

**DIVIDE AND CONQUER**

# INTRODUCTION

- Divide-and-conquer refers to a class of algorithmic techniques in which one breaks the input into several parts, solves the problem in each part recursively, and then combines the solutions to these sub-problems into an overall solution.

# MERGE SORT

**ALGORITHM**    *Mergesort*( $A[0..n - 1]$ )

//Sorts array  $A[0..n - 1]$  by recursive mergesort

//Input: An array  $A[0..n - 1]$  of orderable elements

//Output: Array  $A[0..n - 1]$  sorted in nondecreasing order

**if**  $n > 1$

    copy  $A[0..\lfloor n/2 \rfloor - 1]$  to  $B[0..\lfloor n/2 \rfloor - 1]$

    copy  $A[\lfloor n/2 \rfloor..n - 1]$  to  $C[0..\lceil n/2 \rceil - 1]$

*Mergesort*( $B[0..\lfloor n/2 \rfloor - 1]$ )

*Mergesort*( $C[0..\lceil n/2 \rceil - 1]$ )

*Merge*( $B, C, A$ )

# MERGE SORT

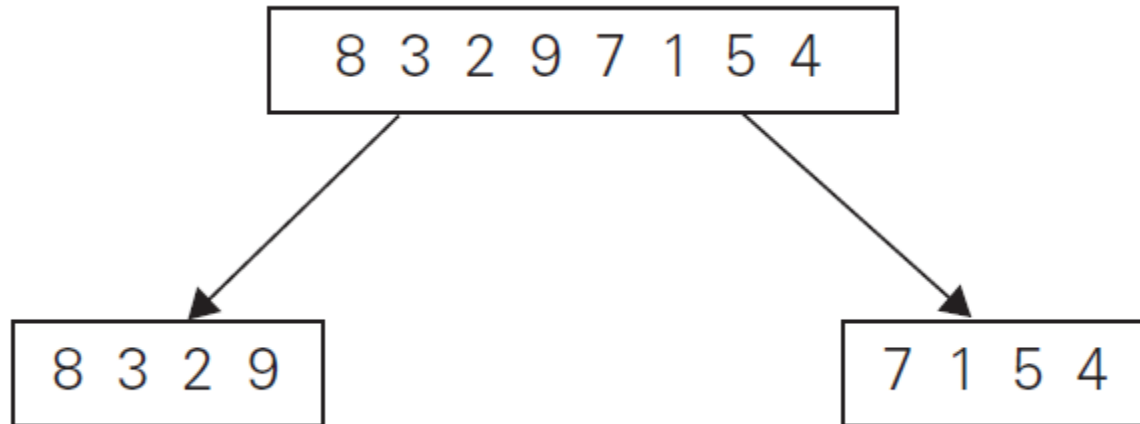
**ALGORITHM** *Merge*( $B[0..p-1]$ ,  $C[0..q-1]$ ,  $A[0..p+q-1]$ )

//Merges two sorted arrays into one sorted array  
//Input: Arrays  $B[0..p-1]$  and  $C[0..q-1]$  both sorted  
//Output: Sorted array  $A[0..p+q-1]$  of the elements of  $B$  and  $C$   
 $i \leftarrow 0$ ;  $j \leftarrow 0$ ;  $k \leftarrow 0$   
**while**  $i < p$  **and**  $j < q$  **do**  
    **if**  $B[i] \leq C[j]$   
         $A[k] \leftarrow B[i]$ ;  $i \leftarrow i + 1$   
    **else**  $A[k] \leftarrow C[j]$ ;  $j \leftarrow j + 1$   
     $k \leftarrow k + 1$   
**if**  $i = p$   
    copy  $C[j..q-1]$  to  $A[k..p+q-1]$   
**else** copy  $B[i..p-1]$  to  $A[k..p+q-1]$

