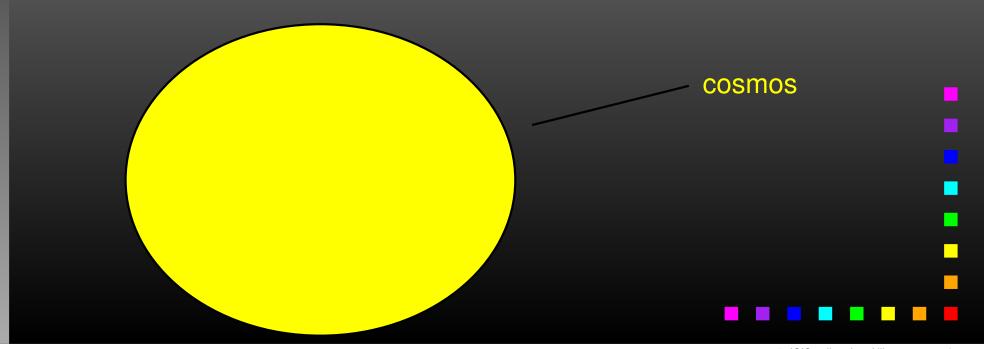
The Microcosm Principle and Concurrency in Coalgebras

Ichiro Hasuo, Bart Jacobs and Ana Sokolova SOS group, Radboud University Nijmegen

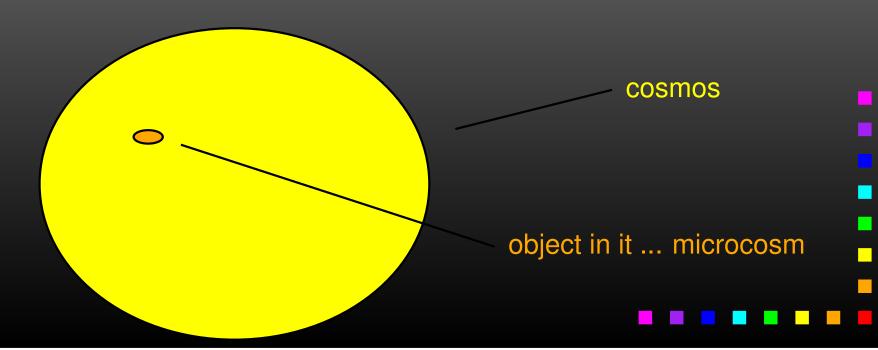
Microcosm principle

(Baez & Dolan)



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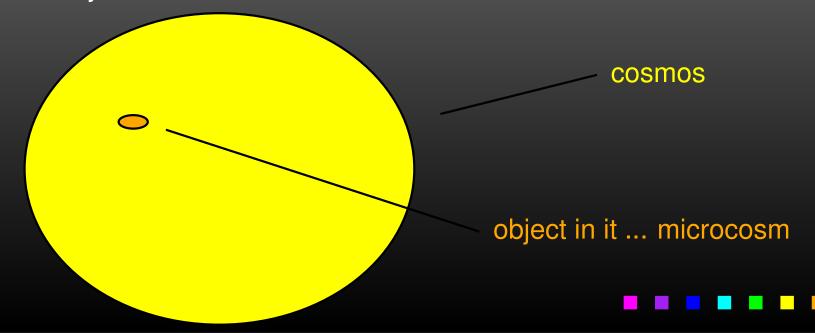
(Baez & Dolan)



Microcosm principle

(Baez & Dolan)

"A monoid object lives in a monoidal category which is itself a kind of monoid object."



