

(Qualification Data)

Dear Sirs,

We seek qualification under Clause 8.1.2(a) of Section ITB and Item No. 4.0 of Bid Data Sheet and our qualification data in support thereof is enclosed in the following Attachments:

- | | | | |
|-----|------------------------|---|---|
| 1. | Attachment 3A-1 | : | Details Pertaining to Technical Qualification |
| 2. | Attachment 3A-2 | : | Details Pertaining to Financial Qualification |
| 3. | Attachment 3B | : | Details of Financial Capacity Status |
| 4. | Attachment 3C | : | Details of Design, Engineering, manufacturing, Construction, Quality and testing Capabilities |
| 5. | Attachment 3D | : | Details of manufacturing capacities & Plant Loading |
| 6. | Attachment 3E | : | Present order book position |
| 7. | Attachment 3F | : | Past Performance Data |
| 8. | Attachment 3G | : | Data regarding Key Construction Personnel |
| 9. | Attachment 3H | : | Manpower Loading Data |
| 10. | Attachment 3I | : | Original Deed(s) of Joint Undertaking (if applicable) |
| 11. | Attachment 3J | : | Brief write up regarding Project Management Organisation |
| 12. | Attachment 3K | : | Provenness Criteria (if applicable) |
| 13. | Attachment 3L | : | JV agreement (if applicable) |
| 14. | Attachment 3M | : | Declaration regarding insolvency, bankruptcy and Liquidation |
| 15. | Attachment 3N | : | Technology License agreement |

We further understand and agree that any misleading or false information furnished by us may result in summary rejection of our bid.

Note: The Bidder shall enclose relevant documents like copies of authentic purchase order, completion certificates, agreements etc. supporting the details/data provided in Attachments-3A to 3M.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

(Details pertaining to Technical Qualification as per clause 1.1.0 of the Qualifying Requirements, under item No. 4.0 of the Bid Data Sheet)

Bidder's Name and Address :

To

Dear Sirs,

- (a) We declare that we are an Engineering, Procurement and Construction (EPC) organization and we have executed in the last 10 years from the last day of month previous to one in which NIT has been invited, industrial projects on EPC basis (with or without civil works) in the area of Power / Process industry/ Fly Ash brick plant/ Coal handling plant /Ash Handling Plant/ Autoclave Aerated Concrete [AAC] Plant/CCU (Carbon Capture & Utilisation) plant, with a cumulative value of such project(s) ₹183 Crore (One Hundred Eighty Three Crore Only) or more with individual contract value of such project(s) not less than ₹61 Crore (Sixty One Crore only).

AND

- (b) We have valid agreement with technology licensor or with an entity having the right to use the technology. Such technology licensor or entity have successfully Engineered/Designed a CO2 capture plant of minimum 20 TPD capacity and that CO2 Capture Plant should have been commissioned at least six months prior to the date of techno-commercial bid opening with at least one month of successful operation.

In such a case, We have furnished an agreement executed by the Bidder and the agency complying with the requirements mentioned in (b) above as per the format enclosed in the bidding documents at **Attachment-3N**. The agreement is submitted along with the Techno-Commercial bid, failing which the we shall be disqualified and its bid shall be rejected.

A) Details of reference plants to meet the qualification requirements are mentioned below:

Sl. No.	Description	Plant No.1	Plant No.2	Plant No.3
1.	Name of client full Address Telephone No. e-mail address			
2.	Name of Project (Location and Address)			
3.	Name of Package/Contract along with Order/LOA No. & Date			
4.	Order Placed on Agency			
5.1	We are and Engineering, Procurement and Construction (EPC)	YES/NO	YES/NO	YES/NO

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

	organization and the project executed on EPC basis			
5.2	Project executed in the area of Power / Process industry/ Fly Ash brick plant/ Coal handling plant /Ash Handling Plant/ Autoclave Aerated Concrete [AAC] Plant/CCU (Carbon Capture & Utilisation) plant			
5.2	Is the project executed during last ten years reckoned from the last day of month previous to one in which NIT has been invited	Yes / No	Yes / No	Yes / No
5.3	Contract value of such project(s) in Equivalent INR : Executed value of project: (in case of foreign currency refer sl. No. f of clause 1.1.0 of QR)			
5.4	Date of execution of the project			
6.0	Whether certificate from the owner of the plant is furnished by the Bidder for meeting the successful operation criterion	Yes / No	Yes / No	Yes / No
11.0	We have enclosed supporting documents such as PO Copy, Client Certificate etc. in support of the above at Annexure-.....			

B) Technology License Agreement:

Further, we confirm that We have valid agreement with technology licensor or with an entity having the right to use the technology. Such technology licensor or entity have successfully Engineered/Designed a CO2 capture plant of minimum 20 TPD capacity and that CO2 Capture Plant should have been commissioned at least six months prior to the date of techno-commercial bid opening with at least one month of successful operation. The details are as follows:

1.0	Name and Address of Technology Provider	
2.0	Whether, technology licensor or entity have successfully Engineered/Designed a CO2 capture plant.	Yes / No

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
---	---

	Documentary evidence in support of above is enclosed at Annexure- ...	
3	Capacity of CO2 capture plant (in TPD)	
4	Date of commissioning	
5	Period of Successful operation (in months)	
6	We have furnished an agreement executed by the Bidder and the agency (technology licensor) as per the format enclosed in the bidding documents at Attachment-3N. The agreement should be submitted along with the Techno-Commercial bid, failing which the Bidder shall be disqualified and its bid shall be rejected.	Copy enclosed at

Continuation sheets of like size and format may be used and annexed to this Attachment if required.

