

# IMAGE FORMATION



1

## SAMPLING & CONVOLUTION

- 2D signals in time and frequency domain
- Spatial sampling – resizing an image
- Intensity quantization
  - how many bits used to store a pixel in an image
  - impact on the quality of the image
- Correlation and convolution
- Location of specific patterns using a template (cross correlation)
- Filtering techniques



2

# IMAGE FORMATION

- Sampling
- Quantization
- Resize an image with sampling
- Quantize colors



3

# SAMPLING

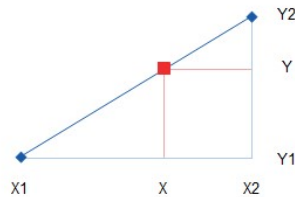
- Selection/rejection of image pixels
- Spatial operation
- Increase or reduce the size of an image (up sampling, down sampling)
- Upsampling
  - New larger images will have some pixels that have no corresponding pixels in the original smaller image
  - Guess those pixel values
    - An aggregate - the mean value of its nearest known one or more pixel-neighbor values
    - An interpolated value using pixel-neighbors with bilinear or cubic interpolation
  - Nearest neighbor-based up-sampling may result in a poor quality output image



4

# UPSAMPLING & INTERPOLATION

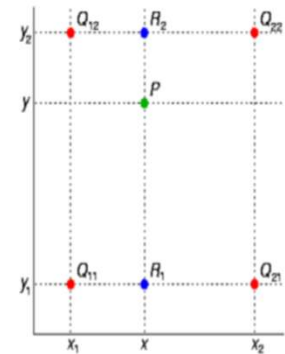
- Bi-linear interpolation
  - 2D analogue of linear interpolation



$$\frac{(X - X_1)}{(X_2 - X_1)} = \frac{(Y - Y_1)}{(Y_2 - Y_1)}$$

$$Y = Y_1 + (X - X_1) \frac{(Y_2 - Y_1)}{(X_2 - X_1)}$$

$$\begin{aligned} f(x, y) \approx & \frac{f(Q_{11})}{(x_2 - x_1)(y_2 - y_1)}(x_2 - x)(y_2 - y) \\ & + \frac{f(Q_{21})}{(x_2 - x_1)(y_2 - y_1)}(x - x_1)(y_2 - y) \\ & + \frac{f(Q_{12})}{(x_2 - x_1)(y_2 - y_1)}(x_2 - x)(y - y_1) \\ & + \frac{f(Q_{22})}{(x_2 - x_1)(y_2 - y_1)}(x - x_1)(y - y_1). \end{aligned}$$

