

In DFS, back edge is an edge that goes from a node to its ancestor in DFS tree form a cycle in the graph  
 (write whatever isn't in the DFS spanning tree)

$a \rightarrow d$ ,  $a \rightarrow e$ ,  $b \rightarrow f$ ,  $e \rightarrow g$

To check if these are back edge

→ Do DFS

→ Keep track of the DFS tree

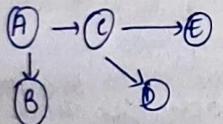
→ for every edge in the ~~original~~ original graph → check if it is not a tree edge if it connects the current node to an ancestor already visited & still active

→ should be a DAG

### Topological sorting

↳ Two methods

- i) Kahn's alg. (BFS + in-degree counting)
- ii) DFS (Source removal alg.)



Step 1) Find in-degrees \*{ write step by step → in deg. come graphs. }

Nodes	In-degree
A	0
B	1 (from A)
C	1 (from A)
D	1 (from C)
E	1 (from C)

Step 2)  
 Add all the nodes with in-degree value 0 to a queue

$$\text{Queue} = [A]$$

Step 3)

while queue is not empty

i) remove the node from queue

ii) add it to the result

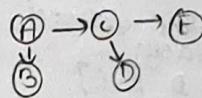
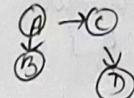
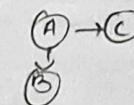
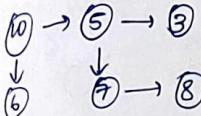
iii) reduce the in-degree of the neighbours

iv) if in-degree of neighbour is 0, add it to queue

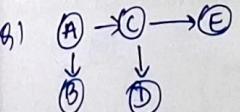
pop  
 B → in degree becomes 0 → add it to queue  
 C → in degree = 0 → add to queue  
 B = [B, C]  
 B → result: [A, B]  
 pop  
 no outgoing edge from B  
 B = [C]

pop → result: [A, B, C]  
 D → in degree = 0 Add to Q  
 E → = 0 Add to Q  
 B = [D, E]

pop D → result: [A, B, C]  
 no outgoing edge from D  
 pop E → result: [A, B, C, D]  
 no outgoing edge from E  
 result: [A, B, C, D, E]



ii) DFS method  
 Step 1) Perform DFS from each unvisited node  
 Step 2) After visiting all descendants of a node, add the node to a stack.  
 Step 3) At the end reverse the stack to get the topological order.



BDECA

Edges: A → B, A → C, C → D, C → E

→ visit A

make A as visited  
 explore A's neighbours → B, C

→ visit B (from A)

make B as visited  
 explore B's neighbours → C  
 stack: [B]

Finally, DFS spanning tree edges  
 (a, b) (b, d) (d, f) (a, c) (c, g) (g, e)

Stack trace (push order & pop order)

push order:  $a \rightarrow b \rightarrow c \rightarrow d \rightarrow e \rightarrow f \rightarrow d \rightarrow f \rightarrow g \rightarrow e$   
 pop order:  $a \rightarrow b \rightarrow d \rightarrow f \rightarrow f$  (skip)  $\rightarrow c \rightarrow g \rightarrow e \rightarrow e$  (skip)  
 order of traversal:  $a \rightarrow b \rightarrow d \rightarrow f \rightarrow c \rightarrow g \rightarrow e$

Back edges connect to already visited ancestor but immediate parents of the node shouldn't be considered

Identified back edges

From the traversal  
 o  $(d, a) \rightarrow$  Back edge c (a is already visited & a is not d's parent)  
 o  $(b, f) \rightarrow$  Back edge f is visited via d)  
 o  $(e, a) \rightarrow$  Back edge

$O(V+E) \rightarrow$  time complexity  
 not possible to tell which is faster (same time complexity)

Directed acyclic graph  $\xrightarrow{\text{DAG}}$  topological sorting  
 A linear ordering of vertices such that for every directed edge  $(u \rightarrow v)$ , u appears before v

Algorithm  
 → Inputs → directed graph represented by an adjacency list  
 → a starting node  
 → a visited set to keep track of the visited node  
 → stack (to store the sorted nodes)

Steps  
 1) mark the current node as visited & add the node to the visited set  
 2) visit all the unvisited neighbours of the current node  
 → for each neighbour in the graph, if the neighbour is not visited, do the topological sorting  
 3) push after visiting all the unvisited node, append the node to the stack.

1) Start  
 neighbour = [b, c, d, e]  $\rightarrow$  pick b

2) From b  
 $N = [a, d, f] \rightarrow$  pick d

3) From d  
 $N = [a, b, f] \rightarrow$  pick f

4) From f  
 $N = [b, d] \rightarrow$  backtrace

5) Backtrace to d  $\rightarrow$  no more (unvisited neighbours)  
 6) Backtrace to b  $\rightarrow$  next neighbour is f  $\rightarrow$  backtrace to a

7) From a,

8)  $N = [c] \rightarrow$  pick c

9) ~~pick g~~ 8) From g  
 $N = [c, e] \rightarrow$  pick e

10) From e  
 $N = [a, g] \rightarrow$  Backtrace g, c, then a

For DFS spanning tree construction

include only the edges used to reach the node the first time  
 ignore the edges used during backtracking (cycles)

start at a  
 visit b  $\rightarrow$  edge a  $\rightarrow$  b

From b,  
 visit d  $\rightarrow$  edge b  $\rightarrow$  d

From d  
 visit f  $\rightarrow$  edge d  $\rightarrow$  f

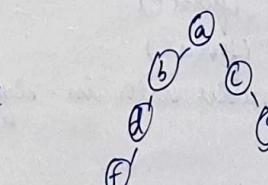
backtrace to a  
 visit c  $\rightarrow$  edge a  $\rightarrow$  c

From c,  
 visit g  $\rightarrow$  edge c  $\rightarrow$  g

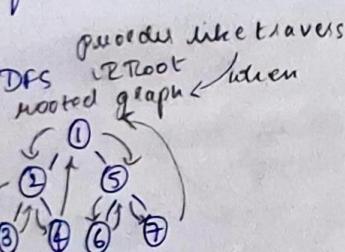
From g  
 visit e  $\rightarrow$  edge g  $\rightarrow$  e

: DFS spanning tree edges

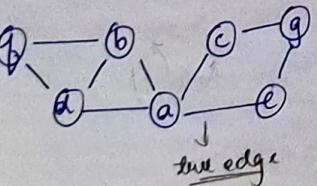
a  $\rightarrow$  b      d  $\rightarrow$  f  
 a  $\rightarrow$  c      c  $\rightarrow$  g  
 b  $\rightarrow$  d      g  $\rightarrow$  e



push order (visit)  $\rightarrow$  visited node  
 a  $\rightarrow$  b  $\rightarrow$  d  $\rightarrow$  f  $\rightarrow$  c  $\rightarrow$  g  $\rightarrow$  e  $\rightarrow$  a  
 pop order  
 f  $\rightarrow$  d  $\rightarrow$  b  $\rightarrow$  e  $\rightarrow$  g  $\rightarrow$  c  $\rightarrow$  a

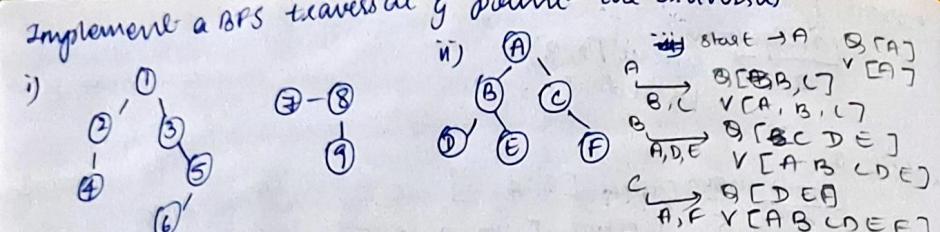
DFS is a graph traversal  
 go deep into the path until you can't go any further  
 (then go for next path)  
 → to check if graph has connectivity through the path  
 connected graph: A graph is said so if there is a path b/w  
 any two vertices  
 is connected graph: At least one pair of vertex has no path  
 b/w them  
 strongly connected graph: A directed graph is strongly connected  
 if there is a path from A to B b/w not vice-versa  
  

  
process like traversal  
rooted graph  
tree  
stack → LIFO

- Q) consider the graph given in the figure  
 i) Starting at vertex A, resolve tie ties by vertex (alphabetical order) traverse the graph by DFS.  
 → construct a DFS spanning tree  
 → show the traces of traversal stack for DFS & specify the order in which the vertices were pushed onto & popped b/w the stack → find the tree edges  
 → identify back edges if any  
 → "A DFS forest will have same no. of tree edges & back edges" → verify if true | false, justify your answer



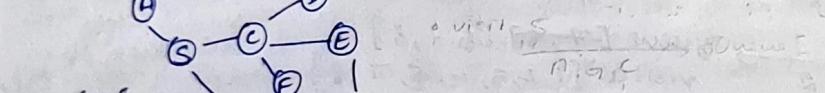
Adjacency list  
 a: b, c, d, e  
 b: a, d, f  
 c: a, g  
 d: a, b, f  
 e: a, g  
 f: b, d  
 g: c, e