We further confirm that notwithstanding anything stated above, employer reserves the right to undertake a physical assessment of the capacity and capabilities including financial capacity and capability of the Bidder to perform the Contract, should the circumstances warrant such assessment in the overall interest of the employer.

Note:

1. We have submitted certificate of successful completion and operation, as per QR Clause 1.1.0, from the owner of the plant or any other intermediary.
2. The reference plants whose details have been declared in the bid shall only be considered to ascertain the bidder's compliance to Qualifying Requirement (QR).
3. The employer at its discretion may seek clarification and / or documentary evidence only for the reference plants / installations as mentioned above. However, no change or substitution of the reference plants by new / additional plant for conforming to the specified Qualifying Requirement shall be sought, offered or permitted.
4. Bidder to ensure that certificate issued by the owner/operator covers / includes all parameters of QR.
5. As specified in the BDS 4.0, we have submitted Appendix-I to Attachment-3A1/ Appendix-II to Attachment-3A1 for Authentication of veracity of documents submitted.
6. Technology License agreement/ Agreement for Right to Use of Technology shall be valid at least three years from the date of Techno-commercial bid opening or till the end of defect liability period of the contract or the completion of O&M period, whichever is later.
7. The specified value of executed work is excluding any taxes and duties.
8. The word "executed" in Clause 1.1.0(a) means the Bidder should have commissioned the plant(s) within the specified period even if the contract has been started earlier and/ or is not closed.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	--

9. In case a bidder submits two or more bids with separate Technology Providers, all such bids shall be rejected. A Technology Provider can tie-up with multiple bidders.
10. The Bidder shall also be considered qualified in case the award for executing the reference works has been received by the Bidder either directly from the owner of the plant or any other intermediary. However, a certificate from the owner of the plant shall be furnished by the Bidder along with the Techno- Commercial bid for the successful operation criterion as specified at clause 1.1.0 (a).
11. If the Value of the reference works indicated in clause 1.1.0 (a) is in foreign currency, SBI Bill Selling exchange rate as on the date of award of the reference work shall be considered. In case the exchange rate as on the date of award is not available, the exchange rate as on the next available day shall be considered.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

Undertaking from Independent Statutory Auditor

(On letter head digitally signed by a person duly authorized to Sign on behalf of the Statutory Auditor)

Bid Ref. No:

DATE:

To,
NTPC Ltd.
.....

Subject: Authentication of veracity of documents submitted by M/s in support of meeting the Qualifying Requirements

Ref: IFB/Tender no.
Name of the Package/ Tender:

Dear Sir,

M/s. (hereinafter called Bidder) having Registered office at..... intend to participate in above referred tender of NTPC Ltd.

We, M/s has been appointed as Statutory Auditor for the Bidder i.e.M/s (Relevant documents on our appointment attached)

The tender condition stipulates that the bidder shall submit supporting Documents pertaining to Technical Qualifying Requirement duly verified and certified by Statutory Auditor.

In this regard, it is hereby confirmed that we have examined the following documents, which are also attached with this letter. The same has been verified from the Original Documents and/ or Client for authenticity.

We hereby confirm that the following documents are found to be genuine and authentic.

1. Doc ref. no. dated (name of Documents)
2. Doc ref. no. dated (name of Documents)
3.

All the aforesaid documents have been duly **digitally signed** by us as a certificate of authenticity.

*Further, we have examined the books of accounts, records, and other relevant documents, along with other necessary information and explanations furnished by M/s. (bidder) and hereby certify following:

.....

This certificate is issued at the request of M/s (Bidder) for the purpose of participating in tender/s.

Thanking you,

* *Strike off, whichever is not applicable.*

.....

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

Undertaking from Third Party Inspection Agency

(on letter head digitally signed by a person duly authorized to Sign on behalf of the TPIA)

Ref.: _____ Date: _____

To,
NTPC Ltd.

.....Dear Sir,

Subject: Authentication of veracity of documents submitted by M/s insupport of meeting the Qualifying Requirements

Ref: IFB/Tender no.

Name of the Package/ Tender:

M/s. (hereinafter called Bidder) having Registered office at
..... intend to participate in above referred tender of NTPC Ltd.

The tender condition stipulates that the bidder shall submit supporting Documentspertaining to Qualifying Requirement duly verified and certified by a specified independent Third Party Inspection Agency as per the list mentioned in the biddingdocuments.

In this regard, it is hereby confirmed that we have examined the following documents,which are also attached with this letter. The same has been verified from the OriginalDocuments and / or Client for authenticity.

We hereby confirm that the following documents are found to be genuine and authentic.

1. Doc ref. no. dated (name of Documents)
2. Doc ref. no. dated (name of Documents)
3.

All the aforesaid documents has been digitally signed by us as a certificate of authenticity.

