

# Homework 5

**\*\* Problem 1.** Find  $f \in \text{Aut}(\mathbb{C})$  such that  $f$  is not the identity or the conjugate function.

*Proof.* Consider some element  $a \in \mathbb{C}$  such that  $a$  is the root of a polynomial in  $\mathbb{Q}[x]$ . Let the polynomial of least degree with this property be  $p$ . Let  $f$  be an automorphism with domain  $\mathbb{Q}$ . Then there exists an isomorphism extending  $f$  to  $\mathbb{Q}(a)$  and sending  $a$  to  $b$  if and only if  $b$  is the root of a polynomial obtained by applying  $f$  to the coefficients of  $p$ . Here,  $\mathbb{Q}(a)$  denotes the extension of  $\mathbb{Q}$  generated by  $a$  and is the intersection of all subfields of  $\mathbb{C}$  which contain  $\mathbb{Q}$  and  $a$ . It is now possible to use Zorn's Lemma to show that any isomorphism,  $f$ , with domain  $\mathbb{Q}$  can be extended to an isomorphism of  $\mathbb{A}$ . Let  $F$  be the set of isomorphisms extending  $f$  to a subfield of  $\mathbb{A}$ .  $F$  is nonempty since  $f$  extends itself to  $\mathbb{Q}$ . Consider isomorphisms of subfields of  $\mathbb{C}$  as sets of ordered pairs. Let  $C$  be a chain of sets from  $F$  and let  $D$  be the union of all the isomorphisms in  $C$ . Let  $(a, b), (c, d) \in D$ . Then  $(a, b) \in f_1$  and  $(c, d) \in f_2$  for isomorphisms in  $F$ . Since  $C$  is a chain it follows that  $(a, b)$  and  $(c, d)$  are in the same isomorphism since  $f_1 \subseteq f_2$  or  $f_2 \subseteq f_1$ . From here it follows that  $D$  is an isomorphism in  $F$ . Use Zorn's Lemma to choose  $g$  as a maximal element of  $F$ . Suppose that the domain of  $g$  is not  $\mathbb{A}$ . Then there exists  $a \in \mathbb{A}$  not in the domain. But we've already shown that we can extend  $g$  to include this element. This isomorphism will be in  $F$  as well and  $g$  is not the maximal element. This is a contradiction and so the domain of  $g$  is  $\mathbb{A}$ . A similar proof using Zorn's Lemma shows that for any isomorphism on a finite extension of  $\mathbb{Q}$  we can create an automorphism of  $\mathbb{C}$ . □

**\*\* Problem 2.** Show that  $\mathbb{A}$  and  $\mathbb{A}_{\mathbb{R}}$  are fields.

*Proof.* We have that  $\mathbb{A}$  is the set of numbers which are roots of elements in  $\mathbb{Z}[x]$ . Note that we can equivalently replace  $\mathbb{Z}[x]$  with  $\mathbb{Q}[x]$  by taking any element of  $\mathbb{Q}[x]$  and multiplying by the least common denominator of each of the coefficients. We first need to show that  $\mathbb{A}$  is closed under addition and multiplication. Let  $x, y \in \mathbb{A}$  such that  $u(x) = \sum_{i=0}^n a_i x^i = 0$  and  $v(y) = \sum_{i=0}^m b_i y^i = 0$ . Suppose that  $u$  and  $v$  are the polynomials of least degree with coefficients in  $\mathbb{Q}$  and  $x$  and  $y$  as roots. Then we can say that the sets

$$A = \{1, x, x^2, \dots, x^{n-1}\}$$

and

$$B = \{1, y, y^2, \dots, y^{m-1}\}$$

are linearly independent. Note that we can create  $x^n$  from a linear combination of elements from  $A$ . To see this note that

$$x^n = -\frac{1}{a_n} \sum_{i=0}^{n-1} a_i x^i.$$

Additionally, if we multiply both sides of this equation by  $x$  we have

$$x^{n+1} = -\frac{1}{a_n} \sum_{i=0}^{n-1} a_i x^{i+1}$$

where the sum is a linear combination of elements of  $A$ . Inductively this shows that  $x^k \in \langle A \rangle$  where  $k \in \mathbb{N} \cup \{0\}$  and  $\langle A \rangle$  denotes the span of  $A$  over  $\mathbb{Q}$ . Similarly,  $y^l \in \langle B \rangle$  where  $l \in \mathbb{N} \cup \{0\}$ . Also note that the set

$$C = \{1, x, x^2, \dots, x^{n-1}, xy, xy^2, \dots, x^{n-1}y^{m-2}, x^{n-1}y^{m-1}\}$$

