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Bachelor-Thesis in Computer Science

Noninterference in the take-grant model for the seL4 microkernel

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Declaration of authorship I hereby declare that the thesis submitted is my own unaided work. All direct or indirect sources used are acknowledged as references. Munich, the 07-27-2018 Andrea Kuchar

Abstract

The thesis investigates the question if the specification of the seL4 access control system is strong enough to imply the Noninterference property. Using the verification of the Take-Grant-Protection Model [2] I deduce from it the Unwinding Theorem conditions of the nondeterministic intransitive Noninterference Model [1]. As the specifications and proofs of the take-grant model is developed in the theorem proof assistant Isabelle/HOL I use the same to verify the implication.

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1 Introduction

1.1 Motivation

Nowadays our society becomes increasingly depended on computer systems. In more and more areas small computers take over the control. If it's our SmartTV, car or the control of the lights in our home. We are forced to confront ourselves with the topic how secure and reliable these systems are.

Especially if we entrust our live to a computer this gets an essential meaning. From board-computers in planes or cars we want to expect that they are free from defects and unhackable. But this is not the reality. We know about cars whose board-computers can be taken over easily with a smartphone from the car next to it.

A key component in developing secure systems is the operating-system (OS) kernel of the system. The kernel has full access to hardware resources. One defect in the kernel can have the consequence that the security and reliability of the entire system can be lost.

The weakness of most previous kernels were their huge amount of code and mostly their monolithic design. This makes it impossible to review or verify the code. The weak point of the monolithic design is that not only fundamental functions as interprocesscommunication, scheduling or memory management are implemented in the kernel mode but also functions like driver for hardware or virtual filesystems are integrated in it. This makes the system more vulnerable for bugs. One crashed modul can lead to a crash of the entire system.

The motivation behind microkernels is to reduce the possibility of bugs in the kernel code through reducing the kernelcode to an amount as minimal as possible and excluding functions from the kernel mode. With less code it becomes more feasible to guarantee the absence of defects within the kernel through formal verification.

Due to the fact that we feed our smartphones, tablets, board-computers, ... with growing amounts of sensitive informations like bank data, passwords, e-mails, chats, ... the significance of security in the area of embedded systems increases.

Through isolation of small subsystems, like it is done in microkernels, the security already can be raised to a higher level. With testing one can depict an huge amount of bugs. But as Dijkstra said "Testing can only show the presence, not the absence, of bugs." [8]

Like I already mentioned less lines of codes make it more feasible to verify it relating to its specification. The seL4 microkernel is the first microkernel whose correctness is formally verified. It's a high-assurance, high-performance microkernel, primarily developed, maintained and formally verified by NICTA (now Trustworthy Systems Group at Data61) for secure embedded systems. It's security model is based on the take-grant model, which was extended for being able to reason about kernel memory consumption of components.

1.2 Aim of the thesis

With this thesis I want to show or disprove that the extended take-grant model is strong enough to show the noninterference property on it.

The security property of noninterference ensures that there is no unwanted information flow within a system. The take-grant model is an access control model. Therefore its duty is to "control" the access or the transfer of access on objects of a system. The noninterference property assured that there is no way information can flow to undesirable parties. Also with information about third parties that have access.

The thesis should investigate the different systemoperations of the model regarding the thereby occurring information flow.

With the collected information I want to decide two questions. First if the noninterference property can be verified with the existing model and second if the noninterference property is fulfilled.

1.3 Structure of the thesis

First I want to give an overview of the seL4 kernel, his set-up, the implementation of services and the memory management. For a better comprehension I then give a brief overview of the take-grant and the noninterference model. In chapter 3 the formalisation of the take-grant model takes place and in chapter 4 it's the formalisation of the noninterference model.

From chapter 5 on I dedicate myself to the validation of the noninterference property. In chapter 7 the validation is subdivided into the different systemoperations. To show the property for the model I have to extend the model in chapter 6.

Finally I'll take a short resume and give a prospect on the current status of the seL4 project and the possibilities to enhance this topic.

2 Requirements

2.1 The seL4 Microkernel

The seL4 [6] ist a small operation system kernel. It's based on the in the 1990s developed L4 microkernel and provieds a minimal number of services to applications, such as abstractions for virutal address spaces, threads, inter process comunication (IPC).

Each abstraction ist implemented by an kernel object with methodes dependent on the abstraction it supplies. The objects can be named and accessed by capabilities which are also stored in kernel objects called *CNodes*.

Each capability contains an target object and potentially several access rights. The access rights can be Read, Write, Grant and Create. By invoking a capability that points to the kernel object with an corresponding method name, applications can invoke system calls. As arguments these system calls can have data or other capabilities.

2.1.1 System Calls

Kernel provided system calls:

- send(): The system call argument ist delivered to the target object and the application is allowed to continue. If the target is not able to receive and/or process the arguments immediately, the sending application will be blocked until the arguments can be delivered.
- NBSend(): Like send(). Exception: If the message is not deliverable it's silently droped.
- Call(): Like send() but the application is blocked until the object provieds a response, or the receiving application replies.

 If the argument is delivered to an application via Endpoint the receiver needs the right to respond to the sender. So in this case an additional capability is added to the arguments.
- Wait(): If the target object is not ready Wait() is used by an application to block until the object is ready.
- Reply(): Used to respond to a Call(), using the capability generated by the Call() operation.
- ReplyWait(): As a combination of Reply() and Wait() it's efficent for the common case that replying to a request and waiting for the next can be performed in a single system call.

2.1.2 Kernel Objects

The kernel implements several obejects to allocate the system operations [6].

• CNodes

The capabilities to invoke system calls are stored in *CNodes*. When created they get a fixed numer of slots that can be empty or contain a capability. The kernel conducts a **Capability Derivation Tree** (CDT) to keep records about the created capabilities and their associations. This is required for the revoke operation. They have the following operations:

- Mint()

creates a copy of an existing capability. The new capability is placed in a cpecified CNode slot and may have less rights than the parent capability. In the CDT the capability is placed as child of the original one.

- Copy()

is similar to the Mint operation. But the new capability has the same rights as the original one and in the CDT it's represented as a sibling of it.

- Move()

can maneuver a capability between two specified slots.

- Mutate()

moves the capability similar to Move() and is able to reduce it's rights like it's done in Mint() without an original copy remaining.

- Rotate()

moves two capabilities between three slots. Like two Move() operations.

- Delete()

can remove a capability from a specified slot.

- Revoke()

is used to remove a complete part of the CDT. From a defined capability on all children from the capability in the CDT are removed with Delete().

- Recycle()

revokes all outstanding capabilities and reconfigures teh object to its initial state. So the object can be reused in for another purpose.

• IPC Endpoints

Endpoints are used for the *interprocess communication* between threads. They can be devided into **synchronous (EP)** and **asynchronous (AEP)** endpoints. Threads in the seL4 kernel are grouped into security domains. Interprocess communication between different domains is only realised via AEPs. Generally capabilities to endpoints can be restricted to be read - or write - only.

• TCP

A thread of execution in seL4 is represented by a *thread control block*. It's allways associated with a CSpace (provides the capabilities required to manipulate the kernel objects) and a VSpace (provides the virtual memory environment required to contain the code and data application).

