

# *Algebraic Foundations of Computer Science.*

## *Applications to Cryptography*

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# Introduction to Cryptography

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- **Cryptography** is the field concerned with techniques for securing information, particularly in communications;
- Cryptography focuses on the following paradigms:
  - **Authentication** – the process of proving one's identity (the primary forms of host-to-host authentication on the Internet today are name-based or address-based, both of which are notoriously weak);
  - **Privacy/confidentiality** – ensuring that no one can read the message except the intended receiver;
  - **Integrity** – assuring the receiver that the received message has not been altered in any way from the original;
  - **Non-repudiation** – a mechanism to prove that the sender really sent this message.

# Applications of cryptography

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Applications of cryptography include:

- computer and information security: cryptography is necessary when communicating over any untrusted medium, which includes just about any network, particularly the Internet.
- e-commerce, e-payment, e-voting, e-auction, e-lottery, and e-gambling schemes, are all based on cryptographic (security) protocols.

Examples of software tools that heavily rely on cryptographic techniques: IPsec, SSL & TLS, DNSsec, S/MIME, SET etc.

# History of cryptography

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A brief history of cryptography is in order:

- The oldest forms of cryptography date back to at least Ancient Egypt, when derivations of the standard hieroglyphs of the day were used to communicate;
- Julius Caesar (100-44 BC) used a simple substitution cipher with the normal alphabet (just shifting the letters a fixed amount) in government communications ([Caesar cipher](#));
- Thomas Jefferson, the father of American cryptography, invented a wheel cipher in the 1790's, which would be redeveloped as the Strip Cipher, M-138-A, used by the US Navy during World War II;

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- During World War II, two notable machines were employed: the German's [Enigma machine](#), developed by Arthur Scherbius, and the Japanese [Purple Machine](#), developed using techniques first discovered by Herbert O. Yardley;
- William Frederick Friedman, the father of American cryptanalysis, led a team which broke in 1940 the Japanese Purple Code;
- In the 1970s, Horst Feistel developed a “family” of ciphers, the [Feistel ciphers](#), while working at IBM's Watson Research Laboratory. In 1976, The National Security Agency (NSA) worked with the Feistel ciphers to establish FIPS PUB-46, known today as [DES](#);

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- In 1976, Martin Hellman, Whitfield Diffie, and Ralph Merkle, have introduced the concept of **public-key cryptography**;
- In 1977, Ronald L. Rivest, Adi Shamir and Leonard M. Adleman proposed the first public-key cipher which is still secure and used (it is known as **RSA**);
- The Electronic Frontier Foundation (EFF) built the first unclassified hardware for cracking messages encoded with DES. On July 17, 1998, the EFF DES Cracker was used to recover a DES key in 22 hours. The consensus of the cryptographic community was that DES was not secure;
- In October 2001, after a long searching process, NIST selected the **Rijndael cipher**, invented by Joan Daemen and Vincent Rijmen, as the Advanced Encryption Standard. The standard was published in November 2002.



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## Definition 1

A **cryptosystem** or **cipher** is a 5-tuple  $S = (\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$ , where:

- 1  $\mathcal{P}$  is a non-empty finite set of **plaintext symbols**;
- 2  $\mathcal{C}$  is a non-empty finite set of **cryptotext symbols**;
- 3  $\mathcal{K}$  is a non-empty finite set of **keys**;
- 4  $\mathcal{E}$  and  $\mathcal{D}$  are two sets of functions (algorithms)

$$\mathcal{E} = \{e_K : \mathcal{P} \rightarrow \mathcal{C} \mid K \in \mathcal{K}\} \quad \text{and} \quad \mathcal{D} = \{d_K : \mathcal{C} \rightarrow \mathcal{P} \mid K \in \mathcal{K}\},$$

such that  $d_K(e_K(x)) = x$ , for any  $K \in \mathcal{K}$  and  $x \in \mathcal{P}$ .

$e_K$  is the **encryption rule (algorithm)**, and  $d_K$  is the **decryption rule (algorithm)**, induced by  $K$ .

Let  $\mathcal{S} = (\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$  be a cipher. A **plaintext (cryptotext)** is a finite sequence of plaintext (cryptotext) symbols.

