is 4, ACK is lost



# Selective Repeat – ARQ Example

- Sliding window size is 4, Frame lost/damaged

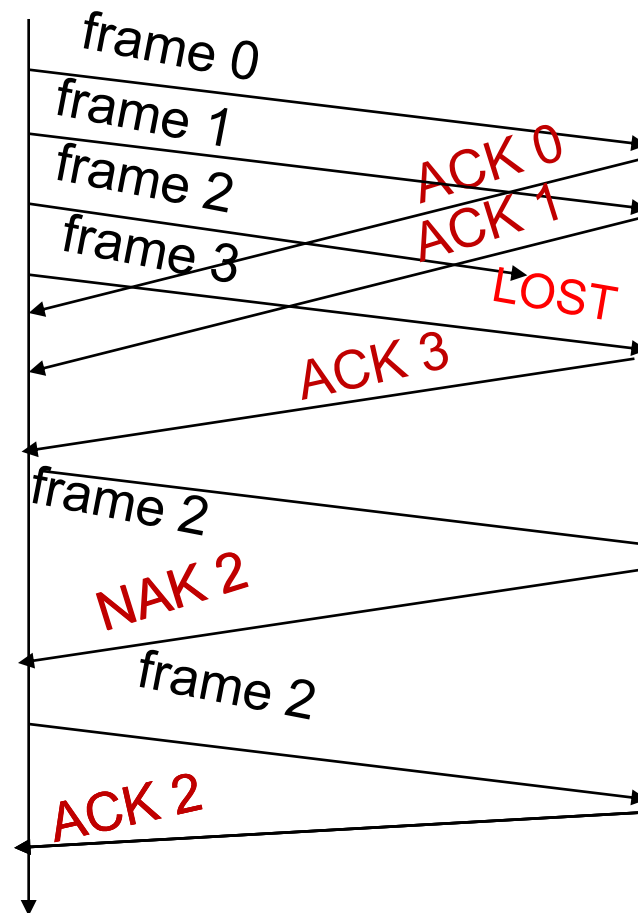
|   |   |   |   |
|---|---|---|---|
| 0 | 1 | 2 | 3 |
|---|---|---|---|

Sender

Receiver

|   |   |   |   |
|---|---|---|---|
| 0 | 1 | 2 | 3 |
|---|---|---|---|

Timer



|   |   |  |   |
|---|---|--|---|
| 0 | 1 |  | 3 |
|---|---|--|---|

Frame Discarded

|   |   |  |   |
|---|---|--|---|
| 0 | 1 |  | 3 |
|---|---|--|---|

|   |   |   |   |
|---|---|---|---|
| 0 | 1 | 2 | 3 |
|---|---|---|---|

Sorting techniques  
applied for  
synchronization of frame

# Go- Back –N ARQ and Selective Repeat ARQ

## Go- Back –N ARQ

- Retransmitted rest of 'N' no of frames in case of any problem.
- If error – rate is high, it wastes lot of bandwidth.
- Less complicated.
- Sorting is not require.
- Most often used.

## Selective Repeat ARQ

- Retransmitted only those frames that have problem.
- Less wastage of bandwidth.
- More complicated.
- Sorting is require.
- Rarely used, due to complexity of algorithm.

## piggybacking in Go-Back-N ARQ

- The three protocols we discussed in this section are all unidirectional: data frames flow in only one direction although control information such as ACK and NAK frames can travel in the other direction.
- In real life, data frames are normally flowing in both directions: from node A to node B and from node B to node A.
- This means that the control information also needs to flow in both directions. A technique called piggybacking is used to improve the efficiency of the bidirectional protocols.
- When a frame is carrying data from A to B, it can also carry control information about arrived (or lost) frames from B; when a frame is carrying data from B to A, it can also carry control information about the arrived (or lost) frames from A.

# Design of piggybacking in Go-Back-N ARQ