The TCB object has the following methods:

```
CopyRegisters(), ReadRegisters(), WriteRegisters(), SetPriority(),
SetIPCBuffer(), SetSpace(), Configure(), Suspend(), Resume()
```

• Virtual Memory

Objects in the *virtual address space* (VSpace) implement services for the management of virtual memory which largely directly correspond to those of the hardware: Page Directory, Page Table, Page, ASID Control, ASID Pool Figure 1 showes how they are connected.

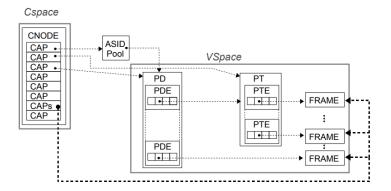


Figure 1: Internal representation of an application in seL4 [3]

• Interrupt Objects

Device driver applications require Interrupt Ojects to be capable of receiving and acknowledging interrupts from hardware devices.

• Untyped Memory

Untyped memory objects (UMO) seclude a fixed-sized, size-aligned, continuous region of the physical memory. Each object can be devided into a group of smaller untyped memory objects. With Retype() a number of new kernel objects created. It also returns capabilities to the new objects if it succeeds.

2.1.3 Memory Allocation Model

A special characteristic of the seL4 is that the memomry for kernel objects is not allocated dynamically. A goal was to isolate physical memory access between applications and to control the amount of physical memory that applications can use.

To accomplish it applications get fixed sized memory reagons they have to control by themselves. Capabilities on Untyped Memory Objects (UMO) are needed to create new objects. So applications need the capabilities on UMOs to create new objects. After creation the objects have a fix amount of memory they can use.

At boot time the kernel pre-allocates all the memory required for the kernel to run. This includes the space for kernel code, data and kernel stack. The kernel then creates an *Initial User Thread* with associated CSpace and VSpace and hands over the remaining memory in form of capabilities on UMOs.

The Initial User Thread can create smaler sized UMOs out of an UMO or retype it into an other object type. The creator of new objects has full authority over the objects. This "full authority" depends on the object type.

Figure 2 shows a sample system architecture in wich a resource manager running at user-level has the authority to the remaining untyped memory after boot strapping.

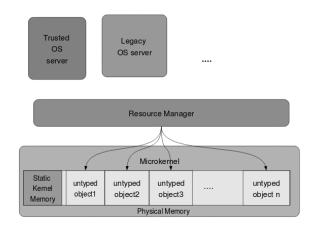


Figure 2: Sample System Configuration [2]

2.2 The Take-Grant Model

Protection or Acces control models specify, analyse and implemente secureity policies. The classical Take-Grant Model primary brought in by Lipton and Snyder, 1977 in "A Linear Time Algorithm for Deciding Subject Security".

2.2.1 The classical Model

In the Take-Grant Model [2] subjects or objects are represented as nodes and authority as arcs in a directed graph that represents the system.

Rules for graph mutation represent the different system operations to modify the authority distibution. The most common rules in the classical model are *take*, *grant*, *create* and *remove*.

• take rule: Let S,X,Y be three distinct vertices in the protection graph with an arc, labelled with α , from X to Y and one labelled with γ from S to X, such that $t \in \gamma$.

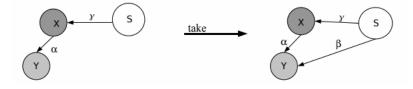


Figure 3: Take adds an edge from S to Y with the label $\beta \subseteq \alpha$. [2]

• grant rule: Let S,X,Y agein be three distinct vertices in the graph with an arc, labelled with α , from S to Y and one labelled with γ from S to X, such that $g \in \gamma$.

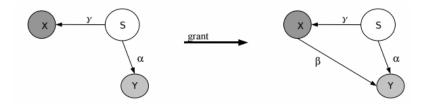


Figure 4: Grant adds an edge from X to Y with the label $\beta \subseteq \alpha$. [2]

• **create rule**: Let S be a vertex in the graph.

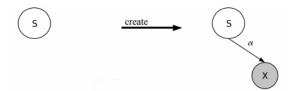


Figure 5: Create adds a new node X and an arc from S to X, labelled with α . [2]

• remove rule: Let S, X be vertices in the graph with an arc from S to X, labelled with α .



Figure 6: Remove deletes β labels from α or the arc itself if $\alpha - \beta = \{\}$. [2]

2.2.2 Take-Grant specified for the seL4

The Take-Grant Model specified in the paper "Noninterference for Operating System Kernels" [2] is a variant of the classical Take-Grant model.

From the modifications made the one on the *create rule* is the most important one. As I explained in chapter 2.1 authority in the kernel is implemented with capabilities. Adding a new node to the protection graph in the model corresponds to the creation of a new object a capability pointing on in it in the kernel. So the object executing the **create** operation needs a capability with **create** authority.

The *remove rule* was modified as it doesn't remove parts of labels anymore but the whole capability. That means the complete arc pointing on an object is removed.

To diminish authority a capability has to be removed and newly created with diminished authority.

With retype newly created capabilities are saved in a Capability Derivation Tree (CDT) as children of the UMO. A capability can be copied with the mint or imitate operation. A capability copied with mint is inserted in the CDT as Child of the original one. Those that are copied with imitate are siblings. Figure 7 showes a CDT where C1 and C2 are created from the UMO via retype. C3 and C4 are copied from C1 via mint. So they have the same or less authority as C1. C1' is copied from C1 via imitate. This operation transfers the same rights to the new capability. As a consequence the capability is inserted a sibling of C1.

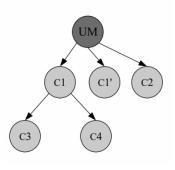


Figure 7: Example CDT with children and siblings [5]

To remove a set of capabilities the operation revoke was implemented.

With this operation **remove** is executed on every capability that is in the CDT below the target capability.

A speciality of the extendet model is that objects and subjects are called *entities*.

The goal of the paper "Noninterference for Operating System Kernels" was to show that it is accomblishable to implement isolated subsystems using the mechanisms of the seL4 kernel. [2]

Isolated subsystems are implemented as a collection of *connected* entities. An entity that has *grant authority* on another one is connected with this entity. Authority can neither get in nor out of these isolated subsystems.

The exact specification of subsystems and entities follows in Chapter 3.

2.3 Noninterference

Noninterference is an enhancement of the information flow model, first published by Goguen and Meseguer in 1982 and updated in 1984. It ensures that objects and subject from different security levels don't interfere with those at other levels.

I use the noninterference formulation of Geoffrey Smith [7]. It says "Program c satisfies noninterference if, for any memories μ and ν that agree on L variables, the memories produced by running c on μ and on ν also agree on L variables (provided that both runs terminate successfully)."

This means if in a program two states ar equivalent on a low level domain The used non-interference formultaion for OS kernels [1] expands von Oheimb's notion of *noninfluence* [4].

The system is devided in different domains. An information flow policy \sim specifies the allowed information flows between the domains: $u \sim v$ if information is allowed to flow from domain u to domain v.

For OS kernels we need an intransitive variant of noninterference, for wich \rightarrow can be intransitive.

The traditional Noninterference formulation was enhanced in in two ways:

- 1. Traditional formulations presume a static mapping dom from actions to domains. In an OS Kernel the mapping does not only depend on the actions but also on the current system state. So in the used formulation of Noninterference [1] dom also deppendes on the present state s.
 - $\mathtt{dom}\ a\ s$ equates the domain associated with some action a that occurs from state s.
- 2. Due to the fact that the noninterference formulation in "Noninterference for Operating System Kernels" [1] was preserved by refinement, it is necessary to avert all domain-visible nondeterminisms.