is finite and that  $x^k y^l \in \langle C \rangle$  for all  $k, l \in \mathbb{N} \cup \{0\}$ . Thus there exists some finite basis  $C'$  for the space spanned by  $C$ . Now suppose that there exists no polynomial with coefficients in  $\mathbb{Q}$  where

$$w(x+y) = \sum_{i=0}^p c_i (x+y)^i = \sum_{i=0}^p c_i \sum_{j=0}^i \binom{i}{j} x^j y^{i-j} = 0$$

where we've used the binomial theorem to expand each term. But if this is the case for all  $p \in \mathbb{N}$ , we will never have a set of products of powers of  $x$  and  $y$  which is linearly independent. Thus, a basis for all products of powers of  $x$  and  $y$  must be infinite. But  $C'$  is a finite basis. This is a contradiction and so there must exist a polynomial in  $\mathbb{Q}[x]$  such that  $w(x+y) = 0$ . The same proof holds for a polynomial  $w'(xy) = 0$ . Thus,  $\mathbb{A}$  and therefore  $\mathbb{A}_{\mathbb{R}}$  are closed under addition and multiplication.

At this point, the axioms for commutativity and associativity of multiplication and distributivity follow from the fact that  $\mathbb{C}$  and  $\mathbb{R}$  are fields. Note that 0 and 1 are algebraic numbers from the polynomials  $x = 0$  and  $x - 1 = 0$ . Thus the additive and multiplicative identities for  $\mathbb{C}$  and  $\mathbb{R}$  are in  $\mathbb{A}$  and  $\mathbb{A}_{\mathbb{R}}$ . Note also that  $r(x) = x + 1 = 0$  shows that  $-1 \in \mathbb{A}$  and since  $\mathbb{A}$  is closed under multiplication, if  $x \in \mathbb{A}$  then  $-1 \cdot x = -x$  so  $-x \in \mathbb{A}$ . The same is true for  $\mathbb{A}_{\mathbb{R}}$ . Thus, additive inverses are in  $\mathbb{A}$  and  $\mathbb{A}_{\mathbb{R}}$ . We are left with multiplicative inverses. Let  $x \in \mathbb{A}_{\mathbb{R}}$  such that

$$p(x) = \sum_{i=0}^n a_i x^i = 0.$$

Then multiply both sides by  $1/x^n$  so that we have

$$0 = \sum_{i=0}^n a_i x^{i-n} = \sum_{i=0}^n a_i \left(\frac{1}{x}\right)^{n-i}.$$

Thus, there exists a polynomial with  $1/x$  as a root and so  $1/x \in \mathbb{A}_{\mathbb{R}}$ . Finally, let  $z \in \mathbb{A}$  such that  $z = a + bi$ . Then there exists a polynomial in  $\mathbb{Q}[x]$  such that

$$p(z) = \sum_{i=0}^n a_i z^i = 0.$$

Then

$$\sum_{i=0}^n a_i \bar{z}^i = \sum_{i=0}^n a_i \overline{z^i} = \sum_{i=0}^n \overline{a_i z^i} = \overline{\sum_{i=0}^n a_i z^i} = \overline{0} = 0$$

and so  $\bar{z}$  is a root of  $p$  as well. Note also that  $z + \bar{z} \in \mathbb{A}$  and  $z + \bar{z} = 2a$  and  $z - \bar{z} \in \mathbb{A}$  and  $z - \bar{z} = bi$ . Since  $i \in \mathbb{A}$  from the equation  $x^2 + 1 = 0$ , we see that  $a, b \in \mathbb{A}$  if  $a + bi \in \mathbb{A}$ . Thus  $|z| \in \mathbb{A}$  and so  $1/|z| \in \mathbb{A}$  and finally  $\bar{z}/|z| \in \mathbb{A}$  from closure under multiplication. Thus the multiplicative inverse for  $z$  is algebraic. Since all the axioms are met for both  $\mathbb{A}$  and  $\mathbb{A}_{\mathbb{R}}$ , they are both fields.  $\square$

