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Bachelor-Thesis in Computer Science

Noninterference in the take-grant model for the seL4 microkernel

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Declaration of authorship
I hereby declare that the thesis submitted is my own unaided work. All direct or indirect sources used are acknowledged as references.
Munich, the 07-27-2018
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Abstract

The thesis investigates the question whether the specification of the seL4 access control system is strong enough to verify the Noninterference property on it. Using the specification of the extended Take-Grant-Protection Model [2] I show the Noninterference property [7] on each of its system operations. As the specifications and proofs of the take-grant model are developed in the theorem proof assistant Isabelle/HOL, I use the same to formalise my datatypes and functions.

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1 Introduction

1.1 Motivation

Nowadays our society becomes progressivly dependent on computer systems. Througout our whole life smaller and smaller computers increasingly take over control. Wheter in a smart TV, our car or the lights in a connected home. We are therefore forced to confront ourselves with the safety and reliability of these systems.

This is particular essential when we entrust our live to one of these computers. We expect onboard computers in planes or cars to be free from defects and unhackable. Unfortunately the reality is often different. For example, hackers have proven that the onboard computer of some cars can be taken over from a smartphone in a nearby car.

A key component in developing secure systems is the operating-system (OS) kernel. The kernel has full access to hardware resources. One defect in the kernel can compromise the security and reliability of the entire system.

The weakness of most traditional kernels was their huge amount of code due to their monolithic design. This makes it hard to review or verify the code. Monolithic designs are fundamentally week because they integrate accessory functions like drivers for hardware or virtual filesystems. This makes the system more vulnerable for bugs. One crashed modul can lead to a crash of the entire system.

In contrast, microkernels concentrate on the fundamental functions: interprocess communication, scheduling or memory management. The motivation behind microkernels is to reduce the possibility of bugs in the kernel code through reducing the code to an amount as minimal as possible and to exclude functions from kernel mode. With less code it becomes more feasible to guarantee the absence of defects within the kernel through formal verification.

Because we feed our smartphones, tablets, board-computers, etc. with an ever growing amount of sensitive information like bank data, passwords, e-mails, chats the safety of embedded systems is a growing necessity.

Through isolation of small subsystems, like it is done in microkernels, the security already can be raised to a higher level. With testing one can depict an huge amount of bugs. But as Dijkstra said "Testing can only show the presence, not the absence, of bugs." [8]

As already mentioned, less lines of codes makes it more feasible to verify it relating to its specification. The seL4 microkernel is the first microkernel whose correctness is formally verified. It's a high-assurance, high-performance microkernel, primarily developed, maintained and formally verified by NICTA (now Trustworthy Systems Group at Data61) for secure embedded systems. Its security model is based on the take-grant model, which was extended for being able to reason about kernel memory consumption of components.

1.2 Aim of the thesis

With this thesis I will survey if the extended take-grant model is strong enough to show the noninterference property on it.

The security property of noninterference ensures that there is no unwanted information flow within a system. The take-grant model is an access control model. Therefore its duty is to "control" the access or the transfer of access on objects of a system. The noninterference property assures that there is no way information can flow to undesirable parties.

The thesis should investigate the different system operations of the model regarding the thereby occurring information flow. With the collected information I want to answer two questions. First if the noninterference property can be verified with the existing model and second if the noninterference property is fulfilled.

1.3 Structure of the thesis

At the beginning I want to give a survey of the seL4 kernel, its set-up, the implementation of services and the memory management. For a better comprehension I then give a brief overview of the take-grant and the noninterference model. Chapter 3 focuses on the formalisation of the take-grant model an chapter 4 on the formalisation of the noninterference model.

From chapter 5 on I turn to the validation of the noninterference property. In chapter 7 the validation is subdivided into the different system operations. To show the property for the model I am going to extend the model in chapter 6.

Finally I'll take a short resume and give a prospect on the possibilities to enhance this topic.

2 Requirements

2.1 The seL4 Microkernel

The seL4 [6] ist a small operation system kernel. It is based on the in the L4 microkernel developed in the 1990s and provieds a minimal number of services to applications, such as abstractions for virutal address spaces, threads, inter process comunication (IPC).

Each abstraction is implemented by a kernel object with methods dependent on the abstraction it supplies. The objects can be named and accessed by capabilities which are also stored in kernel objects called *CNodes*.

Each capability contains a target object and potentially several access rights. The access rights can be Read, Write, Grant and Create. By invoking a capability that points to the kernel object with a corresponding method name, applications can invoke system calls. As arguments these system calls can have data or other capabilities.

2.1.1 System Calls

The kernel provides the following system calls:

- send(): The system call argument ist delivered to the target object and the application is allowed to continue. If the target is not able to receive and/or process the arguments immediately, the sending application will be blocked until the arguments can be delivered.
- NBSend(): Like send(). Exception: If the message is not deliverable it's silently droped.
- Call(): Like send() but the application is blocked until the object provides a response, or the receiving application replies.

 If the argument is delivered to an application via Endpoint the receiver needs the right to respond to the sender. So in this case an additional capability is added to the arguments.
- Wait(): If the target object is not ready Wait() is used by an application to block until the object is ready.
- Reply(): Used to respond to a Call(), using the capability generated by the Call() operation.
- ReplyWait(): As a combination of Reply() and Wait() it is efficient for the common case that replying to a request and waiting for the next can be performed in a single system call.

2.1.2 Kernel Objects

The kernel implements several objects to allocate the system operations [6].

• CNodes

The capabilities to invoke system calls are stored in *CNodes*. When created they get a fixed numer of slots that can be empty or contain a capability. The kernel conducts a **Capability Derivation Tree** (CDT) to keep records about the created capabilities and their associations. This is required for the revoke operation.

They have the following operations:

- Mint()

creates a copy of an existing capability. The new capability is placed in a specified CNode slot and may have less rights than the parent capability. In the CDT the capability is placed as child of the original one.

- Copy()

is similar to the Mint operation. But the new capability has the same rights as the original one and in the CDT it's represented as a sibling of it.

- Move()

can maneuver a capability between two specified slots.

- Mutate()

moves the capability similar to Move() and is able to reduce its rights as it is done in Mint() without an orignal copy remaining.

- Rotate()

moves two capabilities between three slots. Replaces two Move() operations.

- Delete()

can remove a capability from a specified slot.

– Revoke()

is used to remove a complete part of the CDT. From a defined capability on, all children from the capability in the CDT are removed with Delete().

- Recycle()

revokes all outstanding capabilities and reconfigures the object to its initial state. So the object can be reused in for another purpose.

• IPC Endpoints

Endpoints are used for the *interprocess communication* between threads. They can be devided into **synchronous (EP)** and **asynchronous (AEP)** endpoints. Threads in the seL4 kernel are grouped into security domains. Interprocess communication between different domains is only realised via AEPs. Generally capabilities to endpoints can be restricted to be read - or write - only.

• TCP

A thread of execution in seL4 is represented by a *thread control block*. It is always associated with a CSpace (provides the capabilities required to manipulate the kernel objects) and a VSpace (provides the virtual memory environment required to contain the code and data application).

The thread control block object has the following methods:

CopyRegisters(), ReadRegisters(), WriteRegisters(), SetPriority(),
SetIPCBuffer(), SetSpace(), Configure(), Suspend(), Resume()

• Virtual Memory

Objects in the *virtual address space* (VSpace) implement services for the management of virtual memory which largely directly correspond to those of the hardware:

Page Directory, Page Table, Page, ASID Control, ASID Pool Figure 1 shows how they are connected.

• Interrupt Objects

Device driver applications require Interrupt Ojects to be capable of receiving and acknowledging interrupts from hardware devices.

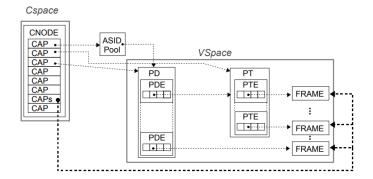


Figure 1: Internal representation of an application in seL4 [3]

• Untyped Memory

Untyped memory objects (UMO) encapsulate a fixed-sized, size-aligned, continuous region of the physical memory. Each object can be devided into a group of smaller untyped memory objects. With Retype() a number of new kernel objects are created. It also returns capabilities to the new objects if it succeeds.

2.1.3 Memory Allocation Model

A special characteristic of the seL4 is that the memory for kernel objects is not allocated dynamically. A goal was to isolate physical memory access between applications and to control the amount of physical memory that applications can use.

To accomplish this applications get fixed sized memory regions they have to control by themselves.

Capabilities on Untyped Memory Objects (UMO) are needed to create new objects. So applications need the capabilities on UMOs to create new objects. After creation the objects have a fixed amount of memory they can use.

At boot time the kernel pre-allocates all the memory required for the kernel to run. This includes the space for kernel code, data and kernel stack. The kernel then creates an *Initial User Thread* with associated CSpace and VSpace and hands over the remaining memory in form of capabilities on UMOs.

The Initial User Thread can create smaller sized UMOs out of an UMO or retype it into another object type. The creator of new objects has full authority over the objects. This "full authority" depends on the object type.

Figure 2 shows a sample system architecture in which a resource manager running at user-level has the authority over the remaining untyped memory after bootstrapping.

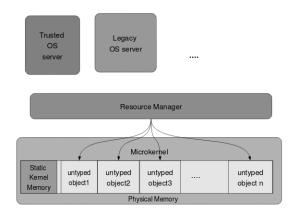


Figure 2: Sample system configuration [2]

2.2 The Take-Grant Model

Protection or access control models specify, analyse and implemente security policies. The classical Take-Grant Model was primary introduced by Lipton and Snyder, 1977 in "A Linear Time Algorithm for Deciding Subject Security" [9].