Domain-visible nondeterminism is nondeterminism that can be observed by any domain.

From every confidential source of information which is present in the refinement, such nondeterminisms can be abstracted. From this would result the existence of insecure refinements.

Lemma 2 [1] determine the restriction of no domain-visible nondeterminisms formally and will be clarified later.

3 Formalisation of the Take-Grant Model

3.1 Capabilities

In the Take-Grant model for seL4 [2] the authors waived the usual differentation betwenn subjects and objects and called all kernel objects entities.

The entities memory address identifies them and is modeled as a natural number.

```
type_synonym entity_id = nat
```

With each capability a set of rights is associated. There are four access rights in the system model:

```
datatype rights = Read | Write | Grant | Create
```

- Read authorises the reading of information from another entity.
- Write authorises the writing of information to another entity.
- Grant authorises the passing of a capability to another entity.
- Create authorises the creation of new entities, which models the behavior of untyped memory objects.

A capability has two fields:

- 1. An identifier which names an target-entity
- 2. A set of rights which defines which system-operations the source-entity is authorisied to perform on the target-entity.

An entity has a set of capabilities:

```
record entity = caps :: cap set
```

The systems state includes two flields:

- 1. The heap, which stores the entities of the system like an arry form address 0 up to and excluding next_id.
- 2. next_id contains slot for next entity without overlapping with an existing one.

```
record state = heap :: entity_id ⇒ entity next_id :: entity_id
```

3.2 System Operations

The system operations of the seL4 are determined in the data type sysOps.

The entity_id in each operation is the entity initiating the operation. The first named capability is the one that is being invoked. The second capability for SysCreate points to the target entity for the new capability. For SysGrant it's the passed capability and for SysRemove it's the one that has to be removed. The rights set in SysGrant necessary for the initiating entity to have the option only to transport a subset of the authority it offers to the receiver.

The diminish function applies this mask on the given acces rights:

```
diminish :: "cap \Rightarrow rights set \Rightarrow cap" where diminish c R \equiv c(rights := rights c \cap R)
```

legal defines on what terms any system operation is allowed.

```
legal :: "sysOPs \Rightarrow state \Rightarrow bool" where
```

```
"legal
        (SysNoOp e) s
                                     = isEntitvOf s e"
"legal
         (SysCreate e c_1 c_2) s = (isEntityOf s e \wedge c_1, c_2 \subseteq caps_of s e \wedge
                                          Grant \in rights c_2 \land Create \in rights <math>c_2)"
                                      = (isEntityOf s e \land c \in caps_of s e \land Read
"legal
         (SysRead e c) s
                                          ∈ rights c)"
"legal
         (SysWrite e c) s
                                      = (isEntityOf s e \land c \in caps_of s e \land Write
                                          ∈ rights c)"
"legal
         (SysGrant e c_1 c_2 r) s = (isEntityOf s e \land isEntityOf s (entity c_1)
                                         \land c<sub>1</sub>,c<sub>2</sub> \subseteq caps_of s e \land Grant \in rights c<sub>1</sub>)"
"legal
          (SysRemove e c_1 c_2) s = (isEntityOf s e \wedge c_1 \in caps_of s e)"
"legal
          (SysRevoke e c) s
                                    = isEntityOf s e \land c \in caps_of s e"
```

isEntityOf tests the existence of an entity_id, caps_of issues the set of all capabilities contained in the entity with the address r in state s.

The original executions of SysRead and SysWrite don't have an underlying function. For implying the noninterference property I have to include what happens if an entity reads or writes a value from another entity. For this purpose I defined a readOperation and a writeOperation.

The step' and step functions define the execution of a single system operation:

```
step' :: "sysOPs \Rightarrow state \Rightarrow state" where
     "step'
                (SysNoOp e) s
     "step'
                (SysRead e c) s
                                            = readOperation e c s"
               (SysWrite e c) s = writeOperation e c s" 
 (SysCreat e c_1 c_2) s = createOperation e c_1 c_2 s"
     "step'
     "step'
               (SysGrant e c_1 c_2 R) s = grantOperation e c_1 c_2 R s"
     "step'
                (SysRemove e c_1 c_2) s = removeOperation e c_1 c_2 s"
               (SysRevoke e c) s
                                             = revokeOperation e c s"
step :: "sysOps \Rightarrow state \Rightarrow state" where
step cmd s \equiv if legal cmd s then step' cmd s else s
```

The new defined functions readOperation and writeOperation:

```
readOperation :: "entity_id \Rightarrow cap \Rightarrow modify_state" where
"readOperation e c s \equiv s( heap := (heap s)(e := (caps = caps_of s e, eValue = value_of s (entity
c))))"
writeOperation :: "entity_id \Rightarrow cap \Rightarrow modify_state" where
"writeOperation e c s \equiv s( heap := (heap s)(entity c := (caps = caps_of s (entity c), eValue
= value_of s e|))|)"
The rest of the system operation stay as they are:
createOperation :: "entity_id \Rightarrow cap \Rightarrow cap \Rightarrow modify_state" where
\texttt{createOperation} \ \texttt{e} \ \texttt{c}_1 \ \texttt{c}_2 \ \texttt{s} \ \equiv
      nullEntity = (cap = , eValue = NULL) ;
       newCap = (entity = next_id s, rights = all_rights);
       newTarget = (caps = newCap caps_of s (entity c_2), eValue = NULL)
 in
       s(heap := (heap s)(entity c_2 := newTarget, next_id s := nullEntity), next_id := next_id s+1)"
grantOperation :: "entity_id \Rightarrow cap \Rightarrow cap \Rightarrow rights set \Rightarrow modify_state" where
"grantOperation e c_1 c_2 R s \equiv
s(heap := (heap s)(entity c_1 := (caps = diminish c_2 R \cup caps_of s (entity c_1), eValue = value_of
s (entity c_1)))"
removeOperation :: "entity_id \Rightarrow cap \Rightarrow cap \Rightarrow modify_state" where
eValue = value_of s (entity c_1)))"
```

4 Formalisation of the Noninterference Model

5 Validation of Noninterference

Confidentiality is one of the Noninterference Properties.

```
confidentiality-u \equiv \forall \ a \ d \ s \ t \ s' \ t'. reachable s \land reachable t \land s \overset{a}{\sim} t \land (dom a \ s \rightsquigarrow d \longrightarrow s \overset{dom \ a \ s}{\sim} t \land (s,s') \in \text{Step } a \land (t,t') \in \text{Step } a \longrightarrow s' \overset{d}{\sim} t'
```

To validate confidentiality for the take-grant model I had to define $s \stackrel{d}{\sim} t$ for the model. $s \stackrel{d}{\sim} t$ means that for every entity e reachable from an etnity in d the status of e in s and t has to be the same.

I named the function aquiv_nonin. It compares the value and capabilities of e and the entities of the subsystem e is located in for s and t.

```
aquiv_nonin :: "state \Rightarrow state \Rightarrow subSysT \Rightarrow bool" where "aquiv_nonin s t d \equiv \forall e \in d. value" First I tried to validate confidentiality for the different system operations as they are defined in the take-grant-model. With this
```

the different system operations as they are defined in the take-grant-model. With this model it's impossible to decide whether a change of value has been recognized by another domain.