We further confirm that we neither have any vested interest in aforesaid tender norhave any conflict of interest in respect of above tender.

This certificate is issued at the request of M/s (Bidder) for the purpose ofparticipating in the subject tender/s.

Thanking you,

* *Strike off*, whichever is not applicable.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

DECLARATION REGARDING CAPACITY & CAPABILITY OF
ASSOCIATE/ COLLABORATOR
(To be submitted by Bidder along with the Bid)

Bidder's Name and Address : _____ To _____
.....

Dear Sirs,

~~1.0 We M/s (Name of the Bidder) has submitted our Bid along with M/s
..... our Associate / Collaborator for
..... (Name of the package) as per the provisions of
the Bidding Documents.~~

~~2.0 We hereby confirm that we have carried out a comprehensive assessment of the capacity and
capability of M/s (Name of Associate/Collaborator), our
Associate/Collaborator for (Name of the Package) and confirm that
they have sufficient capacity and capability to execute the scope of work as per the provisions of
the Bidding Documents/Contract.~~

Not applicable

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

**(Details pertaining to Financial Criteria of Qualification Requirement
as per Item No. 4.0 of Bid Data Sheet)**

Bidder's Name and Address :

To

.....

Dear Sirs,

A) To satisfy the requirements specified in Item No. 4.0 of Bid Data Sheet, Section-III, we give below the following details:

- a) In terms of Item No. 4.0 of Bid Data Sheet , we confirm that our average annual turnover in the preceding three financial years as on date of Techno-commercial bid opening is not less than **INR 122 Crores**). In support of above, we are enclosing Audited Financial Statements.

Sl.No	Financial Year	Amount in Rs.
1.	FY 24-25	
2.	FY23-24	
3.	FY22-23	
4.	Average Annual Turnover for the preceding three (3) Financial Years as on date of Techno-Commercial Bid Opening.	
5.	We have enclosed Audited financial statements for the last 3 financial years at	Annexure to this Attachment
6.	<p>Since we are not able to furnish our audited financial statements, on stand-alone entity basis, we are submitting the following documents for substantiation of our Qualification:</p> <p>(a) Copies of unaudited unconsolidated financial statements of the bidder along with copies of the audited consolidated financial statements of our Holding Company for the last 3 years enclosed at Annexure..... to this Attachment.</p>	

BID DOCUMENT NO.
CS-3100-702-9

Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at
NTPC Ramagundam

	(b) Certificate from the CEO/CFO of the Holding company stating that the unaudited unconsolidated financial statements form part of the consolidated Annual Report of the Holding Company, is enclosed as per the format at Appendix-A to this Attachment.	Enclosed at Annexure
7.	<p>Audited results for the last financial year as on the date of Techno-Commercial bid opening enclosed</p> <p>In case not enclosed, financial results certified by a practicing chartered accountant enclosed</p> <p>In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for FY 21-22 also enclosed.</p> <p>Further, a Certificate from the CEO/CFO of the Bidder stating that the “Financial results of company are under audit as on the date of Techno-Commercial Bid Opening and the certificate from the practicing chartered accountant certifying the financial parameters is not available”, is enclosed Annexure as per the format at Appendix-C to this Attachment.</p>	<p>YES*/NO*</p> <p>YES*/NO*</p> <p>Enclosed at Annexure</p> <p>YES*/NO*</p> <p>Enclosed at Annexure</p>

b) Since we do not satisfy the Financial Criteria stipulated at Clause No. 2.1 of Item 4.0 of Bid Data Sheet, Section-III, on our Own, we give below the following details of our **Holding Company**:

1. Name and Address of the Holding Company:

2. Turnover of the Holding Company with following details:

Sl.No	Financial Year	Amount in INR
a)	FY 24-25	
b)	FY23-24	
c)	FY22-23	
d)	Average Annual Turnover for the preceding three (3) Financial Years (FY1, FY2 & FY3) as on date of Techno-Commercial Bid Opening.	
e)	We have enclosed audited Financial Statements for the preceding three (3) Financial years	Annexure to this Attachment

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

Sl. No	Description	As on last day of the preceding financial year
3.	Paid-up Share Capital of the Holding Company
4.	Net Worth of the Holding Company
5.	%age of Net worth to Paid-up Share Capital of the Holding Company
6.	A Letter of Undertaking from the Holding Company, supported by Board Resolution of the Holding Company, pledging unconditional and irrevocable financial support for execution of the contract by the bidder in case of award is enclosed as per the format at Appendix-B to this Attachment . A power of attorney of the person signing on behalf of holding company is also enclosed at Annexure to this Attachment.	
7.	Documentary evidence like Annual Report/Audited financial statements together with relevant schedules for the preceding financial year/ certification of financial statements from a practicing Chartered Accountant etc. in respect of holding company in support of above is enclosed at Annexure..... to this Attachment.	
8.	<p>Audited results for the last financial year as on the date of Techno-Commercial bid opening enclosed</p> <p>In case not enclosed, financial results certified by a practicing chartered accountant enclosed</p> <p>In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for FY 21-22 also enclosed.</p> <p>Further, a Certificate from the CEO/CFO of the Holding Company stating that the "Financial results of company are under audit as on the date of Techno-Commercial Bid Opening and the certificate from the practicing</p>	<p>YES*/NO*</p> <p>YES*/NO*</p> <p>Enclosed at Annexure</p> <p>YES*/NO*</p> <p>Enclosed at Annexure</p>

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	--

Sl. No	Description	As on last day of the preceding financial year
	chartered accountant certifying the financial parameters is not available", is enclosed Annexure as per the format at Appendix-C to this Attachment.	