**\*\* Problem 3.** Find  $\text{Aut}(\mathbb{A}_{\mathbb{R}})$  and  $\text{Aut}(\mathbb{A})$ .

*Proof.* Let  $a \in \mathbb{A}_{\mathbb{R}}$  such that  $a > 0$ . Then  $a = b^2$  for some  $b \in \mathbb{A}_{\mathbb{R}}$ . To see this note that  $a$  is a root of some polynomial  $p(x) = \sum_{i=0}^n a_n x^n$  such that  $p(a) = p(b^2) = 0$ . Then consider  $p'(x) = \sum_{i=0}^n a_n (x^2)^n$  and  $p'(b) = 0$ . Thus  $b \in \mathbb{A}_{\mathbb{R}}$ . Now let  $f$  be an automorphism of  $\mathbb{A}_{\mathbb{R}}$ . Then

$$f(a) = f(b^2) = f(b \cdot b) = f(b) \cdot f(b) = (f(b))^2 > 0$$

since  $\mathbb{A}_{\mathbb{R}}$  is a field. Thus if  $a > 0$  then  $f(a) > 0$  and so automorphisms of  $\mathbb{A}_{\mathbb{R}}$  preserve order. Note that  $\mathbb{Q} \subseteq \mathbb{A}_{\mathbb{R}}$  because for any rational  $q \in \mathbb{Q}$ ,  $q$  is a root of  $x - q$ . Then the rationals are fixed under  $f$ . Suppose that  $a < f(a)$ . Then there exists  $r \in \mathbb{Q}$  such that  $a < r < f(a)$ . But then  $f(a) < f(r) = r$ . Hence  $a \geq f(a)$ .

A similar proof shows that  $a \leq f(a)$  and thus  $a = f(a)$ . Thus  $\text{Aut}(\mathbb{A}_{\mathbb{R}}) = \{I\}$  where  $I$  is the identity function.

Certainly the identity and complex conjugation are in  $\text{Aut}(\mathbb{A})$ . From \*\* Problem 1 we see that there are other elements which arise from finite extensions of  $\mathbb{Q}$  which are generated by algebraic numbers.  $\square$

**\*\* Problem 4.** Complete Project 10.2 for Chapter 3.

**\*\* Problem 4.1** Determine which of the following converge:

- 1)  $a_n = 1$  for all  $n$ .
- 2)  $a_n = 1/n$ .
- 3)  $a_n = 1/2^n$ .
- 4)  $a_n = (-1)^{n+1}$ .
- 5)  $a_n = (-i)^{n+1}/(n^2 + 1)$ .
- 6)  $a_n = e^{in\theta}/n$  for a fixed  $0 \leq \theta \leq 2\pi$ .
- 7)  $a_n = \sin(n\pi)/n^2$ .

*Proof.* 1) We see that  $\sum_{n=1}^{\infty} a_n$  diverges. To show this, suppose it converges to  $L$ . Let  $\varepsilon = 1/2$ . Then for all  $N \in \mathbb{N}$ , use the Archimedean Property choose  $n$  such that  $n > |L + 1|$ . Then  $|S_n - L| \geq 1/2 = \varepsilon$ .

2) Group the terms of  $(a_n)$  to make a new sequence  $(b_k)$  such that

$$b_k = \sum_{i=n_{k-1}+1}^{n_k} \frac{1}{n}$$

where  $n_k = 2^{k-1}$  for  $k \in \mathbb{N}$  and  $n_0 = 0$ . Note that for  $k \geq 2$ ,  $b_k$  has  $2^{k-1} - 2^{k-2} = 2^{k-2}$  terms, the smallest of which is  $1/2^{k-1}$ . Thus, for all  $k \geq 2$ ,  $b_k \geq 2^{k-2}/2^{k-1} = 1/2$ . Also  $b_1 = \sum_{n=1}^1 1/n = 1$ . So for all  $k \in \mathbb{N}$  we have  $b_k \geq 1/2$ . But then there are no terms of  $(b_k)$  in  $(-1/2; 1/2)$  so  $\lim_{k \rightarrow \infty} b_k \neq 0$ . Thus,  $\sum_{k=1}^{\infty} b_k$  is not convergent and therefore  $\sum_{n=1}^{\infty} 1/n$  is not convergent.

3) Let  $\varepsilon > 0$  and choose  $N$  such that  $1/N < \varepsilon$ . Then for  $n > N$  we have  $1/2^n < 1/N$  since  $2^n > N$ . Thus for all  $n > N$  we have  $|1 - S_N| = |1 - 1 + 1/2^n| = 1/2^n < 1/N < \varepsilon$ . Thus  $\sum_{n=1}^{\infty} a_n = 1$ .

4) This series diverges since the partial sums are either 1 or 0. Thus, for any value  $a \in \mathbb{C}$ , there exists some ball  $B_r(a)$  such that  $|a| < r$  and there are infinitely many terms of  $(S_N)$  which are not in  $B_r(a)$ .

5) This sequence can be broken up into a real sequence

$$a'_n = \frac{(-i)^{2n}}{n^2 + 1}$$

and an imaginary sequence

$$a''_n = \frac{(-i)^{2n-1}}{n^2 + 1}.$$

Each of these series converges using the comparison test and the fact that  $\sum_{n=1}^{\infty} 1/n^2$  converges. Thus, the original sequence must also converge.

