

# Lecture 1

## Intro, Number Rep

The value of the  $i$ th digit  $d$  in any number base is  $d \times \text{Base}^i$  where  $i$  starts 0 and increases from right to left.

## Signed Magnitude

Similar to unsigned numbers but the most significant bit (first bit) represents if the number is positive or negative, this bit is called the *sign bit*.

The issue with this representation is that there are two representations for the number 0, and operations are slow since there is additional work required to handle the sign bit.

## Two's Complement

The convention to represent signed numbers is called **Two's Complement**. The left most bit is the sign bit, and  $1111 \dots 1111_{\text{two}}$  is the most negative number. The advantage twos complement has over *sign and magnitude* representation is that there is only one zero.

## Negation Shortcut

Simply flip bits, then add 1 to the result. This reason this shortcut works is because the sum of a number and its inverted representation must be  $1111 \dots 1111_{\text{two}}$  (*there is no carries*).

Now since  $x + \bar{x} = -1$ , we have  $\bar{x} + 1 = -x$

## Sign Extension Shortcut

- for positive 16  $\rightarrow$  32 bit binary numbers, just add 16 zeros in the most significant bit
- For negative 16  $\rightarrow$  32 bit binary numbers, copy the sign bit (which is 1) 16 times, placing it on the left of the number.

This works because positive numbers have an infinite number of leading zeros and negative numbers have an infinite number of leading ones.

## One's Complement

A representation in which the negative of a none's complement is found by inverting each bit. So now  $11 \dots 110_{\text{two}}$  is equal to -1. This representation is similar to twos complement but has two 0s

## Biased Notation

A notation that represents the most negative value by  $00 \dots 000_{\text{two}}$  and the most positive value by  $11 \dots 111_{\text{two}}$ , with zero typically having the value  $10 \dots 000_{\text{two}}$ , thereby biasing the number such that the number plus the bias has a nonnegative representation.

In simpler words, bias notation is like unsigned representation but has a shift (a bias), shifting the range of values on the unsigned number line to the left, allowing representation of negative numbers.

With this new system, to interpret a binary string in bias notation, we evaluate the number as if it was unsigned, then add the bias (the bias is usually negative). Note we do this because we shift the numbers to the left by a bias.

### Example 1.1: Interpreting a Stored Binary

Assume we have a  $-127$  bias with an 8-bit number.

To read  $0b0000\ 1001$ , we treat it as if it was unsigned, which gives us 9. Then we add the bias to get our value  $-118$ :

$$9 + (-127) = -118$$

To store a decimal number as a binary string in bias notation, we first subtract the bias (bias is negative so basically add) then store the resulting number as an unsigned binary.

### Lemma 1.2

Subtracting a bias will never give us a negative number.

This is because the range of numbers is from  $[-B, 2^n - 1 - B]$ , where  $B$  is the magnitude of the bias. So a number  $x$ , will always be greater than or equal to  $-B$ , and obviously  $x - (-B) \geq 0$ .

## Questions

- is right shift and left shift division and multiplication by 2 respectively?

## Chapter 0: Introduction

The arguments to functions are passed by copying the value of the argument, and it is impossible for the called function to change the actual argument in the caller. When desired to achieve "call by reference," a pointer may be passed explicitly, and the function may change the object to which the pointer points.

Array name are passed as the location of the array origin, so array arguments are effectively call by reference.

C is not a strongly-typed language. It is relatively permissive about data conversion, although it will not automatically convert data types with the wild abandon of PL/I

## Chapter 2: Types, Operators, and Expressions

A **string constant** is a sequence of zero or more characters surrounded by double quotes. Underneath, a string is an array whos elements are single characters. The compiler automatically places the null character `\0` at the end of each string, so the program can conveniently find the end.

### Bitwise Operations

In C, a leading 0 on an int constant implies *octal*. A leading 0x indicates *hexa-decimal*.