2.2.1 The Classical Model

In the Take-Grant Model [2] subjects or objects are represented as nodes and authority as arcs in a directed graph that represents the system.

Rules for graph mutation represent the different system operations to modify the authority distibution. The most common rules in the classical model are *take*, *grant*, *create* and *remove*.

• take rule: Let S,X,Y be three distinct vertices in the protection graph with an arc, labelled with α , from X to Y and one labelled with γ from S to X, such that $t \in \gamma$.



Figure 3: Take adds an edge from S to Y with the label $\beta \subseteq \alpha$. [2]

• grant rule: Let S,X,Y age in be three distinct vertices in the graph with an arc, labelled with α , from S to Y and one labelled with γ from S to X, such that $g \in \gamma$.

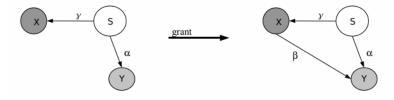


Figure 4: Grant adds an edge from X to Y with the label $\beta \subseteq \alpha$. [2]

• create rule: Let S be a vertex in the graph.

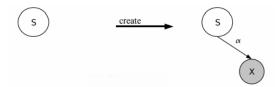


Figure 5: Create adds a new node X and an arc from S to X, labelled with α . [2]

• remove rule: Let S, X be vertices in the graph with an arc from S to X, labelled with α .



Figure 6: Remove deletes β labels from α or the arc itself if $\alpha - \beta = \{\}$. [2]

2.2.2 Take-Grant specified for the seL4

The Take-Grant Model specified in the paper "Verified Protection Model of the seL4 Microkernel" [2] is a variant of the classical Take-Grant model.

From the modifications made the one on the *create rule* is the most important one. As I explained in chapter 2.1 authority in the kernel is implemented with capabilities. Adding a new node to the protection graph in the model corresponds to the creation of a new object with a capability pointing on it in the kernel. Therefore the object executing the create operation needs a capability with create authority.

The remove rule was modified as it does not remove parts of labels anymore but the whole capability. That means the complete arc pointing on an object is removed. To diminish authority a capability has to be removed and newly created with diminished authority.

With retype newly created capabilities are saved in a *Capability Derivation Tree* (CDT) as children of the UMO. A capability can be copied with the mint or imitate operation.

A capability copied with mint is inserted in the CDT as child of the original one. Those that are copied with imitate are siblings. Figure 7 showes a CDT where C1 and C2 are created from the UMO via retype. C3 and C4 are copied from C1 via mint. So they have the same or less authority as C1. C1' is copied from C1 via imitate. This operation transfers the same rights to the new capability. As a consequence the capability is inserted a sibling of C1.

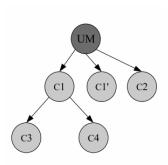


Figure 7: Example CDT with children and siblings [5]

To remove a set of capabilities the operation revoke was implemented.

With this operation **remove** is executed on every capability that is in the CDT below the target capability.

A speciality of the extended model is, that objects and subjects are called *entities*. The goal of the paper "Verified Protection Model of the seL4 Microkernel" was to show that implementing isolated subsystems, using the mechanisms of the seL4 kernel, can be accomplished. [2]

Isolated subsystems are implemented as a collection of *connected* entities. An entity that has *grant authority* on another one is connected with this entity. Authority can neither get in nor get out of these isolated subsystems.

The exact specification of subsystems and entities follows in Chapter 3.

2.3 Noninterference

Noninterference is an enhancement of the information flow model, first published by Goguen and Meseguer in 1982 and updated in 1984. It ensures that objects and subject from different security levels do not interfere with those at other levels. In the model variables are classified to be L (low security) or H (high security, private) variables. The goal is to prevent information to flow from H variables to L variables. I use the noninterference formulation of Geoffrey Smith [7], which reads "Program c satisfies noninterference if, for any memories μ and ν that agree on L variables, the memories produced by running c on μ and on ν also agree on L variables (provided that both runs terminate successfully)."

This means that, if in a program two states are equivalent on a low level domain, then they are still equivalent on this level after a program was executed.

Central to to noninterference is the notion of a $policy \sim$. It specifies the allowed information flows between domain. $L \sim H$ if information is allowed to flow from domain L to domain H.

The model says two memories μ and ν agree on L variables if they fullfil an equivalence relation $\mu \stackrel{L}{\sim} \nu$.

The exact formalisation of noninterferenc for the validation follows in chapter 4.

3 Formalisation of the Take-Grant Model

3.1 Capabilities

In the Take-Grant model for seL4 [2], where I got the formalisation from, the authors waived the usual differentation between subjects and objects and called all kernel objects entities.

The entities memory address identifies them and is modeled as a natural number.

```
type_synonym entity_id = nat
```

With each capability a set of rights is associated. There are four access rights in the system model:

```
datatype rights = Read | Write | Grant | Create
```

- Read authorises the reading of information from another entity.
- Write authorises the writing of information to another entity.
- Grant authorises the passing of a capability to another entity.
- Create authorises the creation of new entities, which models the behavior of untyped memory objects.

A capability has two fields:

- 1. An identifier which names a target-entity
- 2. A set of rights which defines which system operations the source-entity is authorized to perform on the target-entity.

An entity has a set of capabilities:

```
record entity = caps :: cap set
```

The systems state includes two fields:

- 1. The heap, which stores the entities of the system like an array from address 0 up to and excluding next_id.
- 2. next_id contains slot for the next entity without overlapping with an existing one.

```
record state = heap :: entity_id ⇒ entity next_id :: entity_id
```

3.2 System Operations

The data type sysOps defines the different system operations of the seL4.

The entity id in each operation is the entity initiating the operation. The first named capability is the one that is being invoked. The second capability for SysCreate points to the target entity for the new capability. For SysGrant it is the passed capability and for SysRemove it is the one that has to be removed. The rights set in SysGrant is necessary for the initiating entity to have the option only to transport a subset of the authority it offers to the receiver.

The diminish function applies this mask on the given acces rights:

```
diminish :: "cap \Rightarrow rights set \Rightarrow cap" where diminish c R \equiv c(rights := rights c \cap R)
```

legal defines on what terms any system operation is allowed.

```
legal :: "sysOPs \Rightarrow state \Rightarrow bool" where
```

```
"legal
          (SysNoOp e) s
                                      = isEntityOf s e"
"legal
          (SysCreate e c_1 c_2) s
                                          (isEntityOf s e \wedge c_1, c_2 \subseteq caps\_of s e \wedge
                                           Grant \in rights c_2 \land Create \in rights c_2)"
"legal
          (SysRead e c) s
                                          (isEntityOf s e \land c \in caps_of s e \land Read
                                           ∈ rights c)"
                                    = (isEntityOf s e \land c \in caps_of s e \land Write
          (SysWrite e c) s
"legal
                                           \in rights c)"
          (SysGrant e c_1 c_2 r) s = (isEntityOf s e \land isEntityOf s (entity c_1)
"legal
                                          \land c<sub>1</sub>,c<sub>2</sub> \subseteq caps_of s e \land Grant \in rights c<sub>1</sub>)"
          (SysRemove e c_1 c_2) s = (isEntityOf s e \land c_1 \in caps\_of s e)"
"legal
"legal
          (SysRevoke e c) s
                                    = isEntityOf s e ∧ c ∈ caps_of s e"
```

The function isEntityOf tests the existence of an entity_id. Caps_of issues the set of all capabilities contained in the entity with the address r in state s.

The original executions of SysRead and SysWrite do not have an underlying function. For implying the noninterference property I have to include what happens if an entity reads or writes a value from another entity. For this purpose I defined a readOperation and a writeOperation.

The step' and step functions define the execution of a single system operation:

```
step' :: "sysOPs \Rightarrow state \Rightarrow state" where
```

```
(SysNoOp e) s
                                                  = s"
      "step'
                 (SysRead e c) s = readOperation e c s"

(SysWrite e c) s = writeOperation e c s"

(SysCreat e c_1 c_2) s = createOperation e c_1 c_2 s"
     "step'
     "step'
     "step'
     "step' (SysGrant e c_1 c_2 R) s = grantOperation e c_1 c_2 R s"
     "step'
                 (SysRemove e c_1 c_2) s = removeOperation e c_1 c_2 s"
      "step' (SysRevoke e c) s = revokeOperation e c s"
step :: "sysOps \Rightarrow state \Rightarrow state" where
step cmd s \equiv if legal cmd s then step' cmd s else s
The new defined functions readOperation and writeOperation:
readOperation :: "entity_id \Rightarrow cap \Rightarrow modify_state" where
"readOperation e c s \equiv s\| heap := (heap s)(e := \|caps = caps_of s e, eValue = value_of s
(entity c)))"
writeOperation :: "entity_id \Rightarrow cap \Rightarrow modify_state" where
"writeOperation e c s \equiv s( heap := (heap s)(entity c := (caps = caps_of s (entity c), eValue
= value_of s e|))|)"
The rest of the system operations stay as they are:
\texttt{createOperation} \ :: \ \ \texttt{"entity\_id} \ \Rightarrow \ \texttt{cap} \ \Rightarrow \ \texttt{modify\_state"} \ \texttt{where}
createOperation e c_1 c_2 s \equiv
 let nullEntity = (cap = , eValue = NULL) ;
         newCap = (entity = next_id s, rights = all_rights);
         newTarget = (caps = newCap caps_of s (entity c2), eValue = NULL)
         s(\texttt{heap} := (\texttt{heap} \ \texttt{s}) \ (\texttt{entity} \ \texttt{c}_2 := \texttt{newTarget}, \ \texttt{next\_id} \ \texttt{s} := \texttt{nullEntity}), \ \texttt{next\_id} := \texttt{next\_id} \ \texttt{s+1})"
 in
grantOperation :: "entity_id \Rightarrow cap \Rightarrow cap \Rightarrow rights set \Rightarrow modify_state" where
"grantOperation e c_1 c_2 R s \equiv
\texttt{s}(\texttt{heap} := (\texttt{heap} \ \texttt{s})(\texttt{entity} \ \texttt{c}_1 := (\texttt{caps} = \texttt{diminish} \ \texttt{c}_2 \ \textit{R} \ \cup \ \texttt{caps\_of} \ \texttt{s} \ (\texttt{entity} \ \texttt{c}_1), \ \texttt{eValue} = \texttt{option}(\texttt{entity} \ \texttt{c}_1)
value_of s
(entity c_1)))"
removeOperation :: "entity_id \Rightarrow cap \Rightarrow cap \Rightarrow modify_state" where
"removeOperation c_1 c_2 s \equiv s(heap := (heap s)(entity <math>c_1 := (caps = caps\_of s (entity <math>c_1))
- c_2, eValue = value_of s (entity c_1)))"
```