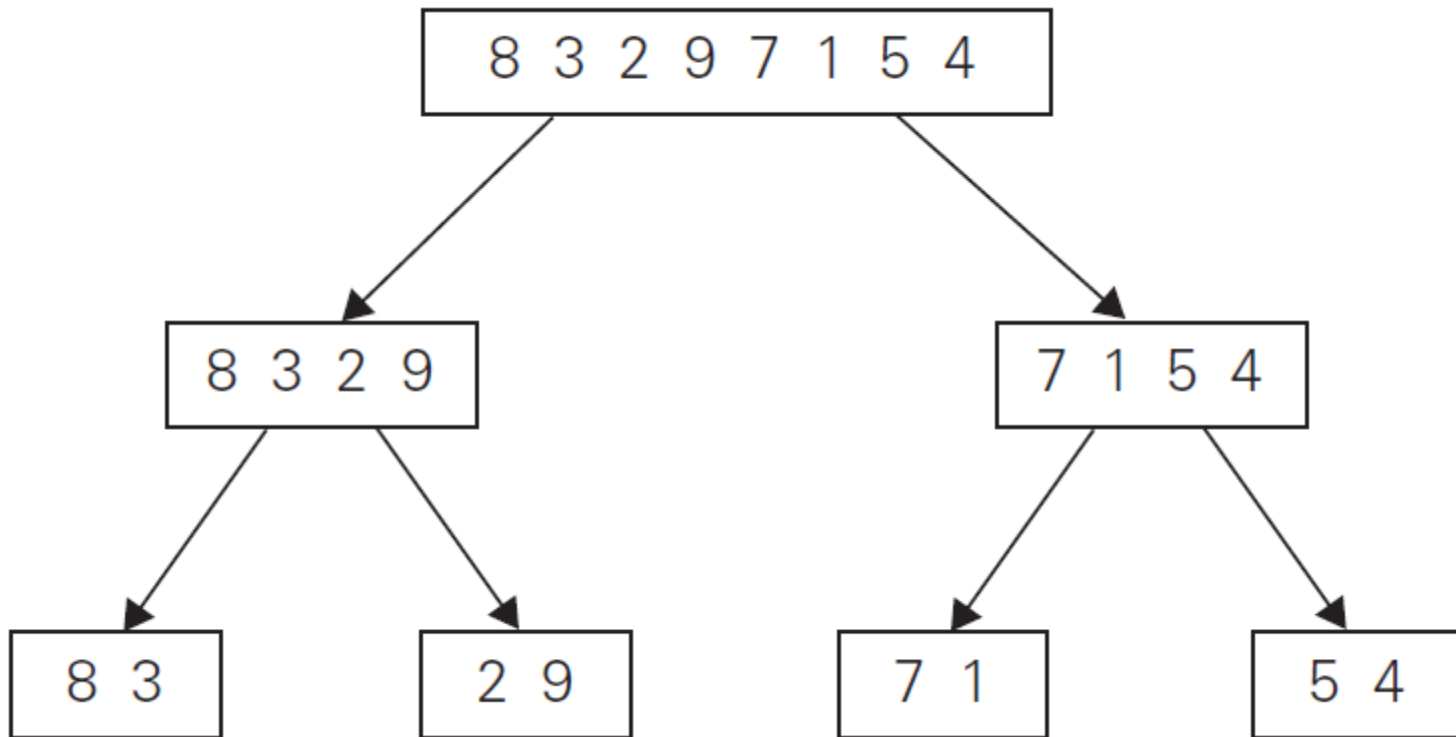
# MERGE SORT

8	3	2	9	7	1	5	4