6) This series will converge for particular values of  $\theta$ . For example, if  $\theta = \pi$  then  $e^{in\pi}/n = (\cos(n\pi) + i \sin(n\pi))/n = (-1)^n/n$ . Then we have  $\sum_{n=1}^{\infty} (-1)^n/n$  converges by the alternating series test.

7) Note that  $\sin(n\pi) = 0$  for all  $n \in \mathbb{N}$  so that we have  $a_n = 0$  for all  $n$ . Then  $\sum_{n=1}^{\infty} a_n = 0$ .  $\square$

**\*\* Problem 4.2** Suppose that a series  $\sum_{n=1}^{\infty} a_n$  converges. Show that  $\lim_{n \rightarrow \infty} a_n = 0$ .

*Proof.* Let  $\sum_{n=1}^{\infty} a_n = S$ . Then the sequence of partial sums  $(S_N)$  converges to  $S$  and  $(S_N)$  is a Cauchy sequence. Thus for all  $\varepsilon > 0$  there exists  $N' \in \mathbb{N}$  such that for all  $n, m > N'$  we have  $|S_n - S_m| < \varepsilon$ . But note that  $S_{n+1} - S_n = a_{n+1}$  so for  $n > N' + 1$  we have  $|a_n| < \varepsilon$  which means  $\lim_{n \rightarrow \infty} a_n = 0$ .  $\square$

**\*\* Problem 4.3** 1) If  $N \in \mathbb{N}$  and  $z \neq 1$  show that  $S_N = \sum_{n=0}^N z^n = \frac{1-z^{N+1}}{1-z}$   
 2) If  $|z| < 1$ , show that  $\lim_{n \rightarrow \infty} z^n = 0$ .  
 3) If  $|z| > 1$ , show that  $\lim_{n \rightarrow \infty} z^n$  does not exist.

*Proof.* 1) Note that

$$\sum_{n=0}^N z^n = 1 + z + z^2 + \cdots + z^N.$$

Multiply both sides of this equality by  $1 - z$ . Then we have

$$(1 - z) \sum_{n=0}^N z^n = (1 - z)(1 + z + z^2 + \cdots + z^N) = 1 - z^{N+1}$$

and since  $z \neq 1$  we have  $1 - z \neq 0$  so we can multiply by  $1/(1 - z)$  to obtain

$$\sum_{n=0}^N z^n = \frac{1 - z^{N+1}}{1 - z}.$$

2) Let  $\varepsilon > 0$  and let  $N \in \mathbb{N}$  such that  $N \geq 2$  and  $1/N < \varepsilon$ . Then for all  $n > N$  we have  $|z^n| < 1/N$  since  $|z| < 1$ . Thus,  $\lim_{n \rightarrow \infty} z^n = 0$ .

3) Note that since  $|z| > 1$ , it follows that  $z^n$  is unbounded. Then for any complex number  $w$  there exists a ball  $B_r(w)$  with infinitely many points of  $z^n$  outside of it. Thus,  $z^n$  cannot converge to  $w$ .  $\square$

**\*\* Problem 4.4** What can you say if  $|z| = 1$ ?

*Proof.* If  $z \in \mathbb{R}$  then  $\lim_{n \rightarrow \infty} z^n = 1$ . If  $z$  is purely imaginary, then  $z^n$  will not converge as  $i^n$  will cycle through four different values.  $\square$

**\*\* Problem 4.5** Show that by removing an infinite number of terms from the series  $\sum_{n=1}^{\infty} 1/n$ , the remaining subseries can be made to converge to any real number.

*Proof.* Let  $c \in \mathbb{R}$  and suppose that it is not possible to remove infinitely many terms of  $a_n = 1/n$  so that the subseries,  $\sum_{n=1}^{\infty} b_n$ , converges to  $c$ . Consider the partial sums  $S_N = \sum_{n=1}^N b_n$ . Then there exists some  $\varepsilon > 0$  such that for all  $N$  there exists an  $n > N$  such that  $|S_n - c| \geq \varepsilon$ . But then we can add or remove terms of  $(a_n)$  until the inequality is satisfied.  $\square$

**\*\* Problem 4.6** If  $p \in \mathbb{R}$  show that  $\sum_{n=1}^{\infty} 1/n^p$  diverges for  $p < 1$  and converges for  $p > 1$ .