4 Formalisation of the Noninterference Model

For the validation a formalisation of the noninterference property.

```
noninterference :: "bool" where "noninterference \equiv \forall \ a \ l \ h \ s \ t \ s' t'.reachable s \land reachable t \land s \stackrel{l}{\sim} t \land (h \leadsto l \longrightarrow s \stackrel{h}{\sim} t) \land (s,s') \in \text{Step } a \land (t,t') \in \text{Step } a \longrightarrow s' \stackrel{l}{\sim} t'"
```

"a" names the system operation, "1" a low level domain, "h" a high level domain, from the states "s" and "t" the system operation is executed and "s'" and "t'" are the resulting states.

First I tried to validate confidentiality for the different system operations as they are defined in the take-grant-model. With this model it is impossible to decide whether a change of value has been recognized by another domain.

In the paper an entity only includes a set of capabilites. For my purpose I need the option to access the content of the entities, because the rules for noninterference state that no information is allowed to flow from one domain to another. This includes the information stored in the kernel objects. Therefore I extend the original record entity by adding a *value* modelled by a natural number.

My entity type:

To check noninterference I had to define a few functions.

The equivalence relation "~":
 s ^d t means that for every entity e reachable from an entity in d the status of e in s and t has to be the same.

I named the function aquiv_nonin. It compares the value and capabilities of e and the entities of the subsystem e is located in for s and t.

```
aquiv_nonin :: "state \Rightarrow state \Rightarrow subSysT \Rightarrow bool" where "aquiv_nonin s t d \equiv {\forall e \in d. value_of s e = value_of t e \land caps_of s e = caps_of t e \land subSys s e = subSys t e}"
```

2. A function to read the value of an entity:

```
value_of :: "state \Rightarrow entity_id \Rightarrow nat" where "value_of s sref \equiv eValue(heap s sref)"
```

3. The isolation by using subsystems:
Subsystems are defined by entities that are connected with an entity e in a state s.

To identify subsystems I need a datatype for them:

```
type\_synonym subSysT = "entity_id st"
```

Now I can define Subsystems with the function subSys:

```
\label{eq:subSys} \begin{tabular}{lll} subSys :: & "state $\Rightarrow$ entity\_id $\Rightarrow$ subSysT" where \\ "subSys s e $\equiv \{ \forall e_i.in\_conc\_connected s e e_i \} " \end{tabular}
```

in_conc_connected s e e_i is true for entities e and e_i that are connected in state s.

e and e_i are connected in state s if a grant capability on e_i is part of caps_of e or if a grant capability on e is part of caps_of e_i .

The function caps_of e_i was defined in chapter 3.

5 Validation of Noninterference

After the formalisations in chapter 3 and 4 I try to decide noninterference for the different system operations in the following way.

I took one Low-level-Subsystem and one High-level-Subsystem with entities in them and tested for different right-sets and different operations if the noninterference-property holds. The following first displays what I assume and then shows an example of this approach:

- H equates a High level domain that implements the subsystem 'H'
- L equates a Low level domain that implements the subsystem 'L'
- e_1 is an entity in H and e_2 is an entity in L
- s $\stackrel{L}{\sim}$ t

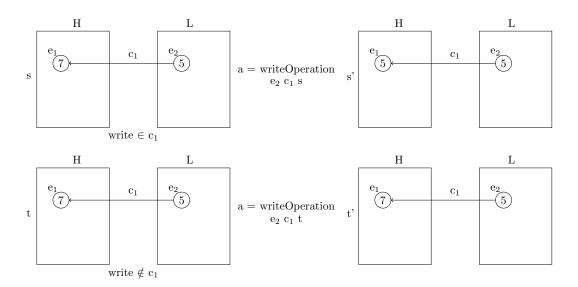


Figure 8: Noninterfernce of Write 1

To show noninterference I checked if the criterias for aquiv_nonin s' t' L are fulfilled after the execution of the write operation and the named preconditions. The write operation in the extended model satisfies the noninterference property:

- value_of s' e = value_of s e \land caps_of s' e = caps_of s e and subSys s' e = subSys s e as the write operation on the entity, $c_1 \in e_2$ is pointing on, changes an entity $e_1 \in H$ and does not affect an entity $\in L$.
- value_of s e = value_of t e \land caps_of s e = caps_of t e and subSys s e = subSys t e as one of the preconditions was s $\stackrel{L}{\sim}$ t. I defined the equivalence relation with the function aquiv_nonin s t L, which is equal to the requirement.
- The step function first checks whether the execution of the system operation is legal, if not the new state t' equals the old state t. value_of t e = value_of t' e \land caps_of t e = caps_of t' e and subSys t e = subSys t' e as write is not part of c_1 . So legal(SysWrite e_2 c_1) s = false what leads to t=t'.

In the following cases the proof looks always the same. So I shorten it:

Preconditions:

```
* s \stackrel{L}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ 
** writeOperation e_2 \ c_1 changes e_1 \in H no e \in L
*** legal(SysWrite e_2 \ c_1) t = false \Rightarrow t = t'
```

Proof of the noninterference property for Write 1: $\forall \ e{\in}L.$

```
(value_of s' e ** value_of s e * value_of t e *** value_of t' e  
 \land \quad \text{caps\_of s' e = caps\_of s e = caps\_of t e = caps\_of t' e } 
 \land \quad \text{subSys s' e = subSys s e = subSys t e = subSys t' e) } 
 \Rightarrow \text{aquiv\_nonin s' t' L} \Rightarrow \text{s'} \overset{\text{L}}{\sim} \text{t'}
```

With $s' \overset{L}{\sim} t'$ the noninterference property for write is fulfilled.

6 Redesign of the take-grant-model

This procedure worked until I came to the remove-operation. There I noticed the issue, that an entity in the given model is allowed to delete a capability and with that also an object in another domain without any restrictions:

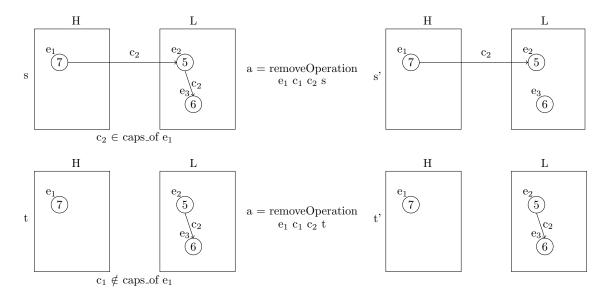


Figure 9: No confidentiality for Remove

In this example the L domain knows that the removeOperation was performed in the H domain as the capability c_2 was deleted. As a consequence the noninterference property is not achieved.

To study that problem I decided to classify the entities by their types, corresponding to the kernel specification [6]:

- Untyped
- TCB
- Synchronous IPC Endpoint (SEP)
- Asychronous IPC Endpoint (AEP)
- CNode
- VSpace
- Interrupt Controller
- Interrupt Handler
- Shared Pages (Pages (Frames) can be shared between domains. The corresponding capability has to be copied and then mapped in the VSpace of the other domain.)

The following table shows the different object types with the different operation executable on them and the corresponding take-grant system calls:

Capability Type	Concrete Kernel	Protection Model
Untyped	Retype	sequence of SysCreate
	Revoke	SysRevoke
TCB	TreadControl	SysNoOP, SysGrant
	Exchange Registers	$SystWrite ext{ or } SysRead$
	Yield	SysNoOP
Synchronous IPC	Send IPC	$SysWrite ext{ or } SysNoOP$
(Endpoint)	Wait IPC	SysRead
	Grant IPC	SysWrite, SysGrant or SysNoOP
Asynchronous IPC	Send Event	SysWrite
(AsyncEndpoint)	Wait Event	SysRead
CNode	imitate	SysGrant
	$\min t$	SysGrant
	Remove	SysRemove
	Revoke	SysRevoke
	Move	$SysGrant,\ SysRemove$
	Recycle	SysRevoke, sequence of SysRemove
VSpace	Install Mapping	SysGrant
	Remove Mapping	SysRemove
	Remap	$SysRemove,\ SysGrant$
	initialise	SysNoOP
InterruptController	Register interrupt	SysGrant
	Unregister interrupt	SysRemove
Interrupt Handler	Acknowledge interrupt	SysWrite

Table 1: Relationship: operation of concrete kernel \longleftrightarrow of protection model [5]

To discern the different object types I need to revise the entity record and the preconditions for the different system operations.

