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Bachelor-Thesis in Computer Science

The Noninterference property proven for the access control specifation of the seL4 microkernel

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# 

# Abstract

The thesis investigates the question if the specification of the seL4 access control system is strong enough to imply the Noninterference property. Using the verification of the Take-Grant-Protection Model [2] I deduce from it the Unwinding Theorem conditions of the nondeterministic intransitive Noninterference Model [1]. As the specifications and proofs of the take-grant model is developed in the theorem proof assistant Isabelle/HOL I use the same to verify the implication.

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## 1 Introduction

SeL4 is a high-assurance, high-performance microkernel, primarily developed, maintained and formally verified by NICTA (now Trustworthy Systems Group at Data61) for secure embedded systems. In this thesis, the access control specification in terms of a classical take-grant model is proven to be sound enough to deduce from it the Noninterference property. The classical security property of noninterference assures that there is no unwanted information flow within a system. For the proof of information flow security [1] a variant of intransitive noninterference was applied. D. Elkaduwe, G. Klein and K. Elphinstone present in their paper [2] an abstract specification of the seL4 access control system in the context of a classical take-grant model and a formal proof of its decidability. With this, they showed how confined subsystems can be enforced. The presented security proofs are not yet connected with the actual kernel implementation. For the named noninterference property the authors [1] showed that it is preserved by refinement. So the goal of this thesis is the implication of the noninterference property from the take-grant specification. With this implication it is possible to create a connection with the actual kernel implementation. All proofs and specifications in this thesis are developed in the theorem proof assistant Isabelle/HOL

# 2 Requirements

#### 2.1 The seL4 Microkernel

The seL4 [6] ist a small operation system kernel. It's based on the in the 1990s developed L4 microkernel and provieds a minimal number of services to applications, such as abstractions for virutal address spaces, threads, inter process comunication (IPC).

Each abstraction ist implemented by an kernel object with methodes dependent on the abstraction it supplies. The objects can be named and accessed by capabilities which are also stored in kernel objects called *CNodes*.

Each capability contains an target object and potentially several access rights. The access rights can be Read, Write, Grant and Create. By invoking a capability that points to the kernel object with an corresponding method name, applications can invoke system calls. As arguments these system calls can have data or other capabilities.

#### 2.1.1 System Calls

Kernel provided system calls:

- send(): The system call argument ist delivered to the target object and the application is allowed to continue. If the target is not able to receive and/or process the arguments immediately, the sending application will be blocked until the arguments can be delivered.
- NBSend(): Like send(). Exception: If the message is not deliverable it's silently droped.
- Call(): Like send() but the application is blocked until the object provieds a response, or the receiving application replies.

  If the argument is delivered to an application via Endpoint the receiver needs the right to respond to the sender. So in this case an additional capability is added to the arguments.
- Wait(): If the target object is not ready Wait() is used by an application to block until the object is ready.
- Reply(): Used to respond to a Call(), using the capability generated by the Call() operation.
- ReplyWait(): As a combination of Reply() and Wait() it's efficent for the common case that replying to a request and waiting for the next can be performed in a single system call.

#### 2.1.2 Kernel Objects

The kernel implements several obejects to allocate the system operations [6].

#### • CNodes

The capabilities to invoke system calls are stored in *CNodes*. When created they get a fixed numer of slots that can be empty or contain a capability. The kernel conducts a **Capability Derivation Tree** (CDT) to keep records about the created capabilities and their associations. This is required for the revoke operation. They have the following operations:

#### - Mint()

creates a copy of an existing capability. The new capability is placed in a cpecified CNode slot and may have less rights than the parent capability. In the CDT the capability is placed as child of the original one.

#### - Copy()

is similar to the Mint operation. But the new capability has the same rights as the original one and in the CDT it's represented as a sibling of it.

# - Move()

can maneuver a capability between two specified slots.

#### - Mutate()

moves the capability similar to Move() and is able to reduce it's rights like it's done in Mint() without an original copy remaining.

#### - Rotate()

moves two capabilities between three slots. Like two Move() operations.

#### - Delete()

can remove a capability from a specified slot.

#### - Revoke()

is used to remove a complete part of the CDT. From a defined capability on al children from the capability in the CDT are removed with Delete().