*Proof.* 1) Let  $S_n$  be the  $n$ th partial sum. Then

$$S_{2n} = 1 + \frac{1}{2^p} + \frac{1}{3^p} + \cdots + \frac{1}{(2n)^p} = 1 + \left( \frac{1}{2^p} + \frac{1}{4^p} + \cdots + \frac{1}{(2n)^p} \right) + \left( \frac{1}{3^p} + \frac{1}{5^p} + \cdots + \frac{1}{(2n-1)^p} \right).$$

If  $p > 1$  then we have

$$S_{2n} > 1 + \frac{1}{2^p} S_n + \left( \frac{1}{4^p} + \frac{1}{6^p} + \cdots + \frac{1}{(2n)^p} \right)$$

which means

$$S_{2n} > 1 + \frac{1}{2^p} S_n - \frac{1}{2^p} + \frac{1}{2^p} S_n = 1 - \frac{1}{2^p} + \frac{2}{2^p} S_n$$

and

$$S_{2n} < 1 + \frac{2}{2^p} S_n.$$

Thus

$$\frac{2^p - 1}{2^p} + \frac{2}{2^p} S_n < S_{2n} < 1 + \frac{2}{2^p} S_n.$$

A similar proof shows that for  $p < 1$  we have

$$1 + \frac{2}{2^p} S_n < S_{2n} < \frac{2^p - 1}{2^p} + \frac{2}{2^p} S_n.$$

For  $p < 0$  we see that  $1/n^p > 1$  for large enough values of  $n$  and so the series will eventually diverge and for  $p = 0$  we have the constant sequence 1 which will diverge. Assume that  $\lim_{n \rightarrow \infty} S_n = S$ . Let  $0 < p \leq 1$ . Then from the second inequality we have

$$1 < S - \frac{2}{2^p} S < 1 - \frac{1}{2^p}$$

which is a contradiction. Thus,  $\sum_{n=1}^{\infty} 1/n^p$  diverges for  $p \leq 1$ . Now consider  $p > 1$ . Then from the first inequality we have

$$S - \frac{2}{2^p} S = \frac{2^p - 2}{2^p} S < 1$$

which means

$$S < \frac{2^p}{2^p - 2}.$$

So  $S_n$  is a bounded and increasing sequence. Thus it must converge. □

**\*\* Problem 4.7** 1) Suppose  $a_n > 0$  for  $n \in \mathbb{N}$  and  $\sum_{n=1}^{\infty} a_n$  converges. If  $b_n \in \mathbb{C}$  satisfies  $|b_n| \leq a_n$  for all  $n$ , then the series  $\sum_{n=1}^{\infty} b_n$  converges absolutely and thus converges.

2) If the series  $\sum_{n=1}^{\infty} a_n$  converges to  $s$  and  $c$  is any constant show that the series  $\sum_{n=1}^{\infty} ca_n$  converges to  $cs$ .

3) Suppose that  $\sum_{n=1}^{\infty} a_n$  and  $\sum_{n=1}^{\infty} b_n$  are infinite series. Suppose that  $a_n > 0$  and  $b_n > 0$  for  $n \in \mathbb{N}$  and  $\lim_{n \rightarrow \infty} a_n/b_n = c > 0$ . Show that  $\sum_{n=1}^{\infty} a_n$  converges if and only if  $\sum_{n=1}^{\infty} b_n$  converges.

*Proof.* 1) Note that  $a_n > 0$  for all  $n$  and so  $\sum_{n=1}^{\infty} a_n = \sum_{n=1}^{\infty} |a_n|$  is an absolutely convergent sequence. Then the sequence of partial sums,  $(S_n)$  is convergent and therefore bounded. Thus there exists  $C \in \mathbb{R}$  such that  $S_n \leq C$  for all  $n \in \mathbb{N}$ . But then since  $|b_n| \leq a_n = |a_n|$  for all  $n$  we have

$$\sum_{n=1}^N |b_n| \leq \sum_{n=1}^N a_n \leq C.$$

Thus, the sequence of partial sums,  $(T_n)$ , for  $\sum_{n=1}^{\infty} |b_n|$  is bounded. But also  $|b_n| \geq 0$  and so

$$T_{N+1} = \sum_{n=1}^N |b_n| + |b_{N+1}| = T_N + |b_{N+1}| \geq T_N.$$

Thus  $(T_n)$  is a bounded increasing sequence and therefore it is convergent. Thus  $\sum_{n=1}^{\infty} b_n$  is absolutely convergent.