---	---	---	---	---	---	---	---

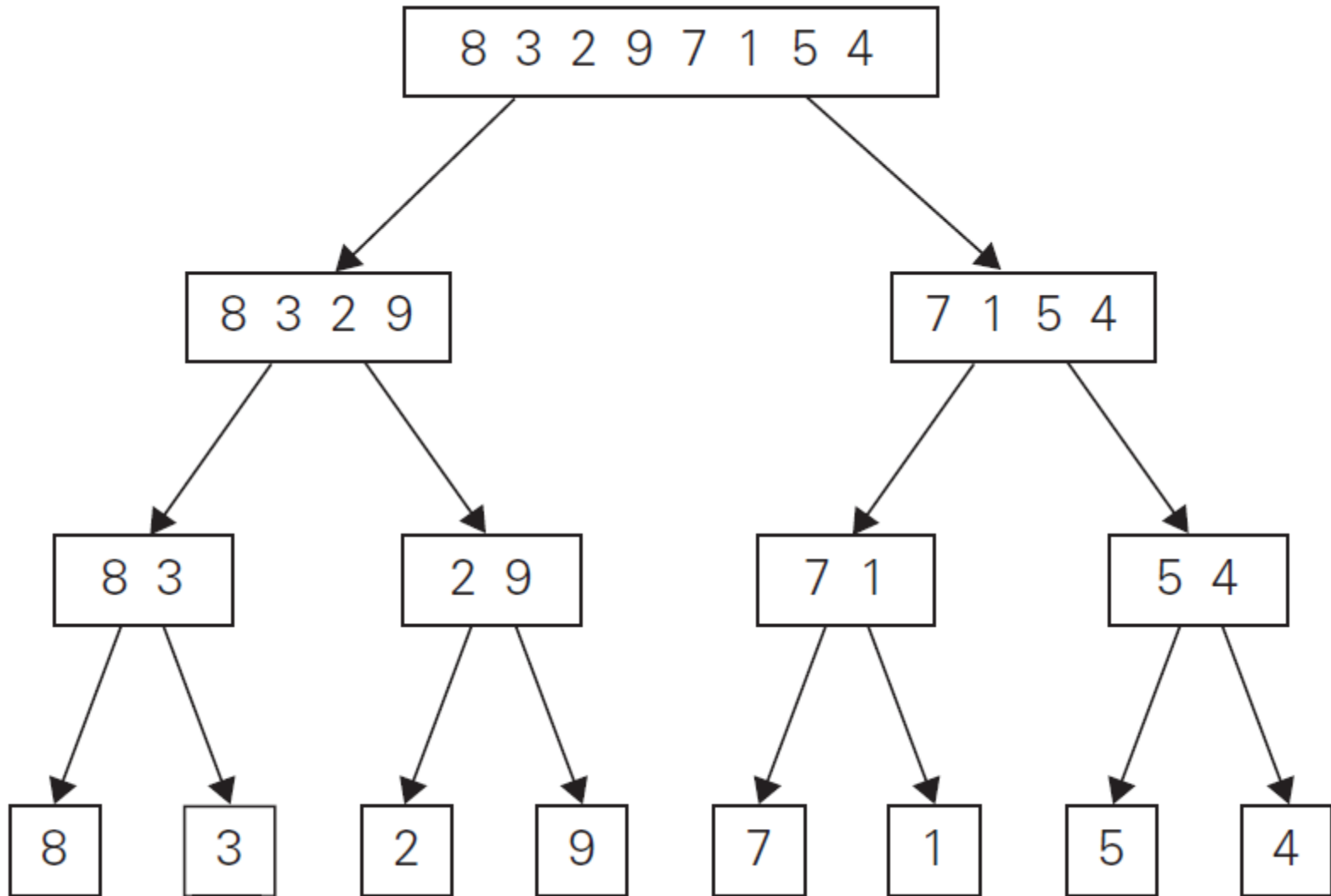
# MERGE SORT



# MERGE