

The Myhill-Nerode Theorem

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Outline

- 1 Equivalence Relations
- 2 Right Invariance
- 3 Equivalence Relations Induced by DFA's
- 4 The Myhill Nerode theorem
- 5 Applications of the Myhill Nerode Theorem

Definition

A binary relation R on a set S is a subset of $S \times S$. An equivalence relation on a set satisfies

Reflexivity: For all x in S , xRx

- Symmetry: For $x, y \in S$ $xRy \iff yRx$
- Transitivity: For $x, y, z \in S$ xRy and $yRz \implies xRz$
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Example 1

Let $S = \Sigma^+$ where $\Sigma = \{a, b\}$.

- Define R as xRy whenever x and y both end in the same symbol of Σ .
- How many equivalence classes does R partition S into?

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Right invariance

An equivalence relation on Σ^* is said to be **right invariant** with respect to concatenation if $\forall x, y \in \Sigma^*$ and $a \in \Sigma$ xRy implies that $xaRya$.

Example:

- Let $S = \Sigma^*$ where $\Sigma = \{a, b\}$ and R be defined as follows:
- xRy if x and y have the same number of a 's.
- How many equivalence classes does R partition S into?
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Equivalence relations induced by DFA's

Let $M = (Q, \Sigma, \delta, q_0, F)$ be a DFA.

- Define a relation R_M as follows:
- For $x, y \in \Sigma^*$ $xR_M y \iff \delta(q_0, x) = \delta(q_0, y)$
- Is this an equivalence relation?
- If so how many equivalence classes does it have?
That is, what is its index?

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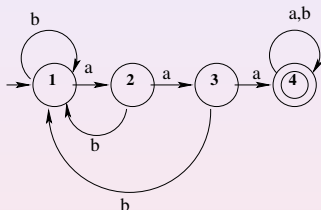
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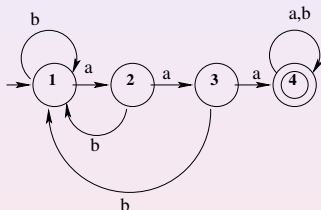
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C_1 : All strings not containing more than 2 consecutive a 's and which end in a b .

- C_2 : All strings not containing more than 2 consecutive a 's and which end in a
- C_3 : All strings not containing more than 2 consecutive a 's and which end in aa
- C_4 : All strings containing at least three consecutive a 's

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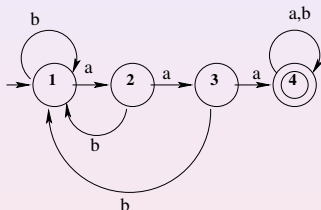


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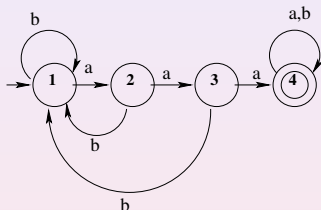
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Refinements of equivalence relations

An equivalence relation R_1 is a **refinement** of R_2 if $R_1 \subseteq R_2$ i.e. $(x, y) \in R_1 \implies (x, y) \in R_2$

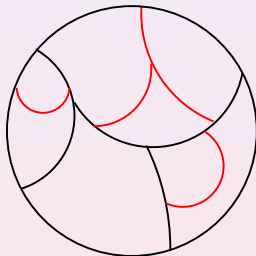
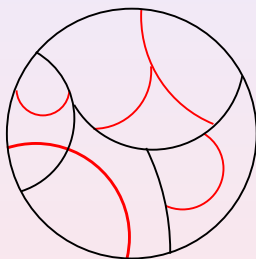


Figure: R_1 equivalence class boundaries—red lines and black lines, R_2 equivalence class boundaries— black lines

Example



Here R_1 is not a refinement of R_2 as $(x, y) \in R_1$ does not imply $(x, y) \in R_2$

Example of a refinement of an equivalence relation

Let $S = \{0, 1\}^*$

- For $x, y \in S$ xR_1y if x and y have the same parity.
- xR_2y if x and y have the same parity and the same length.
- What is the index of R_1 ?
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The Myhill Nerode theorem

Let $L \subseteq \Sigma^*$. The following statements are equivalent:

- 1 L is recognized by a DFA
- 2 L is the union of some of the equivalence classes of a right invariant equivalence relation of finite index.
- 3 Define an equivalence relation R_L as follows. For $x, y \in \Sigma^*$ $(x, y) \in R_L \iff \forall z \in \Sigma^* xz \in L \text{ whenever } yz \in L$. Then R_L has finite index.