In the paper an entity only include a set of capabilites. For my purpose I need the option to access the content of the entities. This ist because the rules for noninterference state that no information is allowed to flow from one domain to another. This includes the information stored in the kernel objects. Therefore I extendet the original record entity by adding a *value* modelled by a natural number.

My entity type:

After this change it was feasible to deside confidentiality for this model in the following way.

I took one Low-level-Subsystem and one High-level-Subsystem with entities in them and tested for different right-sets and different operations if the confidentiality-property holds. The following shows an example of this approach:

- $e_1 \in H$, $e_2 \in L$, $c_1 \in s$, $c_2 \in t$
- H equates a High level domain that implements the subsystem 'H'
- L equates a Low level domain that implements the subsystem 'L'

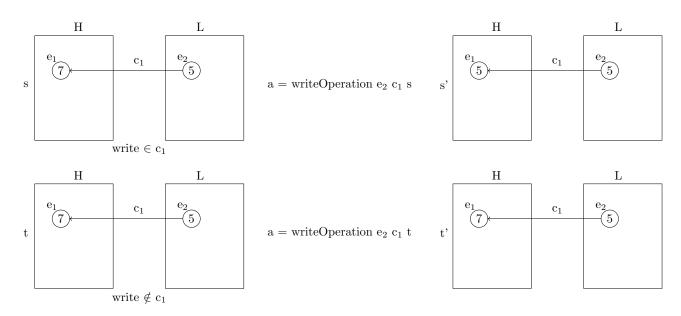


Figure 8: Confidentiality of Write 1

```
* s \stackrel{L}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ L
** writeOperation e_2 \ c_2 \ t changes e\_1 \in H not e \in L
*** writeOperation e_2 \ c_1 \ s = s' \stackrel{****}{=} s
**** legal(SysRead e_2 \ c_1) s = false
```

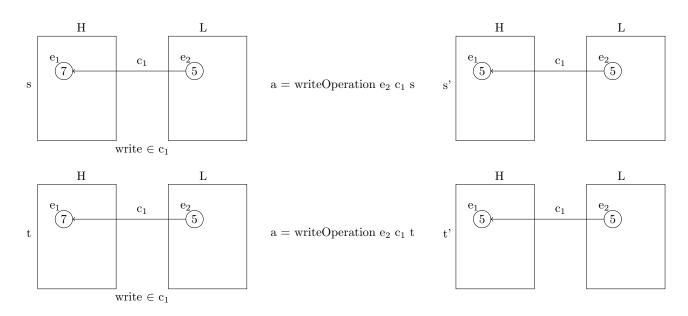


Figure 9: Confidentiality of Write 2

^{*} s $\stackrel{L}{\sim}$ t \Rightarrow aquiv_nonin s t L

```
** writeOperation e_2 c_1 s changes e_-1 \in H no e \in L

*** writeOperation e_2 c_2 t changes e_-1 \in H no e \in L

\forall e \in L.

\forall e \in L.
```

6 Redesign of the take-grant-model

This procedure worked until I came to the remove-operation. There I got the problem, that an entity in the given model is allowed to delete a capability and with that also an object in another domain without any restrictions:

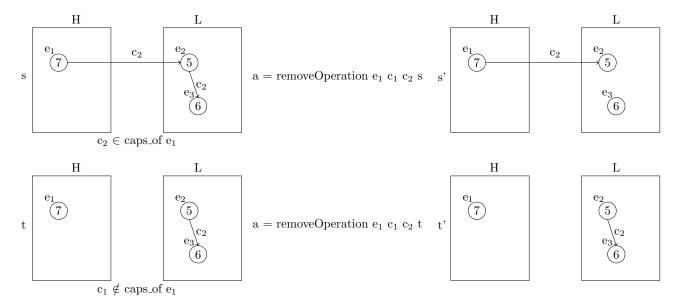


Figure 10: No confidentiality for Remove

To research into this problem I desided to classify the entities by their types, corresponding to the kernel specification [6]:

- Untyped
- TCB
- Synchronous IPC Endpoint (SEP)
- Asychronous IPC Endpoint (AEP)
- CNode
- VSpace
- Interrupt Controller
- Interrupt Handler

The following table showes the different object types with the different operation executable on them and the corresponding take- grant system calls:

Capability Type	Concrete Kernel	protection model
Untyped	Retype	sequence of SysCreate
	Revoke	SysRevoke
TCB	TreadControl	SysNoOP, SysGrant
	Exchange Registers	$SystWrite ext{ or } SysRead$
	Yield	SysNoOP
Synchronous IPC	Send IPC	SysWrite or SysNoOP
(Endpoint)	Wait IPC	SysRead
	Grant IPC	SysWrite, SysGrant or SysNoOP
Asynchronous IPC	Send Event	SysWrite
(AsyncEndpoint)	Wait Event	SysRead
CNode	imitate	SysGrant
	mint	SysGrant
	Remove	SysRemove
	Revoke	SysRevoke
	Move	$SysGrant,\ SysRemove$
	Recycle	SysRevoke, sequence of SysRemove
VSpace	Install Mapping	SysGrant
	Remove Mapping	SysRemove
	Remap	$SysRemove,\ SysGrant$
	initialise	SysNoOP
Frame	-	-
InterruptController	Register interrupt	SysGrant
	Unregister interrupt	SysRemove
Interrupt Handler	Acknowledge interrupt	SysWrite

Table 1: Relationship: operation of concrete kernel \longleftrightarrow of protection model [5]

To discem the different object types I need to revise the entity record and the preconditions for the different system operations.

New dataype for the object types:

The final version of the entity record:

The revised version of the legal function: legal :: "sysOPs \Rightarrow state \Rightarrow bool" where

```
"legal
             (SysNoOp e) s
                                                      isEntityOf s e"
"legal
             (SysCreate e c_1 c_2) s
                                                       (isEntityOf s e \wedge c1, c2 \subseteq caps_of s e \wedge
                                                      \texttt{Grant} \, \in \, \texttt{rights} \, \, \texttt{c}_2 \, \, \wedge \, \, \texttt{Create} \, \in \, \texttt{rights} \, \, \texttt{c}_2 \textbf{)} \, \, \wedge \,
                                                      eType (entity c_1 = Untyped"
"legal
             (SysRead e c) s
                                                       (isEntityOf s e \land c \in caps_of s e \land Read
                                                       \in rights c) \land eType (entity c) = TCB \lor SEP \lor AEP"
             (SysWrite e c) s
                                                       (isEntityOf s e \land c \in caps_of s e \land Write
"legal
                                                       \in rights c) \wedge eType (entity c) = TCB \vee SEP \vee AEP
                                                       ∨ IHandl"
"legal
             (SysGrant e c_1 c_2 r) s
                                                       (isEntityOf s e \land isEntityOf s (entity c_1)
                                                       \land c<sub>1</sub>,c<sub>2</sub> \subseteq caps_of s e \land Grant \in rights c<sub>1</sub>) \land
                                                      eType (entity c_1) = TCB \vee SEP \vee CNode \vee VSpace \vee
                                                      IContr"
"legal
             (SysRemove e c_1 c_2) s
                                                       (isEntityOf s e \land c_1 \in caps\_of s e) \land
                                                      eType (entity c_1) = CNode \vee VSpace \vee IContr"
"legal
             (SysRevoke e c) s
                                                      \texttt{isEntityOf} \ \texttt{s} \ \texttt{e} \ \land \ \texttt{c} \ \in \ \texttt{caps\_of} \ \texttt{s} \ \texttt{e} \ \land \\
                                                      eType (entity c) = Untyped \lor CNode"
```

As mentioned in chapter 3.2 (System Operations) the step function first proves if a system operation is "legal" in state s. If it is the system operation is performed otherwise the new state s' is defined as s' = s. This means that if a system operation is not legal nothing happens. For the validation I took a subsystem (SS1) of one Domain (D1) and another subsystem (SS2) of a second Domain (D2).