New dataype for the object types:

```
datatype eType = Untyped
| TCB
| SEP
| AEP
| SPage
| CNode
| VSpace
| IContr
| IHandl
```

The final version of the entity record:

The revised version of the legal function:

legal :: "sysOPs \Rightarrow state \Rightarrow bool" where

```
"legal
                  (SysNoOp e) s
                                                           isEntityOf s e"
1
     "legal
                  (SysCreate e c_1 c_2) s
                                                            (isEntityOf s e \wedge c_1, c_2 \subseteq caps\_of s e \wedge
                                                           \texttt{Grant} \, \in \, \texttt{rights} \, \, \mathsf{c}_2 \, \, \wedge \, \, \texttt{Create} \, \in \, \texttt{rights} \, \, \mathsf{c}_2 \textbf{)} \, \, \wedge \,
                                                            eType (entity c_1 = Untyped"
                                                            (isEntityOf s e \land c \in caps_of s e \land Read
                  (SysRead e c) s
     "legal
                                                            \in rights c) \wedge eType (entity c) = TCB \vee SEP \vee
                                                            AEP ∨ SPage"
     "legal
                  (SysWrite e c) s
                                                           (isEntityOf s e \land c \in caps_of s e \land Write
                                                            \in rights c) \wedge eType (entity c) = TCB \vee SEP \vee
                                                            AEP V IHandl V SPage"
     "legal
                  (SysGrant e c_1 c_2 r) s
                                                            (isEntityOf s e \land isEntityOf s (entity c_1)
                                                            \land c<sub>1</sub>,c<sub>2</sub> \subseteq caps_of s e \land Grant \in rights c<sub>1</sub>) \land
                                                            eType (entity c_1) = TCB \vee SEP \vee CNode \vee VSpace

∨ IContr"

     "legal
                  (SysRemove e c_1 c_2) s
                                                           (isEntityOf s e \land c_1 \in caps\_of s e) \land
                                                            eType (entity c_1) = CNode \lor VSpace \lor IContr"
                                                           \texttt{isEntityOf} \ \texttt{s} \ \texttt{e} \ \land \ \texttt{c} \ \in \ \texttt{caps\_of} \ \texttt{s} \ \texttt{e} \ \land \\
     "legal
                  (SysRevoke e c) s
                                                            eType (entity c) = Untyped \lor CNode"
```

As mentioned in chapter 3.2 (System Operations) the step function first proves whether a system operation is "legal" in state s. If it is, the system operation is performed. Otherwise the new state s' is defined as s' = s. This means that if a system operation is not legal nothing happens. For the validation I took a subsystem (SS1) of one domain (D1) and another subsystem (SS2) of a second domain (D2). In chapter 2.1.2 (Kernel Objects) I explained that the only communication between domains goes through Asynchronous Endpoints and Shared Pages.

Figure 10 pictures an example of how the objects and methods can be placed in the domains and how the connection to $Asynchronous\ Endpoints$ and $Shared\ Pages$ is implemented if the information is allowed to flow from domain 1 to domain 2: D1 \sim D2 but D2 \sim D1.

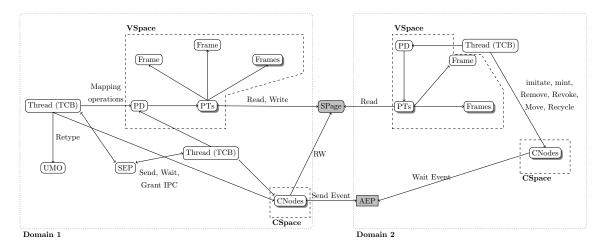


Figure 10: Objects and methods in the kernel

7 Validation with the new model

I examine each operation of the protection model and distinguish therefore between the different object types.

For this I assume that Domain 1 equates a low level domain and Domain 2 a high level domain. So information is allowed to flow from Domain 1 to Domain 2 but not from Domain 2 to Domain 1.

$D1 \rightsquigarrow D2$ but $D2 \not\rightsquigarrow D1$

Further I assume that state s is equivalent to state t for Domain 1. What is represented by the function aquiv_nonin

$\mathbf{s} \overset{\mathbf{D1}}{\sim} \mathbf{t} \equiv \mathbf{aquiv_nonin} \ \mathbf{s} \ \mathbf{t} \ \mathbf{D1}$

In this chapter I show that the criteria for the equivalence relation still holds in Domain 1, between s' and t', after every type of operation.

7.1 Create

Create corresponds to the *Retype* operation on untyped memory objects (UMOs). Each Domain has a own and fixed section of memory. So the UMO for the retype is located in the same Domain as the implementing entity. Furthermore the created entity is placed in the same Domain as in the CDT it is a child of the UMO.

7.1.1 Create on UMO

The following picture shows how a create operation in a H domain changes or not the equivalence criteria in the L domain that is not allowed to get information from the former one.

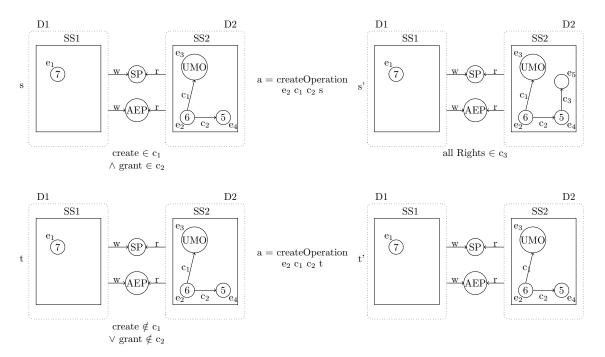


Figure 11: Noninterference for Create on Untyped Memory Objects

I have to show that if $s \stackrel{D1}{\sim} t$ and $(s,s') \in Step$ a and $(t,t') \in Step$ a then $s' \stackrel{D1}{\sim} t'$. $s \stackrel{D1}{\sim} t$ was defined in Chapter 5 as the boolean fuction aquiv_nonin s t D1. The function is true if all entities $e \in D1$ have the same value in s and t (value_of s e = value_of t e), if they also have the same capabilities in s and t (caps_of s e = caps_of t e) and if D1 has the same entities in s and t (subSys s e = subSys t e).

In the following section I check if value_of s' e = value_of t' e, caps_of s' e = caps_of t e and subSys s' e = subSys t' e for all e \in D1 after the execution of createOperation e₂ c₁ c₂ s respectively createOperation e₂ c₁ c₂ t. If that is the case I can say that aquiv_nonin s' t' D1 = true. From my definition of aquiv_nonin this leads to s' $\stackrel{\text{D1}}{\sim}$ t'.

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** createOperation e_2 \ c_1 \ c_2 \ s creates e_3 \in D2 and doesn't change or create any e \in D1

*** legal (SysCreate e_2 \ c_1 \ c_2) t = false \Rightarrow t' = t
```

Proof of the noninterference property for create on UMO:

```
\begin{array}{l} \forall~e\in D1.\\ &(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'~\stackrel{D1}{\sim}t' \end{array}
```

With $s' \stackrel{D1}{\sim} t'$ the noninterference property for create on an untyped memory object is fulfilled.

7.1.2 Create on all other object types inside a domain

If create is performed on another object type than an untyped memory object, the function step' (SysCreate e c_1 c_2)s does nothing. So the new state s' equates the old state s.

The following figure shows the createOperation for every other object type inside a domain.

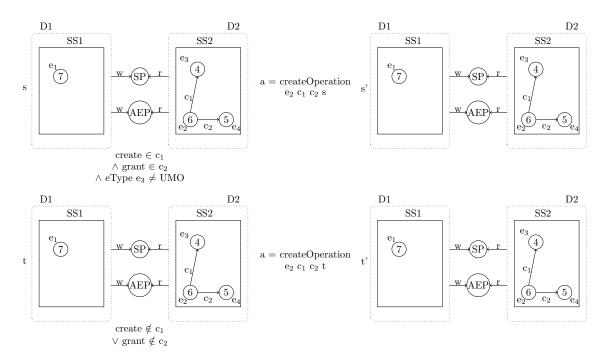


Figure 12: Noninterference for Create on object types \neq Untyped Memory Objects

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquivnonin s t D1

** legal (SysCreate e_2 c_1 c_2) s = false \Rightarrow s' = s

*** legal (SysCreate e_2 c_1 c_2) t = false \Rightarrow t' = t
```

Proof of the noninterference property for create on other object types in a domain:

```
\forall \ e \in D1.
(value\_of \ s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e
\land \quad caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t \ e \stackrel{***}{=} \ caps\_of \ t' \ e
\land \quad subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t \ e \stackrel{***}{=} \ subSys \ t' \ e)
\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

With $s' \stackrel{D1}{\sim} t'$ the noninterference property for create on other object types in a domain is fulfilled.

7.1.3 Create on Asynchronous IPC Endpoint or Shared Page objects

Next I want to be sure that create has no impact on the entities in the L domain if it is executed on AEP or SPage objects.

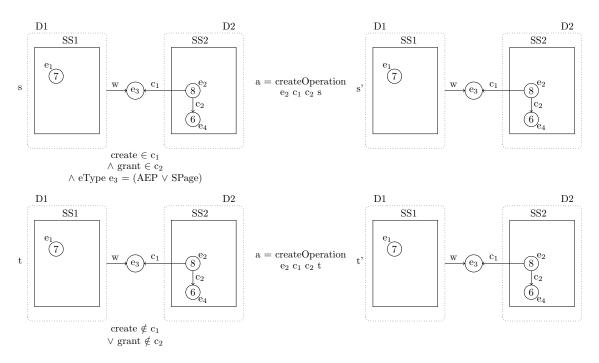


Figure 13: Noninterference for Create on object types = $AEP \lor SPage$

In this case the check if the execution is legal = false in both states. So in both states the step' function leads to the definition of the old state.

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysCreate \ e_2 \ c_1 \ c_2) \ s = false \Rightarrow s' = s

*** legal (SysCreate \ e_2 \ c_1 \ c_2) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for create on Asynchronous IPC Endpoint or Shared Page objects:

```
\forall \ e \in D1.
(value\_of \ s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e
\land \quad caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t \ e \stackrel{***}{=} \ caps\_of \ t' \ e
\land \quad subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t \ e \stackrel{***}{=} \ subSys \ t' \ e)
\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \ \Rightarrow \ s' \stackrel{D1}{\sim} \ t'
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for create on Asynchronous IPC Endpoint or Shared Page objects is fulfilled.