B) To satisfy the requirements specified in Clause 2.3. of Item No. 4.0 of Bid Data Sheet, Section-III, We give below the following details:

- a) We hereby confirm that net worth of our company as on the last day of the preceding financial year is not less than 100% of its paid-up share capital.

The Details are as under:

Sl. No	Description	As on last day of the preceding financial year
1.	Paid-up Share Capital	
2.	Net Worth	
3.	%age of Net worth to Paid-up Share Capital	
4.	Documentary evidence like Audited financial statements for the preceding financial year in support of above is enclosed at Annexure to this Attachment.	
5.	<p>Since we are not able to furnish our audited financial statements, on stand alone entity basis, we are submitting the following documents for substantiation of our Qualification :</p> <p>(a) Copies of unaudited unconsolidated financial statements of the bidder along with copies of the audited consolidated financial statements of our Holding Company for the last 3 years enclosed at Annexure..... to this Attachment.</p> <p>(b) Certificate from the CEO/CFO of the Holding company stating that the unaudited unconsolidated financial statements form part of the consolidated Annual Report of the Holding Company, is enclosed as per the format at Appendix-A to this Attachment.</p>	Enclosed at Annexure

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	--

6.	<p>Audited results for the last financial year as on the date of Techno-Commercial bid opening enclosed</p> <p>In case not enclosed, financial results certified by a practicing chartered accountant enclosed</p> <p>In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for 4th last FY enclosed.</p> <p>Further, a Certificate from the CEO/CFO of the Bidder stating that the "Financial results of company are under audit as on the date of Techno-Commercial Bid Opening and the certificate from the practicing chartered accountant certifying the financial is not available", is enclosed Annexure as per the format at Appendix-C to this Attachment.</p>	<p>YES*/NO*</p> <p>YES*/NO*</p> <p>Enclosed at Annexure</p> <p>YES*/NO*</p> <p>Enclosed at Annexure</p>
----	--	---

b) Since we do not satisfy the Financial Criteria stipulated at Clause No. 2.3 of Item 4.0 of Bid Data Sheet, Section-III, on our Own, we give below the following details of our **Holding Company and/or our subsidiary(ies) and/or subsidiaries of our Holding Company:**

1. Name and Address of the Group Companies:

- 1.
- 2.
- 3.
-

Sl. No	Description	As on last day of the preceding financial year
2.	<p>Paid-up Share Capital of Group Companies</p> <p>Y1</p> <p>Y2</p> <p>Y3</p> <p>.....</p>	
3.	<p>Net Worth of the Group Companies</p> <p>X1</p> <p>X2</p> <p>X3</p> <p>.....</p>	
4.	<p>%age of Net worth to Paid-up Share Capital of the Group Companies</p> <p>$X1/Y1 \times 100$</p>	

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	--

Sl. No	Description	As on last day of the preceding financial year
	X2/Y2 x100 X3/Y3 x100	
5.	Net worth (Combined) (X1+X2+X3+.....)/ (Y1+Y2+Y3+.....) X 100	
6.	Audited financial statements of Group Companies for the preceding financial year in support of above is enclosed at Annexure to this Attachment. In case not enclosed, financial results certified by a practicing chartered accountant enclosed	YES*/NO* YES*/NO* Enclosed at Annexure
7.	In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for 4 th last FY enclosed. Further, a Certificate from the CEO/CFO of the Company stating that “the Financial results of company are under audit as on the date of Techno-Commercial Bid Opening and the certificate from the practicing chartered accountant certifying the financial is not available”, is enclosed Annexure as per the format at Appendix-C to this Attachment.	YES*/NO* Enclosed at Annexure YES*/NO*

- i) Net worth means the sum total of the paid up share capital and free reserves. Free reserves means all reserves credited out of the profits and share premium account but do not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be reduced from reserves and surplus.
- ii) Other income shall not be considered for arriving at annual turnover.
- iii) “Holding Company” and “Subsidiary” shall have the meaning ascribed to them as per Companies Act of India.
- iv) For Annual Turnover indicated in foreign currency, the exchange rate as on seven (7) days prior to the date of Techno-Commercial bid opening shall be used.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	--

APPENDIX-A TO ATTACHMENT – 3A2

PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE HOLDING
COMPANY IN ACCORDANCE WITH ITEM NO. 4.0 OF BDS

(To be submitted by Bidder along with the Techno-Commercial envelope)

Ref. :

Date :

To

Dear Sirs,

1.0 I, Mr. (CEO of the Company / CFO of the Company)* declare that M/s. (Name of the Holding Company) is the Holding Company of M/s. (Name of the Bidder).

2.0 I hereby confirm and undertake that the unaudited unconsolidated financial statements submitted in respect of the bidder as part of the bid reference no. dated have been considered for the purposes of the finalization of Consolidated Financial Statements of the Holding Company as part of the Annual Reports.