Coalgebras

are an elegant generalization of transition systems with states + transitions

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as pairs

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as pairs

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- a uniform way for treating transition systems
- general notions and results, e.g. generic notion of bisimulation

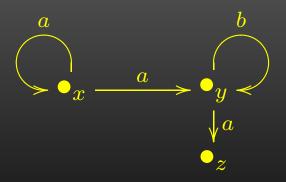
LTS

$$X \xrightarrow{c} \mathcal{P}(A \times X)$$

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Example:



$$c(x) = \{\langle a, x \rangle, \langle a, y \rangle\}, \dots$$

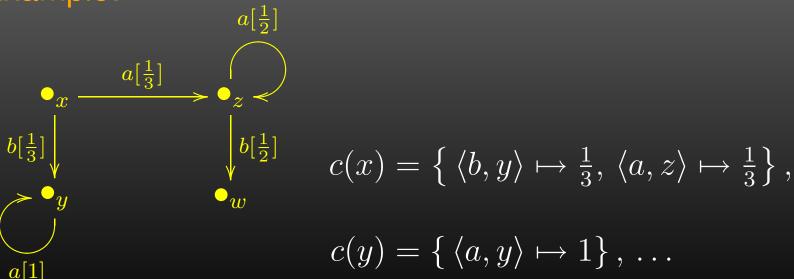
(Generative) Probabilistic systems

$$X \xrightarrow{c} \mathcal{D}(A \times X)$$

(Generative) Probabilistic systems

$$X \stackrel{c}{\to} \mathcal{D}(A \times X)$$

Example:



Concurrency in coalgebras

Aim: well-behaved concurrency operations

on coalgebras

Solution: via nested algebraic structure,

microcosm models

Concurrency in coalgebras

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Solution: via nested algebraic structure,

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Well-behaved:

- * compositional
- * associative, commutative,...

LTS, synchronous parallel |, with $A(\cdot)$ comm., assoc. partial

$$x \mid y \xrightarrow{a} x' \mid y' \iff x \xrightarrow{b} x', y \xrightarrow{c} y', a = b \cdot c$$

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- ? Commutativity: $x \mid y \sim y \mid x$
- ? Associativity: $(x \mid y) \mid z \sim x \mid (y \mid z)$

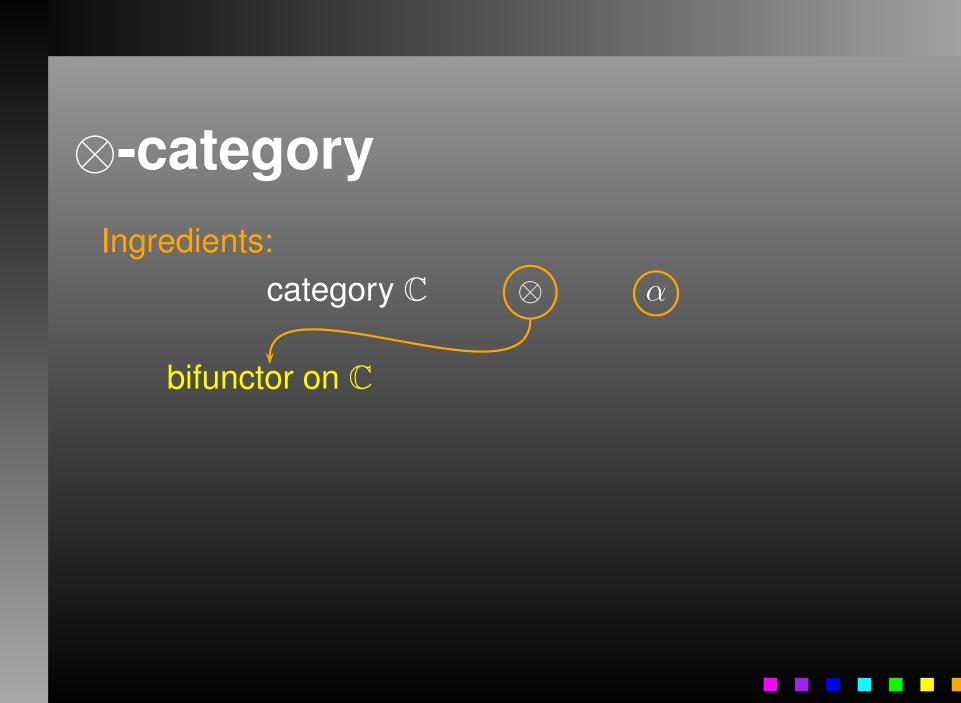
⊗-category

Ingredients:

category $\mathbb C$







⊗-category

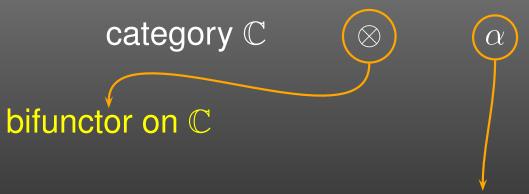
Ingredients:



nat. iso. $\alpha:X\otimes (Y\otimes Z)\stackrel{\cong}{\to} (X\otimes Y)\otimes Z$

⊗-category

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Example: Sets with cartesian product and

$$\alpha(\langle x, \langle y, z \rangle \rangle) = \langle \langle x, y \rangle, z \rangle$$

... is moreover symmetric monoidal

Microcosm phenomenon

" a ⊗-object lives in a ⊗-category"

Microcosm phenomenon

A ⊗-object or a semigroup in a ⊗-category ℂ is

- lacksquare an object $S\in\mathbb{C}^1$
- with a binary operation $m: S \otimes S \to S$
- which is associative

Microcosm phenomenon

A ⊗-object or a semigroup in a ⊗-category C is

- an object $S \in \mathbb{C}$
- with a binary operation $m: S \otimes S \to S$
- which is associative

$$S \otimes (S \otimes S) \xrightarrow{\alpha} (S \otimes S) \otimes S \xrightarrow{m \otimes id} S \otimes S$$

$$id \otimes m \downarrow \qquad \qquad \downarrow m$$

$$S \otimes S \xrightarrow{m} S$$

A functor $F: \mathbb{C} \to \mathbb{D}$ is a \otimes -functor between the

⊗-categories, if there is a natural transformation

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$$FX \otimes (FY \otimes FZ) \xrightarrow{\alpha} (FX \otimes FY) \otimes FZ$$

$$\text{sync} \circ (\textit{id} \otimes \text{sync}) \downarrow \qquad \qquad \qquad \downarrow \text{sync} \circ (\text{sync} \otimes \textit{id})$$

$$F(X \otimes (Y \otimes Z)) \xrightarrow{F\alpha} F((X \otimes Y) \otimes Z)$$

given a \otimes -category $\mathbb C$ and a \otimes -functor F

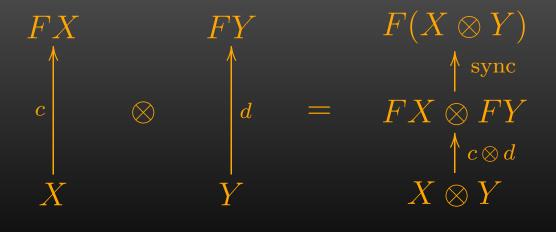
given a \otimes -category $\mathbb C$ and a \otimes -functor F

the category of F-coalgebras is a \otimes -category

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$$FX$$
 FY $F(X \otimes Y)$

$$\downarrow c$$
 \otimes $\downarrow d$ $=$ $FX \otimes FY$

$$\downarrow c \otimes d$$
 X Y $X \otimes Y$

Hence - process operations on coalgebras!

Well-behaved operations

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associativity: ζ with \parallel is a semigroup in $Coalg_{\mathcal{F}}$

compositionality: $beh(c \otimes d) = \| \circ (beh(c) \otimes beh(d)) \|$

 $\overline{\ldots} \, \overline{\mathrm{beh}(c)}$ is obtained by finality \ldots

Another ⊗-category

the slice category \mathbb{C}/\mathbb{Z}

objects: arrows $X \to Z$ in \mathbb{C}

arrows:
$$X \xrightarrow{f} Y$$
 commuting triangles

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$$(X \xrightarrow{f} Z) \otimes (Y \xrightarrow{g} X) \stackrel{\mathsf{def}}{=} (X \otimes Y \xrightarrow{f \otimes g} Z \otimes Z \xrightarrow{\parallel} Z)$$

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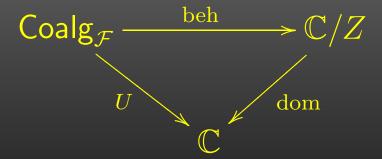
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compositionality is direct here!