7.2 Grant

The grant operation can only be performed inside a domain on a TCB, Synchronous IPC, CNode, VSpace or Interrupt Controller object.

7.2.1 Grant on TCB, SEP, CNode, VSpace or IContr objects

Now I show that any grant operation inside a H domain on one of the named objects does not affect the values, capabilities or entities of an L domain.

Because every given object behaves in the same way, I generalized $e_4 = TCB \vee SIPC \vee CNode \vee VSpace \vee IContr.$

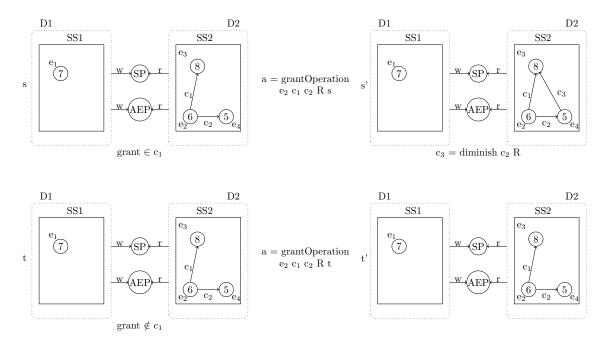


Figure 14: Noninterference for Grant on an TCB, Synchronous IPC Endpoint, CNode, VSpace or Interrupt Controller object

The the grant operation has no impact on the entities of the other domain because it adds a capability to an entity inside the same domain as the target and source entities are.

Preconditions:

- * $s \stackrel{D1}{\sim} t \equiv aquiv_nonin s t D1$
- ** grant Operation e_2 c_1 c_2 R s creates $c_3 \in D2$ and does not change or create any capability $\in D1$
- *** legal (SysGrant $e_2 c_1 c_2 R$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for grant on TCB, Synchronous IPC Endpoint, CNode, VSpace and Interrupt Controller objects:

```
\forall \ e \in D1.
(value\_of \ s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e
\land \ caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t \ e \stackrel{***}{=} \ caps\_of \ t' \ e
\land \ subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t \ e \stackrel{***}{=} \ subSys \ t' \ e)
```

 \Rightarrow aquiv_nonin s' t' D1 \Rightarrow s' $\stackrel{\rm D1}{\sim}$ t'

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for grant on TCB, Synchronous IPC Endpoint, CNode, VSpace and Interrupt Controller objects is fulfilled.

7.2.2 Grant on other objects inside a domain

In this paragraph I check if an execution of the grant operation on another object than TCB, SEP, CNode, VSpace, Interrupt Controller or the object types that establish a communication interface between domains: AEP and SPage, alters the configuration of the other domain.

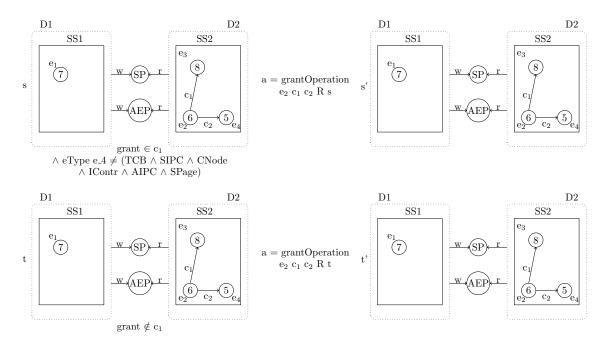


Figure 15: Noninterference for Grant on an object \neq TCB, SEP, CNode, VSpace, IContr, SPage or AEP object

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysGrant e_2 \ c_1 \ c_2) s = false \Rightarrow s' = s

*** legal (SysGrant e_2 \ c_1 \ c_2 \ R) t = false \Rightarrow t' = t
```

Proof of the noninterference property for grant on an object \neq TCB, SEP, CNode, VSpace, IContr, SPage or AEP:

```
\begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}t' \end{array}
```

With s' $\overset{D1}{\sim}$ t' the noninterference property for grant on an object \neq TCB, SEP, CNode, VSpace, IContr, SPage or AEP is fulfilled.

7.2.3 Grant on Asynchronous IPC Endpoint or Shared Page objects

The next figure illustrates grant on the two object types connecting different domains. In both cases the operation is not legal. So the new state equates the old one.

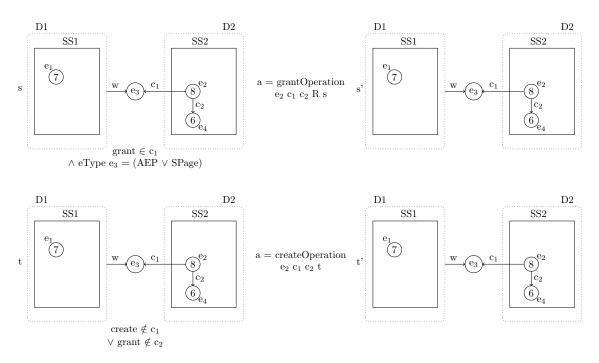


Figure 16: Noninterference for Grant on an Asychronous IPC Endpoint object

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysGrant e_2 \ c_1 \ c_2) s = false \Rightarrow s' = s

*** legal (SysGrant e_2 \ c_1 \ c_2) t = false \Rightarrow t' = t
```

Proof of the noninterference property for grant on an object = SPage or AEP:

```
\label{eq:control_problem} \begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}~value\_of~s~e\stackrel{*}{=}~value\_of~t~e\stackrel{***}{=}~value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}~caps\_of~s~e\stackrel{*}{=}~caps\_of~t~e\stackrel{***}{=}~caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}~subSys~s~e\stackrel{*}{=}~subSys~t~e\stackrel{***}{=}~subSys~t'~e)\\ \Rightarrow~~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'~\stackrel{D1}{\sim}~t'\\ \end{array}
```

With $s' \stackrel{D1}{\sim} t'$ the noninterference property for grant on an object = SPage or AEP is fulfilled.

7.3 Write

Write can be executed on TCB, SEP, AEP, SPage and Interrupt Handler objects.

7.3.1 Write on TCB, SEP or IHandl objects

I start with the write operation on all executable objects inside a domain. So in the next figure $e_3 = TCB \lor SEP \lor IH$ andl.

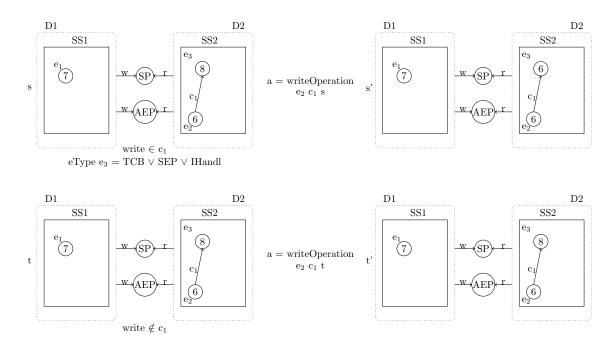


Figure 17: Noninterference for Write on a TCB, Sychronous IPC Endpoint or Interrupt Handler object

Preconditions:

- * $s \stackrel{D1}{\sim} t \equiv aquiv_nonin s t D1$
- ** writeOperation e_2 c_1 s only changes the value of an entity \in D2 nothing in D1
- *** legal (SysWrite $e_2 c_1$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for write on TCB, SEP or IHandl objects:

With s' $\overset{D1}{\sim}$ t' the noninterference property for write on TCB, SEP or IHandl objects is fulfilled.

7.3.2 Write on objects \neq TCB, SEP, IHandl, SPage and AEP

Like in 7.1 Create and 7.2 Grant there are other object types inside a domain, which are not executeable with the write operation. Those are CNodes, VSpaces, UMOs and Interrupt Controllers.