3.0 I further, certify that the figures in the unaudited unconsolidated financial statements are true and correct and same have been duly reflected in the audited consolidated financial statements and / or Annual Report of the Holding Company.

Yours faithfully

(Signature)

Date : (Name & Designation).....

Place : (Name of the Holding Company)

(Seal of Holding Company)

Note : *Strike off whichever is not applicable.

BID DOCUMENT NO.
CS-3100-702-9

Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at
NTPC Ramagundam

**APPENDIX-B
TO ATTACHMENT – 3A2**

PROFORMA OF LETTER OF UNDERTAKING

(TO BE FURNISHED ON NON-JUDICIAL STAMP PAPER OF APPROPRIATE VALUE)

**(To be executed by the Holding Company Supported by
Board Resolution and submitted by the Bidder along with the Techno-Commercial
envelope,**

**Applicable to the Bidder for meeting the stipulated Financial Qualifying
Requirement as per Clause 2.2 of Item No. 4.0 of Bid Data Sheet)**

Ref. :

Date :

To

.....

Dear Sirs,

1.0 We, M/s..... declare that we are the holding company of M/s
..... (Name of the Bidder) and have controlling interest therein.

M/s. (Name of the Bidder) proposes to submit the bid for the package
..... (Name of the package) for (Name of the Project)
under bid reference no. dated and have sought financial
strength and support from us for meeting the stipulated Financial Qualifying Requirement
as per **Clause 2.2** of Item 4.0 of Bid Data Sheet.

2.0 We hereby undertake that we hereby pledge our unconditional & irrevocable financial
support for the execution of the said package to M/s. (Name of the
Bidder), in case they are awarded the Contract for the said package, at the end of the
bidding process. We further agree that this undertaking shall be without prejudice to the
various liabilities that M/s (Name of Bidder) would be required to
undertake in terms of the Contract including the Performance Security as well as other
obligations of the Bidder/Contractor.

3.0 This undertaking is irrevocable and unconditional, and shall remain in force till
the successful execution and performance of the entire contract and/or till it is
discharged by Employer.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

4.0 We are herewith enclosing a copy of the Board Resolution in support of this undertaking.

Yours faithfully

(Signature of Authorised Signatory
on behalf of the Holding Company)

Witness :

(1) (Name & Designation).....
..... (Name of the Holding Company).....
(2) (Seal of the Holding Company).....

Date:

Place:

APPENDIX-C TO ATTACHMENT – 3A2

PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE COMPANY IN ACCORDANCE WITH ITEM NO. 2.4 OF BDS

(To be submitted by Bidder along with the Techno-Commercial envelope)

Ref. :

Date:

To

.....

Dear Sirs,

1.0 I, Mr. (CEO of the Company / CFO of the Company)* confirm and undertake that the Financial results of the company for the preceding financial year to be submitted in respect of the bidder as part of the bid reference no. dated are under audit as on the date of Techno-Commercial Bid Opening for Package for and the certificate from the practicing chartered accountant certifying the financial parameters for the preceding financial year is not available.

Yours faithfully

(Signature)

Date : (Name & Designation).....

Place : (Name of the Company)

(Seal of Company)

Note : *Strike off whichever is not applicable.

BID DOCUMENT NO.
CS-3100-702-9

Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at
NTPC Ramagundam

(Financial Capacity Status of Bidder and/or wherever
applicable, his JV Partner(s))

A) Orders in Hand

i) Total value of Contracts

ii) Value of work
completed
out of above
value upto
previous FY

iii) Value of anticipated
work to be performed
in the following
Financial Years (FY):

Current FY

Next FY1

Next FY2

Next FY3

Next FY4

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

B) Bidder's assessment of maximum negative cash flow (fund requirement) at any point of time between Notification of Award and completion of contract based on specified terms of payment and his expenditure plan for plant & equipment being offered by bidder for this package.

C)	Arrangement to meet the above fund requirement.	Own Funds	Credit	Others	Total
----	---	-----------	--------	--------	-------

D) Gross Turnover of Company

during last three FY:

Year ending – FY 1

Year ending – FY2

Year ending – FY3

E) Balance Sheet and Profit & Loss Account duly certified by a Chartered Accountant for the last 3 years is to be submitted.

Enclosed at Annexure to this Attachment-3B

F) Declaration by Bankers or the Chartered Accountant regarding:

i) Bank Guarantee Limits

Enclosed at:.....

ii) Over Draft Limits/Cash Credit Limits

Enclosed at:.....

iii) Deferred payment limits

Enclosed at:.....

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	--

iv) Fixed Deposits Enclosed at:.....

v) Movable Property
Hypothecation
Enclosed at:

(Please state the present
utilisation status also)

G) Information regarding
any current litigation
in which the Bidder is
involved, the parties
concerned, the
disputes and the
disputed
amount if any. Enclosed at:.....