- start from vertex A  
 visited: []  
 stack: []  
 DFS tree edges: []  
 DFS or duq traversal: []
- Iteration 1:  
 stack: [a]  
 v{a}  
 DFS order: [a]  
 neighbours of a: b, c, d, e &  
 (in alphabetical order)  
 push to stack: e, d, c, b (reverse  
 order (LIFO))
- Iteration 2:  
 stack: [e, d, c, b] pop last elem  
 pop: b (visited)  
 visited: {a, b}  
 DFS order: [a, b]  
 tree edge [ab]  
 neighbours of b: a, d, f  
 push to stack: d, f, e
- Iteration 3: b already popped  
 stack: [e, d, c, f, d]  
 pop: d (visit)  
 visited: {a, b, d}  
 DFS order: [a, b, d]  
 tree edge [b, d]  
 neighbours of d: a, b, f  
 push to stack: f
- Iteration 4:  
 stack: [e, d, c, f, f]  
 pop: f (visit)  
 visited: {a, b, d, f}
- Iteration 5  
 → Stack: [e, d, c, b] → all visited  
 → pop f: already visited, ignore
- Iteration 6  
 → Stack: [e, d, c]  
 → pop c (visit)  
 visited: {a, b, d, f, c}  
 DFS order: [a, b, d, f, c]  
 tree edge [ac]  
 neighbours of c: a, g  
 push to stack: g
- Iteration 7  
 stack: [e, d, g]  
 pop g (visit)  
 visited: {a, b, d, f, c, g}  
 DFS order: [a, b, d, f, c, g]  
 tree edge [eg]  
 neighbours: c, e  
 push to stack: e
- Iteration 8  
 stack: [e, d, g, e]  
 pop e (visit)  
 visited: {a, b, d, f, c, g, e}  
 DFS order: [a, b, d, f, c, g, e]  
 tree edge [rg, e]  
 neighbours: a, g → both  
 visited
- Iteration 9  
 stack: [e, d, g] → all visited  
 so ignore

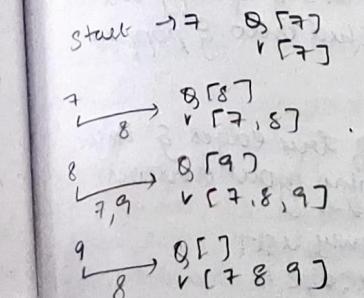
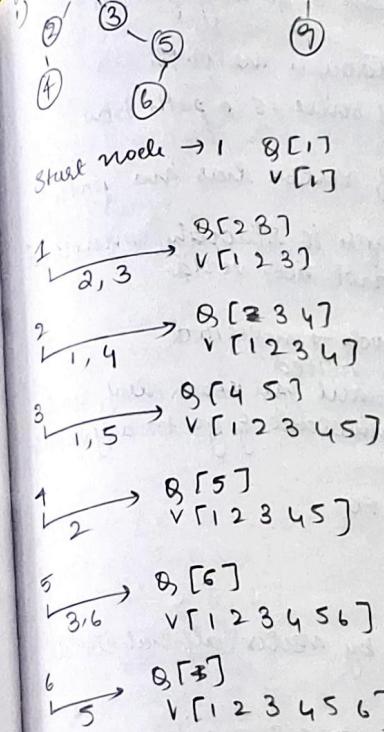


Implement  
i) print the BFS traversal order  
ii) construct the BFS spanning tree

iii) start node, F  
iv) Max node, F  
v) order: AB(CDEF)



consider S  
as start  
node  
SGCFHEDAB?  
SAGLBDFHED



be two vertices  $a$  &  $b$  & there exists an edge between them,  
 undirected  $\rightarrow a$  is connected to  $b$  & vice versa  
 two way connection

unidirectional relations: One-way relationship  $\&$  is  
 presented by a directed graph  $G$  that consists of a set  
 nodes  $V$  & set of directed edges  $E$

$u, v \in E$  is an ordered pair  $(u, v)$  where  
 positions of  $u$  &  $v$  are not interchangeable

$u \rightarrow$  tail of edge,  $v \rightarrow$  head of edge

edge  $e$  leaves node  $u$  & enters node  $v$

transportation, communication, information, social &  
 dependency network

iteration: traversing a sequence of nodes connected by  
 edges.

path: in an undirected graph  $G = (V, E)$  is a sequence of  
 nodes  $v_1, v_2, \dots, v_k$

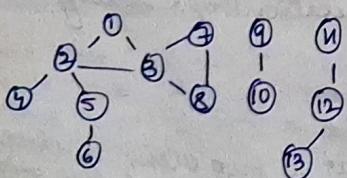
Properties:

- each consecutive node is joined by an edge
- path is simple if all vertices are distinct from one another

cycle: sequence of nodes cycle back to where it began

A cycle in a graph is defined as a path  $v_1$  to  $v_k$  in which  
 the sequence of nodes cycles back to where it began

A path in an undirected graph  $G(V, E)$  is a sequence of nodes  
 defined by the symbol  $\Rightarrow$



multiple paths, cycles, disconnected components  $\rightarrow$  graph (complex & undirected)

Suppose start node = 1  $\rightarrow$  Queue [1]

Visited list {1}

visit 1

neighbours  
 2, 3

Queue [2, 3]

visited {1, 2, 3}

take traversal order from  
 queue already

1 2 3 4 5 7 8 6 +

neighbours 4 10 + 11 12 13

do not include the node you're  
 visiting

1 2 3 4 5 7 8 +

neighbours 3, 4, 5

Queue [3, 4, 5]

visited {1, 2, 3, 4, 5}

neighbours 4, 5, 7, 8

Queue [4, 5, 7, 8]

visited {1, 2, 3, 4, 5, 7, 8}

neighbours 5, 7, 8

Queue [5, 7, 8]

visited {1, 2, 3, 4, 5, 7, 8} complete 2's children

only then go to 3's children

neighbours 6, 7, 8, 9

Queue [6, 7, 8, 9]

visited {1, 2, 3, 4, 5, 6, 7, 8}

neighbours 7, 8

Queue [7, 8]

visited {1, 2, 3, 4, 5, 6, 7, 8}

neighbours 8

Queue [8]

visited {1, 2, 3, 4, 5, 6, 7, 8}

neighbours 9

Queue [9]

visited {1, 2, 3, 4, 5, 6, 7, 8}

start node = 9  $\rightarrow$  Queue [9]

visited list {9}

start node = 11  $\rightarrow$  Queue [11]

visited list {11, 12}

neighbours 12

Queue [12]

visited {11, 12}

neighbours 13

Queue [13]

visited {11, 12}

case 1: Divide dominates  
 If  $a$  is greater than  $\log_b a$  ( $d > \log_b a$ ) then we can conclude time complexity  $T(n)$  will be of the order of  $O(n^d)$   $\rightarrow$  somewhere = worst of divide & cost

case 2: Equal growth  
 If  $d = \log_b a$  then  $T(n) = O(n^d \log n)$

case 3: Conquer dominates  
 If  $d < \log_b a$  then  $T(n) = O(n \log_b a)$

Q) Solve the following recurrence relations using masters theorem

$$i) T(n) = 4T\left(\frac{n}{2}\right) + n$$

Q) Apply mergesort alg. to the following elem.

$$\rightarrow \{5, 2, 4, 6, 1, 3, 2, 6\}$$

$$ii) T(n) = 2T\left(\frac{n}{3}\right) + n_2 \rightarrow \{20, 10, 5, 15, 25, 30, 50, 35\}$$

Analyzing the merge sort alg. using recurrence

$$T(n) \leq 2T\left(\frac{n}{2}\right) + cn \quad \text{where } n > 2 \text{ & } T(2) \leq c$$

$T(n) \rightarrow$  worst case RT  $n = I/P$  size

I/P is reduced to size 2, recursion stops.  $O(n \log n)$   
 sort the elems by comparing them to each other  $O(n^2)$   
 Worst case analysis  $\rightarrow O(n \log n)$

Best case: Array is sorted & merges them back, even if merging is trivial  
 Worst case: Array is completely reverse order  
 Average case: Considers all possible ways the I/P elements can be arranged

Base case: limiting cond. where soln. occurs

$O(\log n) \rightarrow$  when problem gets divided into 2, at logarithmic rate  
 $O(n \log n) \rightarrow$  comparisons

Q) i) pivot: pivot is the elem around which the array is divided  
 ii) index points: the pointer keeps track of the boundary where elements smaller than the pivot should be placed.  
 iii) waller pointer: pointer scans the array to identify elems smaller than or equal to the pivot, which are then swapped with elems at the index pointers  
 when the top reaches pivot, array divided at that pt. g process repeats

Ans:  
 i) choose a pivot  
 ii) rearrange the array so that the elems smaller than the pivot are on the left side & elem  $>$  pivot on right side  
 iii) repeat until they contain only 1 elem in each  
 (left & right sub-array)

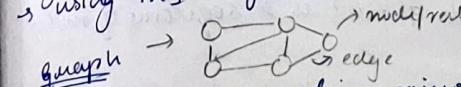
Adv. of Quick Sort over merge sort  
 i) uses the concept of in-place sorting: QS sorts the array without creating any additional Data struct. Unlike MS which creates temp sub arrays for merging. QS rearranges elements within the same array.

MS  $\rightarrow$  each subproblem  $\rightarrow$  creation of sub-array  $\rightarrow$  space complexity  
 QS  $\rightarrow$  less space complexity

Substitution method

$\rightarrow$  guessing a soln. & then

$\rightarrow$  using MI to prove



Graph  $\rightarrow$  It is a way of encoding pairwise relationships among a set of objects

$\rightarrow$  consists of vertices & edges

$\rightarrow$  collection of nodes joined using edges  $\rightarrow$   $\bullet - \circ$

$\rightarrow$  edge of a graph (E,E) represented as a two elem. subset of V  $\& e = \{u, v\}$  for some  $u, v \in V$ , where  $u \& v$  are the ends

$\rightarrow$  symmetric relationships in graphs: indicated by an undirected graph where the edges in the graph indicates a symmetric relationship b/w their ends

Step 0: At the beginning, all women  $w$  are unpaired meaning free.

Step 1: Proposal: Select any free man  $m$ .  $m$  proposes to  $w$  based on his preference list.

Response: a) if woman  $w$  is free, she accepts the proposal & get engaged.

b) if woman  $w$  is not free,  
i) she compares  $m$  with her current mate  
if she prefers  $m$  over her current mate, accepts  $m$  & rejects her current mate.  
ii) She rejects  $m$ .

Step 2: Continue until all are paired.

Analysis: terminates after  $\Theta(n^2)$  iterations

Time complexity & Space complexity  $\Theta(n^2)$

Design & Analyze algorithm

(b) Asymptotic notation  
What is  $\Theta(N)$ , mention the notations.