All together...

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we have a commuting diagram of ⊗-functors between the ⊗-categories



all with identity as sync-map

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x_1 \sim x_2 and y_1 \sim y_2 \implies x_1 \mid y_1 \sim x_2 \mid y_2 bisimilarity is a congruence !
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LTS

the parallel composition

$$x \mid y \xrightarrow{a} x' \mid y' \iff x \xrightarrow{b} x', y \xrightarrow{c} y', a = b \cdot c$$

on LTS with finite branching $X \stackrel{c}{\rightarrow} \mathcal{P}_{\omega}(A \times X)$ is

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since the LTS functor is a ⊗-functor with

$$\operatorname{sync}_{LTS}(U, V) = \{ \langle l, \langle u, v \rangle \rangle \mid \langle a, u \rangle \in U, \langle b, v \rangle \in V, l = a \cdot b \}$$

PTS

the parallel composition

$$x \mid y \stackrel{l[p]}{\rightarrow} x' \mid y' \quad \iff \quad p = \sum_{q,r: l=a \cdot b, x \stackrel{a[q]}{\rightarrow} x', y \stackrel{b[r]}{\rightarrow} y'} q \cdot r$$

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compositional and associative

since the PTS functor is a ⊗-functor with

$$\operatorname{sync}_{PTS}(\xi, \psi)(l, \langle x, y \rangle) = \sum_{a,b: l=a \cdot b} \xi(a, x) \cdot \psi(b, y)$$

In Kleisli category...

trace semantics is the final coalgebra semantics!

[Hasuo, Jacobs, Sokolova - CMCS'06]

In Kleisli category....

traces for TF-coalgebras

- monad T branching type
- functor F transition type

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- monad T branching type
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works for LTS and PTS (with termination)

now: $\mathcal{K}\ell(T)$ is a \otimes -category, if T is a \otimes -monad.

hence: compositionality of trace semantics!

 $tensor \otimes \longrightarrow signature \Sigma$

associativity \implies a set of equations E

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tensor \otimes \longrightarrow \operatorname{signature} \Sigma
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 \otimes -category \Longrightarrow (Σ, E) -category

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- associativity \implies a set of equations E
- \otimes -category \Longrightarrow (Σ, E) -category
- \otimes -object \Longrightarrow (Σ, E) -object
- \otimes -microcosm model \Longrightarrow (Σ, E) -microcosm model

```
tensor \otimes\Longrightarrow signature \Sigmaassociativity\Longrightarrow a set of equations E\otimes-category\Longrightarrow (\Sigma, E) -category\otimes-object\Longrightarrow (\Sigma, E) -object
```

 \otimes -microcosm model \Longrightarrow (Σ, E) -microcosm model

 \otimes -functor \Longrightarrow (Σ, E) -functor

$\overline{(\Sigma,E)}$ -categories

signature Σ , endofunctor $\Sigma = \coprod_{f \in \Sigma} (\underline{\hspace{0.1cm}})^{|f|}$

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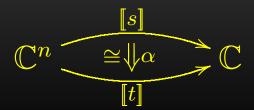
 Σ -algebra: $\Sigma A \to A$, for $f \in \Sigma$, $[\![f]\!]: A^{|f|} \to A$, also $[\![t]\!]$

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(Σ, E)-cat.: Σ-algebra in Cat s.t. for $(s = t) \in E$ there is a nat. iso. α:



 (Σ, E) -objects

on a (Σ, E) -category $\mathbb C$ consider the endofunctor

$$\widehat{\Sigma} = \coprod_{f \in \Sigma} \llbracket f \rrbracket \circ \Delta_{|f|}$$