- Note :** 1. The above Attachment shall be filled-up by the bidder for **himself** and for each **JV Partner**, as applicable, being proposed by the bidder in his bid.
2. Continuation sheets of like size and format, may be used and annexed to this Attachment if required.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

(Details of Design, Engineering, Manufacturing and Testing Capabilities of Bidder and/or wherever applicable, his JV Partner(s))

- 1.0 We hereby confirm that we do not anticipate any change in ownership during the proposed period of execution of work (if such a change is anticipated, the scope and effect thereof shall be defined). The relevant document for same is enclosed at Annexure ----- to the Attachment-3C.

It is clearly understood that the bid is submitted for consideration of award on the strength of the credential / experience of the Bidder and executants of Deeds of Joint Undertakings as specified in the Qualifying Requirements of the subject Tender.

We confirm that in case of any change in our ownership the new / successor entity shall honour all our obligations under the Contract for the subject Package.

Note :

The above shall be filled up and signed separately by the bidder **itself** and each of the executants of the Deeds of Joint Undertakings / JV Agreement.

- 2.0 Furnish adequate detailed write up on:

(i)	Design and Engineering Organization and facilities/capabilities	:	Enclosed at Annexure to Attachment – 3C
(ii)	Manufacturing & Testing Organization and facilities available	:	Enclosed at Annexure to Attachment – 3C
(iii)	Field Organization and resources for erection, testing & commissioning etc.	:	Enclosed at Annexure to Attachment – 3C
(iv)	Quality Assurance, Organization and Capabilities for Construction, Engg., Manufacturing & Field Installation	:	Enclosed at Annexure to Attachment – 3C
(v)	Established Project Management	:	Enclosed at Annexure to Attachment – 3C
(vi)	Details of Manpower / Division of Company	:	Enclosed at Annexure to Attachment – 3C

Note : 1 The above Attachment shall be filled up by the bidder for **himself** and each **JV Partner**, as applicable, being proposed by the bidder in his bid and relevant details shall be furnished.

2. Continuation sheets of same size and format may be used by the Bidder and annexed to this Attachment, if required.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	--

(Details of Manufacturing Capacities/Plant Loading of the Bidder and/or wherever applicable, his JV Partner(s))

We hereby furnish below the details of our installed capacities and work in hand to establish spare capacity for completion of work under this package.

Item	FY..		FY..		FY....		FY...		FY..		FY	
	Bidder's Shop	Sub-Contractor's Shop	Bidder's Shop	Sub-Contractor's Shop	Bidder's Shop	Sub-Contractor's Shop	Bidder's Shop	Sub-Contractor's Shop	Bidder's Shop	Sub-Contractor's Shop	Bidder's Shop	Sub-Contractor's Shop
A) Production Capacity as installed												
B) Firm orders in hand upto.....												
C) Balance Capacity available												
D) Orders Expected												
E) Work Load												

BID DOCUMENT NO.
CS-3100-702-9

Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at
NTPC Ramagundam

Expected for this contract												
F) Shortfall, if any												
G) Alternative arrangements to make up for this short fall												

Note : 1. Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.

2. The above Attachment shall be filled up by the bidder for himself and each JV Partner, as applicable, being proposed by the bidder in his bid and relevant details shall be furnished.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

(Present Order Book Position)

Sl. No.	Client	Order Value	No. of Units & Unit Size	Date of Order	Present Status		% work completed	Completion of Supply		Completion of Erection, Testing & Commissioning		Reason for delay (if any)
					Schedule	Actual / Expected	Engg/ Manufacturing /Erection	Schedule	Actual/ Expected	Schedule	Actual/ Expected	

- Note :**
1. The above attachment shall be filled up by the bidder for himself and for each of the JV Partner, as applicable, being proposed by the bidder in his bid.
 2. Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

(Past Performance Data)

Details of Similar Equipment Commissioned/Supplied in last ten years:

Sl. No.	Client Name & Address	Date of Order	Unit Size & No. of Units	Date of completion of supplies		Date of Completion of Erection, Testing & Commissioning		Order Value	Reason for delay (If applicable)
				Schedule	Actual	Schedule	Actual		

- Note :** 1. The above Attachment shall be filled up by the bidder for **himself** and for each JV Partner ,as applicable, being proposed by the bidder in his bid.
2. Continuation sheets of like size & format may be used if required and annexed to this Attachment.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

(Data regarding key construction personnel)

The qualification and experience of key constructional personnel proposed for administration and execution of the contract at site are as follows:

Sl.No.	Name	Qualification	Position/ Designation	Experience

- Note :**
1. This Attachment shall be filled by the bidder for **himself and for each JV Partner** , as applicable, being proposed by the **bidder** in his bid.
 2. **Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.**

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

(Manpower loading data)

Bidder's Name and Address:

To

We declare that our manpower loading during execution of the contract will be as follows:

No. of Months from the date of Notification of Award	1	2	3	4	5	6	7										End month of work Schedule
Labour (Category)																			

- Note:**
1. The above Attachment shall be filled by the bidder for **himself and for each JV Partner, as applicable, being proposed by the bidder** in his bid.
 3. **Continuation sheets of like size and format may be used as per Bidder's requirement and shall be annexed to this Attachment.**
 4. List of category of labour will be given by the Bidder.