In chapter 2.1.2 (Kernel Objects) I explained that the only communication between Domains goes through Asynchronous Endpoints.

The following picture shows an example of how the objects and methods can be placed in the domains and how the connection to *Asynchronous Endpoints* is implemented if the information is allowed to flow from Domain 1 to Domain 2.

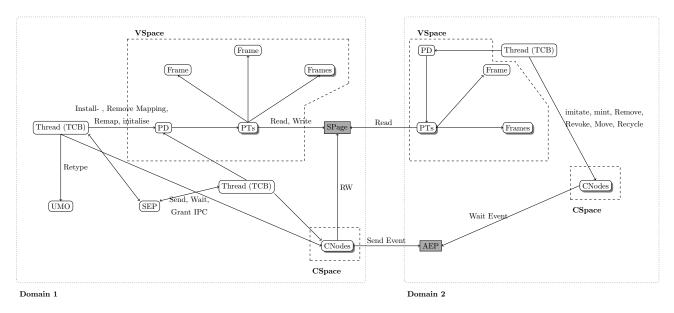


Figure 11: Objects and Methods in the kernel

7 Validation with the new model

I examine each operation of the protection model and distinguish therefore between the different object types.

I assume that information is allowed to flow from Domain 1 to Domain 2 but not from Domain 2 to Domain 1.

 \Rightarrow D1 \rightsquigarrow D2 but D2 $\not\rightsquigarrow$ D1

Further I assume that state s is equivalent to state t for Domain 1.

$$\Rightarrow$$
 s $\stackrel{D1}{\sim}$ t \Rightarrow aquiv_nonin s t D1

In this chapter I show that the criteria for the equivalence relation still holds in Domain 1, between s' and t', after every type of operation.

7.1 Create

Create corresponds to the *Retype* operation on untyped memory. Each Domain has a own and fixed section of memory. So the UMO for *Retype* is located in the same Domain as the implementing entity. Also the created entity is placed in the same Domain as in the CDT it is a child of the UMO.

7.1.1 Create on UMO

The following picture shows how a create operation in one Domain changes or not changes the equivalence criteria in the other domain that is not allowed to get infomation from the primer one.

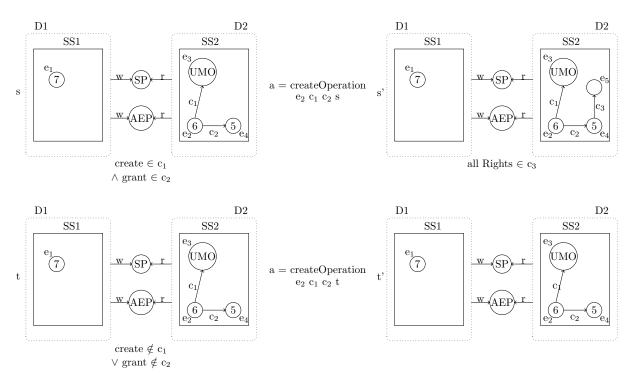


Figure 12: Noninterference for Create on Untyped Memory Objects

I have to show that if $s \stackrel{D1}{\sim} t$ and $(s,s') \in Step a$ and $(t,t') \in Step a$ then $s' \stackrel{D1}{\sim} t'$. $s \stackrel{D1}{\sim} t$ was defined in Chapter 5 as the boolean fuction aquiv_nonin s t D1. The function is true if all entities $e \in D1$ have the same value in s and t (value_of s $e = value_of t e$), if they also have the same capabilities in s and t (caps_of s $e = caps_of t e$) and if D1 has the same entities in s and t (subSys s e = subSys t e).

In the following section I show that value_of s' e = value_of t' e, caps_of s' e = caps_of t e and subSys s' e = subSys t' e for all e \in D1 after the execution of createOperation e₂ c₁ c₂ s respectively createOperation e₂ c₁ c₂ t. And with that I show that aquiv_nonin s' t' D1. Then I can say from my definition that s' $\stackrel{\text{D1}}{\sim}$ t'.

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv \text{aquiv\_nonin s t D1}

** createOperation e_2 c_1 c_2 s creates e_3 \in D2 and does not change or create any e \in D1

*** legal (SysCreate e_2 c_1 c_2) t = \text{false} \Rightarrow t' = t
```

Proof of the noninterference property for create on UMO: \forall e \in D1.

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for Create on an untyped memory object is fulfilled.

7.1.2 Create on all other object types inside a domain

If create is performed on another object type than an untyped memory object, the function step' (SysCreate e c_1 c_2)s does nothing.

The following figure showes the createOperation for every other object type inside a domain.

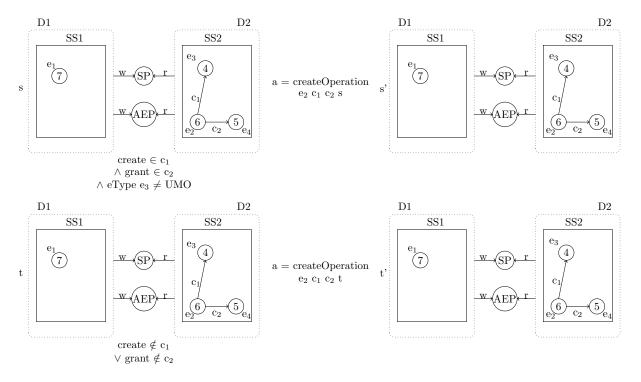


Figure 13: Noninterference for Create on object types \neq Untyped Memory Objects

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1
** legal (SysCreate \ e_2 \ c_1 \ c_2) \ s = false \Rightarrow s' = s
*** legal (SysCreate \ e_2 \ c_1 \ c_2) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for create on other object types in a domain: $\forall e \in D1$.

With $s' \stackrel{D1}{\sim} t'$ the noninterference property for Create on other object types in a domain is fulfilled.

7.1.3 Create on Asynchronous IPC Endpoint objects

The next figure showes create on the AEP endpoints.

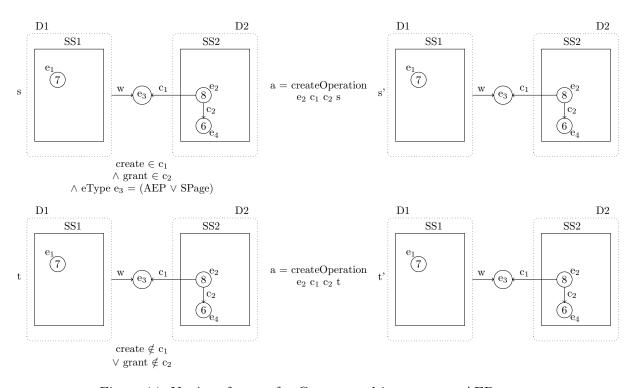


Figure 14: Noninterference for Create on object types = AEP

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysCreate \ e_2 \ c_1 \ c_2) \ s = false \Rightarrow s' = s

*** legal (SysCreate \ e_2 \ c_1 \ c_2) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for create on Asynchronous IPC Endpoint objects

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for Create on Asynchronous IPC Endpoint objects is fulfilled.

7.2 Grant

Grant operation can only be done inside a domain from a TCB, Synchronous IPC, CNode, VSpace or Interrupt Controller object. The only object types that are able to have contact to different domains are Async IPC objects.

7.2.1 Grant on TCB, SEP, CNode, VSpace or IContr objects

I show that any grant operation inside a Domain on one of the named objects does not affect the values, capabilities or entities of another domain.

Because it's the same for every of the given objects, in this model, I generalized $e_4 = TCB \lor SIPC \lor CNode \lor VSpace \lor IContr.$

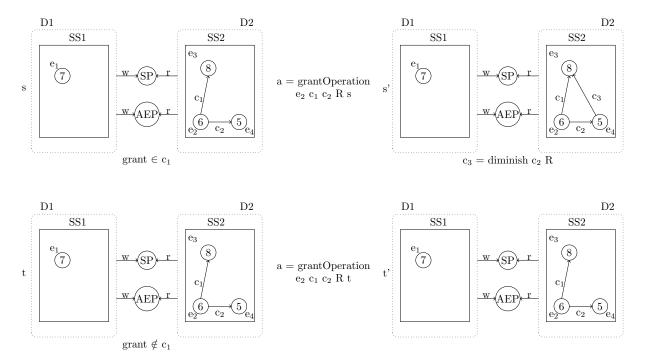


Figure 15: Noninterference for Grant on an TCB, Synchronous IPC Endpoint, CNode, VSpace or Interrupt Controller object