Properties  
i) name them, e.g.  $\Theta(1)$   
ii) graph + eq.

(c)  $\Theta(n)$   
i) meaning of  $\Theta(n)$  (line)

ii) spanning tree using this

Divide and conquer

→ divide into multiple sub-problems  
→ solve each subproblem separately  
→ merge & get the required array.

Why is it applied?

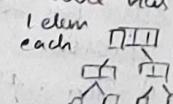
Point to note → polynomial running time

D & C → reducing this running time to a lower polynomial

Merge sort  $A[1 \dots n]$

1. if  $n=1$ , done (only 1 elem) Base case  $O(1)$
2. Recursively sort  $A[1 \dots \lceil n/2 \rceil]$  and  $A[\lceil n/2 \rceil + 1 \dots n]$  Sort both halves
3. "Merge" the 2 sorted lists divide each when last level has 1 elem each

Key subroutine:



Recurrence relation

Analyzing the running time of D & C algorithm involves solving a recurrence relation involving recursive execution bounds of the algorithm. To find the run time of alg. recursively in terms of RT on smaller instances, we consider subproblems. The RT of an alg. is a fn. of its RT on smaller subproblems. To solve the recurrence relation, we use the substitution method or master's theorem to find UB for the alg.

Masters theorem (to solve recurrences)

→ provides a way to solve recurrence relations that arise in D & C alg.

$$T(n) = aT(n/b) + O(nd)$$

time complexity

a = no. of subproblems  
into which the original problem is divided

b = reduction factor (factor by which the problem size is reduced in each recursive call)

$O(nd) \rightarrow$  cost of merging & dividing at each level

$$MS \rightarrow a = b = 2 \text{ (dividing into two)}$$

logarithms

Growth rate: Even the smallest polynomial fn. grows faster than a logarithm fn. as 'n' becomes fn.

$\log_b n$ , is the no.  $x$  such that  $b^x = n$

Eg.  $\log_2 8 = 3$  because  $2^3 = 8$

$$\log_2 1000 = 9.965 \quad | \quad 2^{1000} =$$

$$\log_2 0.01 = -6.6438 \quad | \quad 2^{-0.01} = 1.00695$$

St: For every base  $b$ , the fn.  $\log_b n$  is asymptotically ug by every fn. of the form  $n^{\alpha}$

8) Consider a logarithmic fn.  $\log n$  and  $n^{0.01}$ . Compute & compare these 2 fn.'s for large values of  $n$ . List your observations & prove that log is having a slower rate of growth.

Soln.	$\log n$	$n^{0.01}$
$n=100$	2.302585	1.046391
$n=1000$	3.321928	1.023296
$n=5000$	4.387755	1.071523

$\Rightarrow$  Assume

$n=10000$	$\log n$	$n^{0.01}$
4.387755	4.387755	1.088905

### Exponential fn.

Every exponential fn. outgrows the polynomial fn. no matter how large the polynomial exponent  $d$  is for larger values of  $n$ .

Exponential GF  $>$  polynomial GF  $>$  logarithmic GF

$\rightarrow$  running time

- Common running time
  - $O(1)$   $\rightarrow$  constant  $\rightarrow$  accessing an array elem.
  - $O(\log n)$   $\rightarrow$  logarithmic  $\rightarrow$  binary search
  - $O(n)$   $\rightarrow$  linear  $\rightarrow$  finding max in an array
  - $O(n \log n)$   $\rightarrow$  linear  $\rightarrow$  merge & quick sort
  - $O(n^2)$   $\rightarrow$  quadratic  $\rightarrow$  bubble sort, Floyd warshall
  - $O(n^3)$   $\rightarrow$  cubic  $\rightarrow$  matrix multiplication
  - $O(2^n)$   $\rightarrow$  exponential  $\rightarrow$  recursive fibonacci
  - $O(n!)$   $\rightarrow$  factorial  $\rightarrow$  traveling salesman problem

To solve this, consider 2 sets,  $y = \{m_1, m_2, \dots, m_n\}$ ,  $x = \{w_1, w_2, \dots, w_n\}$

Each man has a ranking list of women similarly each woman has a ranking list of men

{A set of  $n$  pairs  $(m_i, w_j)$  where each pair is paired with exactly one woman. Our goal is that there should not be any ties}

Assumption:  $\forall i \in \{1, 2, \dots, n\}$

$M = \{(m_1, w_1), (m_2, w_2), (m_3, w_3)\}$  matching pairs

Blocking pair: A pair  $(m_i, w_j)$  is a blocking pair if,  
 $\rightarrow$  they're not matched in the current pairing  
 meaning if a man  $m_i$  & woman  $w_j$  have no match  
 $\rightarrow$  man  $m_i$  prefers woman  $w_j$  to more than the current partner

stable match: A matching is stable if there is no blocking pairs

An instance (Eg) of the problem is represented in 2 ways  
 Two sets of preferences are given or a ranking matrix where each ~~the~~ man has ranked on the order of preferences & vice versa

The rows will represent men, column  $\rightarrow$  woman, both will be given names.

Men's preference list

1st 2nd 3rd

Bob	Ann	Sue
Tom	Lea	Ann
Jim	Lea	Sue
Tom	Sue	Lea

Men's preference matrix

	Ann	Lea	Sue
Bob	(2, 3)	(1, 2)	(3, 2)
Jim	(3, 1)	(1, 3)	(2, 1)
Tom	(3, 2)	(2, 1)	(1, 2)

Bob's 1st pref is Ann 2nd pref is Bob

Soln. Step 1: check if  $f(n) \leq c \cdot g(n)$ , we need to check if  $f(n) \leq c \cdot g(n)$  meaning we need to find a value of  $c$  &  $n_0$ , such that the condition satisfies

$$3n^2 \leq 5n^3 \quad \text{put } n=1, 2,$$

$$5n^3 \leq 10n^4 \xrightarrow{\text{order of } f(n)} \begin{cases} \text{satisfies for } n \geq 1 \\ \min = 1 \text{ (n value)} \end{cases}$$

$$3n^2 \text{ has an order of growth } \Theta \uparrow \begin{cases} \text{satisfies } \forall n \geq 1 \\ \min = 1 \text{ (n value)} \end{cases}$$

Sum of fn.'s

If two fn.'s  $f(n)$  &  $g(n)$  are both bounded above by another fn.  $h(n)$ , then their sum is also bounded by  $h(n)$

$$\begin{aligned} \text{If } f(n) = O(h(n)) &\rightarrow @ \quad \text{order of 3rd fn. is same as order of } h(n) \\ \text{If } g(n) = O(h(n)) &\rightarrow @ \quad \text{other two fn.} \\ \text{then } f(n) + g(n) = O(h(n)) & \quad \rightarrow (f(n) + g(n)) \end{aligned}$$

Proof: Consider Eq @  $f(n) = O(h(n))$  meaning  $\exists$  constants  $c_1$  and  $n_0$  such that  $\forall n \geq n_0$ ,

$$f(n) \leq c_1 h(n) \rightarrow ①$$

similarly,

$$g(n) \leq c_2 h(n) \rightarrow ②$$

$$① + ②$$

$$f(n) + g(n) \leq c_1 h(n) + c_2 h(n)$$

$$f(n) + g(n) \leq (c_1 + c_2) h(n)$$

$$f(n) + g(n) \leq \underline{O}(h(n))$$

Q) Consider  $f_1(n) = 3n^2$  and  $g(n) = 5n^2$   
 Prove that  $f_1(n) + f_2(n) + f_3(n) = 3n^2 + 5n^2 + 2n^2 = 10n^2$

$f_1(n) = 3n^2, f_2(n) = 5n^2, f_3(n) = 2n^2$   $\forall n \geq n_0$  so order of growth  $O(n^2)$

$f_1(n) + f_2(n) + f_3(n) = 3n^2 + 5n^2 + 2n^2 = 10n^2$

sum of many fn's

order of growth doesn't change hence  $\underline{3n^2}$

$f_1(n) = 2n^2, g(n) = 3n^3, h(n) = 4n^2 \rightarrow$  polynomial to take highest degree proof.

Property 4: if two fn's  $f(n)$  &  $g(n)$  are taking non-ve values such that  $g(n) = O(f(n))$  such that then  $f(n) + g(n) = O(f(n))$

If one fn.  $g(n)$  grows almost as fast as another fn.  $f(n)$  then sum of  $f(n)$  &  $g(n)$  then will have same growth as  $f(n)$ . The fastest growing fn. dominates the sum of the fn's

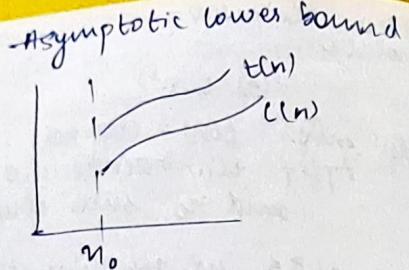
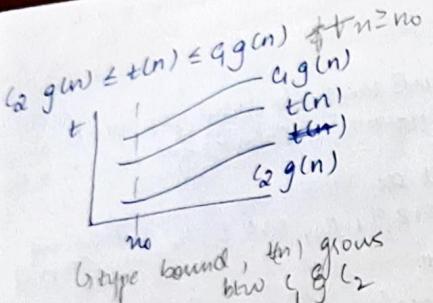
Asymptotic bounds for some common fn.