BID DOCUMENT NO.
CS-3100-702-9

Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at
NTPC Ramagundam

DEED OF JOINT UNDERTAKING

Not applicable

(PROJECT MANAGEMENT ORGANISATION)

Dear Sirs,

1.0 In line with Clause No. 8.1.2 (a) (v) of ITB, we furnish below the brief write-up* in support of our established project management organization.

--

--

* Bidder to use their own format for above details.

ATTACHMENT – 3K

Not Applicable

BID DOCUMENT NO.
CS-3100-702-9

Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at
NTPC Ramagundam

JV AGREEMENT

Not applicable

ATTACHMENT –3M (i)

1. Format for declaration by the Domestic Bidder - “Self-Declaration by the Bidder”

I/ We, M/s..... (Name of Bidder) hereby certify that no proceedings for insolvency or liquidation under the Insolvency and Bankruptcy Code, 2016, or any other bankruptcy proceedings under any other applicable laws (in cases where IBC, 2016 is not applicable) have been admitted or is pending against us and/or our Parent/Holding/Subsidiary Company, Associate or Collaborator or Technology Provider.....
.....(Name of Parent/ Holding/ Subsidiary Company, Associate or Collaborator/ Technology provider company) from whom we have taken or intend to take technical and/ or financial support for qualification of bid.

It is understood that if any part of this declaration is found to be false, the Employer shall have the right to unconditionally, immediately and outrightly reject my/our bid and forfeit the Bid Security/ EMD.

Further, in case the bid has already culminated into a Contract, the Employer shall be entitled to terminate the Contract, without prejudice to any other rights or remedies available to the Employer.

(Seal & Signature of Bidder)

Note:

2. This 'Declaration' should be on the letterhead of Bidder
3. The declaration shall be submitted separately as per 3M (ii) by the Parent/Holding/ Subsidiary Company, Associate, Collaborator and Technology provider from whom the Bidder has taken or intends to take technical and/or financial support for qualification of bid.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

ATTACHMENT –3M (ii)

Format of declaration by Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider from whom the Bidder has taken or intends to take technical and/ or financial support for qualification of bid

“Self-declaration by the Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider”

I/ We, M/s _____ (Name of Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider) from whom the Bidder has taken or intends to take technical and/ or financial support for qualification of bid, hereby certify that no proceedings for insolvency or liquidation under the Insolvency and Bankruptcy Code, 2016, or any other bankruptcy proceedings under any other applicable laws (in cases where IBC, 2016 is not applicable) have been admitted or is pending against us.

It is understood that if any part of this declaration is found to be false, the Employer shall have the right to unconditionally, immediately and outrightly reject the bid and forfeit the Bid Security/ EMD.

Further, in case the bid has already culminated into a Contract, the Employer shall be entitled to terminate the Contract, without prejudice to any other rights or remedies available to the Employer.

(Seal & Signature of Parent/Holding/
Subsidiary Company, Associate or
Collaborator, Technology provider)

Note:

1. The ‘Declaration’ shall be on the letterhead of Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

ATTACHMENT –3M (iii)

2. Format for declaration by the Foreign Bidder

“Self-Declaration by the Bidder”

I/ We, M/s _____ (Name of Bidder) hereby certify that no proceedings for insolvency or liquidation or any other bankruptcy proceedings under the applicable laws, have been admitted or is pending against us and/or our Parent/Holding/Subsidiary Company, Associate or Collaborator _____ or _____ Technology Provider _____ (Name of Parent/ Holding/ Associate or Collaborator/ Technology provider company) from whom we have taken or intend to take technical and/ or financial support for qualification of bid.

It is understood that if any part of this declaration is found to be false, the Employer shall have the right to unconditionally, immediately and outrightly reject my/our bid and forfeit the Bid Security/ EMD.

Further, in case the bid has already culminated into a Contract, the Employer shall be entitled to terminate the Contract, without prejudice to any other rights or remedies available to the Employer.

(Seal & Signature of Bidder)

Note:

1. This ‘Declaration’ should be on the letterhead of Bidder.
2. The declaration shall be submitted separately as per 3L(iv) by the Parent/ Holding/ Subsidiary Company, Associate, Collaborator and Technology provider from whom the Bidder has taken or intend to take technical and/ or financial support for qualification of bid.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

ATTACHMENT – 3M (iv)

Format of declaration by Foreign Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider from whom the Bidder has taken or intend to take technical and/ or financial support for qualification of bid

“Self-declaration by the Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider”

I/ We, M/s _____ (Name of Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider) from whom the Bidder has taken or intend to take technical and/ or financial support for qualification of bid, hereby certify that no proceedings for insolvency or liquidation or any other bankruptcy proceedings under the applicable laws, have been admitted or is pending against us.

It is understood that if any part of this declaration is found to be false, the Employer shall have the right to unconditionally, immediately and outrightly reject the bid and forfeit the Bid Security/ EMD.

Further, in case the bid has already culminated into a Contract, the Employer shall be entitled to terminate the Contract, without prejudice to any other rights or remedies available to the Employer.