The bitwise AND operator `&` is used to turn off bits.

The bitwise OR operator `|` is used to turn on bits.

The one's operator `~` (one's complement) is used to flip bits.

### 2.1 Memory Model Review

Memory works very similar to an array. You can think of most version of memory you work with conceptually to be one very long array,

#### 2.1.1 The Stack

The stack is memeory that is automatically allocated and freed by the system, and grows from top-down. **What is the stack used for?**

- Anything considered "Temporary"

- This includes: local variables, local constants, arguments to functions, local information about a function call.

### **Stack Frames, function calls**

- Everytime a function is called, a new "stack frame" is allocated on the stack
- Stack Frame Includes:
  - return "instruction" address (who called me?), arguments, and space for other local variables
- When function ends, stack frame is tossed off the stack; automatically frees memory for future stack frames.

### **2.1.2 The Heap**

## **Questions**

- What is an example of local information about a function call?

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## **Lecture 3**

*C Pointers, Arrays, Memory Management*

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## **Lecture 4**

*C Memory*

Stack grows down, i.e, starts af FF and decreases.

The stack memory is destroyed as you return from functions

The heap memory does not, you are responsible for destorying (freeing) the memory when you don't need it anymore.

## Chapter 5: Pointers and Arrays

### Definition 4.1: Pointer

A pointer is a variable that contains the address of another variable. The convention to naming pointer variables is the prefix them with `p_<name>`.

An pointer that points to an `int` is declared as follows:

```
int *p_x;
```

It says that the combination of `*p_x` is an `int`, that is, if the derefencing operator is used on `p_x`, it is equivalent to a variable of type `int`.

- The unary operator `&` gives the *address* of an object.
- The dereference operator `*` used in the context as an operand to an address, access that address to fetch the contents to which it points to.

### Pointers and Syntax

- pointers can occur on the left side of assignments. That is `p_x` points to `x`, then `*p_x = 1` sets `x` to 1.
- Normally a pointer can point to only one type, however, `void *` is a type that can point to anything. (use sparingly)

### Arrays

Arrays and pointers have a strong relationship. The name of an array is actually an address location to the zeroth element in the collection.

So saying `p_arr = arr` is equivalent to saying `p_arr = &arr[0]`

Moreover, indexing into an array is actually using pointer arithmetic under the hood. For example: doing `arr[3]` is equivalent to `*(a + 3)`.

### Address Arithmetic

The only difference between an array name and a pointer is that a pointer is a variable, but an array name is a **constant**. Constructions like `a = p_arr` or `a++` or `p = &a` are illegal.

### Memory Organization

Memory is just a large, single-dimensional array with the, that is byte-addressable, where the address acting as the index to that array. 8 bits = 1 byte, and 4 bytes = 1 word.

**Type Sizes:** Assume we are working with a 32-bit system.

- `int` occupy 4 bytes, aka 32 bits.
- `char` occupy 1 byte
- *pointers* occupy 4 bytes. This is because memory address are 32 bits long in a 32-bit system (why?), therefore the pointer values, the address that the pointer points to, are also 32 bits long.

#### Definition 4.2: Endianness Systems

Endianness affects how a group of bytes are stored and read in memory.

**Little-Endian:** In a little-endian system, memory is stored with the most significant byte at the highest address.

**Big-Endian:** In a big-endian system, memory is stored with the most significant byte at the lowest address.

#### Example 4.3

For the program below assume, the memory is drawn as such in little endian system.

```
int main() {
    int x[2]; // address of x is: 0x1000 0000
    x[0] = -2, x[1] = 44513;
    char y[] = "ADEL"; // address of y is: 0x1000 0010
    char *c y;
}
```