Some fn.'s come up repeatedly  
 $\hookrightarrow$  polynomial, logarithmic, exponential

Polynomials  $\rightarrow f(n) = a_0 + a_1 n + a_2 n^2 + \dots + a_d n^d$   
 $d \rightarrow$  degree of the polynomial  $d > 0$ ,  $a_d \rightarrow$  non zero  
 their asymptotic order of growth/rate of growth is determined by their "highest order term"  
 $\rightarrow p n^2 + q n + k \rightarrow d = 2$

Conclusion:

- $\rightarrow$  the dominant term dictates the growth rate
- $\rightarrow$  lower degree term like  $n^1, n^{1.5}, \dots$  etc, grow much slower than  $n^2$  & become significant in big O notation
- $\rightarrow$  since Big O ignores constant factor, we only consider the highest degree term's growth
- $\rightarrow$  sum of multiple  $O(n^d)$  terms is still  $O(n^d)$



Proof 1: To obtain an asymptotically tight bound for a limit. St: An asymptotically tight-bound can be obtained directly by computing a limit as  $n$  goes to  $\infty$ . If the ratio of  $f(n)$ 's to  $g(n)$  converges to a constant as  $n$  goes to  $\infty$ , then,

$$\text{let } f(n) = O(g(n)) \\ \text{or between } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \rightarrow \text{mathematically written as}$$

such that exists  $c$  is equal to some no.  $c > 0$ , then  $f(n) = O(g(n))$   
If two fun.'s  $f(n)$  &  $g(n)$  grow at the same rate as  $n$  becomes very large then we say that  $f(n) = \Omega(g(n))$  meaning  $f(n)$  &  $g(n)$  differs by a constant  $c$

Proof  
There exists a limit  $c$  if it is true,  
now  $f(n) = O(g(n))$  &  $f(n) = \Omega(g(n))$  } limit  
upper bound      lower bound } limit  
since  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c > 0$ , there is some no beyond which the ratio is always btw  $\frac{1}{2}c$  &  $2c$  (As per calculus)  
Thus,  $f(n) \leq \frac{1}{2}c g(n) + n > n_0 \Rightarrow f(n) = O(g(n))$   
 $f(n) \geq \frac{1}{2}c g(n) + n > n_0 \Rightarrow f(n) = \Omega(g(n))$

By the defn. of limits in calculus for any small the no,  
 $\exists$  some large no such that  $\forall n \geq n_0$  the ratio of the fun. stays within a small range of  $c$

Transitivity  
St: If we have three fun.'s  $f(n)$ ,  $g(n)$  and  $h(n)$ , we say that the fun.  $f(n)$  is asymptotically upper bounded by a fun.  $g(n)$  if  $g(n)$  in turn is asymptotically upper bounded by a fun.  $h(n)$  then  $f(n)$  is asymptotically upper bounded by a fun.  $h(n)$ .

a) if  $f(n) = O(g(n))$

b) if  $g(n) = O(h(n))$

then we conclude  $f(n) = O(h(n))$

Big O  $\rightarrow f(n) \leq C(g(n))$   
min value  
Proof:  $f(n) \leq c g(n) \rightarrow \textcircled{1}$   
 $g(n) \leq C h(n) \rightarrow \textcircled{2}$

There exists constants  $C$  and  $n_0$  such that  $\forall n \geq n_0$ , Eq \textcircled{1} satisfies

Eq \textcircled{2} satisfies  
there exists constants  $C'$  and  $n'_0$  such that  $\forall n \geq n'_0$ ,

Eq \textcircled{1} satisfies  
To prove the transitivity property  
we have to find value for  $n$  such that  
 $n > \max(n_0, n'_0)$  ( $n_0, n'_0$  max(n\_0, n'\_0))

Substitute \textcircled{2} in \textcircled{1}

$$f(n) \leq c g(n)$$

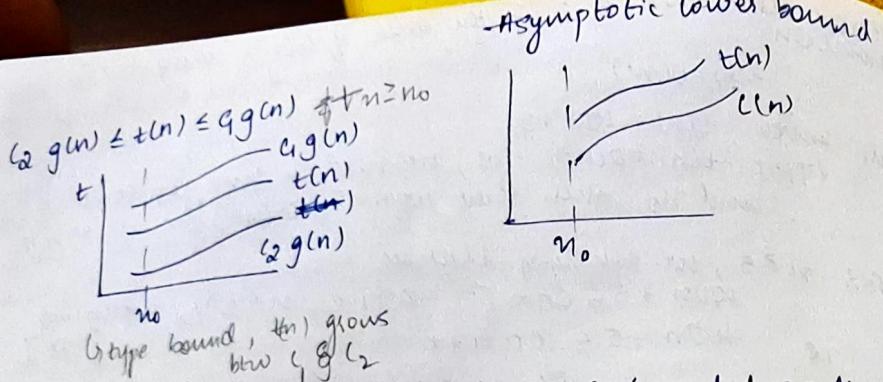
$$\leq c C' h(n)$$

$$f(n) \leq (c C') h(n)$$

$$f(n) \leq C h(n) \quad | \text{ constants}$$

$$f(n) = O(h(n)) \text{ hence proved}$$

b) consider 3 fun.'s,  $f(n) = 3n^2$ ,  $g(n) = 5n^3$ ,  $h(n) = 10n$   
Prove transitivity across the three fun.'s  
 $3n^2 \leq c 5n^3 \rightarrow 3n^2 \leq c c' 10n^4$   
 $5n^3 \leq c' 10n^4 \rightarrow 5n^3 \leq c' c'' 10^2 n^6$



Proof 1: To obtain an asymptotically tight bound for a limit  
 St: An asymptotically tight bound can be obtained directly by computing a limit as  $n$  goes to  $\infty$ . If the ratio of fn.'s  $f(n)$  &  $g(n)$  converges to a constant as  $n$  goes to  $\infty$ , then,

let  $f, g$  be two fn.'s such that  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  → mathematically written as such that

exists  $c$  is equal to some no.  $c > 0$ , then  $f(n) = O(g(n))$   
 If two fn.'s  $f(n)$  &  $g(n)$  grows at the same rate as  $n$  becomes very large then we say that  $f(n) = \Theta(g(n))$  meaning  $f(n)$  &  $g(n)$  differs by a constant  $c$

Proof  
 There exists a limit  $c$  it is true,  
 now  $f(n) = O(g(n))$  &  $f(n) = \Omega(g(n))$  } limit  
 upper bound      lower bound  
 since  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c > 0$ , there is some  $n_0$  beyond which the ratio is always btw  $\frac{1}{2}c$  &  $2c$  (As per calculus)  
 Thus,  $f(n) \leq 2c g(n)$  &  $n > n_0 \Rightarrow f(n) = O(g(n))$   
 $f(n) \geq \frac{1}{2}c g(n)$  &  $n > n_0 \Rightarrow f(n) = \Omega(g(n))$   
 By the defn. of limits in calculus for any small the no.,  
 there is some  $n_0$  such that  $n \geq n_0$  the ratio of the fn. stays within a small range of  $c$

Transitivity  
 St: If we have three fn.'s  $f(n)$ ,  $g(n)$  and  $h(n)$ , we say that the fn.  $f(n)$  is asymptotically upper bounded by a fn.  $g(n)$  if  $g(n)$  in turn is asymptotically upper bounded by a fn.  $h(n)$  then  $f(n)$  is asymptotically upper bounded by  $h(n)$ .

- a) if  $f(n) = O(g(n))$
  - b) if  $g(n) = O(h(n))$
- then we conclude  $f(n) = O(h(n))$

Big O →  $f(n) \leq C(g(n))$   
 Proof:  $f(n) \leq c g(n) \rightarrow \textcircled{1}$   
 $g(n) \leq C h(n) \rightarrow \textcircled{2}$

there exists constants  $c$  and  $n_0$  such that  $\forall n \geq n_0$   $\textcircled{1}$   
 Eq. \textcircled{1} satisfies

there exists constants  $c'$  and  $n'_0$  such that  $\forall n \geq n'_0$ ,  $\textcircled{2}$

Eg. \textcircled{2} satisfies  
 To prove the transitivity property  
 we have to find value for  $n$  such that  
 $n > \max(n_0, n'_0)$  (as  $\max(n_0, n'_0)$ )

Substitute \textcircled{2} in \textcircled{1}

$$\begin{aligned} f(n) &\leq c g(n) \\ &\leq c(c') h(n) \\ f(n) &\leq (cc')(h(n)) \end{aligned}$$

$f(n) \leq Ch(n)$  + constants

$f(n) = O(h(n))$  hence proved

Q) consider 3 fn.'s ;  $f(n) = 3n^2$ ,  $g(n) = 5n^3$ ,  $h(n) = 10n^4$   
 Prove transitivity across the three fn.'s  
 $3n^2 \leq c 5n^3 \rightarrow 3n^2 \leq cc' 10n^4$   
 $5n^3 \leq c' 10n^4$

there are absolute constants  $c > 0$ ,  $d > 0$  such that, instance of size  $N$ , the running time is bounded by  $cN^d$ . primitive computational steps (almost proportional) if  $N$  gets bigger, RT grows at the rate of  $N^d$  but not faster. Running time  $\propto N^d$  is controlled by a mathematical rule that limits how many steps the algorithm can take to accomplish a task.