```
* s \stackrel{\mathrm{D1}}{\sim} t \Rightarrow aquiv_nonin s t D1
```

** grantOperation e_2 c_1 c_2 R s creates $c_3 \in D2$ and does not change or create any capability $\in D1$

*** legal (SysGrant e2 c1 c2 R) t = false \Rightarrow t' = t

7.2.2 Grant on other objects inside a domain

In this paragraph I show that an execution of the grant operation on an object other than TCB, SEP, CNode, VSpace, Interrupt Controller or the object type that establish a communication interface between domains: AEP.

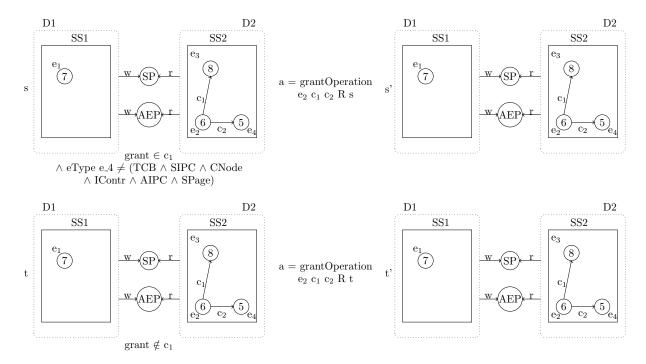


Figure 16: Noninterference for Grant on an object \neq TCB, Synchronous IPC Endpoint, CNode, VSpace, Interrupt Controller object or AEP

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin s t D1

** legal (SysGrant e_2 c_1 c_2) s = false \Rightarrow s' = s

*** legal (SysGrant e_2 c_1 c_2 R) t = false \Rightarrow t' = t

\forall e \in D1.

value_of s' e \stackrel{**}{=} value\_of s e \stackrel{*}{=} value\_of t e \stackrel{***}{=} value\_of t' e

\land caps\_of s' e \stackrel{**}{=} caps\_of s e \stackrel{*}{=} caps\_of t e \stackrel{***}{=} caps\_of t' e

\land subSys s' e \stackrel{**}{=} subSys s e \stackrel{*}{=} subSys t e \stackrel{***}{=} subSys t' e

\Rightarrow aquiv\_nonin s' t' D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.2.3 Grant on Asynchronous IPC Endpoint objects

The next picture illustrates grant on the two object types connecting different domains. In both cases the operation is not legal.

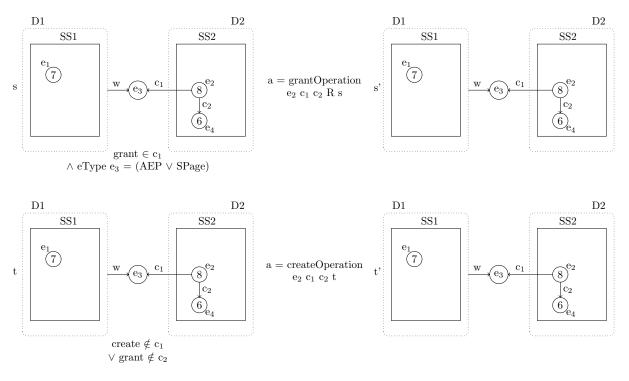


Figure 17: Noninterference for Grant on an Asychronous IPC Endpoint object

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin s t D1

** legal (SysGrant e_2 c_1 c_2) s = false \Rightarrow s' = s

*** legal (SysGrant e_2 c_1 c_2) t = false \Rightarrow t' = t

\forall e \in D1.

value\_of s' e = value\_of s e = value\_of t e = value\_of t' e

\land caps\_of s' e = caps\_of s e = caps\_of t e = caps\_of t' e

\land subSys s' e = subSys s e = subSys t e = subSys t' e

\Rightarrow aquiv\_nonin s' t' D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.3 Write

Write can be executed on TCB, SEP, AEP and Interrupt Handler objects.

7.3.1 Write on TCB, SEP or Interrupt Handler object

First I show the create operation on all executable objects inside a domain. So in the next figure $e_3 = TCB \vee SEP \vee IH$ andl.

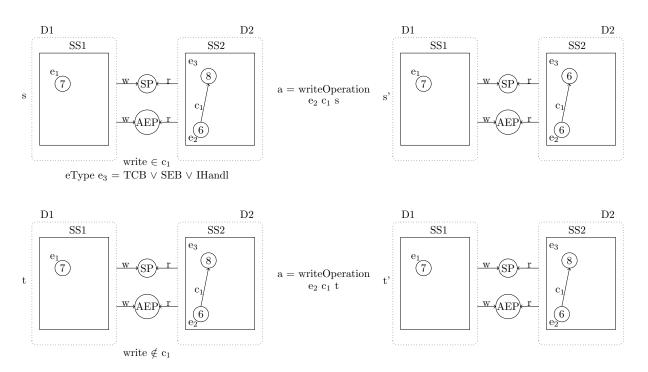


Figure 18: Noninterference for Write on a TCB, Sychronous IPC Endpoint or Interrupt Handler object

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ D1

** writeOperation e_2 \ c_1 \ s only changes the value of an entity \in D2 nothing in D1

*** legal (SysWrite e_2 \ c_1) t = false \Rightarrow t' = t

\forall \ e \in D1.

value\_of s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e

\land \ caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t' \ e

\land \ subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{**}{=} \ subSys \ t' \ e

\Rightarrow \ aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.3.2 Write on other objects \neq TCB, SEP, IHandl and AEP

Like in 7.1 Create and 7.2 Grant there are other object types inside a domain, which are not executeable with the write operation. Those are CNodes, VSpaces, UMOs and Interrupt Controller.

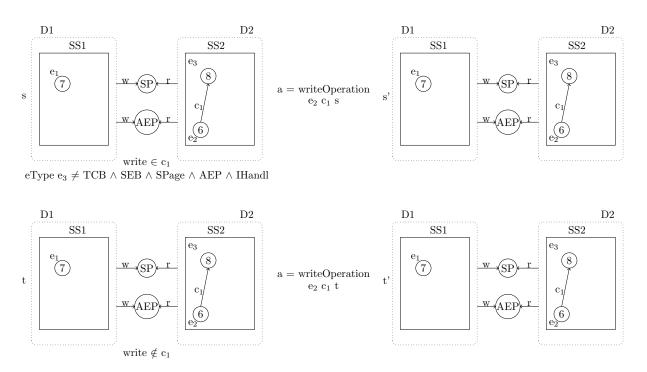


Figure 19: Noninterference for Write on other objects \neq TCB, SEP, IHandl and AEP

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin s t D1

** legal (SysWrite e_2 c_1) s = false \Rightarrow s' = s

*** legal (SysWrite e_2 c_1) t = false \Rightarrow t' = t

\forall e \in D1.

value\_of s' e \stackrel{**}{=} value\_of s e \stackrel{*}{=} value\_of t e \stackrel{***}{=} value\_of t' e

\wedge caps\_of s' e \stackrel{**}{=} caps\_of s e \stackrel{*}{=} caps\_of t e \stackrel{***}{=} caps\_of t' e

\wedge subSys s' e \stackrel{**}{=} subSys s e \stackrel{*}{=} subSys t e \stackrel{***}{=} subSys t' e