To prove this, we need to prove that

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To prove $1 \implies 2$

- 1 L is recognized by a DFA
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- Let $M = (Q, \Sigma, \delta, q_0, F)$ be the DFA that recognizes L .
 - The relation R_M defined earlier as follows: for $x, y \in \Sigma^*$ $xR_My \iff \delta(q_0, x) = \delta(q_0, y)$ is an equivalence relation which is of finite index and is also right invariant as M is a DFA.
 - L is just the union of the equivalence classes corresponding to the final states of M

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We next prove $2 \implies 3$

2. L is the union of some of the equivalence classes of a right invariant equivalence relation of finite index.
 3. Define an equivalence relation R_L as follows. For $x, y \in \Sigma^*$ $(x, y) \in R_L \iff \forall z \in \Sigma^* xz \in L \text{ whenever } yz \in L$. Then R_L has finite index.
- Let E be the equivalence relation in (2). We show that E is a refinement of R_L . Hence since E has finite index, so does R_L .
 - We show that if $(x, y) \in E$ then $(x, y) \in R_L$, i.e. $E \subseteq R_L$ and hence E is a refinement of R_L .
 - $(x, y) \in E \implies \forall z \in \Sigma^*, (xz, yz) \in E$ by repeated use of right invariance. Hence xz is in an equivalence class in L iff yz is, thereby implying that $(x, z) \in R_L$. Thus R_L has finite index.

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We now prove that $3 \implies 1$

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- 3 Let $[x]$ denote the equivalence class containing x . The state set $Q_L = \{[x] : x \in \Sigma^*\}$. The transition function δ_L is defined as $\delta_L([x], a) = [xa]$. The definition is consistent because had we chosen some other representative $[y]$ of the equivalence class, $[xa] = [ya]$ by right invariance of R_L so the transition is to the same state of M .

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The MN theorem can be used to show that a particular language is regular without actually constructing the automaton or to show conclusively that a language is not regular.

- Example. Is the following language regular
 $L_1 = \{xy : |x| = |y|, x, y \in \Sigma^*\}$?
- Example. What about the language
 $L_2 = \{xy : |x| = |y| \text{ and } y \text{ ends with a } 1\}$?
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Example. What about the language

$$L_3 = \{xy : |x| = |y| \text{ and } y \text{ contains a } 1\}?$$

For the language L_1 there are two equivalence classes of R_{L_1} . The first C_1 contains all strings of even length and the second C_2 all strings of odd length. All strings z of odd length when concatenated with any string in C_1 take us to C_2 , and all strings z of even length when concatenated with any string in C_1 take us back to C_1 which is nothing but L_1 . Similarly all strings z of even length when concatenated with any string of C_2 take us back to C_2 and all strings of odd length to C_1

For L_2 we have the additional constraint that y ends with a 1. Class C_2 remains the same as that for L_1 . Class C_1 is refined into classes C'_1 which contains all strings of even length that end in a 1 and C''_1 which contains all strings of even length which end in a 0. Thus L_1 and L_2 are both regular.

For L_3 we have to distinguish for example, between the even length strings in the sequence 01, 0001, 000001,... and in general 0^i1 for i odd, as 00 distinguishes the first string from all the others after it in the sequence (concatenation of 00 to 01 gives a string not in L_3 but concatenation of 00 to all the others gives a string in L_3), 0000 distinguishes the second from all the others after it in the sequence, and in general $(00)^{2i}$ distinguishes the i^{th} string from all the others after it in the sequence. As there are an infinite number of strings that can be distinguished from one another, the relation R_L has infinite index and therefore L_3 is not regular.