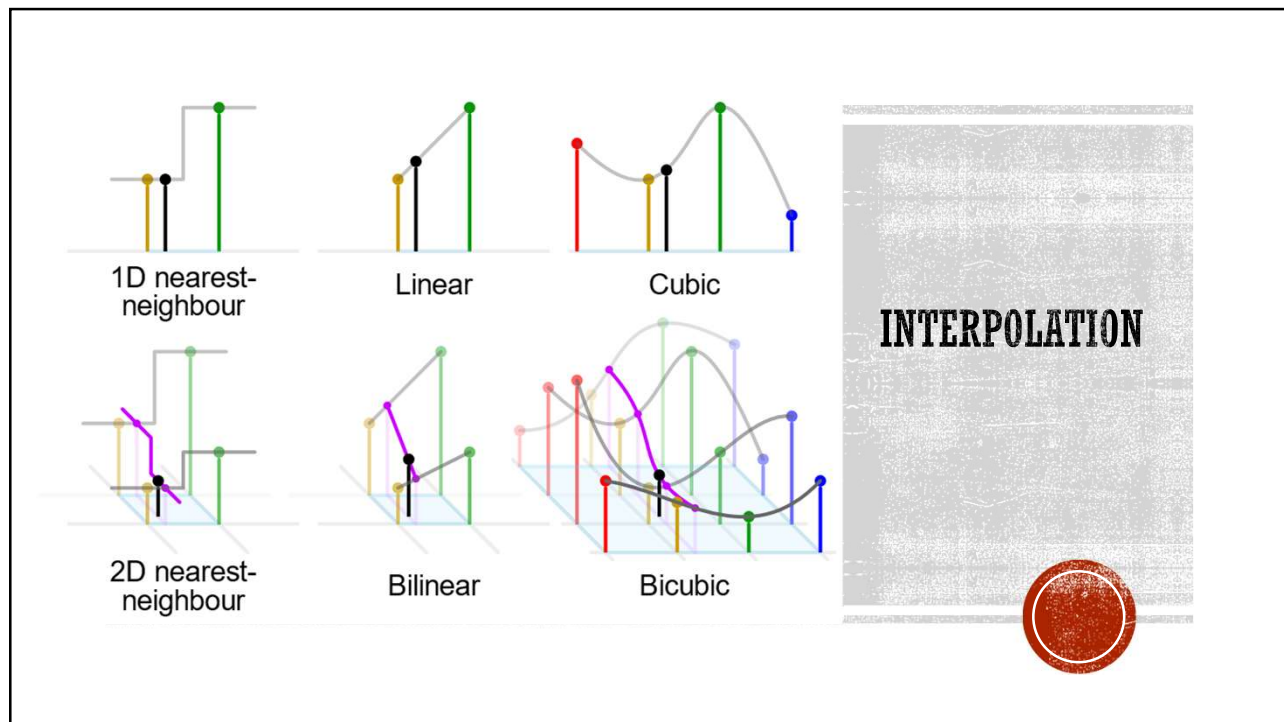


5

# UPSAMPLING & INTERPOLATION

- Bi-cubic interpolation
  - Extension of cubic interpolation
  - Interpolated surface is smoother than corresponding surfaces obtained by bi-linear or nearest neighbor interpolation
  - Accomplished using Lagrange polynomials, cubic splines, or cubic convolution algorithm
  - Cubic spline interpolation in a 4 x 4 environment

6



7

## DOWN-SAMPLING

- For each pixel in a new smaller image – multiple pixels in the original larger image
- Dropping some pixels from the larger image in a systematic way
  - For example, dropping every other row and column if we want an image a fourth of the size of the original image
- Computing the new pixel value as an aggregate value of the corresponding multiple pixels in the original image
- not very good for shrinking images
  - creates an aliasing effect
  - patchy and bad output
- Anti-aliasing
  - single pixel in the output image corresponds to 25 pixels in the input image, but sampling the value of a single pixel instead
  - averaging over a small area in the input image, **ANTI\_ALIAS** – high-quality down-sampling filter
  - Smoothing an image – LPF such as Gaussian filter before down-sampling



8

# QUANTIZATION

- Related to the intensity of an image
- Number of bits used per pixel
- Typically quantized to 256 gray levels
- As number of bits for pixel storage decreases, the quantization error increases
- Artificial boundaries or contours and pixelating – poor quality
- Color quantization – convert () with the P mode, color argument as the maximum number of possible colors
- Signal to Noise ratio (SNR) – mean divided by the standard deviation
- Image quality decreases as the number of bits to store a pixel reduces
  - Reduces image size (number of bits/pixel reduced) – poor quality
- Higher the SNR – better the quality



9

# CONVOLUTION

- Operates on two images – input image, mask or kernel or filter or window
- Modify spatial frequency characteristics
- Determine the value of a central pixel – adding the weighted values of all of its neighbors
- Traversing the kernel through the image
- Applies a general purpose filter effect on the input image
- Achieve various effects with appropriate kernels
  - Smoothing
  - Sharpening
  - Embossing
  - Edge detection



10

# Mechanics of Spatial Filtering

- \*  $g(m,n) = T[f(m,n)]$ ,  $g(m,n) = h(m,n)*f(m,n)$ 
  - \* if the operator  $T$  is linear & shift invariant
  - \*  $h(m,n)$  is of finite extent,  $G(u,v) = H(u,v)F(u,v)$
  - \* can be interpreted in the frequency domain as a filtering operation.
    - \* It has the effect of filtering frequency components (passing certain frequency components and stopping others).
  - \* If  $h(m, n)$  is a  $3 \times 3$  mask, output  $g(m, n)$  is computed by sliding the mask over each pixel of the image  $f(m, n)$ .
- \* Special care is required for the pixels at the border of image  $f(m, n)$ . This depends on the so-called boundary condition. Common choices are:
  - \* The mask is truncated at the border (free boundary)
  - \* The image is extended by appending extra rows/columns at the boundaries. The extension is done by repeating the first/last row/column or by setting them to some constant (fixed boundary).
  - \* The boundaries wrap around (periodic boundary).