SORT

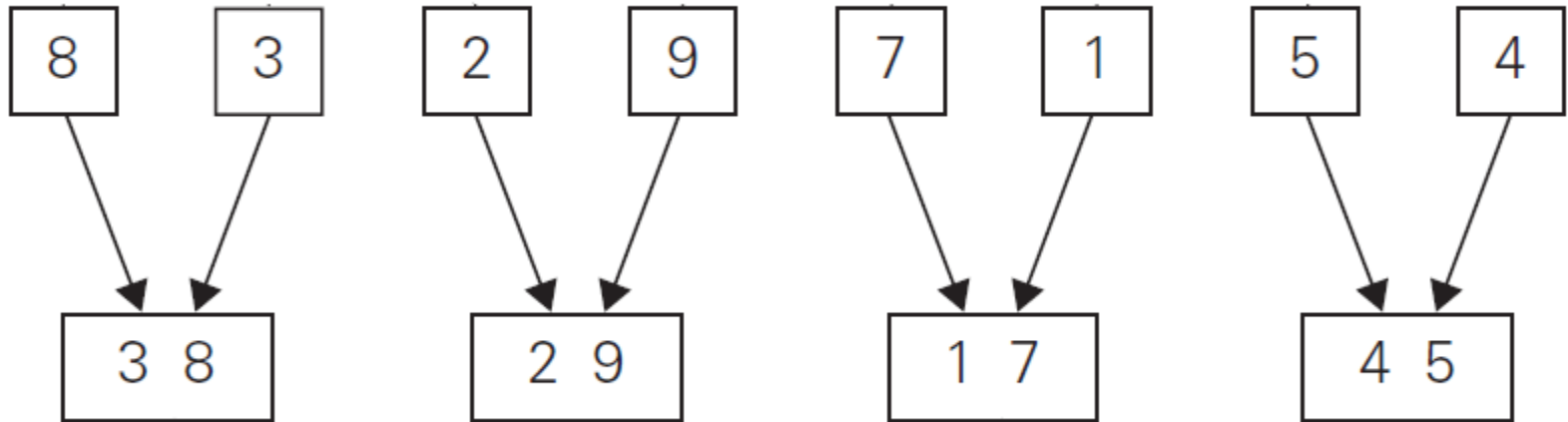


# MERGE SORT