(Seal & Signature of Parent/Holding/
Subsidiary Company, Associate or
Collaborator, Technology provider)

Note:

1. The ‘Declaration’ shall be on the letterhead of Parent/Holding/Subsidiary Company, Associate or Collaborator/ Technology Provider

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

**Technology License agreement/ Agreement for Right to Use of Technology
(This is the sample format, Bidder shall be submit the agreement in
compliance with the QR)**

(As per clause 1.1.1 of QR stipulated at Item 4.0 of Bid Data Sheets of Bidding Document)

To
NTPC Limited

WHEREAS, M/s NTPC Limited (hereinafter called the Employer) invited Bids for Design & Set Up of 'Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per day capacity' at NTPC Ramagundam vide its Bidding Document No: CS-3100-702-9 (hereinafter called as 'Invitation for Bid')

WHEREAS M/s (Bidder/Contractor) is submitting its proposal in response to the Invitation for Bid.

AND WHEREAS vide clause 1.1.1 of QR mentioned under Item 4.0 of Bid Data Sheets of bidding documents, it has been specified that the Bidder, who meets the requirements at Clause 1.1.0(a) of Item 4.0 of Bid Data Sheets of bidding documents shall also has a valid agreement with technology licenser with an agency who meets the requirements of Clause 1.1.0(b) of Item 4.0 of Bid Data Sheets of bidding documents (hereinafter referred to as 'Technology Provider') in such case, the Bidder should submit an agreement executed by the Bidder and the agency complying with the requirements mentioned in 1.1.0(b) of QR.

NOW THEREFORE, THIS UNDERTAKING WITNESSETH AS UNDER:

That in consideration of the Award of the Contract by the Employer to the Bidder/Contractor, we the Bidder/Contractor and the Technology Provider, do hereby declare and undertake the following:

1. We the Bidder/Contractor and Technology Provider shall be fully responsible to the Employer for the scope related to Technology Provider including execution and successful performance of the technology i.e. **"CO2 capture plant"** and all plant and equipments supplied by or through the Technology Provider or supplied incorporating the said technology for the complete package **"Design & Set Up of 'Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per day capacity' at NTPC Ramagundam"** (hereinafter called as 'the package'). The scope of technology provider is enclosed as **Annexure-I#** to this letter of undertaking.
2. The Technology Provider shall depute their technical experts from time to time to the Contractor's works / Employer's project site to facilitate the successful performance of the scope related to Technology Provider as stipulated in the aforesaid Contract and if necessary, the Technology Provider shall advise the Contractor for suitable modifications of design and implement necessary corrective measures to discharge the obligations under the scope related to technology provider.

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

3. Implementation of the corrected design and all other necessary repairs, replacements, rectification or modifications to the scope related to Technology Provider and fulfilment of all other contractual obligations as provided under the scope related to technology provider shall be the responsibility of the Contractor/Technology Provider as the case may be.
4. We, the Contractor, and the Technology Provider do hereby undertake and confirm that this Technology License agreement shall be valid at least three years from the date of Techno-commercial bid opening or till the end of defect liability period of the contract or the completion of O&M period, whichever is later.
In case of delay in completion of defect liability period/ O&M period, the validity of this Agreement shall be extended by such period of delay. We further agree that this Agreement shall be without any prejudice to the various liabilities of the Contractor including Contract Performance Security as well as other obligations of the Contractor in terms of the Contract.
5. We, the Contractor, and the Technology Provider will be fully responsible for the quality of all equipment/main assemblies/components under the scope related to Technology Provider manufactured at our works or at our vendor's works or constructed at site, and their repairs or replacement if necessary for incorporation in the relevant system(s) of the package mentioned above, awarded to the Bidder/Contractor and timely delivery thereof to meet the completion schedule under the contract.
6. Any dispute that may arise in connection with this Undertaking shall be settled as per "Settlement of Disputes" rules mentioned in the Contract Documents for package mentioned above awarded to the Bidder/Contractor. This Undertaking shall be construed and interpreted in accordance with the Laws of India and the Courts of Delhi shall have exclusive jurisdiction.
7. We, the Technology Provider, and the Contractor agree that this Undertaking shall form an integral part of the Contract. We further agree that this Undertaking shall continue to be enforceable till its validity.
8. That this Undertaking shall be operative from the date of issuance of Notification of Award to the bidder/contractor.

IN WITNESS WHEREOF, the Technology Provider and the Contractor through their authorized representatives, have executed these presents and affixed common seal of their respective companies, on the day, month and year first mentioned above.

For (Contractor) M/s	Witness:
(Signature of the Authorized Representative)	1
Name:	Signature Name & Address
Designation:	
Common Seal of the Company	

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---

For Technology Provider M/s	Witness:
(Signature of the Authorized Representative)	1
	Signature Name & Address
Name:	
Designation:	
Common Seal of the Company	

#-Scope of Technology Provider to be enclosed at Annexure-I to this Letter of Undertaking and to be jointly signed by the bidder and the Technology Provider

Important Instructions:

- (1) Power of Attorney duly notarised of the Persons signing on behalf of Technology Provider and Bidder is to be furnished by the bidder along with Letter of Undertaking
- (2) Each page of Letter of Undertaking should be signed & stamped by Bidder & Technology Provider.

Annexure-I : Scope of Technology Provider to be enclosed

BID DOCUMENT NO. CS-3100-702-9	Carbonated Fly Ash Brick (C-Brick) Plant of 2 Lakh per Day Capacity at NTPC Ramagundam
-----------------------------------	---