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11

11

# Spatial Filtering

## \* Procedure

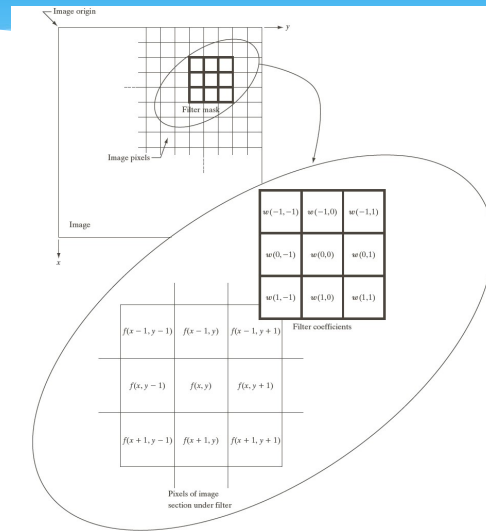
- \* Move the filter mask from point to point
- \* Response of the filter at that point is calculated using a predefined relationship
  - \* Response is given by a sum of products of the filter coefficients and the corresponding image pixels in the area spanned by the filter mask
- \* For a  $3 \times 3$  mask
  - \*  $R = w(-1,-1)f(x-1,y-1) + w(-1,0)f(x-1,y) + \dots + w(0,0)f(x,y) + \dots + w(1,0)f(x+1,y) + w(1,1)f(x+1,y+1)$  or  
 $R = \sum_{i=-1}^1 \sum_{j=-1}^1 w_{ij}z_{ij}$
  - \*  $w(0,0)$  coincides with image value  $f(x,y)$ 
    - \* Mask is centered at  $(x,y)$

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12

12

# Spatial Filtering



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13

## Example

Correlation			Convolution		
Origin	f	w	Origin	f	w rotated 180°
(a)	0 0 0 1 0 0 0 0	1 2 3 2 8	(i)	0 0 0 1 0 0 0 0	8 2 3 2 1
(b)	0 0 0 1 0 0 0 0	1 2 3 2 8	(j)	0 0 0 1 0 0 0 0	8 2 3 2 1
Starting position alignment					
(c)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	1 2 3 2 8	(k)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	8 2 3 2 1
(d)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	1 2 3 2 8	(l)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	8 2 3 2 1
Position after one shift					
(e)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	1 2 3 2 8	(m)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	8 2 3 2 1
Position after four shifts					
(f)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	1 2 3 2 8	(n)	0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0	8 2 3 2 1
Final position					
Full correlation result			Full convolution result		
(g)	0 0 0 8 2 3 2 1 0 0 0 0		(o)	0 0 0 1 2 3 2 8 0 0 0 0	
Cropped correlation result			Cropped convolution result		
(h)	0 8 2 3 2 1 0 0		(p)	0 1 2 3 2 8 0 0	

FIGURE 3.29 Illustration of 1-D correlation and convolution of a filter with a discrete unit impulse. Note that correlation and convolution are functions of displacement.

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14





## Smoothing Linear Filters

$w_1$	$w_2$	$w_3$			
$w_4$	$w_5$	$w_6$	$\frac{1}{9} \times$	1	1
$w_7$	$w_8$	$w_9$		1	1
				1	1