$c \rightarrow$  scaling factor / fixed no. } do not depend on  $N$   
 $d \rightarrow$  (growth) rate/exponent } size  $\frac{1}{N^d}$   
 $\hookrightarrow$  adjusts (no. of steps)  
 $\hookrightarrow$  determines how algorithm's run RT like as the  $1/P^N$  grows

$$cN^d = \max \text{ no. of steps}$$

### asymptotic analysis / growth / notations

a mathematical way to find time complexity of an algorithm

$N \rightarrow 1/P$  size

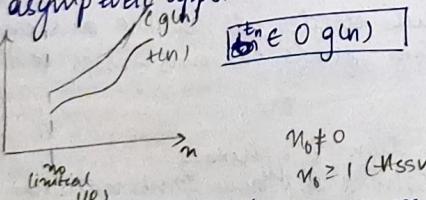
$f(n) \rightarrow$  growth size

$T(n) \rightarrow$  time complexity [Any algorithm's worst case running time]

$C(n) \rightarrow$  Basic operation count

$g(n) \rightarrow$  it is a simple fn. to compare the count or orders of magnitude

$\text{Big-O} \rightarrow$  asymptotic upper bound



$O \rightarrow$ big oh
$\Omega \rightarrow$ big omega
$\Theta \rightarrow$ big theta
$o \rightarrow$ little oh
$o \rightarrow$ little omega

$$|f(n)| \leq c|g(n)|$$

$$n_0 \neq 0$$

$n_0 \geq 1$  (Assume)

we say that a fn.  $g(n)$  is an upper bound for another fn.  $t(n)$  if beyond some point, the fn.  $g(n)$  dominates  $t(n)$ . Here we take  $g(n)$  is a fn. which describes the order of magnitude. There is a fixed constant  $c$  & beyond some time  $t$

b) prove  $t(n) = 100n^2 + 20n + 5$  is in the order of  $O(n^2)$  using big-O notation

$$t(n) = O(n^2)$$

Given: given:  $t(n) = 100n^2 + 5$   
 TPT:  $t(n) = O(n^2)$  i.e., we need to find constants  $c$  and  $n_0$  such that  $100n^2 + 5 \leq cn^2$  for all  $n \geq n_0$

for  $n \geq 5$ , we modify  $t(n)$  as

$$100n^2 + 5 \leq cn^2 \quad \text{if } n \geq 5 \text{ i.e., } n \geq 5 > 1, \text{ meaning } c \leq 100$$

i.e.,  $100n^2 + 5 \leq 100n^2 + n$   
 So replacing 5 with  $n$  makes  $100n^2 + n$  larger

$\Rightarrow 100n^2 + n$  can be simplified as  $101n^2$   
 since  $n^2 \geq n$  for all  $n \geq 1$ , we can replace  $n$  with  $n^2$

$$So, 100n^2 + 5 \leq 101n^2$$

Thus,

$$100n^2 + 5 \leq 101n^2 \text{ for } n \geq 5$$

Hence to satisfy the Big-O defn.  
 $|f(n)| \leq c \cdot n^2$  for all  $n \geq n_0$

$$\text{choose } c = 101$$

$$n_0 = 5$$

This confirms  $100n^2 + 5 \leq 101n^2$  for all  $n \geq 5$

$$t(n) = O(n^2)$$

B) consider  $t(n) = 100n^2 + 20n + 5$ , prove that it is in the order of  $O(n^2)$  using big-O notation

$$\frac{100n^2 + 20n + 5}{n^2}$$

$$100 + \frac{20}{n} + \frac{5}{n^2}$$

and when we put any value in  $n \geq 5$ , we see

$$100n^2 \geq 250$$

$(100n^2 - 250) \geq 20n + 5$   
 $(100n^2 - 250) \geq 5$

So we know we have to choose  $c = 100$  &  $n_0 = 5$   
 and after this value of  $n$  will not affect the result  
 so  $c = 100$  &  $n_0 = 5$

$A \rightarrow n^2$   
 growth rate ↑ so efficiency ↓  
 $B \rightarrow n \log n$   
 GR ↓ so efficiency ↑

- unit - I
- computational tractability: It is a factor that is used to assess on identifying if a specific problem st. at hand can be solved with an algorithm
  - does by determining the resource requirements (time & space needed for execution of the algorithm)
  - I/P nt space & time ↑ resources ↑

resource requirement + size of I/P ↑  
efficiency: An algorithm is efficient, if when implemented, it runs quickly on real I/P instances.  
redefined defn: it is platform independent, instance independent, and of predictive values w.r.t. increasing I/P sizes  
mathematical defn: given a problem st. with I/P size  $N$ , an algorithm can be described & then analyzed for performance by computing the running time mathematically as a fn. of the I/P size  $N$ .  $f(N)$

- worst case running time
- worst case:  $\max$  time/resources an algorithm will take to complete a task
  - Avg case: expected running time of an algorithm, (uses random instances)
  - Best case: swiftest time an algorithm takes ...
  - All depends on I/P's
  - Disadv. of avg case sorting: partially sorted not jumbled with no relation web search: follows long rules, patterns tends to repeat
  - performs well for one set of I/P & not for others we can't type in
  - real time I/P not produced from a random distribution
  - Worst case is efficient

Step 1: calc. the upper bound on the running time of the algorithm  
 ↳ largest possible running time an algorithm can have over all I/P's of a given size  $N$  is calculated.

↳ done by identifying case that causes the execution of max. no. of operations / longest time

Step 2: Observe how running time scales with I/P 'N'  
 ↳ slow, gives no insight into the struct. of the problem  
 Brute force search: all possible soln to a PS from which we find the best one

running time bound: upper, lower or tightest limit on the time complexity of the algorithm as a fn. of the I/P size  $\Theta(n)$   
 ↳ now to decide if its impulsive or weak?

→ decided based on models of computation  
 ↳ theory of machine to help understand the limitations of word RAM  
 ↳ Brute force can be significantly better than algorithms

efficiency of algorithm depends on parameters

An algorithm is efficient if it achieves qualitatively better worst case performance, at an analytical level than brute-force search  
 ↳ analyzing alg. using mathematical tools like Big O notation & not by relying on type I/P's meaning

→ even in the worst case, if it solves a problem faster than brute force  
 → brute force search checks all possible ans one by one  
 → a better algorithm - finds the ans faster with smart techniques

Polynomial time as a defn. of efficiency + diff. ways  
 ps: no. of possible soln's for any combinatorial problem grows exponentially in the size  $N$  of the I/P i.e., when I/P size inc by 1, huge jump in the no. of possibilities  
 $(N+1) \rightarrow$  no. of possible soln's are multiplicatively needed soln.: a good algorithm with a better scaling property is required

Touring salesman problem: visit n cities exactly once & return to no. of possible tours  $(n+1)$ :  
 $\rightarrow N=5 \rightarrow 24$  routes if  $N=N+1$   
 $\rightarrow N=6 \rightarrow 120$  routes  
 ↳ multi-dimensional

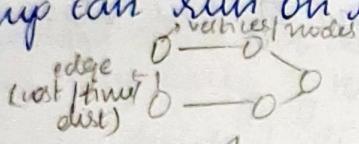
# Design and Analysis of Algorithms

Algorithm → set of steps to complete a task

Procedure a step by step procedure that converts an I/P into a desired O/P

- should have a logical structure,
- receives few I/P's (I/P data)
- performs a series of operations (processing)
- produces a desired result (O/P data)

comp algorithm - a set of steps to accomplish/ complete a task that is described precisely enough such that the comp can run on it



↳ solving problem, optimizing soln, automating tasks

↳ reduce time, reduce resources

GPS - shortest path algorithm

online shopping - RSA algorithm [cryptography technique]

↳ secure transfer, transfers packets across internet

characteristics of Comp Algorithm

- definiteness - instructions should be clear & unambiguous
- finiteness - should terminate after a no. of steps
- effectiveness - simple inst.
- efficiency - Time & space → computational resources

Analysis of algorithms - process of evaluating the efficiency of algorithms, focusing mainly on time & space complexity

↳ it helps in: how the algorithm's running time / space requirements grow in size

running time      no. inst/sec  $\rightarrow 10^{10}$       processor speed  
 A  $\rightarrow n^2$        $\rightarrow 10 \text{ billion inst/sec} \rightarrow 10^9 n^2 \text{ inst (N)}$       compute time taken  
 B  $\rightarrow n \log n$        $\rightarrow 10 \text{ million inst/sec} \rightarrow 10^6 n \log n \text{ inst (N)}$       conclude efficiency  
 I/P size  $\rightarrow 10 \text{ million no.'s}$       why?  
 $\frac{\text{time taken}}{\text{speed}} = \frac{n^2}{10^{10}}$       why?  $\leftarrow \log_2 \rightarrow \text{take base as 2}$

$$\boxed{\text{time taken} = \frac{\text{no. of steps (N)}}{\text{speed}}}$$

$$\text{For A} = \frac{2n^2}{10^{10}} = \frac{2 \times (10^7)^2}{10^{10}} = 20000 \text{ s} \quad \text{For B} = \frac{50n \log n}{10^7} = \frac{50(10^7) \log 10^7}{10^7} = 1162.67 \text{ s}$$

$\therefore B$  is more efficient

LPP goal: i) optimize a linear fn. to find max / min val  
ii) optimal val can be either the max / min val to

How to solve LPP?

Step 1: mark the decision var's in the prob.

Step 2: build the obj. fn. of the prob & check if the fn. needs to be minimized/maxi..

Step 3: write down all the constraints of the linear prob

Step 4: Ensure non-neg. restrictions of decision var's

Step 5: Now solve LPP

Simplex method

→ represent as a std. form.

↳ must be a maxi... prob

↳ all the constraints must be in the form of linear eq. with non-negative

↳ all var's should be non-neg.

To consolidate simplex alg.

Step 1: set up the prob

2: make the first simplex table

3: check the bottom row ( $Z$ -row)

4: choose the entering var.

5: choose the leaving var. (min ratio test)

6: do the pivot update (in table)

7: repeat until optimal

# Floyd's alg. (all pairs shortest path problem)

used to find the shortest-path distances between every pair of vertices in a weighted graph.

Works for both directed & undirected graphs.

Alg.  
Input: weight matrix  $w_{ij}$  of a graph with no negative-length cycle

Output: shortest-path distance between every pair of vertices via the ~~shortest edge~~ cost from vertex  $i$  to vertex  $j$

using to direct edge

Output: dist matrix  $D$  which gives shortest-dist from  $i$  to  $j$

~~weighted~~ dist matrix  
neg-edge  $\rightarrow$   $a \xrightarrow{w} b$  edge weight =  $w$   
neg cycle  $\rightarrow$   $a \xrightarrow{w} b \xrightarrow{w} a$  sum = - $w$   
sum of edge dist =  $w$

for  $i = 1$  to  $n$  do  
for  $j = 1$  to  $n$  do  
for  $k = 1$  to  $n$  do  
 $D[i,j] = \min\{D[i,j], D[i,k] + D[k,j]\}$

return  $D$

Dist matrix is updated by either keep the current known shortest-path from  $i$  to  $j$  or try going through one intermediate vertex  $k$  & see if that path is shorter after  $n$  such iterations all shortest-pairs are found.