2) Suppose that  $\sum_{n=1}^{\infty} a_n = s$ . Then note that

$$cS_N = c \sum_{n=1}^N a_n = \sum_{n=1}^N ca_n.$$

We know that  $\lim_{n \rightarrow \infty} S_n = s$  so let  $\varepsilon > 0$  and consider  $\varepsilon/|c|$ . There exists  $N$  such that for all  $n > N$  we have  $|S_n - s| < \varepsilon/|c|$ . Then  $|c||S_n - s| = |cS_n - cs| < \varepsilon$ . Thus,  $\lim_{n \rightarrow \infty} cS_n = cs$  and so  $\sum_{n=1}^{\infty} ca_n = cs$ .

3) Assume that  $\sum_{n=1}^{\infty} a_n = s$ . Then note that

$$cs = c \sum_{n=1}^{\infty} a_n = \sum_{n=1}^{\infty} ca_n = \lim_{n \rightarrow \infty} \frac{a_n}{b_n} s_n.$$

From we see that  $\sum_{n=1}^{\infty} b_n$  must converge by the Comparison Test. A similar proof holds for the converse with the fact that  $c > 0$ . □

**\*\* Problem 4.8** Let  $\sum_{n=1}^{\infty} a_n$  be a series of nonzero numbers. Give examples to show that if  $\lim_{n \rightarrow \infty} |a_{n+1}/a_n| = r = 1$ , the series may converge or diverge.

*Proof.* Consider  $a_n = 1/n$  then  $\lim_{n \rightarrow \infty} |a_{n+1}/a_n| = \lim_{n \rightarrow \infty} (n+1)/n = 1$ , but  $\sum_{n=1}^{\infty} a_n$  diverges. Similarly, if  $b_n = 1/n^2$  then  $\lim_{n \rightarrow \infty} |b_{n+1}/b_n| = \lim_{n \rightarrow \infty} (n+1)^2/n^2 = 1$ , and  $\sum_{n=1}^{\infty} b_n$  converges. □

**\*\* Problem 4.9** Let  $(x_n)_{n \in \mathbb{N}}$  be a bounded sequence of non-negative real numbers and let  $x_0 = \limsup_{n \rightarrow \infty} x_n$ . For any  $\varepsilon > 0$ , show that there are only finitely many terms of the sequence greater than  $x_0 + \varepsilon$ , whereas there are infinitely many terms less than  $x_0 + \varepsilon$ .

*Proof.* We know that  $x_0$  is the limit of a sequence  $(y_n)$  where

$$y_n = \sup\{a_k \mid k \geq n\}.$$

Thus  $(y_n)$  is a decreasing sequence. Let  $\varepsilon > 0$  and choose  $n$  such that  $|x_0 - y_n| < \varepsilon$ . Since  $(y_n)$  is decreasing we have  $x_0 < y_n < x_0 + \varepsilon$ . By definition,  $y_n$  is greater than or equal to every term of  $(x_n)$  except for those with indices less than  $n$ . The fact that  $y_n < x_0 + \varepsilon$  gives us the strict inequality for finitely many terms greater than  $x_0 + \varepsilon$  and infinitely many less than  $x_0 + \varepsilon$ . □

**\*\* Problem 4.10** Let  $\sum_{n=1}^{\infty} a_n$  be a series. Give examples to show that if  $\limsup_{n \rightarrow \infty} |a_n|^{1/n} = r = 1$  then the series may converge or diverge.

*Proof.* Consider  $a_n = 1^n$  then  $\limsup_{n \rightarrow \infty} |a_n|^{1/n} = \limsup_{n \rightarrow \infty} (1^n)^{1/n} = 1$ , but  $\sum_{n=1}^{\infty} a_n$  diverges. Similarly, if  $b_n = 1/n^2$  then  $\limsup_{n \rightarrow \infty} |b_n|^{1/n} = \limsup_{n \rightarrow \infty} (1/n^2)^{1/n} = 1$ , and  $\sum_{n=1}^{\infty} b_n$  converges. □

**\*\* Problem 4.11** Let  $\sum_{n=1}^{\infty} a_n$  is a series such that  $r = \lim_{n \rightarrow \infty} |a_{n+1}|/|a_n|$  exists. Show that  $\limsup_{n \rightarrow \infty} |a_n|^{1/n} = r$  as well.

*Proof.* This follows from the fact that  $|a_n|^{1/n} \leq |a_{n+1}|/|a_n|$  for large enough values of  $n$ . Then  $||a_n|^{1/n} - r| < ||a_{n+1}|/|a_n| - r| < \varepsilon$  if given  $\varepsilon > 0$ . □

**\*\* Problem 4.12** Show that if a complex power series around  $z_0$  converges absolutely for a complex number  $z$  then it also converges for any complex number  $w$  such that  $|w - z_0| \leq |z - z_0|$ , that is, the series converges on the disk  $\{w \in \mathbb{C} \mid |w - z_0| \leq |z - z_0|\}$ .