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 (Σ, E) -object: $\widehat{\Sigma}$ -algebra in $\mathbb C$ s.t. for $(s = t) \in E$

$$[s](A, \dots, A) \xrightarrow{\alpha} [t](A, \dots, A)$$

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$$[s] \xrightarrow{A} [t]$$

microcosm model of (Σ, E) : (Σ, E) -object in a (Σ, E) -category

$\overline{(\Sigma,E)}$ -functor

is $F: \mathbb{C} \to \mathbb{D}$ that forms lax coalgebra homomorphism φ :

$$\coprod_{f \in \Sigma} \mathbb{C}^{|f|} \xrightarrow{\coprod_{f \in \Sigma} F^{|f|}} \xrightarrow{\psi} \underset{f}{\coprod_{f \in \Sigma}} \mathbb{D}^{|f|}$$

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$$\mathbb{C} \xrightarrow{F} \qquad \qquad \mathbb{D}$$

i.e. a family of natural transformations, for $f \in \Sigma$

$$\varphi^f \colon \llbracket f \rrbracket F^{|f|} \Rightarrow F \llbracket f \rrbracket$$

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that commutes with the equations for $(s = t) \in E$, α ,

$$F\alpha \circ \varphi^s = \varphi^t \circ \alpha$$

Generalized results

Generalized results

given a (Σ, E) -category $\mathbb C$ and a (Σ, E) -functor F

the category of F-coalgebras is a (Σ, E) -category

with

$$\llbracket f
rbracket (ec{c_i}) \ \stackrel{\mathsf{def}}{=} \ arphi^f \circ \llbracket f
rbracket_{\mathbb{C}} (ec{c_i})$$

Generalized results

```
assume final F-coalgebra \zeta: Z \stackrel{\cong}{\to} FZ exists
```

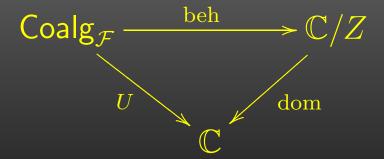
```
by finality \llbracket f \rrbracket_{\zeta} : \llbracket f \rrbracket(Z, \ldots, Z) \to Z
```

equations: ζ is a microcosm model in $Coalg_{\mathcal{F}}$

compositionality: beh $(\llbracket f \rrbracket(\vec{c_i})) = \llbracket f \rrbracket_{\zeta} \circ \llbracket f \rrbracket_{\mathbb{C}}(\overrightarrow{\mathrm{beh}}(c_i))$

Generalized results

All together we have a commuting diagram of (Σ, E) -functors between the (Σ, E) -categories



all with identity natural transformations

Generalized results

For compositionality of trace semantics:

If T is a (Σ, E) -monad, then $\mathcal{K}\ell(T)$ is a (Σ, E) -category

 $(\Sigma, E) \implies \text{Lawvere theory } \mathbb{L}$

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a small FP-category $\ensuremath{\mathbb{L}}$ with an FP-functor

 $H:\mathbf{Nat}^{\mathrm{op}}{
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 $(\Sigma, E) \implies \text{Lawvere theory } \mathbb{L}$

a small FP-category L with an FP-functor

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 \mathbb{L} -category: a (pseudo) functor $\mathbb{L} \xrightarrow{\mathbb{C}} \mathbf{Cat}$

 $(\Sigma, E) \Longrightarrow \mathsf{Lawvere} \mathsf{theory} \, \mathbb{L}$

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L-object: a lax natural transformation

$$\mathbb{L}$$
 $\xrightarrow{\mathbb{C}}$ \mathbb{C}

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 \longrightarrow \mathbb{C} \mathbb{C}

All results hold - even in greater generality!

nested structure: microcosm models

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 - [on different levels of abstraction]

- nested structure: microcosm models[on different levels of abstraction]
- structured base category and compatible functor yields structure on coalgebras

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