Encryption modes of a plaintext  $x = x_1 \cdots x_n$ :

- **(Fixed-key encryption)**. Generate a key  $K$  and encrypt each plaintext symbol by  $e_K$ :

$$y = e_K(x_1) \cdots e_K(x_n);$$

- **(Variable-key encryption)**. Generate a sequence of keys  $K_1, \dots, K_n$  and encrypt each plaintext symbol  $x_i$  by  $e_{K_i}$ :

$$y = e_{K_1}(x_1) \cdots e_{K_n}(x_n).$$

## Remark 1

**We will mainly use the fixed-key encryption mode.**

# Classification of cryptosystems

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Cryptosystems can be classified into:

- **symmetric (private-key, single-key) cryptosystems** – characterized by the fact that it is easy to compute the decryption rule  $d_K$  from  $e_K$ , and vice-versa;
- **asymmetric (public-key) cryptosystems** – characterized by the fact that it is hard to compute  $d_K$  from  $e_K$ . With such cryptosystems, the key  $K$  is split into two subkeys,  $K_e$ , for encryption, and  $K_d$ , for decryption. Moreover,  $K_e$  can be made public without endangering security.

# Symmetric cryptosystems

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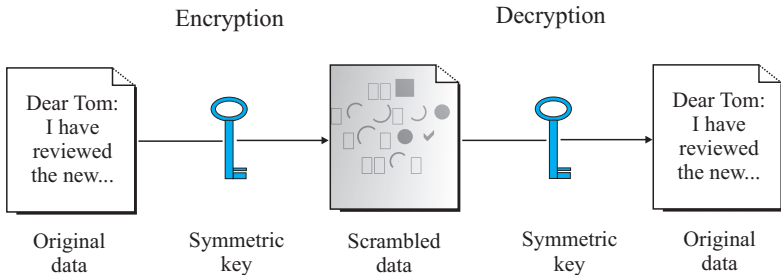
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*Figure:* With symmetric cryptosystems, the same key is used for both encryption and decryption

# Asymmetric cryptosystems

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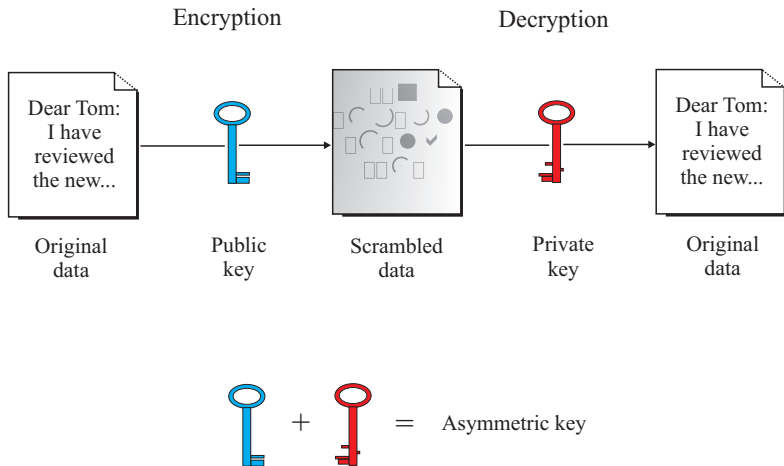
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*Figure:* With asymmetric cryptosystems, a key is used for encryption and another key is used for decryption

# Symbol – integer correspondence

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Most cryptosystems are based on number theory and, therefore, it is customary to view each plaintext symbol as an integer, for instance, based on a one-to-one correspondence like the one below:

a	b	c	d	e	f	g	h	i	j	k	l	m
0	1	2	3	4	5	6	7	8	9	10	11	12

n	o	p	q	r	s	t	u	v	w	x	y	z
13	14	15	16	17	18	19	20	21	22	23	24	25

For instance, the plaintext “home” becomes the sequence of integers “7,14,12,4”.

# Affine cryptosystems

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## Cryptosystem 1 (Affine Cryptosystems)

An affine cryptosystem is defined as follows:

- $\mathcal{P} = \mathcal{C} = \mathbb{Z}_{26}$ ;
- $\mathcal{K} = \{(a, b) \in \mathbb{Z}_{26} \times \mathbb{Z}_{26} \mid \gcd(a, 26) = 1\}$ ;
- for any key  $K = (a, b)$  and  $x, y \in \mathbb{Z}_{26}$ ,

$$e_K(x) = (ax + b) \bmod 26 \text{ and } d_K(y) = (a^{-1}(y - b)) \bmod 26.$$

Let  $K = (7, 3)$  and the plaintext  $pt = \text{hot}$  ( $pt = 7, 14, 19$ ). Then,

$$e_K(pt) = e_K(7), e_K(14), e_K(19) = 0, 23, 6,$$

that is, the cryptotext is  $ct = axg$ .