$\frac{1}{16} \times$	1	2	1
	2	4	2
	1	2	1

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17

17

## Smoothing Kernels

- ★ Box kernel
- \* Gaussian kernel

$\frac{1}{9} \times$	1	1	1
	1	1	1
	1	1	1

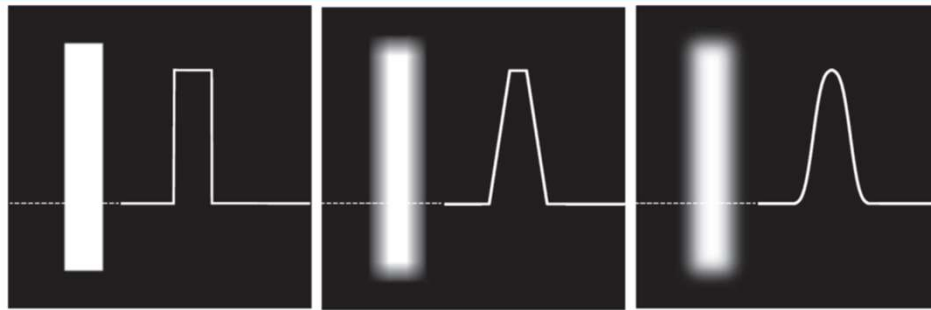
$\frac{1}{4.8976} \times$	0.3679	0.6065	0.3679
	0.6065	1.0000	0.6065
	0.3679	0.6065	0.3679

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18

18

## Smoothing Spatial Filters



a b c

**FIGURE 3.38** (a) Image of a white rectangle on a black background, and a horizontal intensity profile along the scan line shown dotted. (b) Result of smoothing this image with a box kernel of size  $71 \times 71$ , and corresponding intensity profile. (c) Result of smoothing the image using a Gaussian kernel of size  $151 \times 151$ , with  $K = 1$  and  $\sigma = 25$ . Note the smoothness of the profile in (c) compared to (b). The image and rectangle are of sizes  $1024 \times 1024$  and  $768 \times 128$  pixels, respectively.

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19

19

## EXAMPLES

- Blur an image – Box kernel
- Detect edges from a gray scale image – Laplace kernel
- `convolve2d ()` – mode, boundary, fillvalue
  - mode = 'full' – This is the default mode, in which the output is the full discrete linear convolution of the input
  - mode = 'valid' – This ignores edge pixels and only computes for those pixels with all neighbors (pixels that do not need zero-padding). The output image size is less than the input image size for all kernels (except  $1 \times 1$ )
  - mode = 'same' – The output image has the same size as the input image; it is centered with regards to the 'full' output
  - RGB image – apply convolution separately for each channel
  - `ndimage.convolve ()` – convolve RGB image directly



20

## CORRELATION VS CONVOLUTION

- convolution flips the kernel twice (horizontal and vertical axis) before computing the weighted combination
- Example:
  - Cross correlation with an eye template image
  - Location of the eye in the raccoon-face image
  - Location – best match with the template (largest cross correlation value)



21

## SHARPENING SPATIAL FILTERS

- Highlight fine detail in an image
- Enhance detail that has been blurred (either in error or as a natural effect of image acquisition)
- Blurring vs Sharpening
  - Blurring/smooth is done in spatial domain by pixel averaging of neighbors
  - process of integration
  - Sharpening is an inverse process, to find the difference in a neighborhood
  - done by spatial differentiation



22

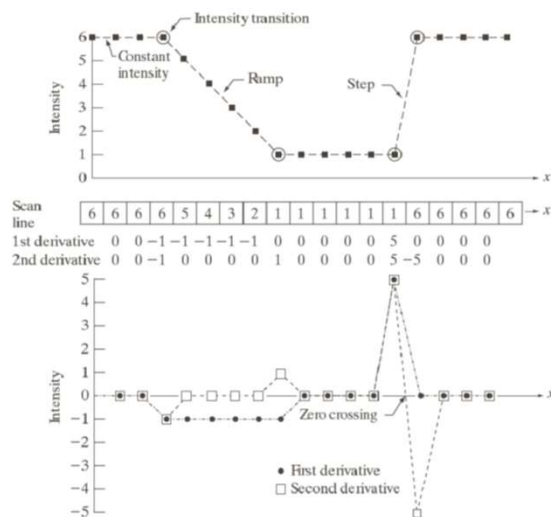
# DERIVATIVE OPERATOR

- Strength of the response of a derivative operator is proportional to the degree of discontinuity of the image at the point at which the operator is applied
- Image differentiation
  - enhances edges and other discontinuities (noise)
  - deemphasizes area with slowly varying gray-level values
- First order derivative  $\frac{\partial f}{\partial x} = f(x+1) - f(x)$
- Second order derivative  $\frac{\partial^2 f}{\partial x^2} = f(x+1) + f(x-1) - 2f(x)$