\Rightarrow aquiv\_nonin s' t' D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.3.3 Write on an AEP object from Domain 2

In Chapter 7 I defined the precondition \Rightarrow D1 \rightsquigarrow D2 but D2 $\not\rightsquigarrow$ D1. That means the rights from Domain 2 on Asychronous Endpoints are restricted to read. If the read operation is called from Domain 2 it looks like it is illustrated in Figure 20. The policy prescribes that information is only allowed to flow from Domain 1 to Domain 2 but not from Domain 2 to Domain 1. This has the consequence that write can not be part of c_1 .

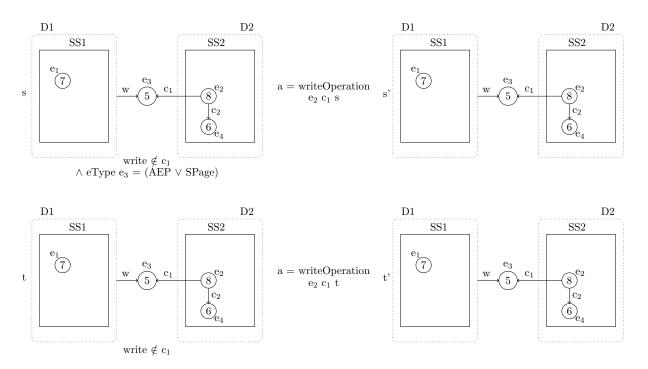


Figure 20: Noninterference for Write on an object = AEP executed from an entity \in Domain 2

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin s t D1

** legal (SysWrite e_2 c_1) s = false \Rightarrow s' = s

*** legal (SysWrite e_2 c_1) t = false \Rightarrow t' = t

\forall e \in D1.

value\_of s' e \stackrel{**}{=} value\_of s e \stackrel{*}{=} value\_of t e \stackrel{***}{=} value\_of t' e

\land caps\_of s' e \stackrel{**}{=} caps\_of s e \stackrel{*}{=} caps\_of t e \stackrel{***}{=} caps\_of t' e

\land subSys s' e \stackrel{**}{=} subSys s e \stackrel{*}{=} subSys t e \stackrel{***}{=} subSys t' e

\Rightarrow aquiv\_nonin s' t' D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.3.4 Write on an AEP object from Domain 1

Write on AEP objects can be executed from Domain 1. Figure 21 showes that this has no influence on the noninterference property.

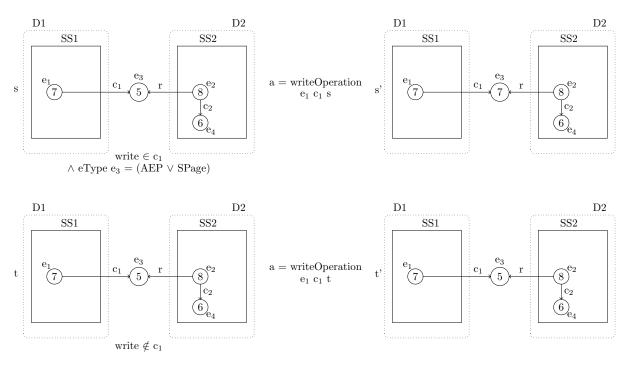


Figure 21: Noninterference for Write on an object = AEP executed from an entity \in D1

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ D1

** writeOperation e_1 \ c_1 \ s changes the value \in e_3 \notin D1.

That means it has no impact on any entity \in D1

*** legal (SysWrite e_2 \ c_1) t = false \Rightarrow t' = t

\forall \ e \in D1.

value_of s' e = value\_of \ s \ e = value\_of \ t \ e = value\_of \ t' \ e

\wedge \ caps\_of \ s' \ e = caps\_of \ s \ e = caps\_of \ t' \ e

\wedge \ subSys \ s' \ e = subSys \ s \ e = subSys \ t' \ e

\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.4 Read

Read is legal on TCB, Sychronous IPC Endpoint and Asynchronous IPC Endpoint objects. Like in chapter 7.3 I distinguish between objects with legal execution of read on objects inside a domain, illegal execution of read on objects inside a domain an both on objects outside a domain.

7.4.1 Read on TCB or Sychronous IPC Endpoint objects

TCB and SEP objects are the two object types that are executable with read from an endpoint in the same domain.

Figure 22 shows how the operation influences the other domain.

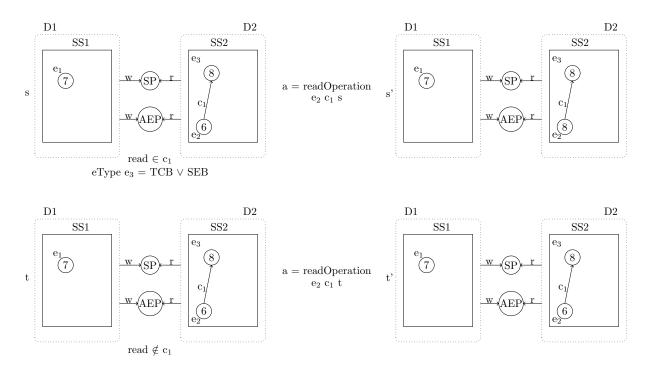


Figure 22: Noninterference for Read on a TCB or Sychronous IPC Endpoint object

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ D1

** readOperation e_2 \ c_1 \ s only changes the value of an entity \in D2 nothing in D1

*** legal (SysRead e_2 \ c_1) t = false \Rightarrow t' = t

\forall \ e \in D1.

value\_of s' \ e \stackrel{**}{=} value\_of \ s \ e \stackrel{*}{=} value\_of \ t \ e \stackrel{***}{=} value\_of \ t' \ e

\land \ caps\_of \ s' \ e \stackrel{**}{=} caps\_of \ s \ e \stackrel{*}{=} caps\_of \ t' \ e

\land \ subSys \ s' \ e \stackrel{**}{=} subSys \ s \ e \stackrel{**}{=} subSys \ t' \ e

\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.4.2 Read on other object types inside a domain

Figure 23 depicts the read operation on objects in the same domain on which read is not executable. It's similar to write in chapter 7.3.2.

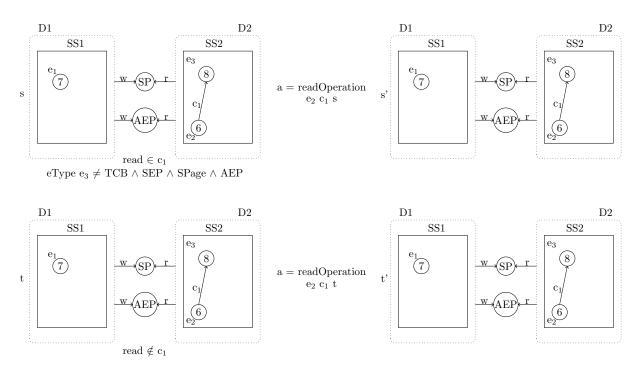


Figure 23: Noninterference for Read on object types \neq TCB, Asynchronous IPC Endpoint or Sychronous IPC Endpoint object

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin s t D1

** legal (SysRead e_2 c_1) s = false \Rightarrow s' = s

*** legal (SysRead e_2 c_1) t = false \Rightarrow t' = t

\forall e \in D1.