The corresponding memory model is as follows

Address	Byte 0	Byte 1	Byte 2	Byte 3
0x1000 0000	0xFE	0xFF	0xFF	0xFF
0x1000 0004	0xE1	0xAD	0x00	0x00
0x1000 0008	-	-	-	-
0x1000 000C	-	-	-	-
0x1000 0010	0x41	0x44	0x45	0x4C
0x1000 0014	0x00	-	-	-
0x1000 0018	0x10	0x00	0x00	0x10

**Note:** While ints get stored in reverse, character arrays or strings are stored in increasing memory addresses.

- type declaration tells compiler how many bytes to fetch on each access through pointer.
-

## Dynamic Allocation

- malloc: memory Allocation
- calloc: cleared allocation
- realloc: re-allocation

68 6c 70 74 78 7c 80 84 88 8c 90

## Questions

- is there double pointers, a pointer that points to another pointer? **Yes, you write a function to increment a pointer. Here it will accept a double point as an argument**
- Is there null in C? Can you initialize a pointer to be null? **Yes, C has a null keyword, NULL**
- It is said that the difference between arrays and pointers is that array names is a constant, not a variable. Can you have a pointer to a constant? Why is `p = &arr` illegal?

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## Lecture 5

### *Floating Point*

Just as we can show decimal numbers in scientific notation, we can also show binary numbers in scientific notation:

$$1.0_{\text{two}} \times 2^{-1}$$

To keep a number in normalized form, we need a base that allows us to shift the binary point left or right to have on nonzero digit to the left of the decimal point. Only base 2 fulfills this since multiplication by 2 is a left shift and division is a right shift.

### Definition 5.1: Floating point

Computer arithmetic that represents numbers in which the binary point is not fixed, i.e., it floats. The floating-point representation is as such:

31	30	23	22	0
s	exponent			fraction/mantissa

The scientific notation in binary form of the float is a singly nonzero digit to the left of the binary point (**normalized form**) as such:

$$1. \underbrace{xxxxxxxx}_{\text{mantissa/significand}}_{\text{two}} \times 2^{yyyy \rightarrow \text{exponent}}$$

Where  $1.xxxxxxxxx$  is the significand ( $0 < S < 1$ ), and  $yyyy$  is called the exponent.

To pack more numbers in the significand, IEEE 754 makes the leading 1-bit of a normalized binary numbers implicit. So now, the mantissa is 1 + 23-bit significand field. Therefore, the simple RISC-V floating number as such:

$$(-1)^S \times (1 + F) \times 2^E$$

Where  $S$  is the sign of the floating-point number,  $F$  involves the value in the fraction field, generally a number between 0 and 1, also called the *mantissa*. And  $E$  comes from the exponent field.

Note however, float comparisons are hard with this form. The most negative exponent is all 1s, and  $1_{\text{ten}}$  is a single 1 bit in the least significant bit. The negative number looks larger. Therefore we introduce bias notation to shift the numbers where now the most negative number is  $00 \dots 00_{\text{two}}$  and the most positive as  $11 \dots 11_{\text{two}}$ . IEEE 754 uses a bias of 127 for single precision, and 1023 for double precision.

Biased exponent means that the value represented by a floating-point number is really:

$$(-1)^S \times (1 + F) \times 2^{E - \text{Bias}}$$

### Example 5.2

What is the decimal equivalent of the following IEEE 754 single-precision binary floating point number?

31	30		23	22		0
1		1000 0001			111 0000 ... 0000	

$$= (-1)^1 \times 1.111 \times 2^{129-127} \quad (1)$$

$$= -1 \times 1.111 \times 2^2 \quad (2)$$

$$= -1 \times 111.1 \quad \text{shift the decimal point by 2} \quad (3)$$

$$= -1 \times (4 + 2 + 1 + \frac{1}{2}) \quad (4)$$

$$= -7.5 \quad (5)$$



## Step Size

Because we have a fixed # of bits, we cannot represent all numbers. **Step size** is the spacing between consecutive floats with a given exponent.

What we really are asking for is what is the next representable number after  $y$ ? before  $y$ ?