23

## EXAMPLE



24

## 2D DERIVATIVES

- Partial derivatives along the two spatial axes

Gradient operator  $\nabla f = \frac{\partial f(x, y)}{\partial x \partial y} = \frac{\partial f(x, y)}{\partial x} + \frac{\partial f(x, y)}{\partial y}$   
(linear operator)

Laplacian operator  $\nabla^2 f = \frac{\partial^2 f(x, y)}{\partial x^2} + \frac{\partial^2 f(x, y)}{\partial y^2}$   
(non-linear)



25

## DISCRETE FORM OF LAPLACIAN

$$\frac{\partial^2 f}{\partial x^2} = f(x+1, y) + f(x-1, y) - 2f(x, y)$$

$$\frac{\partial^2 f}{\partial y^2} = f(x, y+1) + f(x, y-1) - 2f(x, y)$$

0	1	0
1	-4	1
0	1	0

1	1	1
1	-8	1
1	1	1

$$\nabla^2 f = [f(x+1, y) + f(x-1, y) + f(x, y+1) + f(x, y-1) - 4f(x, y)]$$



26

## OTHER IMPLEMENTATIONS

- Give the same result
- When combining (add/subtract) a Laplacian filtered image with another image
- Highlights gray level discontinuities
- Deemphasizes regions with slowly varying gray levels
- Grayish edge lines and other discontinuities, superimposed on a dark featureless background

0	-1	0	-1	-1	-1
-1	4	-1	-1	8	-1
0	-1	0	-1	-1	-1



27

## SOLUTION

- Add original and Laplacian image (careful with Laplacian filter used)

$$g(x, y) = \begin{cases} f(x, y) - \nabla^2 f(x, y) & \text{if the center coefficient of the Laplacian mask is negative} \\ f(x, y) + \nabla^2 f(x, y) & \text{if the center coefficient of the Laplacian mask is positive} \end{cases}$$

0	-1	0
-1	5	-1
0	-1	0

-1	-1	-1
-1	9	-1
-1	-1	-1



28

## IMAGE SHARPENING MASK

$$g(x, y) = \begin{cases} f(x, y) - \nabla^2 f(x, y) \\ f(x, y) + \nabla^2 f(x, y) \end{cases}$$

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & -1 & 0 \\ -1 & 4 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 9 & -1 \\ 0 & -1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & -1 & 0 \\ -1 & 8 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$



29

## HIGH BOOST MASK

0	-1	0	-1	-1	-1
-1	A + 4	-1	-1	A + 8	-1
0	-1	0	-1	-1	-1



30

# IMAGE EMBOSSING

- Computer graphics technique
  - Each pixel of an image is replaced either by a highlight or a shadow
  - depending on light/dark boundaries on the original image
  - Low contrast areas are replaced by a gray background
- filtered image will represent the rate of color change at each location of the original image
- results in an image resembling a paper or metal embossing of the original image
- Directional difference filter



31

## EMBOSS FILTER MASKS

$$\begin{pmatrix} 0 & +1 & 0 \\ 0 & 0 & 0 \\ 0 & -1 & 0 \end{pmatrix}$$

$$\begin{pmatrix} +1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & 0 \\ +1 & 0 & -1 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & +1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & +1 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 0 & -1 \\ 0 & 0 & 0 \\ +1 & 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} -2 & -1 & 0 \\ -1 & 1 & 1 \\ 0 & 1 & 2 \end{pmatrix} = \begin{pmatrix} 0 & -1 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ -1 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} + \begin{pmatrix} -2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 2 \end{pmatrix}$$



32



# SUMMARY

- 2D DFT, applications in image processing (filtering)
- Sampling and Quantization
- FFT algorithms (image denoising and restoration)
- Correlation and Convolution
- Application of correlation in template matching