- SaveCaller(),
- Recycle()

#### • IPC Endpoints

Endpoints are used for the *interprocess communication* between threads. They can be devided into **synchronous (EP)** and **asynchronous (AEP)** endpoints. The sceduling in seL4 works as an domain Interprocess communication between different domains is only realised by AEPs. And generally capabilities to endpoint can be restricted to be read - or write - only.

## • TCP

The thread control block object represents a thread of execution in seL4. It needs a CSpace (provides the capabilities required to manipulate the kernel objects) and a VSpace (provides the virtual memory environment required to contain the code and data application). The connections are illustrated in Figure 1.

The TCB object has the following methods:

CopyRegisters(), ReadRegisters(), WriteRegisters(), SetPriority(), SetIPCBuffer(),
SetSpace(), Configure(), Suspend(), Resume()

#### • Virtual Memory

A virtual address space (VSpace) contains objects for managing virtual memory which largely directly correspond to those of the hardware: Page Directory, Page Table, Page, ASID Control, ASID Pool

Figure 1: Internal representation of an application in seL4 [3]

#### • Interrupt Objects

For device driver applications to be able to receive and acknowledge interrupts from hardware devices.

#### • Untyped Memory

Untyped memory objects can be devieded into a group of smaller untyped memory objects. Retype() ist the only method untyped memory capabilities have. It creates a number of new kernel objects and returns capabilities to the new objects if it succeeds.

### 2.1.3 Memory Allocation Model

Important for the seL4 is that all kernel objects must be fully contributed for by capabilities.

At boot time the kernel pre-allocates all the memory required for the kernel to run. This includes the space for kernel code, data and kernel stack. The ressource manager has full authority over the untyped memory (UM) objects, generated by deviding the remain memory into these objects.

A capability to untyped memory can be refined into child capabilities, smaller sized untyped memory blocks or other kernel objects with the retype operation on UM objects. The creator of an kernel object has full authority over the object. This "full authority" depends on the the object type.

Figure 2 shows a sample system architecture in wich a resource manager running at user-level has the authority to the remaining untyped memory after boot strapping.

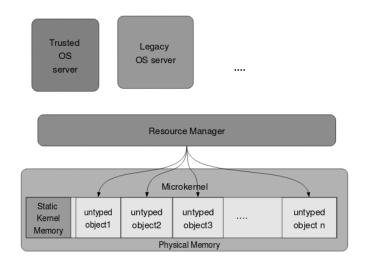


Figure 2: Sample System Configuration [2]

#### 2.2 The Take-Grant Model

Protection or Acces control models specify, analyse and implemente secureity policies. The classical Take-Grant Model primary brought in by Lipton and Snyder, 1977 in "A Linear Time Algorithm for Deciding Subject Security".

#### 2.2.1 The classical Model

The Take-Grant Model [2] represents the system as a directed graph where nodes represent subjects or objects in the system and arcs represent authority.

There are graph mutation rlues that represent the system operations that modify the autority distribution. The most common rules in the classical model are *take*, *grant*, *create* and *remove*.

• take rule: Let S,X,Y be three distinct vertices in the protection graph with an arc, labelled with  $\alpha$ , from X to Y and one labelled with  $\gamma$  from S to X, such that  $t \in \gamma$ .

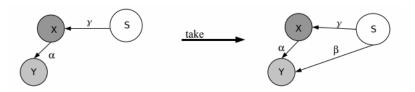


Figure 3: Take adds an edge from S to Y with the label  $\beta \subseteq \alpha$ . [2]

• grant rule: Let S,X,Y agein be three distinct vertices in the graph with an arc, labelled with  $\alpha$ , from S to Y and one labelled with  $\gamma$  from S to X, such that  $g \in \gamma$ .

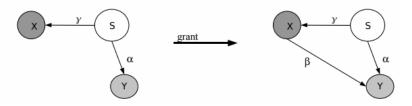


Figure 4: Grant adds an edge from X to Y with the label  $\beta \subseteq \alpha$ . [2]

• create rule: Let S be a vertex in the graph.

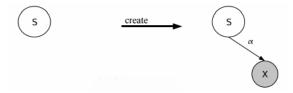


Figure 5: Create adds a new node X and an arc from S to X, labelled with  $\alpha$ . [2]

• **remove rule**: Let S, X be vertices in the graph with an arc from S to X, labelled with  $\alpha$ .