*Proof.* Let  $\sum_{n=1}^{\infty} a_n(z - z_0)^n$  be absolutely convergent. Then note that

$$|w - z_0|^n \leq |z - z_0|^n$$

if  $|w - z_0| \leq |z - z_0|$ . But then

$$|a_n(w - z_0)^n| = |a_n| |w - z_0|^n \leq |a_n| |z - z_0|^n = |a_n| |(z - z_0)^n| = |a_n(z - z_0)^n|.$$

Then by the comparison test, the power series will converge on the disk  $\{w \in \mathbb{C} \mid |w - z_0| \leq |z - z_0|\}$ .  $\square$

**\*\* Problem 4.13** Determine the radius of convergence for the following power series:

1)

$$\sum_{n=0}^{\infty} \frac{z^n}{n!}.$$

2)

$$\sum_{n=2}^{\infty} \frac{z^n}{\ln(n)}.$$

3)

$$\sum_{n=1}^{\infty} \frac{n^n}{n!} z^n.$$

*Proof.* 1) The sequence  $a_n = 1/n!$  satisfies the ratio test so that  $\lim_{n \rightarrow \infty} |a_{n+1}|/|a_n| = \lim_{n \rightarrow \infty} 1/(n+1) = 0$ . The result of the root test must be the same and so the radius of convergence is infinity.

2) The sequence  $a_n = 1/\ln(n)$  satisfies the ratio test so that  $\lim_{n \rightarrow \infty} |a_{n+1}|/|a_n| = \lim_{n \rightarrow \infty} \ln(n+1)/\ln(n) = 1$ . The result of the root test must be the same and so the radius of convergence is 1.

3) The sequence  $a_n = n^n/n!$  satisfies the root test so that  $\limsup_{n \rightarrow \infty} |a_n|^{1/n} = \lim_{n \rightarrow \infty} n/(n!)^{1/n}$  diverges. The radius of convergence must then be 0.  $\square$

**\*\* Problem 5.** For  $x, y \in \mathbb{R}^n$  Let

$$\|x\|_p = \left( \sum_{i=1}^n |x_i|^p \right)^{\frac{1}{p}}$$

and  $d_p(x, y) = \|x - y\|_p$ . Show that  $d_p$  is a metric.

*Proof.* Let  $x, y \in \mathbb{R}^n$ . We have  $|x_i - y_i| \geq 0$  and thus  $|x_i - y_i|^p \geq 0$  for each  $1 \leq i \leq n$ . Then  $\sum_{i=1}^n |x_i - y_i|^p \geq 0$  and raising this to  $1/p$  we have

$$d_p(x, y) = \|x - y\|_p = \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}} \geq 0.$$

Now suppose that  $x = y$ . Then  $x_i = y_i$  for all  $1 \leq i \leq n$  and so  $|x_i - y_i| = 0$  for all  $1 \leq i \leq n$ . It follows that  $d(x, y) = 0$ . Conversely, suppose that  $d(x, y) = 0$ . Then

$$\left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}} = 0$$

and raising both sides to the  $p$ th power we have  $\sum_{i=1}^n |x_i - y_i|^p = 0$ . But since  $p > 1$  we know that  $|x_i - y_i|^p \geq 0$  for all  $1 \leq i \leq n$ . Thus  $|x_i - y_i| = 0$  and so  $x_i = y_i$  for all  $1 \leq i \leq n$ . Therefore  $x = y$ .

Note that since  $|a - b| = |-1||a - b| = |-(a - b)| = |b - a|$  for all  $a, b \in \mathbb{R}$  we have

$$d_p(x, y) = \|x - y\|_p = \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}} = \left( \sum_{i=1}^n |y_i - x_i|^p \right)^{\frac{1}{p}} = \|y - x\|_p = d(y, x).$$

Now let  $z \in \mathbb{R}^n$  as well. Note that

$$\|x - z\|_p^p = \sum_{i=1}^n |x_i - z_i|^p \leq \sum_{i=1}^n |x_i - z_i|^{p-1} |x_i| + \sum_{i=1}^n |x_i - z_i|^{p-1} |z_i|.$$

If we now assume that  $q = p/(p-1)$ , then we can apply Hölder's Inequality to both terms on the right so we have

$$\|x - z\|_p^p \leq \left( \sum_{i=1}^n |x_i|^p \right)^{\frac{1}{p}} \left( \sum_{i=1}^n |x_i - z_i|^{(p-1)q} \right)^{\frac{1}{q}} + \left( \sum_{i=1}^n |z_i|^p \right)^{\frac{1}{p}} \left( \sum_{i=1}^n |x_i - z_i|^{(p-1)q} \right)^{\frac{1}{q}}.$$