The next step size to why is just adding  $y$  to the smallest bit in the significand times the same exponent.

$$y + ((0.0\dots001) \times 2^{(E-Bias)})$$

Note we multiply by the same exponent because  $y$  decimal was shifted, so we also need to shift the smallest bit decimal to the same position.

*Note:* the bigger the exponent, the bigger the step size since it's shifted more. And the smaller the exponent, the smaller the step size

## Representing Zero

Note: Zero has no normalized representation (there is always an implicit 1 in the significand)

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## Lecture 6

*Risc-V Intro*

The operands of arithmetic instructions are restricted, in that they must be from a limited number of special locations built directly in hardware called *registers*.

### Definition 6.1: Registers

Registers are primitives used in hardware design, often thought of as the "bricks of computer construction". The size of a register in RISC-V architecture is 32 bits, aka 1 word.

There is a limited number of registers, 32 registers. The reason for the limit of 32 registers is because of the underlying design principles of hardware; **smaller is faster**. Accessing registers takes less time and uses much less energy than accessing memory.

In code, we usually have more complex data structures such as arrays. These data structures usually contain more data elements than there are registers. How can a computer represent and access such large structures? They are kept in memory and accessed via instructions that transfer data between memory and registers. Such instructions are called **data transfer instructions**.

It is the computer job to associate program variables with registers. Usually a program contains many more variables than computer registers. The compiler tries to keep the most frequently

used variables in registers and places the rest in memory, data transfer instructions to between registers and memory. This process is called *spilling registers*.

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## Lecture 7

*RISC-V Procedures*

### Calling Convention

Saved Registers:

- s0-s11 ra
- should not be modified by functions (can be used, but must be restored).

Temporary Registers:

- **TODO**

Stack

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## Lecture 8

*RISC-V Instruction Format*

Instructions are kept in the computer as a series of high and low (1s and 0s) electronic signals and may be represented as numbers. Placing these numbers side by side forms the instruction. The numeric version of instructions is called **machine language**, and a sequence of such instructions is called *machine code*.

Instructions in the numeric version are split up into **fields**, which are given names to make them easier to discuss. Here is the meaning of each name of the fields in RISC-V instructions:

- **opcode**: Instruction identifier. The field that denotes the operation and format of an instruction, and is always the last 7 bits in all instruction formats.
- *rd*: The register destination operand. It gets the result of the operation.
- *funct3*: An additional opcode field.
- *rs1*: The first register source operand.
- *rs2*: The second register source operand.
- *funct7*: An additional opcode field.

Different instructions require different fields sizes. For example, `add` requires 3 registers, `addi` requires 2 registers and 1 immediate. If `rs2` took the value of the immediate, the maximum size it could hold is  $2^5 - 1$  (31 which is pretty small) since `rs2` is 5 bits long. Since RISC-V designers decided to keep all instructions the same length (32 bits), this requires distinct instruction formats for different kinds of instructions.

The formats are distinguished by the values in the opcode field (check reference sheet to see the different types of formats).

## R-Type

Designed for instructions with 3 registers and no immediate.

Since each register is identified by its number, we'd need 5 bits to encode 32 different values ( $2^5 = 32$ ).

## I-Type

Designed for instructions with 2 registers (`rs1` and `rd`) and 1 immediate. Some instructions that use I-Types include arithmetic operations with immediates and load instruction.

In the R-Type, there is no `funct7` field, instead, that space is used by the immediate to be able to get larger constants (12 bits long immediate)

The immediate field is in unsigned representation, so the range of values is  $[-2048, 2047]$   $[-2^{11}, 2^{11} - 1]$

## I\*-Type

Used for shifting with immediates (`slli`, `srli`, `srai`) where the immediate field is reduced to 5 bits long. This is because in shifting a 32-bit number, you never need to shift more than 32 times.

## S-Type

S for **store instructions**. Designed for instructions with 2 source registers and an immediate.