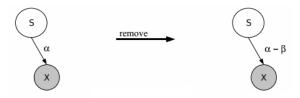


Figure 6: Remove deletes  $\beta$  labels from  $\alpha$  or the arc itself if  $\alpha - \beta = \{\}$ . [2]

#### 2.2.2 Take-Grant specified for the seL4

The Take-Grant Model specified in the paper "Noninterference for Operating System Kernels" [2] is a variant of the classical Take-Grant model.

The modification of the *create rule* is the most important one. In the kernel untyped capabilities transfer the authority that has to be allocated and by the modification adding a new node to the protection graph corresponds to allocation a new object in the concrete kernel. So the only way to apply the create rule is if there is an outgoing arc with *create* authority. The *create* authority is represented by the label c.

Also the *remove rule* was modified. It doesn't remove parts of labels. Insted it removes the whole capability, which is the complete arc.

To diminish authority a capability has to be removed and newly created with diminished authority.

The kernel offers an operation called *revoke* wich removes a set of capabilities by mulitple applications of remove.

The goal of the paper "Noninterference for Operating System Kernels" was to show that it is accomblishable to implement isolated subsystems using the mechanisms of the seL4 kernel. [2]

An isolated sybsystem is an collection of connected *entities* enclosed in such a way that authority can neither get in nor out.

The exact specification of subsystems and entities follows in Chapter 3.

#### 2.3 Noninterference

Noninterference is an enhancement of the information flow model, first published by Goguen and Meseguer in 1982 and updated in 1984. It ensures that objects and subject from different security levels don't interfere with those at other levels. The used noninterference formultaion for OS kernels [1] expands von Oheimb's notion of noninfluence [4].

The system is devided in different domains. An information flow policy  $\rightsquigarrow$  specifies the allowed information flows between the domains:  $u \rightsquigarrow v$  if information is allowed to flow from domain u to domain v.

For OS kernels we need an intransitive variant of noninterference, for wich  $\sim$  can be intransitive.

The traditional Noninterference formulation was enhanced in in two ways:

- 1. Traditional formulations presume a static mapping dom from actions to domains. In an OS Kernel the mapping does not only depend on the actions but also on the current system state. So in the used formulation of Noninterference [1] dom also deppends on the present state s.
  - $\mathtt{dom}\ a\ s$  equates the domain associated with some action a that occurs from state s.
- 2. Due to the fact that the noninterference formulation in "Noninterference for Operating System Kernels" [1] was preserved by refinement, it is necessary to avert all domain-visible nondeterminisms.

Domain-visible nondeterminism is nondeterminism that can be observed by any domain.

From every confidential source of information which is present in the refinement, such nondeterminisms can be abstracted. From this would result the existence of insecure refinements.

**Lemma 2** [1] determine the restriction of no domain-visible nondeterminisms formally and will be clarified later.

#### 3 Formalisation of the Take-Grant Model

# 3.1 Capabilities

In the Take-Grant model for seL4 [2] the authors waived the usual differentation betwenn subjects and objects and called all kernel objects entities.

The entities memory address identifies them and is modeled as a natural number.

```
type_synonym entity_id = nat
```

With each capability a set of rights is associated. There ar four access rights in the system model:

```
datatype rights = Read | Write | Grant | Create
```

- Read authorises the reading of information from another entity.
- Write authorises the writing of information to another entity.
- Grant authorises the passing of a capability to another entity.
- Create authorises the creation of new entities, which models the behavior of untyped memory objects.

A capability has two fields:

- 1. An identifier which names an target-entity
- 2. A set of rights which defines which system-operations the source-entity is authoriside to perform on the target-entity.

An entity has a set of capabilities:

```
record entity = caps :: cap set
```

The systems state includes two flields:

- 1. The heap, which stores the entities of the system like an arry form address 0 up to and excluding next\_id.
- 2. next\_id contains slot for next entity without overlapping with an existing one.

```
\begin{array}{lll} \mathbf{record} & \mathtt{state} = & \mathtt{heap} :: & \mathtt{entity\_id} \Rightarrow \mathtt{entity} \\ & \mathtt{next\_id} :: & \mathtt{entity\_id} \end{array}
```

#### 3.2 System Operations

The system operations of the seL4 are determined in the data type sysOps.

The entity\_id in each operation is the entity initiating the operation. The first named capability is the one that is being invoked. The second capability for SysCreate points to the target entity for the new capability. For SysGrant it's the passed capability and for SysRemove it's the one that has to be removed. The rights set in SysGrant necessary for the initiating entity to have the option only to transport a subset of the authority it offers to the receiver.