value\_of s' e \stackrel{**}{=} value\_of s e \stackrel{*}{=} value\_of t e \stackrel{***}{=} value\_of t' e

\wedge caps\_of s' e \stackrel{**}{=} caps\_of s e \stackrel{*}{=} caps\_of t e \stackrel{***}{=} caps\_of t' e

\wedge subSys s' e \stackrel{**}{=} subSys s e \stackrel{**}{=} subSys t e \stackrel{***}{=} subSys t' e

\Rightarrow aquiv\_nonin s' t' D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.4.3 Read on an AEP object from Domain 1

Similar to chapter 7.3.3 read can only be executed from on type of Domain. Thats the one to which information is allowed to flow. In my case it's Domain 2. No infomation is allowed to flow to Domain 1. So read is not legal if it is executed from Domain 1. Figure 24 shows that this does not affect Domain 1.

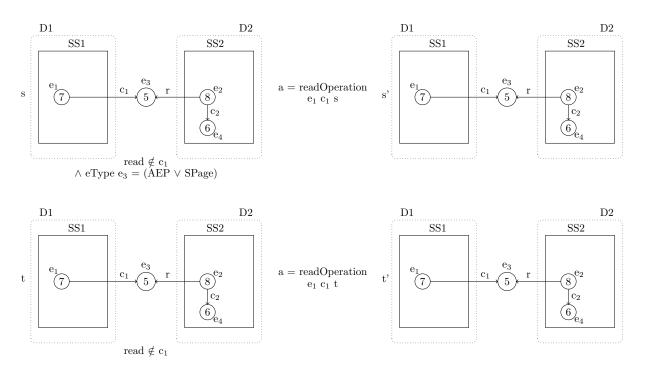


Figure 24: Noninterference for Read on object types = Asynchronous IPC Endpoint executed from Domain 1

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ D1

** legal (SysRead e_1 \ c_1) s = false \Rightarrow s' = s

*** legal (SysRead e_1 \ c_1) t = false \Rightarrow t' = t

\forall \ e \in D1.

value\_of s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e

\land \ caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t' \ e

\land \ subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t' \ e

\Rightarrow \ aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.4.4 Read on an AEP object from Domain 2

Read can be executed from Domain 2. In Figure 25 I show the impact of this execution.

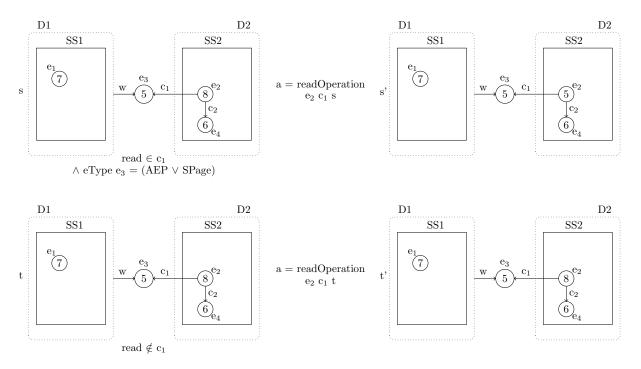


Figure 25: Noninterference for Read on object types = Asynchronous IPC Endpoint executed from Domain 2

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ D1

** readOperation e_2 \ c_1 \ s changes the value \in e_3 \notin D1.

That means it has no impact on any entity \in D1

*** legal (SysRead e_2 \ c_1) t = false \Rightarrow t' = t

\forall \ e \in D1.

value\_of s' \ e \stackrel{**}{=} value\_of \ s \ e \stackrel{*}{=} value\_of \ t \ e \stackrel{***}{=} value\_of \ t' \ e

\wedge \ caps\_of \ s' \ e \stackrel{**}{=} caps\_of \ s \ e \stackrel{*}{=} caps\_of \ t' \ e

\wedge \ subSys \ s' \ e \stackrel{**}{=} subSys \ s \ e \stackrel{**}{=} subSys \ t' \ e

\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

7.5 Remove

Remove can be executed on CNode, VSpace or Interrupt Controller object types. Like in the chapters bevore I distinguish between executing the operation inside and outside a domain. All legal object types are inside a domain. So I only have to differ between legal and not legal for the execution inside da domain.

7.5.1 Remove on CNode, VSpace or Interrupt Controller objects

Remove deletes a capability in an entity. This capability can point on an entity in the same domain or on an AEP object.

• Target object is in the same domain If the removed capability points to an entity in the same domain and remove is legal for the executed entity, the operation looks like it is pictured in Figure 26.

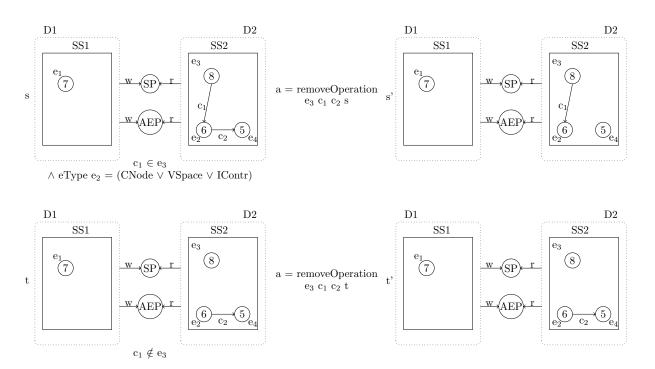


Figure 26: Noninterference for Remove on object types = CNode, VSpace or IContr.

The removed capability points to an entity in the same domain

```
** readOperation e_2 c_1 s changes the value \in e_3 \notin D1.

That means it has no impact on any entity \in D1

*** legal (SysRead e_2 c_1) t = false \Rightarrow t' = t

\forall \ e \in D1.

value_of s' e = value_of s e = value_of t e = value_of t' e

\land \quad caps\_of \ s' \ e = caps\_of \ s \ e = caps\_of \ t \ e = caps\_of \ t' e

\land \quad subSys \ s' \ e = subSys \ s \ e = subSys \ t \ e = subSys \ t' e

\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
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s $\stackrel{\mathrm{D1}}{\sim}$ t \Rightarrow aquiv_nonin s t D1

7.6 Revoke

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