Write operation on these objects:

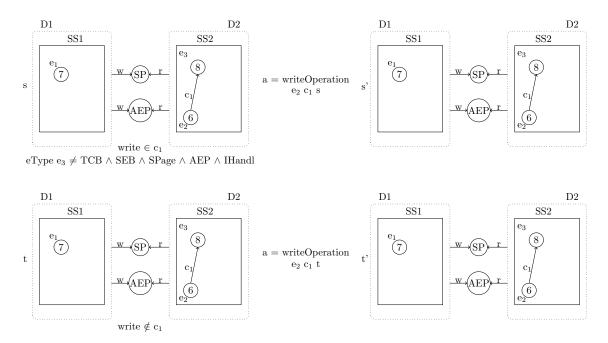


Figure 18: Noninterference for Write on objects \neq TCB, SEP, IH andl, SPage and AEP

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysWrite \ e_2 \ c_1) \ s = false \Rightarrow s' = s

*** legal (SysWrite \ e_2 \ c_1) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for write on objects \neq TCB, SEP, IHandl, SPage and AEP:

```
\label{eq:control_problem} \begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e \stackrel{**}{=} value\_of~s~e \stackrel{*}{=} value\_of~t~e \stackrel{***}{=} value\_of~t'~e\\ \land~~ caps\_of~s'~e \stackrel{**}{=} caps\_of~s~e \stackrel{*}{=} caps\_of~t~e \stackrel{***}{=} caps\_of~t'~e\\ \land~~ subSys~s'~e \stackrel{**}{=} subSys~s~e \stackrel{*}{=} subSys~t~e \stackrel{***}{=} subSys~t'~e)\\ \Rightarrow~~ aquiv\_nonin~s'~t'~D1 \Rightarrow~s'~\stackrel{D1}{\sim} t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for write on objects \neq TCB, SEP, IHandl, SPage and AEP is fulfilled.

7.3.3 Write on AEP or SPage objects from Domain 2

In Chapter 7 I defined the precondition \Rightarrow D1 \rightsquigarrow D2 but D2 $\not\rightsquigarrow$ D1. That means the rights from Domain 2 on Asychronous Endpoints and Shared Pages are restricted to read. If the write operation is called from Domain 2 it looks like it is illustrated in Figure 19. The policy prescribes that information is only allowed to flow from Domain 1 to Domain 2 but not from Domain 2 to Domain 1. As a consequence write can not be part of c_1 .

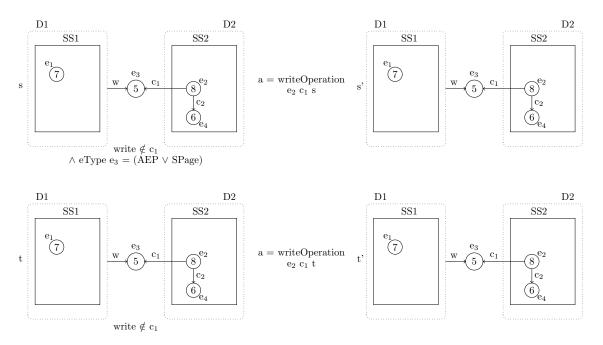


Figure 19: Noninterference for Write on an object = AEP executed from an entity \in Domain 2

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin s t D1

** legal (SysWrite e_2 c_1) s = false \Rightarrow s' = s

*** legal (SysWrite e_2 c_1) t = false \Rightarrow t' = t
```

Proof of the noninterference property for write on AEP or SPage objects from Domain 2:

```
\forall \ e \in D1.
(value\_of \ s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e
\land \quad caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t \ e \stackrel{***}{=} \ caps\_of \ t' \ e
\land \quad subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t \ e \stackrel{***}{=} \ subSys \ t' \ e)
\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

With s' $\overset{D1}{\sim}$ t' the noninterference property for write on AEP or SPage objects from Domain 2 is fulfilled.

7.3.4 Write on an AEP or SPage object from Domain 1

Write on AEP objects can be executed from Domain 1. Figure 20 shows that this has no influence on the noninterference property.

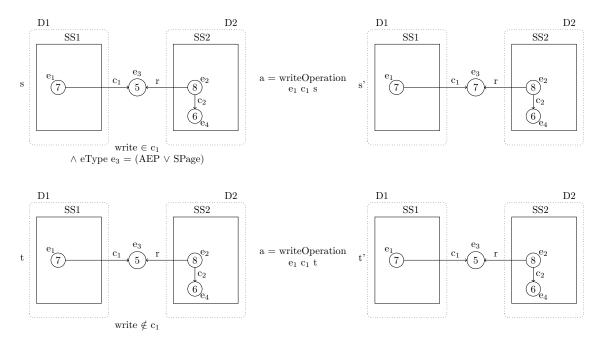


Figure 20: Noninterference for Write on an object = AEP executed from an entity $\in \mathrm{D1}$

Preconditions:

* $s \stackrel{D1}{\sim} t \equiv aquiv_nonin \ s \ t \ D1$ ** writeOperation $e_1 \ c_1 \ s$ changes the value $\in e_3 \notin D1$.

That means it has no impact on any entity $\in D1$ *** legal (SysWrite $e_2 \ c_1$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for write on AEP or SPage objects from Domain 1:

```
\begin{array}{l} \forall~e\in D1.\\ &(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}t' \end{array}
```

With s' $\overset{D1}{\sim}$ t' the noninterference property for write on AEP or SPage objects from Domain 1 is fulfilled.

7.4 Read

Read is legal on TCB, Sychronous IPC Endpoint, Asynchronous IPC Endpoint and Shared Page objects.

Like in chapter 7.3 I distinguish between objects with legal execution of read on objects inside a domain, illegal execution of read on objects inside a domain and both on objects outside a domain.

7.4.1 Read on TCB or Sychronous IPC Endpoint objects

TCB and SEP objects are the two object types that are executable with read from an endpoint in the same domain.

Figure 21 illustrates whether the operation influences the L domain.

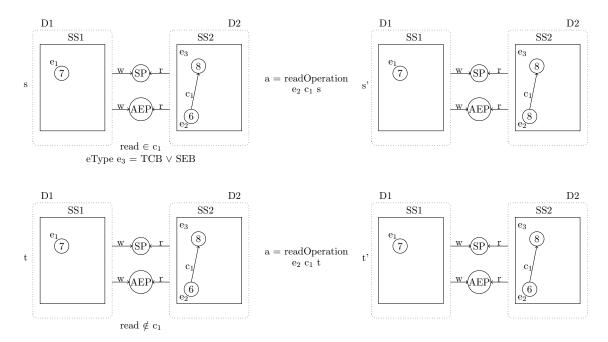


Figure 21: Noninterference for Read on a TCB or Sychronous IPC Endpoint object

Preconditions:

- * s $\stackrel{\text{D1}}{\sim}$ t \equiv aquiv_nonin s t D1
- ** readOperation e_2 c_1 s only changes the value of an entity \in D2 nothing in D1
- *** legal (SysRead $e_2 c_1$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for read on TCB or SEP objects:

```
\label{eq:control_problem} \begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}~value\_of~s~e\stackrel{*}{=}~value\_of~t~e\stackrel{***}{=}~value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}~caps\_of~s~e\stackrel{*}{=}~caps\_of~t~e\stackrel{***}{=}~caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}~subSys~s~e\stackrel{*}{=}~subSys~t~e\stackrel{***}{=}~subSys~t'~e)\\ \Rightarrow~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}~t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for read on TCB or SEP objects is fulfilled.

7.4.2 Read on other object types inside a domain

Figure 22 depicts the read operation on objects in the same domain on which read is not executable. It is similar to write in chapter 7.3.2.

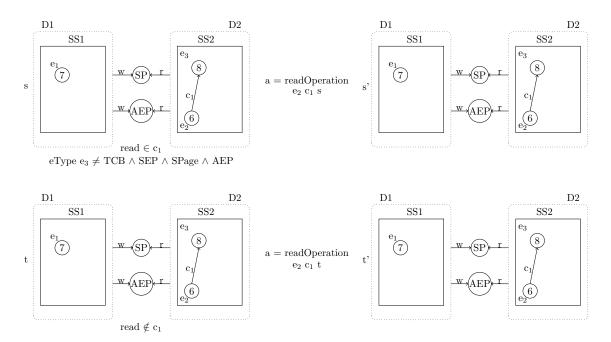


Figure 22: Noninterference for Read on objects ≠ TCB, Asynchronous IPC Endpoint, Sychronous IPC Endpoint or Shared Page

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysRead \ e_2 \ c_1) \ s = false \Rightarrow s' = s

*** legal (SysRead \ e_2 \ c_1) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for read on objects \neq TCB, SEP, SPage and AEP:

```
\label{eq:control_problem} \begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}~value\_of~s~e\stackrel{*}{=}~value\_of~t~e\stackrel{***}{=}~value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}~caps\_of~s~e\stackrel{*}{=}~caps\_of~t~e\stackrel{***}{=}~caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}~subSys~s~e\stackrel{*}{=}~subSys~t~e\stackrel{***}{=}~subSys~t'~e)\\ \Rightarrow~~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}~t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for read on objects \neq TCB, SEP, SPage and AEP is fulfilled.

7.4.3 Read on AEP or SPage objects from Domain 1

Similar to chapter 7.3.3 read can only be executed from a H domain. That is the one to which information is allowed to flow. In my case it is domain 2. No information is allowed to flow to domain 1. So read is not legal if it is executed from domain 1. Figure 23 shows that this does not affect domain 1.

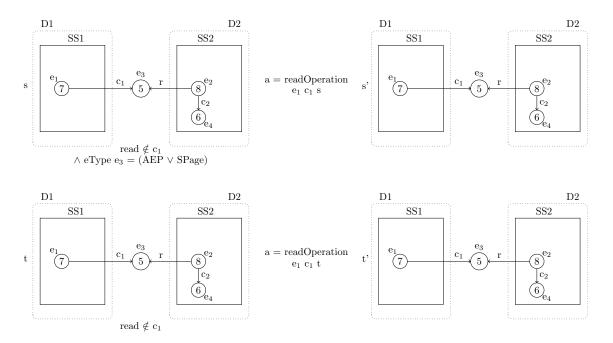


Figure 23: Noninterference for Read on object types = Asynchronous IPC Endpoint executed from Domain 1

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysRead \ e_1 \ c_1) \ s = false \Rightarrow s' = s

*** legal (SysRead \ e_1 \ c_1) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for read on AEP or SPage objects from Domain 1:

```
\begin{array}{l} \forall~e\in D1.\\ &(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}t'\\ \end{array}
```

With s' $\overset{D1}{\sim}$ t' the noninterference property for write on AEP or SPage objects from Domain 1 is fulfilled.

7.4.4 Read on AEP or SPage objects from Domain 2

Read can be executed from Domain 2. In Figure 24 I show the impact of this execution.

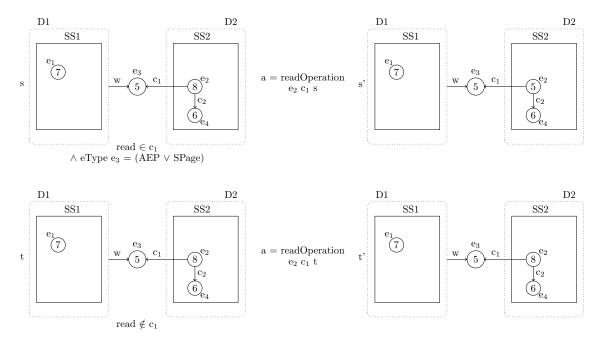


Figure 24: Noninterference for Read on object types = Asynchronous IPC Endpoint executed from Domain 2

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** readOperation e_2 \ c_1 \ s changes the value \in e_3 \notin D1.

That means it has no impact on any entity \in D1

*** legal (SysRead e_2 \ c_1) t = false \Rightarrow t' = t
```

Proof of the noninterference property for read on AEP or SPage objects from Domain 2:

```
\forall \ e \in D1.
(value\_of \ s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e
\land \quad caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t \ e \stackrel{***}{=} \ caps\_of \ t' \ e
\land \quad subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t \ e \stackrel{***}{=} \ subSys \ t' \ e)
\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \ \Rightarrow s' \stackrel{D1}{\sim} \ t'
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for write on AEP or SPage objects from Domain 1 is fulfilled.

7.5 Remove

Remove can be executed on CNode, VSpace or Interrupt Controller object types. As in previous chapters I distinguish between executing the operation inside and outside a domain. All legal object types are inside a domain. So I only have to differ between legal and not legal for the execution inside a domain.

7.5.1 Remove on CNode, VSpace or Interrupt Controller objects

Remove deletes a capability in an entity. This capability can point on an entity in the same domain or on an AEP or SPage object.

• Target object is in the same domain

If the removed capability points to an entity in the same domain and remove is legal for the executed entity, the operation runs as illustrated in figure 25.

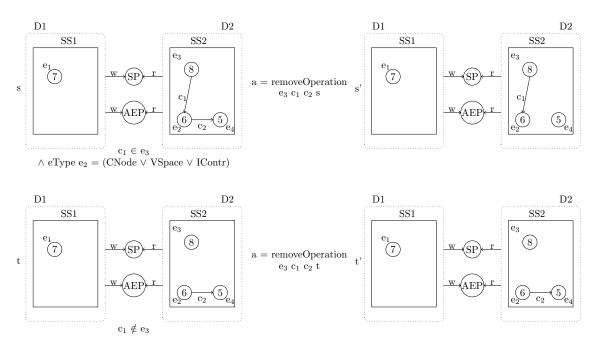


Figure 25: Noninterference for Remove on object types = CNode, VSpace or IContr. The removed capability points to an entity in the same domain

Preconditions:

- * $s \stackrel{D1}{\sim} t \equiv aquiv_nonin s t D1$
- ** removeOperation e_2 c_1 c_2 s removes a capability $\in e_2 \notin D1$ that points on an entity $\notin D1$.
 - That means it has no impact on any entity $\in D1$
- *** legal (SysRemove $e_2 c_1 c_2$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for remove on CNode, VSpace or IContr objects where the removed capability points on an entity in the same domain:

 \forall e \in D1.

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for remove on CNode, VS-pace or IContr objects where the removed capability points on an entity in the same domain is fulfilled.

• Target object = AEP or SPage object If the removed capability points to an AEP or SPage object, it may be possible that information flows out of the H domain to the L domain. Figure 26 displays that no information flowes to domain 1.

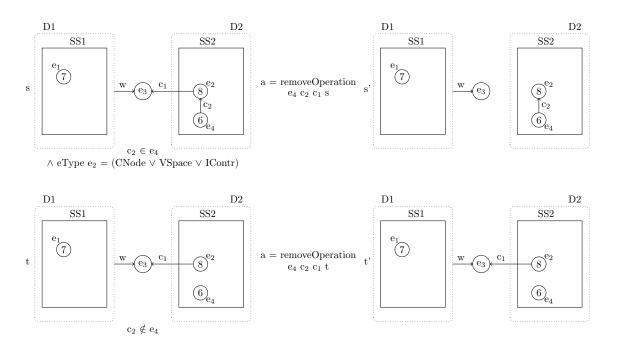


Figure 26: Noninterference for Remove on object types = CNode, VSpace or IContr. The removed capability points to an entity outside the H domain

Preconditions:

- * s $\stackrel{D1}{\sim}$ t \equiv aquiv_nonin s t D1
- ** removeOperation e_2 c_1 c_2 s removes a capability $\in e_2 \notin D1$ that points on an entity $\notin D1$. This entity also owns no capability that points on an entity $\in D1$. That means it has no impact on any entity $\in D1$
- *** legal (SysRemove $e_2 c_1 c_2$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for remove on CNode, VSpace or IContr objects where the removed capability points on an object $= AEP \lor SPage$:

```
\label{eq:control_problem} \begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}~value\_of~s~e\stackrel{*}{=}~value\_of~t~e\stackrel{***}{=}~value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}~caps\_of~s~e\stackrel{*}{=}~caps\_of~t~e\stackrel{***}{=}~caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}~subSys~s~e\stackrel{*}{=}~subSys~t~e\stackrel{***}{=}~subSys~t'~e)\\ \Rightarrow~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}~t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for remove on CNode, VS-pace or IContr objects where the removed capability points on an entity in the same domain is fulfilled.

7.5.2 Remove on objects \neq CNode, VSpace and Interrupt Controller

On all other object types the execution of remove is not legal. But for the sake of completeness I consider the difference between a target object of the removed capability in the executing domain and one outside.

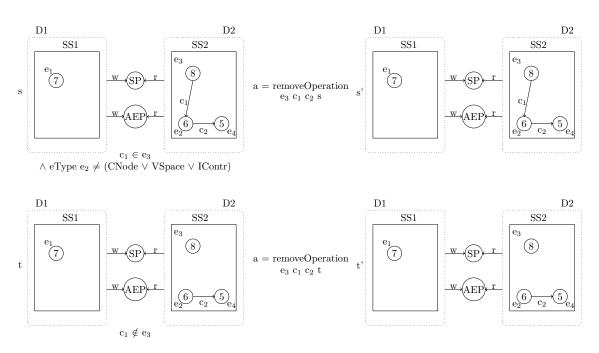


Figure 27: Noninterference for Remove on objects \neq CNode, VSpace and ICont. The removed capability points to an entity in the same domain

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysRemove e_2 \ c_1 \ c_2) s = false \Rightarrow s' = s

*** legal (SysRemove e_2 \ c_1 \ c_2) t = false \Rightarrow t' = t
```

Proof of the noninterference property for remove on objects \neq CNode, VSpace and IContr where the removed capability points on an entity in the same domain:

```
\forall \ e \in D1.
(value\_of \ s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e
\land \quad caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t \ e \stackrel{***}{=} \ caps\_of \ t' \ e
\land \quad subSys \ s' \ e \stackrel{**}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t \ e \stackrel{***}{=} \ subSys \ t' \ e)
\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for remove on objects \neq

CNode, VSpace and ICont where the removed capability points on an entity in the same domain is fulfilled.

• Target object = AEP or SPage

If the removed capability points to an AEP or SPage object, also nothing happens to Domain 1 as the execution is not legal.

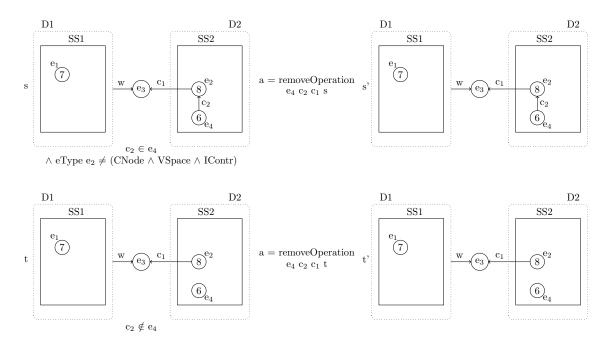


Figure 28: Noninterference for Remove on object types \neq CNode, VSpace or IContr. The removed capability points to an entity outside the H domain

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysRemove e_2 \ c_1 \ c_2) s = false \Rightarrow s' = s

*** legal (SysRemove e_2 \ c_1 \ c_2) t = false \Rightarrow t' = t
```

Proof of the noninterference property for remove on objects \neq CNode, VSpace or IContr where the removed capability points on an object = AEP \vee SPage:

```
\begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for remove on objects \neq CNode, VSpace or IContr where the removed capability points on an entity in the same domain is fulfilled.