The diminish function applies this mask on the given acces rights:

```
diminish :: "cap \Rightarrow rights set \Rightarrow cap" where diminish c R \equiv c(rights := rights c \cap R)
```

legal defines on what terms any system operation is allowed.

```
legal :: "sysOPs \Rightarrow state \Rightarrow bool" where
       "legal
                   (SysNoOp e) s
                                                       = isEntityOf s e"
       "legal
                   (SysCreate e c_1 c_2) s
                                                            (isEntityOf s e \wedge c_1, c_2 \subseteq caps\_of s e \wedge
                                                             \texttt{Grant} \, \in \, \texttt{rights} \, \, \texttt{c}_2 \, \, \wedge \, \, \texttt{Create} \, \in \, \texttt{rights} \, \, \texttt{c}_2) \, \texttt{"}
       "legal
                  (SysRead e c) s
                                                            (isEntityOf s e \land c \in caps_of s e \land Read
                                                             ∈ rights c)"
       "legal
                  (SysWrite e c) s
                                                       = (isEntityOf s e \land c \in caps_of s e \land Write
                                                             \in rights c)"
       "legal
                   (SysGrant e c_1 c_2 r) s = (isEntityOf s e \wedge isEntityOf s (entity c_1)
                                                             \land \ \mathsf{c}_1,\mathsf{c}_2 \subseteq \mathsf{caps\_of} \ \mathsf{s} \ \mathsf{e} \ \land \ \mathsf{Grant} \ \in \ \mathsf{rights} \ \mathsf{c}_1) \texttt{"}
       "legal
                   (SysRemove e c_1 c_2) s = (isEntityOf s e \wedge c_1 \in caps_of s e)"
       "legal
                   (SysRevoke e c) s
                                                    = isEntityOf s e ∧ c ∈ caps_of s e"
```

isEntityOf tests the existence of an entity\_id, caps\_of issues the set of all capabilities contained in the entity with the address r in state s.

The original executions of SysRead and SysWrite don't have an underlying function. For implying the noninterference property I have to include what happens if an entity reads or writes a value from another entity. For this purpose I defined a readOperation and a writeOperation.

The step' and step functions define the execution of a single system operation:

```
step' :: "sysOPs \Rightarrow state \Rightarrow state" where
     "step'
               (SysNoOp e) s
     "step'
               (SysRead e c) s
                                           = readOperation e c s"
               (SysWrite e c) s
     "step'
               (SysWrite e c) s = writeOperation e c s" (SysCreat e c_1 c_2) s = createOperation e c_1 c_2 s"
     "step'
               (SysGrant e c_1 c_2 R) s = grantOperation e c_1 c_2 R s"
     "step'
     "step'
               (SysRemove e c_1 c_2) s = removeOperation e c_1 c_2 s"
     "step'
               (SysRevoke e c) s
                                           = revokeOperation e c s"
step :: "sysOps \Rightarrow state \Rightarrow state" where
step cmd s \equiv if legal cmd s then step' cmd s else s
```

The new defined functions readOperation and writeOperation:

```
{\tt readOperation} \ :: \ \ "\texttt{entity\_id} \ \Rightarrow \ {\tt cap} \ \Rightarrow \ {\tt modify\_state"} \ {\tt where}
"readOperation e c s \equiv s( heap := (heap s)(e := (caps = caps_of s e, eValue = value_of s (entity
c))))"
writeOperation :: "entity_id \Rightarrow cap \Rightarrow modify_state" where
"writeOperation e c s \equiv s( heap := (heap s)(entity c := (caps = caps_of s (entity c), eValue
= value_of s e|))|)"
The rest of the system operation stay as they are:
createOperation :: "entity_id \Rightarrow cap \Rightarrow cap \Rightarrow modify_state" where
\texttt{createOperation} \ \texttt{e} \ \texttt{c}_1 \ \texttt{c}_2 \ \texttt{s} \ \equiv
 let nullEntity = (cap = , eValue = NULL);
         newCap = (entity = next_id s, rights = all_rights);
         {\tt newTarget = \{caps = newCap \ caps\_of s \ (entity \ c_2), \ eValue = NULL\}}
         s(\texttt{heap} := (\texttt{heap} \ \texttt{s})(\texttt{entity} \ \texttt{c}_2 := \texttt{newTarget}, \ \texttt{next\_id} \ \texttt{s} := \texttt{nullEntity}), \ \texttt{next\_id} := \texttt{next\_id} \ \texttt{s+1})"
 in
\texttt{grantOperation} \ :: \ \ \texttt{"entity\_id} \ \Rightarrow \ \texttt{cap} \ \Rightarrow \ \texttt{rights} \ \texttt{set} \ \Rightarrow \ \texttt{modify\_state"} \ \texttt{where}
"grantOperation e c_1 c_2 R s \equiv
s(heap := (heap s) (entity c_1 := (caps = diminish c_2 R \cup caps_of s (entity c_1), eValue = value_of
s (entity c_1)))"
removeOperation :: "entity_id \Rightarrow cap \Rightarrow cap \Rightarrow modify_state" where
"removeOperation c_1 c_2 s \equiv s(heap := (heap s)(entity <math>c_1 := (caps = caps\_of s (entity <math>c_1) - c_2,
eValue = value_of s (entity c_1)))"
```