# Cryptanalysis of affine cryptosystems

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Affine cryptosystems can be easily broken by **exhaustive key search** (EKS), also known as **brute-force search**, which consists of trying every possible key until you find the right one.

**Question:** If you have a chunk of cryptotext and decrypt it with one key after the other, **how do you know when you have found the correct plaintext?**

**Answer:** *You know that you have found the plaintext because it looks like plaintext. Plaintext tends to look like plaintext. It's an English-language message, or a data file from a computer application (e.g., programs like Microsoft Word have large known headers), or a database in a reasonable format. When you look at a decrypted file, it looks like something understandable. When you look at a cryptotext file, or a file decrypted with the wrong key, it looks like gibberish.*

# Cryptanalysis of affine cryptosystems

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**Question:** How many keys are?

**Answer:** If an affine cryptosystem is developed over  $\mathbb{Z}_{26}$ , then there are only  $\phi(26) \times 26 = 12 \times 26 = 312$  possible keys.

As a conclusion, given an affine cryptosystem, it is very easy to enumerate all its keys and break it using a laptop (assuming that you have a chunk of cryptotext).

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# The RSA cryptosystem

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In 1977, Ronald Rivest, Adi Shamir, and Leonard Adleman, proposed the first public-key cryptosystem which is still secure and used.

## Cryptosystem 2 (RSA)

- let  $p$  and  $q$  be two distinct primes, and  $n = pq$ ;
- $\mathcal{P} = \mathcal{C} = \mathbb{Z}_n$ ;
- $\mathcal{K} = \{(n, p, q, e, d) \mid e \in \mathbb{Z}_{\phi(n)}^* \wedge ed \equiv 1 \bmod \phi(n)\}$ ;
- for any  $K = (n, p, q, e, d) \in \mathcal{K}$  and  $x, y \in \mathbb{Z}_n$ ,

$$e_K(x) = x^e \bmod n \text{ and } d_K(y) = y^d \bmod n;$$

- $(n, e)$  is the public key, and  $(p, q, d)$  is the secret key.

# The RSA cryptosystem

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## Example 2 (RSA with artificially small parameters)

Let  $p = 61$  and  $q = 53$ . Then:

- $n = pq = 3233$  and  $\phi(n) = 3120$ ;
- if we chose  $e = 17$ , then  $d$  can be computed with the extended Euclidean algorithm. We obtain  $d = e^{-1} \bmod 3120 = 2753$ ;
- $n = 3233$  and  $e = 17$  are public parameters;  $p$ ,  $q$ , and  $d$  are secret;

Let  $x = 123$  be a plaintext. The ciphertext is

$$y = 123^{17} \bmod 3233 = 855.$$

In order to decrypt  $y$  we have to compute

$$855^{2753} \bmod 3233 = 123.$$

## Security issues:

- if  $p$  or  $q$  is recovered (e.g., by factoring  $n$  in reasonable time), then the system is completely broken;
- if  $\phi(n)$  can be computed in reasonable time, then the system is completely broken;
- if  $d$  can be easily computed from  $n$  and  $e$ , then the system is completely broken.

## In practice:

- $p$  and  $q$  are 512-bit primes (or even larger);
- $e$  is small (fast encryption) but chosen such that  $d > \sqrt[4]{n}$  (otherwise, an efficient attack can be mounted).

For more details: <http://www.rsasecurity.com/>.

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Public key cryptography solves another problem crucial to e-commerce and Internet cyber relationship: it lets you emulate written signatures. This use of public key technology is called a **digital signature**.

A digital signature **must provide**:

- **authenticity and integrity**. That is, it must be “impossible” for anyone who does not have access to the secret key to forge  $(x, \sigma)$  ( $x$  is the original data and  $\sigma$  is its associated signature);
- **non-repudiation**. That is, it must be impossible for the legitimate signer to repudiate his own signature.

Signing (encrypting with a private key) is extremely slow, so you usually add a time-saving (and space-saving) step before you encrypt messages. It is called **message digesting** or **hashing**.