## U-Type

Used for `lui` (*load upper immediate*) and `auipc` (*add upper immediate to program counter*) instructions.

These two instructions are used in two pseudoinstructions:

- `li`: load immediate
- `la`: load address

### Example 8.1: li with Large Immediate

consider this instruction:

```
li t0 0x12345678
```

We cannot just translate this instruction to: `addi t0 x0 0x12345678` since the immediate size of `addi` is 5 bits long. Assuming that `lui` has an immediate size of 20 bits (which it does), the solution then is to do this:

```
lui t0 0x12345 // 20 bit long immediate (5 * 4)
addi t0 t0 0x678 // 12 bit immediate (fits in addi immediate field.)
```

*\* Note there is a corner case when the immediate in an `li` instructions has FFF in the 3 right most bits, since `addi` with an immediate of FFF subtracts -1.*

## B-Type

Branch Instructions.

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## Lecture 9

*Compiler, Assembler, Linker, Loader*

## Compiler

The compiler transforms the C program into an **assembly language** program, a symbolic form of what the machine understands.

### Definition 9.1: Assembly Language

a symbolic language that can be translated into binary machine language.

## Assembler

The assembler converts **pseudoinstructions** into the machine language equivalent of the actual assembly language instruction. It also converts branches to faraway locations into a branch and a jump.

### Definition 9.2: Pseudoinstructions

A common variation of assembly language instructions often treated as if it were an instruction in its own right.

The primary task of an assembler is assembly into machine code. The assembler turns the assembly language program into an *object file*, which is a combination of machine language instructions, data, and information needed to place instructions properly in memory.

To Produce binary version of each instruction into assembly language program, the assembler must determine the address corresponding to all labels. Assemblers keep track of labels used in branches and data transfer instruction in a **symbol table**.

## Linker

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### Lecture 10

*Combinational Logic, FSM*

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### Lecture 11

*Synchronous Digital Systems*

## A.2: Gates, and Logic Equations

Blocks without memory are called *combinational*; the output of a combinational block depends only on the current input.

In blocks with memory, the output can depend on both the current input and the value stored in memory, which is called the *state* of the logic block.

### Definition 11.1: Combinational Logic

A logic system whose blocks do not contain memory and hence compute the same output given the same input.

Since combinational logic block contains no memory, it can be completely specified by defining the values of the outputs for each possible set of input values. Such a description is normally given as a *truth table*. Truth tables can completely describe any combinational logic function; however; they grow in size quickly ( $2^n$  entries for  $n$  inputs) making them hard to understand.

## Boolean Algebra

One alternative to truth tables is to express the logic function with logic equations. In boolean algebra, all the variables have the values 0 or 1, and in typical formulations, there are three operators:

- OR operator, written as  $+$ .
- AND operator, written as  $\cdot$ .
- unary NOT operator, written as  $\overline{A}$

Any set of logic functions can be written as a series of equations with an output on the left-hand side of each equation and a formula consisting of variables and the three operators above on the right-hand side.

## Gates

A device that implements basic logic functions, such as AND or OR.

Since both AND and OR are commutative and associative, they can take in multiple inputs, with the output equal to the AND or OR of all the inputs.

Fact: all logic functions can be constructed with only a single gate type, if that gate is inverting. NOR and NAND gates are called *universal*, since any logic function can be built using this one gate type.

## A.3: Combinational Logic

TODO

### Questions

- is the memory contained inside the logic block called the state? or is the output called the state?
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## Lecture 12

*Synchronous Digital Systems*

### Clock:

- Rising Edge: Time when the clock switches from 0 to 1

- Falling Edge: Time when the clock switches from 1 to 0.
- Clock Period: Time between rising edges.
- Clock Frequency: Number of rising edges per second.

**Flop Flop:** The output is the value of the input when the clock is at a high value at the **RISING EDGE**.