$\text{Step 1: } D^{(0)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 0 & 0 & 2 & 0 \end{bmatrix}$   
 $\text{Step 2: } D^{(1)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 5 & 0 & 2 & 0 \end{bmatrix}$   
 $\text{Step 3: } D^{(2)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 5 & 8 & 0 & 0 \end{bmatrix}$   
 $\text{Step 4: } D^{(3)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 5 & 8 & 4 & 0 \end{bmatrix}$

graph  
weight matrix  
 $a \xrightarrow{w} b \xrightarrow{w} c \xrightarrow{w} d \xrightarrow{w} e$   
 $a \xrightarrow{w} b \xrightarrow{w} c \xrightarrow{w} d \xrightarrow{w} e$   
 $w_{i,j} = \begin{bmatrix} 0 & 0 & 3 & 00 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$   
 $D = \begin{bmatrix} 0 & 10 & 3 & 4 \\ 2 & 0 & 5 & 6 \\ 2 & 0 & 5 & 6 \\ 6 & 0 & 0 & 0 \end{bmatrix}$

Floyd's will not work if there are negative cycles

Q) Solve the all pairs shortest path problem for the digraph with the following weight matrix:

$\begin{bmatrix} 0 & 5 & 1 & 8 & 0 \\ 0 & 0 & 3 & 2 & 0 \\ 0 & 6 & 0 & 3 & 2 \\ 0 & 6 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$

$\begin{bmatrix} 0 & 5 & 1 & 8 & 0 \\ 0 & 0 & 3 & 2 & 0 \\ 0 & 6 & 0 & 3 & 2 \\ 0 & 6 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$

$\begin{bmatrix} 0 & 5 & 1 & 8 & 0 \\ 0 & 0 & 3 & 2 & 0 \\ 0 & 6 & 0 & 3 & 2 \\ 0 & 6 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$

?

We denote matrix as  $D(i,j)$  the initial dist. matrix. Note we represents no direct path between nodes

$$D^{(0)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$D^{(1)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 5 & 0 & 2 & 0 \end{bmatrix}$$

$$D^{(2)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 5 & 8 & 0 & 0 \end{bmatrix}$$

$$D^{(3)} = \begin{bmatrix} 0 & 2 & 5 & 1 & 8 \\ 6 & 0 & 3 & 2 & 14 \\ 6 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 3 & 5 & 8 & 4 & 0 \end{bmatrix}$$

Iterative approach  
→ start with a soln. that satisfies all the constraints of the problem → proceed to improve by repeated applications of some simple step.  
→ involves a small, localized change yielding a feasible soln. with an improved val. of the objective fn.

Linear programming: a mathematical concept that is used to find the optimal soln. of the linear fn. It uses all available resources in a manner such that they produce the optimum result.

Components of LP

↳ decision var. - var's determined to achieve optimal soln.  
↳ objective fn. - mathematical eq. that expresses the goal you want to achieve

↳ constraints: limitations that your decision var's follow

↳ non-negativity restrictions: decision var's can't be neg.

Special case of LP: max. flow problem - maximize the amount of flow that can be sent through a network with lines of limited capacities.

## Alg. analysis

no negative weights

alg. analysis  
if  $O(n^3)$ , suitable for reasonable sizes of weights  $w_{ij}$ .  
if  $O(n^3)$ , suitable for reasonable sizes of weights  $w_{ij}$  (negative change).

Bellman Ford's alg. - min. cost path problem

definition: find shortest-path in weighted graph.  
each edge  $(i, j)$  has an associated weight  $(w_{ij})$

find neg. cycle, minimum cost path,  $\leq j \in P_{ij}$

$\leq j \in P_{ij}$   
if no neg. cycle exist  
else if it has  
neg. cycles

then also called as min-cost-path problem & the shortest-path problem.

Designing & analyzing an alg

disadv. of dijkstra's - may not yield the correct shortest-path

from an edge  $u \rightarrow v$  with weight  $w_{uv}$ , if the known dist. to  $v$  plus

$w_{uv}$  is less than the known dist. to  $v$ , update

$dist[v] \rightarrow dist[v] + \text{weight}(u, v)$

update:  $dist[v] = dist[u] + \text{weight}(u, v)$

no. of times to relax edges  $\rightarrow |V| - 1$  updating current  $dist$  & with updated val

$i.e. dist[i] = 0$

edge  $i \rightarrow j$ , weight = 3

$dist[j] = \infty$

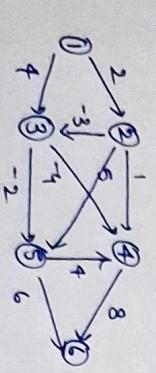
now,  $dist[j] > dist[i] + 3$

$\Rightarrow i \rightarrow j$  relax it

$dist[j] = 3$

steps

- initialise a table to store min. costs for paths
- fill the table iteratively using previously computed costs
- return the final cost for the shortest path



Markhoff's alg. → seq (increasing connectivity) only for directed graph  
to calc. transitive closure of a directed graph  
a directed graph with  $n$  vertices can be represented as a  $n \times n$  boolean matrix  $T = [t_{ij}]$  in which the element in the  $i$ th row &  $j$ th column is 1 if there exists a non-trivial path (i.e., directed path of a positive length) from the  $i$ th vertex to the  $j$ th vertex, otherwise,  $t_{ij}$  is 0.

alg. adj matrix  $A$  of a digraph  $G$  with  $n$  vertices

$\Rightarrow$  transitive closure of the digraph.

$R^{(k)} \leftarrow A$  initialized as full  $\forall i, j$  for  $R$

$i = \text{source vertex}$   
 $j = \text{dest vertex}$   
 $k = \text{intermediate nodes}$

for  $k=1$  to  $n$  do

for  $i=1$  to  $n$  do

for  $j=1$  to  $n$  do

$R^{(k+1)}[i,j] \leftarrow R^{(k+1)}[i,j] \text{ or } (R^{(k+1)}[i,k] \text{ and } R^{(k+1)}[k,j])$

return  $R^{(n)}$

for each intermediate vertex  $k$  from  $1$  to  $n$   
for each source vertex  $i$  from  $1$  to  $n$   
for each dest vertex  $j$  from  $1$  to  $n$

If there was already a path from the source vertex  $i$  to the dest. vertex  $j$  without using the intermediate vertex  $k$  or those is a path from the source vertex  $i$  to the intermediate vertex  $k$  & a path from the intermediate vertex  $k$  to the dest. vertex  $j$

After processing all  $k$  return  $R^{(n)}$

Time complexity  $O(n^3) \rightarrow 3$  loops

$a \ b \ c \ d$   
 $a \ 0 \ 0 \ 0$   
 $b \ 0 \ 0 \ 0$   
 $c \ 0 \ 0 \ 0$   
 $d \ 0 \ 0 \ 0$

$(a \rightarrow b) \quad A = \begin{bmatrix} a & b & c & d \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$

$a \rightarrow b \rightarrow c \rightarrow d$   
 $a \rightarrow c \rightarrow b \rightarrow d \rightarrow c$   
 $a \rightarrow d \rightarrow a \rightarrow b \rightarrow d$

path

adj graph

adj matrix

transitive closure

this suggests finding the optimal soln. on the intervals  $(1, 2, 3, \dots, n)$  involves looking at the optimal soln. of small problem. of them  $(1, 2, \dots, j)$

$\text{opt}(j) \rightarrow$  max value for a subset

Best case:  $\text{opt}(0) = 0$  (no intervals)

Two choices for  $j$

include  $j$ :

gain value  $r_j + \text{best val of non-overlapping set: } \text{opt}(j)$

exclude  $j$ :

take  $\text{opt}(j-1)$

formula  $\text{opt}(j) = \max(r_j + \text{opt}(\{p(j)\}), \text{opt}(\{p(j-1)\}))$

inclusion cond:

Alg.

compute  $\text{opt}(j)$

if  $j = -0$ :

return 0

else:

return  $\max($

$r_j + \text{compute opt}(\{p(j)\})$ , value by including  $j$ )

} compute  $\text{opt}(j-1)$ , value by excluding  $j$

To prove correctness of alg: MI

~~Best case:~~  $\text{opt}(0) = 0$

inductive step: for any  $j > 0$ , we use recurrence

$$\text{opt}(j) = \max(r_j + \text{opt}(\{p(j)\}), \text{opt}(\{p(j-1)\}))$$

it checks whether including/excluding  $j$  gives a better result

Issue with alg

→ Exp. time complexity

memoization: caching technique used to speed up recursive alg. by storing the results of expensive fn. calls & reusing them when the same I/Ps occur again.

## Wk-4

- GA are simple & intuitive but don't always guarantee correct / optimal soln.

• many real world probelm  $\rightarrow$  don't have natural GA  
 -> fails when local choices don't lead to global optimum  
 useful only in very small probelm

Dynamic programming

instead of solving problem by splitting them into independent subproblem

saves overlapping subproblems & assures soln's to avoid

re-computation

DP  $\rightarrow$  complex probelm  $\rightarrow$  doesn't end up taking time to polynomially choose interval with earliest end time right after a higher

why use DP

subutive  $\rightarrow$  explore optimal substructures / subpr.

greedy  $\rightarrow$  iterative  $\rightarrow$  for efficiency

Problem

Events overlap with others : prevents from selecting multiple non-overlapping events that tog. give a higher total score

greedy picks 6 of nurses the better overall combination

Dynamic programming

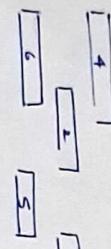
considers all combinations of non-overlapping events

use previously solved subprobelm to determine the optimal subprobelm

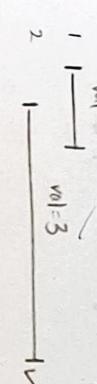
$\rightarrow$  Interv. 2, 5, 3 do not overlap & tog give a score of  $10 \geq 6$

why does GA fail? Because it makes locally optimal choices through DPs

subproblem reuse.



width = time  
no on bar = enjoyment-score  
 $\Rightarrow$  GA  $\rightarrow$  picking first event-within enjoyment score



weights inc.