Now multiply both sides by

$$\left( \sum_{i=1}^n |x_i - z_i|^{(p-1)q} \right)^{-\frac{1}{q}}$$

and note that  $1 - 1/q = 1/p$  so that we have

$$\|x - z\|_p^p = \left( \sum_{i=1}^n |x_i - z_i|^p \right)^{\frac{1}{p}} \leq \left( \sum_{i=1}^n |x_i|^p \right)^{\frac{1}{p}} + \left( \sum_{i=1}^n |z_i|^p \right)^{\frac{1}{p}} \leq \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}} + \left( \sum_{i=1}^n |y_i - z_i|^p \right)^{\frac{1}{p}}$$

Thus  $\|x - z\|_p \leq \|x - y\|_p + \|y - z\|_p$ . □

**\*\* Problem 6.** Define  $l_n^p(\mathbb{C})$ .

*Proof.* The norm  $l_n^p(\mathbb{C})$  is defined as

$$\|z\|_p = \left( \sum_{j=1}^n |z_j|^p \right)^{\frac{1}{p}}.$$

The proof that this is a metric is the same as the proof that  $l_n^p(\mathbb{R})$  is a metric because the properties of absolute value apply in the same way. □

**\*\* Problem 7.** Show the following for  $r, s \in \mathbb{Q}$  such that  $r = a/b = p^k(a'/b')$  and  $s = c/d = p^l(c'/d')$ :

- 1)  $|r|_p \geq 0$  and  $|r|_p = 0$  if and only if  $r = 0$ .
- 2)  $|rs|_p = |r|_p |s|_p$ .
- 3)  $|r + s|_p \leq \max(|r|_p, |s|_p)$  and  $|r + s|_p = \max(|r|_p, |s|_p)$  if and only if  $|r|_p \neq |s|_p$ .

*Proof.* 1) Note that  $|r|_p = p^{-k} \geq 0$ . Also, by definition  $|0|_p = 0$ .

2) Note that

$$rs = \left( p^k \frac{a'}{b'} \right) \left( p^l \frac{c'}{d'} \right) = p^{k+l} \frac{a' c'}{b' d'}$$



and so  $|rs|_p = p^{-(k+l)} = p^{-k}p^{-l} = |r|_p|s|_p$ .

3) Note that

$$r + s = p^k \frac{a'}{b'} + p^l \frac{c'}{d'} = \frac{p^k a' d' + p^l b' c'}{b' d'} = p^m \frac{p^{k-m} a' d' + p^{l-m} b' c'}{b' d'}$$

where  $m = \min(k, l)$ . Then  $|r + s|_p = p^{-m} \leq \max(p^{-k}, p^{-l}) = \max(|r|_p, |s|_p)$ . Note that if  $|r|_p \neq |s|_p$  then  $p^{-k} \neq p^{-l}$  and so  $m$  must be the  $\min(k, l)$  which makes  $|r + s|_p = \max(|r|_p, |s|_p)$ .  $\square$

**\*\* Problem 8.** Let  $d_p(r, s) = |r - s|_p$  for  $r, s \in \mathbb{Q}$ . Show  $d_p$  is a metric on  $\mathbb{Q}$ .

*Proof.* Let  $r, s, t \in \mathbb{Q}$  such that  $r = a/b = p^k(a'/b')$  and  $s = c/d = p^l(c'/d')$ . \*\* Problem 7 Part 1) shows that  $d_p(x, y) \geq 0$  and that  $d(x, y) = 0$  if and only if  $x - y = 0$  which means  $x = y$ . Now consider

$$r - s = p^k \frac{a'}{b'} - p^l \frac{c'}{d'} = \frac{p^k a' d' - p^l b' c'}{b' d'} = p^m \frac{p^{k-m} a' d' - p^{l-m} b' c'}{b' d'}$$

and

$$s - r = p^l \frac{c'}{d'} - p^k \frac{a'}{b'} = \frac{p^l b' c' - p^k a' d'}{b' d'} = p^m \frac{p^{l-m} b' c' - p^{k-m} a' d'}{b' d'}$$

where  $m = \min(k, l)$ . Then  $|r - s|_p = p^{-m} = |s - r|_p$ . Finally, note that

$$|r - t| \leq \max(|r|_p, |t|_p) \leq \max(|r|_p, |s|_p) + \max(|s|_p, |t|_p) = |r - s|_p + |s - t|_p$$

since  $|x|_p \geq 0$  for all  $x \in \mathbb{Q}$ .  $\square$