# 4 Validation of Confidentiality

First I tried to validate confidentiality for the different system operations as they are defined in the take-grant-model. With this model it's impossible to decide whether a change of value has been recognized by another domain.

In the paper an entity only include a set of capabilites. For my purpose I need the option to access the content of the entities. This ist because the rules for noninterference state that no information is allowed to flow from one domain to another. This includes the information stored in the kernel objects. Therefore I extendet the original record entity by adding a *value* modelled by a natural number.

My entity type:

After this change it was feasible to deside confidentiality for this model in the following way.

I took one Low-level-Subsystem and one High-level-Subsystem with entities in them and tested for different right-sets and different operations if the confidentiality-property holds. The following shows an example of this approach:

- $e_1 \in H$ ,  $e_2 \in L$ ,  $c_1 \in S$ ,  $c_2 \in t$
- H equates a High level domain that implements the subsystem 'H'
- L equates a Low level domain that implements the subsystem 'L'

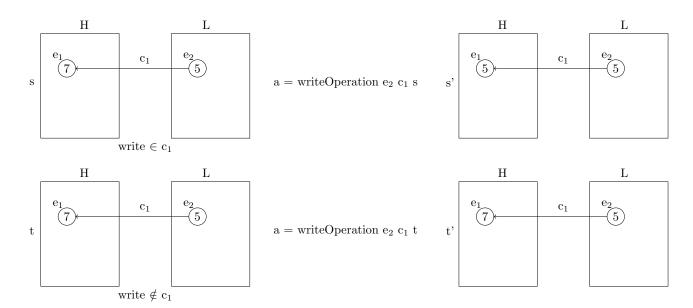


Figure 7: Confidentiality of Write 1

```
* s \stackrel{L}{\sim} t \Rightarrow aquiv\_nonin \ s \ t \ L
** writeOperation e_2 \ c_2 \ t changes e\_1 \in H not e \in L
*** writeOperation e_2 \ c_1 \ s = s' \stackrel{****}{=} s
**** legal(SysRead e_2 \ c_1) s = false
```

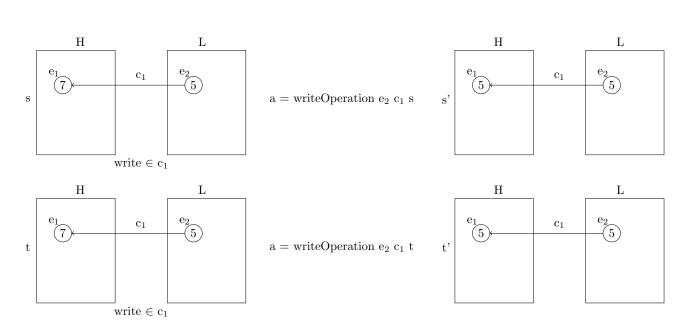


Figure 8: Confidentiality of Write 2

```
* s \overset{L}{\sim} t \Rightarrow aquiv_nonin s t L

** writeOperation e_2 c_1 s changes e_-1 \in H no e \in L

*** writeOperation e_2 c_2 t changes e_-1 \in H no e \in L

\forall e \in L.

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\forall e \in L
```

## 4.1 Redesign of the take-grant-model

This procedure worked until I came to the remove-operation. There I got the problem, that an entity in the given model is allowed to delete a capability and with that also an object in another domain without any restrictions:

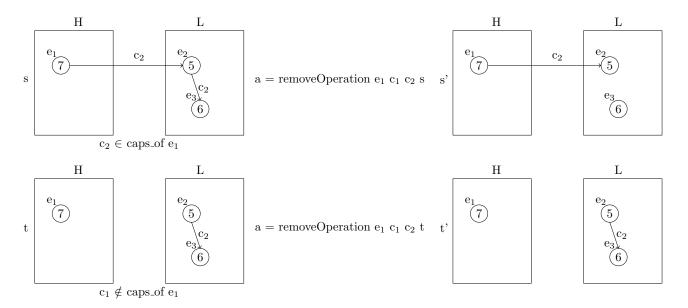


Figure 9: No confidentiality for Remove

To research into this problem I desided to classify the entities by their types, corresponding to the kernel specification [6]

The following table showes the different object types with their different executable operations and the corresponding take-grant system calls.

Capability Type	Concrete Kernel	protection model
Untyped	Retype	sequence of SysCreate
	Revoke	SysRevoke
TCB	TreadControl	SysNoOP, SysGrant
	Exchange Registers	SystWrite or SysRead
	Yield	SysNoOP
Synchronous IPC	Send IPC	SysWrite or SysNoOP
(Endpoint)	Wait IPC	SysRead
	Grant IPC	SysWrite, SysGrant or SysNoOP
Asynchronous IPC	Send Event	SysWrite
(AsyncEndpoint)	Wait Event	SysRead
CNode	imitate	SysGrant
	mint	SysGrant
	Remove	SysRemove
	Revoke	SysRevoke
	Move	SysGrant, SysRemove
	Recycle	SysRevoke, sequence of SysRemove
VSpace	Install Mapping	SysGrant
	Remove Mapping	SysRemove
	Remap	SysRemove, SysGrant
	initialise	SysNoOP
Frame	-	-
InterruptController	Register interrupt	SysGrant
	Unregister interrupt	SysRemove
InterruptHandler	Acknowledge intrrupt	SysWrite
seL4 ASID Table	Associate VSpace	SysNoOP
	Disassociate VSpace	SysNoOP

Table 1: Relationship: operation of concrete kernel  $\longleftrightarrow$  of protection model [5]

For the validation I took a subsystem (SS1) of one Domain (D1) an another subsystem (SS2) of second Domain (D2).

As mentioned in chapter 2.1.2 (Kernel Objects), the only communication between Domains goes through *Shared Pages* or *Asynchronous Endpoints*.

The following picture shows an example of how the objects and methods can be placed in the domains and how the connection to *Shared Pages* and *Asynchronous Endpoints* is implemented if the information is allowed to flow from Domain 1 to Domain 2.

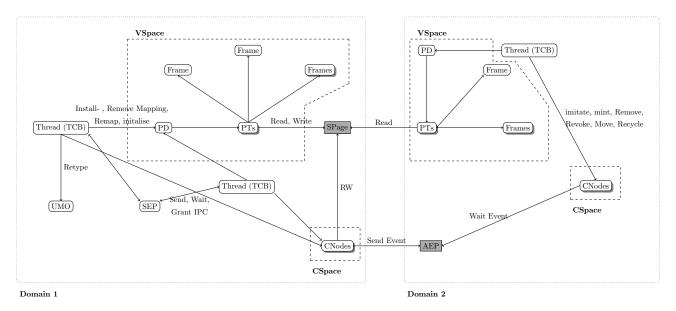


Figure 10: Objects and Methods in the kernel

#### 4.2 Validation with the new model

For the Validation I examine each operation of the protection model and distinguish therefor between the different object types that are able to execute the named operation. I assume that information is allowed to flow from Domain 1 to Domain 2 but not from Domain 2 to Domain 1.

 $\Rightarrow$  D1 $\rightsquigarrow$ D2 but D2 $\not\rightsquigarrow$ D1

Further I assume that state s is equivalent to state t for Domain 1.

$$\Rightarrow$$
s $\stackrel{D1}{\sim}$ t $\Rightarrow$ aquiv\_nonin s t D1

In this chapter I show that the criteria for the equivalence relation still holds in Domain 1, between s' and t', after every type of operation.

#### 4.2.1 Create

Create corresponds to the *Retype* operation on untyped memory. Each Domain has a own and fixed section of memory. So so UMO for *Retype* is located in the same Domain as the implementing entity. Also the created entity is placed in the same Domain as in the CDT it is a child of the UMO.