Goal: Design a recursive alg. using DP for weight/val (trivial)

objection: Select non overlapping intervals to maximize total weight  
 each interval has start time  $s_i$ , finish time  $f_i$  & weight  $w_i$   
 incompatible intervals: all the ones that do not overlap  
 sorted by finish time:  $f_1 \leq f_2 \leq \dots \leq f_n$



$P(1) \rightarrow$  no 0 intervals completed  
 $P(2) = 0$   
 $P(3) = 1$

$P(4) = 1$   
 $P(5) = 0$

$P(6) = 3$

$P(16) = 4$



To derive algorithm to include the last interval in the final ans

$\rightarrow$  dividing the problem into subproblem

$\rightarrow$  solving & storing soln.'s to subproblem

$\rightarrow$  combining them to build soln.'s to bigger probelm

wanted interval scheduling

stage 1: as a recursive procedure - closely resembles brute force

stage 2: converting recursive to iterative alg. building soln.

$\rightarrow$  if not optimal sel-interval to not must be excluded

intervals  $i(n+1)$  to  $n$  must be excluded

meaning: you cannot pick any overlapping intervals.

include optimal soln. for  $(1, \dots, i(n))$ : pick best possible soln.

from earliest, non-overlapping interval, then add val.  $\Rightarrow$

$\rightarrow$  if not optimal sel-interval to not must be excluded

optimal soln. lies in  $(1, \dots, n-1)$ : exclude  $n$  from soln.

start time & end time  
 ratios: -

now: earlier + weight / value.

8.)



Pseudo

connected, weighted  
undirected graph.

initially  $w = \infty$   
source node  $\rightarrow 0$

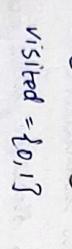
from 0, two adj nodes  $\rightarrow 1$   
go to 1 (min weight)

Dist:  $\begin{matrix} 0 & 0 \\ 1 & 1 \\ 2 & 2 \\ 3 & 3 \\ 4 & 4 \\ 5 & 5 \end{matrix}$   
visited  $= \{0\}$

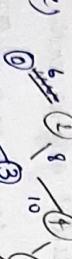
⑥



b)



c)

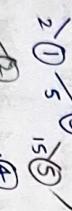


visited - {0,1,3}

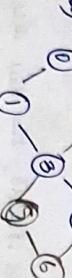
d)



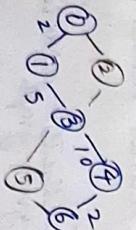
e)



f)



g)



$$8 + 5 + 0 + 2 = 19$$

Huffman trees and codes  
Huffman decompression alg.  
The idea is to assign variable length binary codes to the 16 class  
based on the freq's of various pending chars.  
We can use fixed length encoding that assigns to each symbol a  
bit string of the same length that is exactly twice the size.  
bit string of the same length that is exactly twice the size.

### Kruskal's alg.

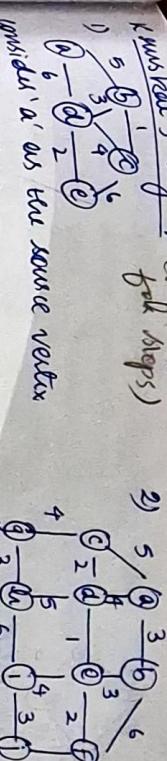
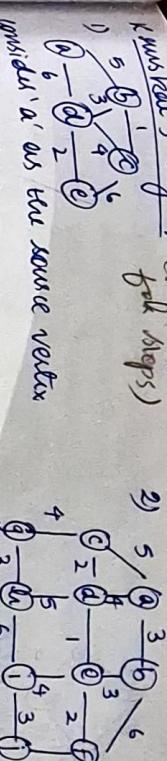
- 1) sort the edges by their weight in ascending order
- 2) add edges to MST starting with smallest edge & moving to larger edges
- 3) At each step, check if adding next edge would make a cycle
- 4) If it makes a cycle, ignore that edge
- 5) Continue the process until all vertices are traversed

$$6) \quad w/ \text{ } \begin{matrix} 2 \\ 0 \\ 25 \\ 5 \end{matrix} \quad \begin{matrix} 2 \\ 16 \\ 18 \\ 12 \end{matrix}$$

$$7) \quad \begin{matrix} 10 \\ 0 \\ 10 \\ 0 \end{matrix}$$

$$8) \quad \begin{matrix} 0 \\ 0 \\ 0 \\ 0 \end{matrix}$$

$$9) \quad \begin{matrix} 0 \\ 0 \\ 0 \end{matrix}$$



Priority queue of adj matrix cells method

Dijkstra's algorithm (weighted, connected with non-neg. weight)

Solve the single-source shortest paths problem

Source vertex: in a w/g, given vertex is called source

Aim: To find shortest paths to all other vertices from the given source vertex

Note: we are NOT finding a single shortest path, instead finds a family of paths each leading from source to different vertex

Application: transportation planning, packet routing, finding shortest-path in social network, speech recognition, doc. formatting, robotics, compilers, airline crew scheduling

Floyd's alg: used for more general all pairs shortest-paths problem

Dijkstra's alg: applicable to directed & undirected graphs with non-negative weights only

~~Step 1:~~ Find the shortest paths from source to a vertex nearest to it (and min weight)

~~Step 2:~~ Find the shortest paths from source to a second nearest vertex.

In general, before the  $i^{th}$  iteration starts, the alg already identifies the shortest paths to  $i-1$  other vertices nearest to the source

At a given tree

subset: the vertices, source & the edge of the shortest-paths leading from the source from a subtree  $T_i$  of the given graph.

Range vertices: as all the vertices are non-negative, the next vertex nearest to the source vertex can be found among the vertices adjacent to the vertices of the subtree  $T_i$ .

Candidates from which DA selects the next vertex nearest to the source

Schedule to minimize lateness: an exchange alg.

- Given a set of jobs each with processing time  $t_i$  & due date  $d_j$
- each job scheduled to single machine, st. time = 0
- all job scheduled to maximize lateness (no preemption)
- objective: schedule all jobs to minimize lateness

$$\text{lateness } \hat{d}_j - \text{comp. time } \hat{d}_i = d_i - t_i$$

lateness  $\hat{d}_j$  = max ( $c_i - d_i$ ) (due date of job i - comp. time of job i)

Sorting jobs based on due date (increasing lateness)

Processing Due Date

Job 1 2 3 4 5 6

B 2 3 4  
A 1 6  
C 5

$B \rightarrow A \rightarrow C$  (based on inc. due date 2, 4, 6)

$B$  starts at 0, ends at 2  $\rightarrow$  lateness =  $2 - 2 = 0$

$A$  starts at 2, ends at 5  $\rightarrow$  lateness =  $5 - 4 = 1$

$C$  starts at 5, ends at 6  $\rightarrow$  lateness =  $6 - 6 = 0$

max lateness = 1

Two solns:  
1) Assume S is an optimal schedule not ordered by due date

- Identify the first inversion in S: jobs  $i \leftrightarrow j$  such that:
- $d_i > d_j$  but  $j$  comes before  $i$  in S

3) Swap  $i \leftrightarrow j$  in the schedule

$i$  1 2 3 4 5 6  
 $j$  6 5 4 3 2 1

(MSR)

a minimum spanning tree is a subset of edges of a connected, weighted, undirected graph that

- connects all the vertices i.e., forms a spanning tree
- have no cycles i.e., forms a tree
- uses the min possible edge weight

Prims algorithm (unweighted)

1) Given alg. for constructing a MST

2) Input a weighted connected graph  $G = (V, E)$

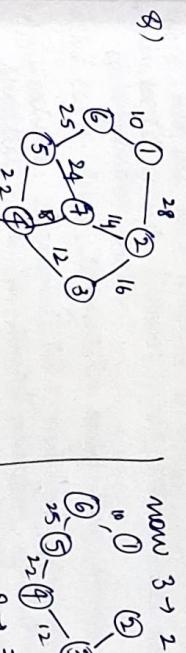
3) Output  $T_p$  the set of edges comprising a MST of  $G$

$V_p \subseteq V$  if a set of true vertices, can be initialized with  $\epsilon_p \in \emptyset$

for  $i = 1$  to  $|V| - 1$  do  
find a minimum-weight edge  $e^* = (v^*, u^*)$  among all the edges  $(v, u)$  such that  $v$  is in  $V_p$  and  $u$  is in  $V - V_p$

$V_p \leftarrow V_p \cup \{u^*\}$   
 $E_p \leftarrow E_p \cup \{e^*\}$   
return  $T_p$

and: all nodes traversed  
no cycles formed



start node = 1  
 $1 \xrightarrow{28} 2 \xrightarrow{10} 6$ , choose  $1 \xrightarrow{10} 6$  (min weight)  
 $1 \xrightarrow{10} 1$

now  $3 \rightarrow 2$

$1 \xrightarrow{10} 1$

now  $3 \rightarrow 1$

$1 \xrightarrow{10} 1$

now  $2 \rightarrow 1$

$1 \xrightarrow{10} 1$

now  $2 \rightarrow 4$

$1 \xrightarrow{10} 1$

now  $2 \rightarrow 5$

$1 \xrightarrow{10} 1$

now  $4 \rightarrow 5$

$1 \xrightarrow{10} 1$

now  $4 \rightarrow 6$

$1 \xrightarrow{10} 1$

now  $4 \rightarrow 7$

$1 \xrightarrow{10} 1$

now  $4 \rightarrow 3$

$1 \xrightarrow{10} 1$

now  $4 \rightarrow 2$

$1 \xrightarrow{10} 1$

now  $4 \rightarrow 1$

$1 \xrightarrow{10} 1$

now  $4 \rightarrow 0$

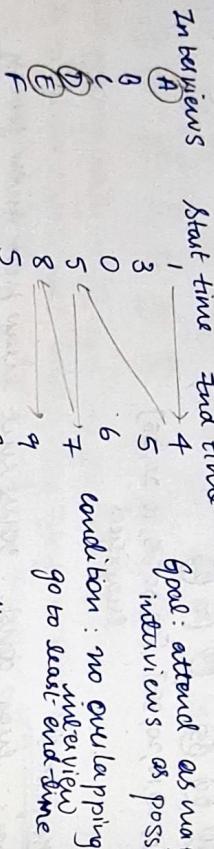
8.) construct a sweep from the list: 17, 21, 105, 2, 3, 11, 22

transfer & conquer  
↳ constructed → then transformed → then conquer  
as BT → to sweep (Sorting)

change of DS

greedy algorithm  
of problem st: → has n no. of feasible soln. but can leave  
only one optimized soln. ↗ best soln.