# Digital signatures and message digests

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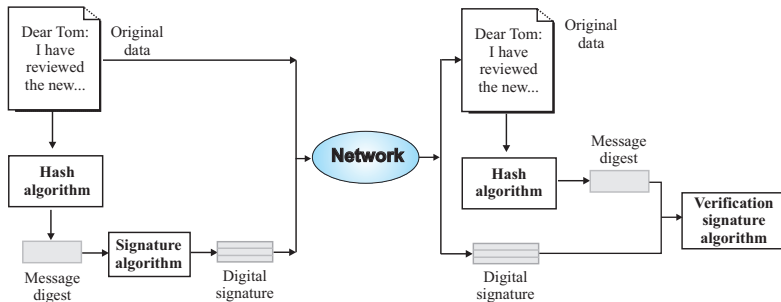
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A **hash algorithm (function)** is an algorithm (function) which, applied to an arbitrary-length input data, produces a fixed-length output data (called a **hash value** or **message digest** or **fingerprint**). **Digital signatures are usually applied to message digests.**



*Figure:* Hashing and digital signatures

# Digital signatures from public key cryptosystems

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Any public key cipher can be used to produce digital signatures:

- Assume that  $K_e$  is  $A$ 's public key and  $K_d$  is  $A$ 's private key and, moreover,  $e_{K_e}(d_{K_d}(x)) = x$ ;
- Then, the decryption of a message  $x$  by  $K_d$  is the **digital signature associated** to  $x$ . It can be **verified** by  $K_e$ :

$$x \stackrel{?}{=} e_{K_e}(d_{K_d}(x)).$$

Therefore, in such a case,  $K_d$  is used to sign messages (it will be secret) and  $K_e$  is used to verify signatures (it will be public).

The **RSA signature** is obtained from the RSA public key cipher.

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# Threshold sharing schemes

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An important application of the Chinese remainder theorem concerns the construction of  $(k, n)$ -threshold sharing schemes.

## Definition 3

A  **$(k, n)$ -threshold sharing scheme** consists of  $n$  people  $P_1, \dots, P_n$  sharing a secret  $S$  in such a way that the following properties hold:

- $k \leq n$ ;
- each  $P_i$  has an information  $I_i$ ;
- knowledge of any  $k$  of  $I_1, \dots, I_k$  enables one to find  $S$  easily;
- knowledge of less than  $k$  of  $I_1, \dots, I_k$  does not enable one to find  $S$  easily.

# Mignotte's threshold sharing schemes

We will show how a  $(k, n)$ -threshold sharing scheme can be constructed:

- let

$$\underbrace{m_1 < \dots < m_k}_{\text{first } k \text{ numbers}} < \dots < \underbrace{m_{n-k+2} < \dots < m_n}_{\text{last } k-1 \text{ numbers}}$$

be a sequence of pairwise co-prime numbers such that

$$\alpha = m_1 \cdots m_k > m_{n-k+2} \cdots m_n = \beta;$$

- let  $S$  be a secret,  $\beta < S < \alpha$ ;
- each  $P_i$  gets the information  $I_i = S \bmod m_i$ .

This is called **Mignotte's threshold sharing scheme**.

# Soundness of secret recovery

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Any group of  $k$  people,  $P_{i_1}, \dots, P_{i_k}$ , can recover uniquely the secret  $S$  by solving the system:

$$(*) \quad \begin{cases} x \equiv l_{i_1} \pmod{m_{i_1}} \\ \dots \\ x \equiv l_{i_k} \pmod{m_{i_k}} \end{cases}$$

According to the Chinese remainder theorem, this system has a unique solution modulo  $m_{i_1} \cdots m_{i_k}$ , and this solution is  $S$  because

$$S < \alpha \leq m_{i_1} \cdots m_{i_k}.$$

# Security to coalition attack

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No group of  $k - 1$  people,  $P_{j_1}, \dots, P_{j_k}$ , can recover uniquely the secret  $S$  by solving the system:

$$(**) \quad \begin{cases} x \equiv I_{j_1} \text{ mod } m_{j_1} \\ \dots \\ x \equiv I_{j_{k-1}} \text{ mod } m_{j_{k-1}} \end{cases}$$

According to the Chinese remainder theorem, this system has a unique solution modulo  $m_{j_1} \cdots m_{j_{k-1}}$ , and this solution, denoted  $x_0$ , satisfies

$$x_0 < m_{j_1} \cdots m_{j_{k-1}} \leq \beta,$$

while  $\beta < S$ .

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