7.6 Revoke

With revoke the authority of a whole subsystem can be removed. As mentioned in chapter 2.2.2 the kernel keeps a record of all capabilities in the system with a Capability Derivation Tree (CDT). When SysRevoke e c s is performed, all children of c in the CDT are deleted with the remove operation executed on each of them. Revoke is legal on CNode and Untyped Memory objects. Like in chapter 7.5 I divide the proof in 4 parts.

7.6.1 Revoke on CNode or Untyped Memory objects

Revoke deletes all children of a specified capability. They can point on entities in the same domain or on an AEP or SPage object.

• The targets of the deleted entities are in the same domain
Figure 29 illustrates the run of an revoke operation, if the removed capabilities
point on entities in the same domain and revoke is legal for the executed entity.

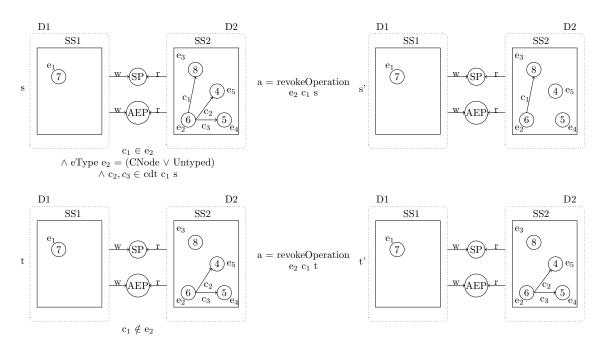


Figure 29: Noninterference for Revoke on object types = CNode or Untyped. The removed capabilities point on entities in the same domain

Preconditions:

- * $s \stackrel{D1}{\sim} t \equiv aquiv_nonin s t D1$
- ** revokeOperation e_2 c_1 s removes capabilities \in entities \notin D1 that point on entities \notin D1.
 - That means it has no impact on any entity $\in D1$
- *** legal (SysRevoke $e_2 c_1$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for revoke on CNode or Untyped objects where the removed capabilities point on entities in the same domain:

```
\begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for revoke on CNode or Untyped objects, where the removed capabilities point on entities in the same domain, is fulfilled.

• Target object = AEP or SPage object
Like in the remove operation I have to control if information flowes to Domain
1 if the removed capability points on an AEP or SPage object. Figure 26 illustrates it.

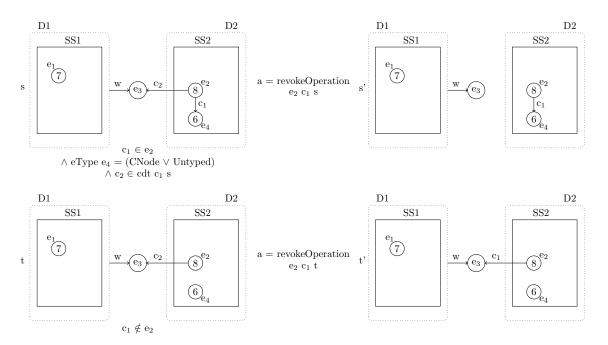


Figure 30: Noninterference for Revoke on object types = CNode or Untyped. The removed capabilities point on entities outside the H domain

Preconditions:

- * $s \stackrel{D1}{\sim} t \equiv aquiv_nonin s t D1$
- ** revokeOperation e_2 c_1 s removes capabilities \in entities \notin D1 that point on entities \notin D1. This entities also own no capability that points on an entity \in D1. That means it has no impact on any entity \in D1
- *** legal (SysRevoke $e_2 c_1$) $t = false \Rightarrow t' = t$

Proof of the noninterference property for remove on CNode or Untyped objects, where the removed capabilities point on objects = $AEP \lor SPage$:

```
\begin{array}{l} \forall~e\in D1.\\ &(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for remove on CNode or Untyped objects, where the removed capabilities point on objects = AEP \vee SPage, is fulfilled.

7.6.2 Revoke on objects \neq CNode and Untyped Memory

On all other object types the execution of revoke is not legal. Again I differ between target objects, of the removed capabilities, in the executing domain and those outside.

Target objects of the removed capabilities are in the same domain
 The execution is not legal because the object the operation is executed on ≠
 CNode and Untyped Memory.

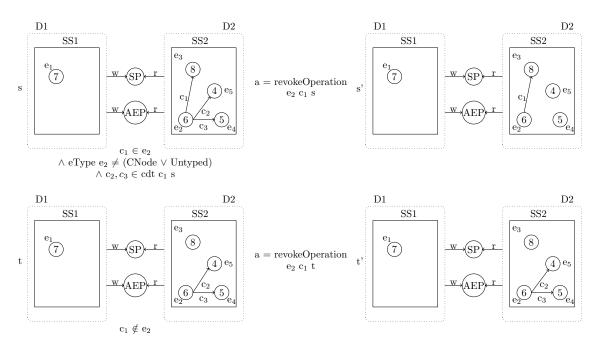


Figure 31: Noninterference for Revoke on objects \neq CNodeand Untyped Memory. The removed capabilities point on entities in the same domain

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysRevoke \ e_2 \ c_1) \ s = false \Rightarrow s' = s

*** legal (SysRevoke \ e_2 \ c_1) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for revoke on objects \neq CNode and Untyped where the removed capabilities point on entities in the same domain:

```
\begin{array}{l} \forall~e\in D1.\\ &~~(value\_of~s'~e\stackrel{**}{=}value\_of~s~e\stackrel{*}{=}value\_of~t~e\stackrel{***}{=}value\_of~t'~e\\ \land~~caps\_of~s'~e\stackrel{**}{=}caps\_of~s~e\stackrel{*}{=}caps\_of~t~e\stackrel{***}{=}caps\_of~t'~e\\ \land~~subSys~s'~e\stackrel{**}{=}subSys~s~e\stackrel{*}{=}subSys~t~e\stackrel{***}{=}subSys~t'~e)\\ \Rightarrow~aquiv\_nonin~s'~t'~D1 \Rightarrow~s'\stackrel{D1}{\sim}t' \end{array}
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for revoke on objects \neq CNode and Untyped, where the removed capabilities point on entities in the same domain, is fulfilled.

• Target objects = AEP or SPage
If the removed capabilities point on AEP or SPage objects, nothing happens
either to Domain 1 as the execution is not legal.

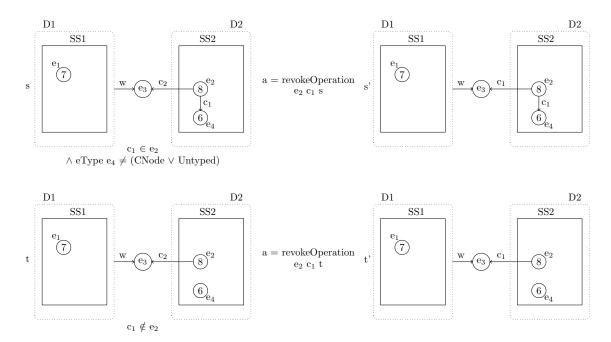


Figure 32: Noninterference for Revoke on object types \neq CNode and Untyped where the removed capabilities point on entities outside the H domain

Preconditions:

```
* s \stackrel{D1}{\sim} t \equiv aquiv\_nonin \ s \ t \ D1

** legal (SysRevoke \ e_2 \ c_1) \ s = false \Rightarrow s' = s

*** legal (SysRevoke \ e_2 \ c_1) \ t = false \Rightarrow t' = t
```

Proof of the noninterference property for revoke on objects \neq CN-ode and Untyped, where the removed capabilities point on objects = AEP \vee SPage:

```
\forall \ e \in D1.
(value\_of \ s' \ e \stackrel{**}{=} \ value\_of \ s \ e \stackrel{*}{=} \ value\_of \ t \ e \stackrel{***}{=} \ value\_of \ t' \ e
\land \quad caps\_of \ s' \ e \stackrel{**}{=} \ caps\_of \ s \ e \stackrel{*}{=} \ caps\_of \ t \ e \stackrel{***}{=} \ caps\_of \ t' \ e
\land \quad subSys \ s' \ e \stackrel{*}{=} \ subSys \ s \ e \stackrel{*}{=} \ subSys \ t \ e \stackrel{***}{=} \ subSys \ t' \ e)
\Rightarrow aquiv\_nonin \ s' \ t' \ D1 \Rightarrow s' \stackrel{D1}{\sim} t'
```

With s' $\stackrel{D1}{\sim}$ t' the noninterference property for revoke on objects \neq CNode and Untyped, where the removed capabilities point on objects = AEP \vee SPage is fulfilled.

8 Conclusion

Summarized I tried to show the noninterference property on the take-grant model as it was specified by the team of NICTA in the paper "Verified Protection Model of the seL4 Microkernel"[2]. This trial failed so I had to extend the model by read and write operations, a value and objectype for entities and a check if the object type is able to perform the particular system operation.

With this adaptions it was feasible to investigate if the system operations satisfy the noninterference property.

The conclusion of the thesis is that the original model is not appropriate to show noninterference on it. With the extended one it was possible and every system operation fulfills it.

As a next step the noninterference property should be specified and verified formally for the extended model. This can also be done with the theorem proof assistant Isabelle/HOL.

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