Approach used for PS which needs an optimized soln.  
A PS that requires a minimum/max schedule



Starting with min start time  $EFT_{1st}$  is small  
A-D-E no overlapping, each interview starts one off - the  
optimal soln. → 3 interviews (minimum optimization)

Knapsack problem  
knapsack has a capacity (weight) w  
each item has weight & benefit (cost)

- you have several items, each item has weight & benefit
- fill up the sack
- knapsack weight-capacity is not exceeded
- total benefit is maximal

(Max optimization)

Ranking the key components for a greedy alg.

- candidates see: set comprising of all the sets possible soln's for a PS
- solution fn. - used to choose / filter out best candidate to be added to the soln.
- feasibility fn. - applying a local cond. or pruning cond thereby to determine whether a candidate can be used
- to contribute to an effective soln.

• objective function used to assign a value to a soln. a partial soln. at each step of alg. helps the alg. make local optimal choices with a goal of finding a global optimum  
• Soln fn - used to indicate whether a complete/best possible soln. has been reached

minimum spanning tree

↳ connected weighted undirected graph  
↳ Best soln. from source to dest. ↗ increase the graph such that the weights are minimum.



Spanning tree can be drawn even if the graph is directed & disconnected

Kruskals algorithm  
minimum total spanning tree based on the arranged order of weights (ascending)  
↳ minimum ST cond: acyclic  
↳ should be  
↳ greedy alg. (how does it produce an optimal soln?)  
↳ 1st approach: establishing that the "Spanning Tree" ↗  
↳ 2nd approach: "exchange argument"  
↳ Eg: take all possible soln. → use the greedy cond. to get a soln.  
↳ Step by step process → decisions/1/P's at every step helps get a soln. Eg: Interview than the others

minimum ST cond: acyclic

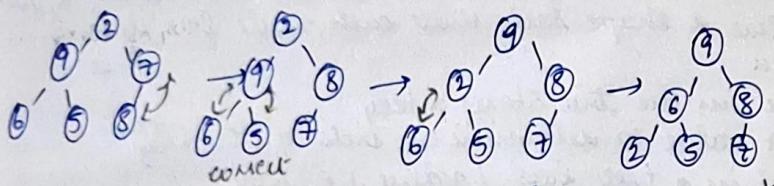
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↳ 2nd approach: "exchange argument"  
↳ Eg: take all possible soln. → use the greedy cond. to get a soln.  
↳ Step by step process → decisions/1/P's at every step helps get a soln. Eg: Interview than the others

Interview scheduling:  
P → see if all requests  $\in A \rightarrow$  all accepted requests  
while P is not empty  
choose a request i.e. r that has "smallest finishing time"  
add request r to A  
delete all req. from P that are not compatible with req r  
if req r is still smaller than all others return set A

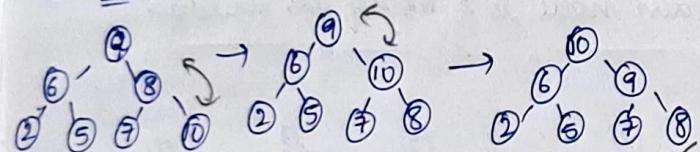
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29, 7, 6, 5, 8



Bottom up construction: chinking happens from bottom.

Add 10



time complexity  $\rightarrow O(\log n)$   $\rightarrow$  for insertion

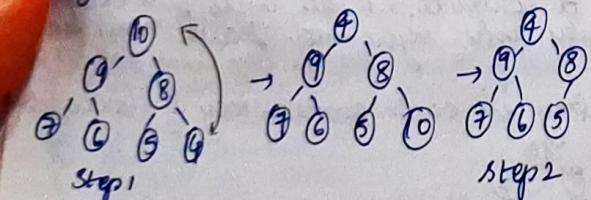
insertion can't take more key comparisons than the heap's height. it is a BT & two children gets added at each node

maximum key deletion from a heap

$\rightarrow$  exchange the root's key with last key of heap [Step 1]

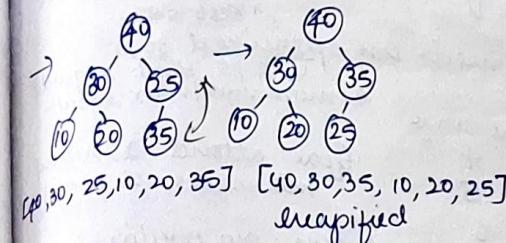
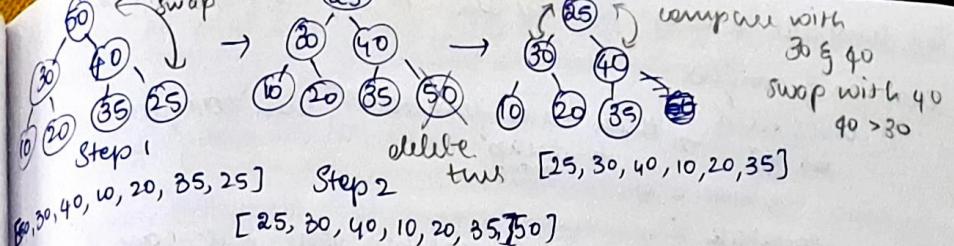
$\rightarrow$  dec. the heap's size by 1 [Step 2]

$\rightarrow$  'heapify' the smaller tree by shifting K down the tree exactly in the same way we did it in the bottom up heap const. algorithm [Bottom up heap const.] [Step 3]



Consider the heap represented as an array [50, 30, 10, 10, 20, 35, 25]

construct the BT, check if it's a heap  
Yes

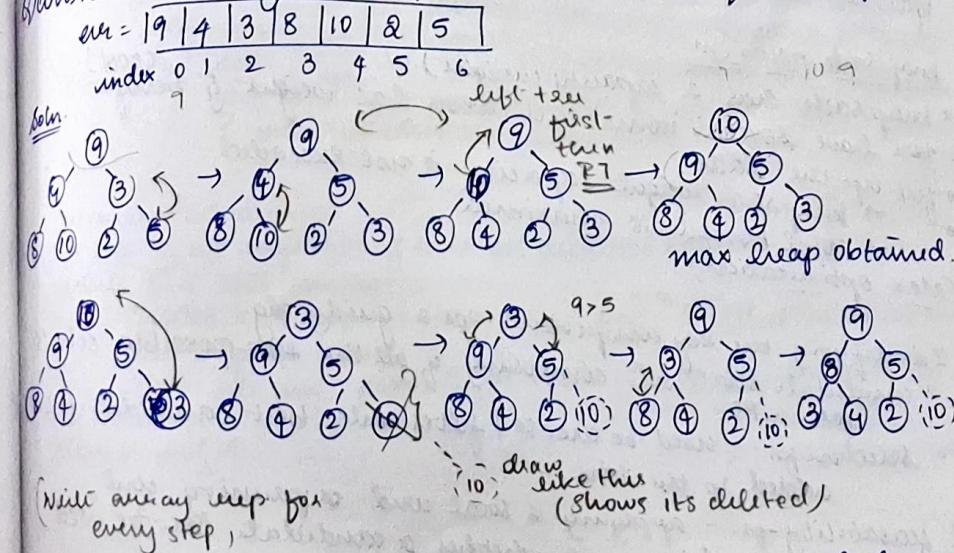


heapsort

Stage 1: always const. : construct heap from given array

Stage 2: max key deletion: apply the root deletion operation n-1 times to the remaining heap

Consider the array, arr = [9 4 3 8 10 2 5], perform heapsort



\* combine the processes until you get the sorted order

time complexity:  $O(n \log n)$   $\rightarrow$  recursive

array representation: [2, 3, 4, 5, 8, 9, 10]

array complexity:  $O(1)$   $\rightarrow$  recursive

visit C (from A)

mark C as visited

explore C's neighbours  $\rightarrow$  D, E

visit D (from C)

mark D as visited

D has no neighbours

stack: [B D]

visit E (from

mark E as visited

E has no neighbours

stack [B D E]

Back to

visit C

all neighbours visited, add to stack

stack: [B D E C]

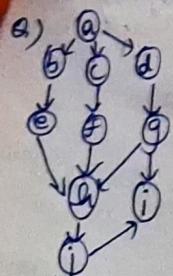
Back to A

all neighbours visited

add to stack

stack: [B D E C A]

reverse the stack: A C E D B



i j h e b f g d a

1. A binary tree is a DS which has almost 2 children (can't have odd no. of children)

2. Heap DS has a shape such that each node has up to 2 children

each node in the tree stores a key

1. A key is a value to determine the orders of the keys

2. Each node in a tree has 1 value i.e., key

min heap o max heap

for the key at each node is  $\geq$  keys of its children

min heap

eg. ②

③ ④

⑤ ⑥

⑦ ⑧

②

③ ④

⑤ ⑥

⑦ ⑧

②

③ ④

⑤ ⑥

⑦ ⑧

②

③ ④

⑤ ⑥

⑦ ⑧

②

③ ④

⑤ ⑥

⑦ ⑧

max heap

⑧

⑦ ⑥

⑤ ④

③ ②

⑧

⑦ ⑥

⑤ ④

③ ②

properties of heap

shape: the BT should be complete i.e. all levels full except last level where only some right-most leaves may be missing.

last level of Right subtree can have only a left child (leftmost order)

parental dominance: key in each node  $\geq$  key of its children