The following picture shows how a create operation in one Domain changes or not changes the equivalence criteria in the other domain that is not allowed to get infomation from the primer one.

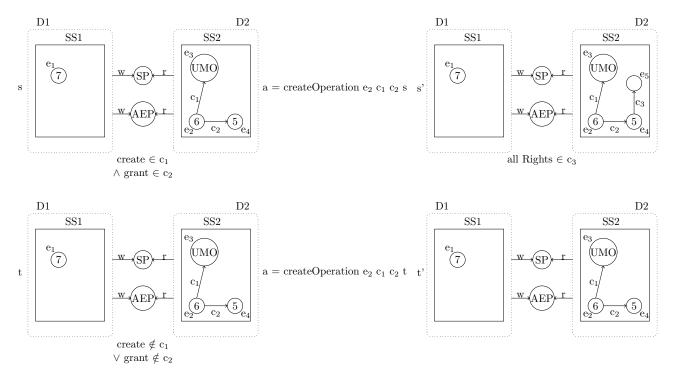


Figure 11: Confidentiality for Create

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv_nonin s t D1

** createOperation e_2 c_1 c_2 s creates e_3 \in D2 and does not change or create any e \in D1

*** createOperation e_2 c_1 c_2 t does not change or create any entity because create \notin c_1 \vee grant \notin c_2 \Rightarrow t{=}t'
```

```
\begin{split} \forall\; e \in D1. \\ & \text{value\_of s'}\; e \stackrel{**}{=} \text{value\_of s}\; e \stackrel{*}{=} \text{value\_of t}\; e \stackrel{**}{=} \text{value\_of t'}\; e \\ \land & \text{caps\_of s'}\; e \stackrel{**}{=} \text{caps\_of s}\; e \stackrel{*}{=} \text{caps\_of t}\; e \stackrel{**}{=} \text{caps\_of t'}\; e \\ \land & \text{subSys s'}\; e \stackrel{**}{=} \text{subSys s}\; e \stackrel{*}{=} \text{subSys t}\; e \stackrel{**}{=} \text{subSys t'}\; e \\ \Rightarrow & \text{aquiv\_nonin s'}\; t'\; D1 \Rightarrow s' \stackrel{D1}{\sim} t' \end{split}
```

#### 4.2.2 Grant

Grant operation can only be done inside a domain from a TCB, Synchronous IPC, CNode, VSpace or Interrupt Controller object. The only object types that are able to have contact to different domains are Async IPC and Shared Page objects. So I show that any grant operation inside a Domain does not affect the values, capabilities or entities of another domain.

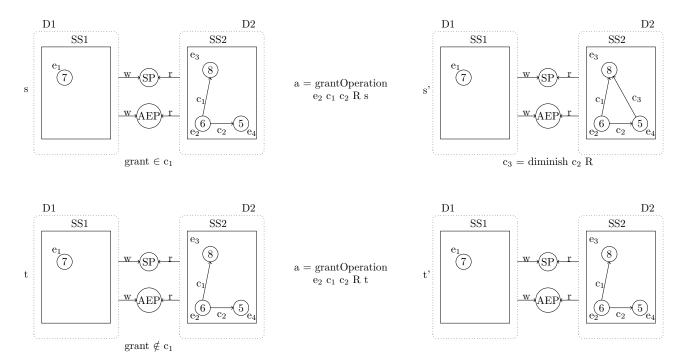


Figure 12: Confidentiality for Grant

```
* s \stackrel{D1}{\sim} t \Rightarrow aquiv_nonin s t D1
```

```
\forall e \in D1.
```

value\_of s' e  $\stackrel{**}{=}$  value\_of s e  $\stackrel{*}{=}$  value\_of t e  $\stackrel{***}{=}$  value\_of t' e

 $\Rightarrow$ aquiv\_nonin s' t' D1  $\Rightarrow$  s'  $\stackrel{\rm D1}{\sim}$  t'

<sup>\*\*</sup> grantOperation  $e_2$   $c_1$   $c_2$  R s creates  $c_3 \in D2$  and does not change or create any capa-

<sup>\*\*\*</sup> grantOperation e<sub>2</sub> c<sub>1</sub> c<sub>2</sub> R t does not change or create any capability because grant ∉  $c_1 \Rightarrow t=t$ 

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