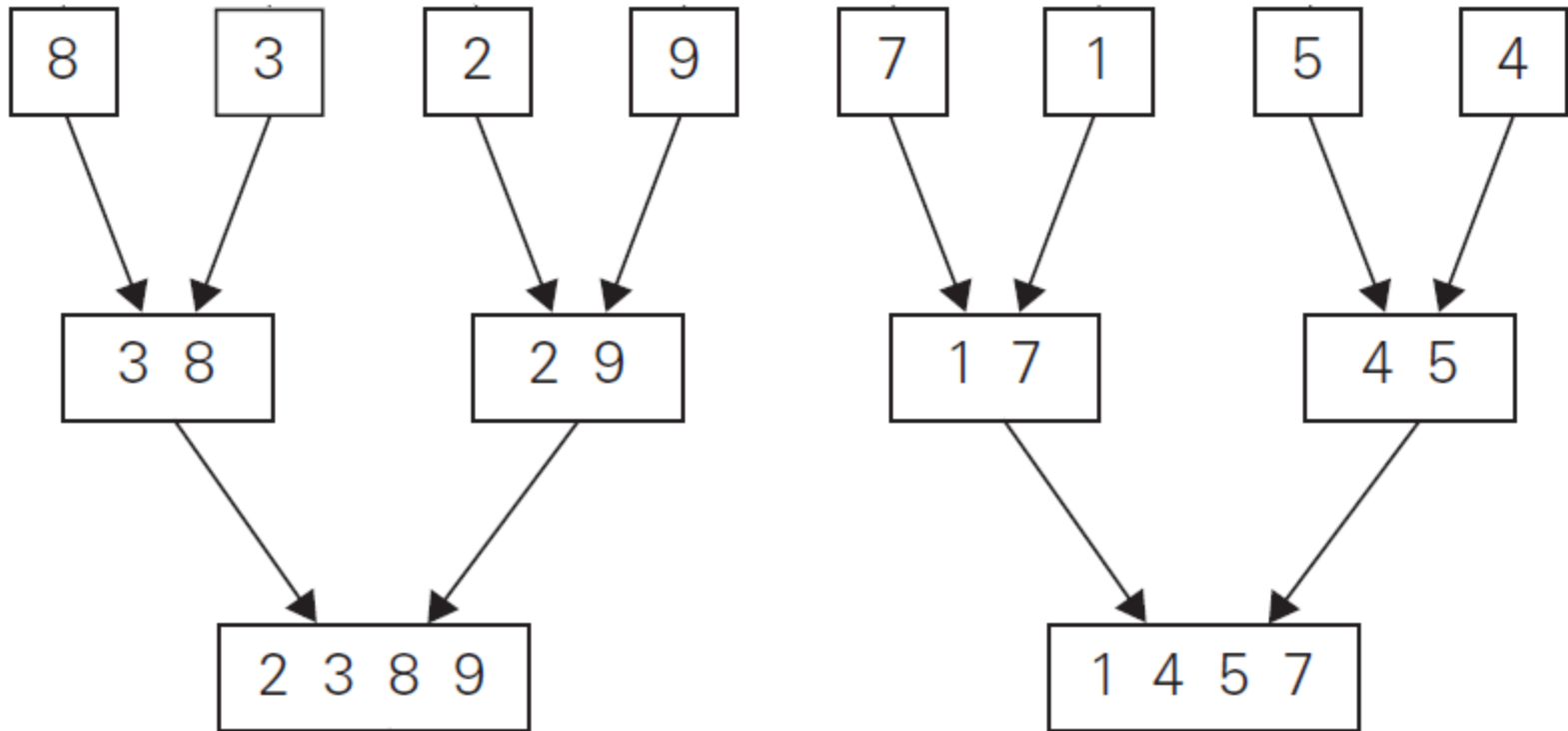




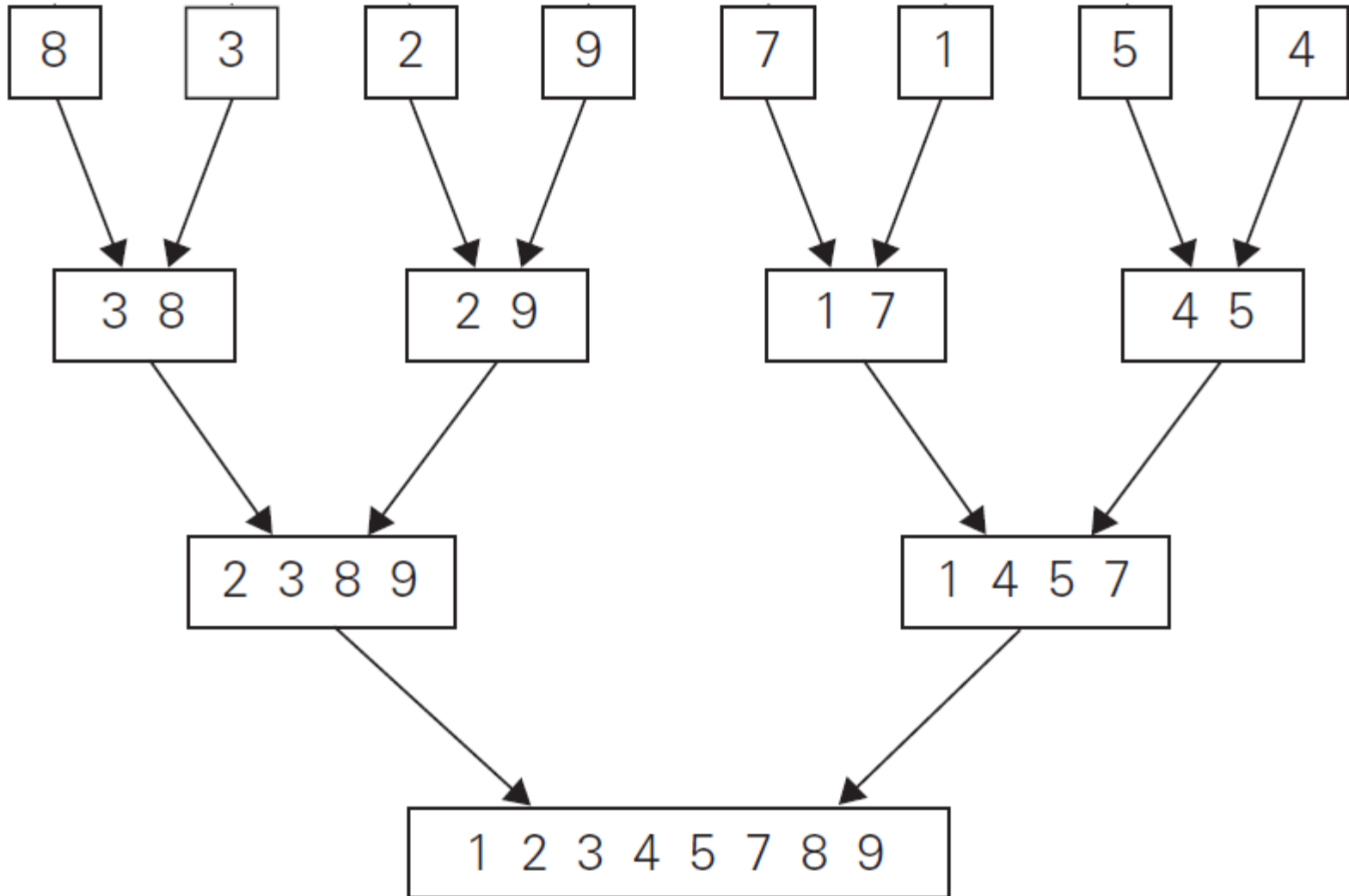
# MERGE SORT



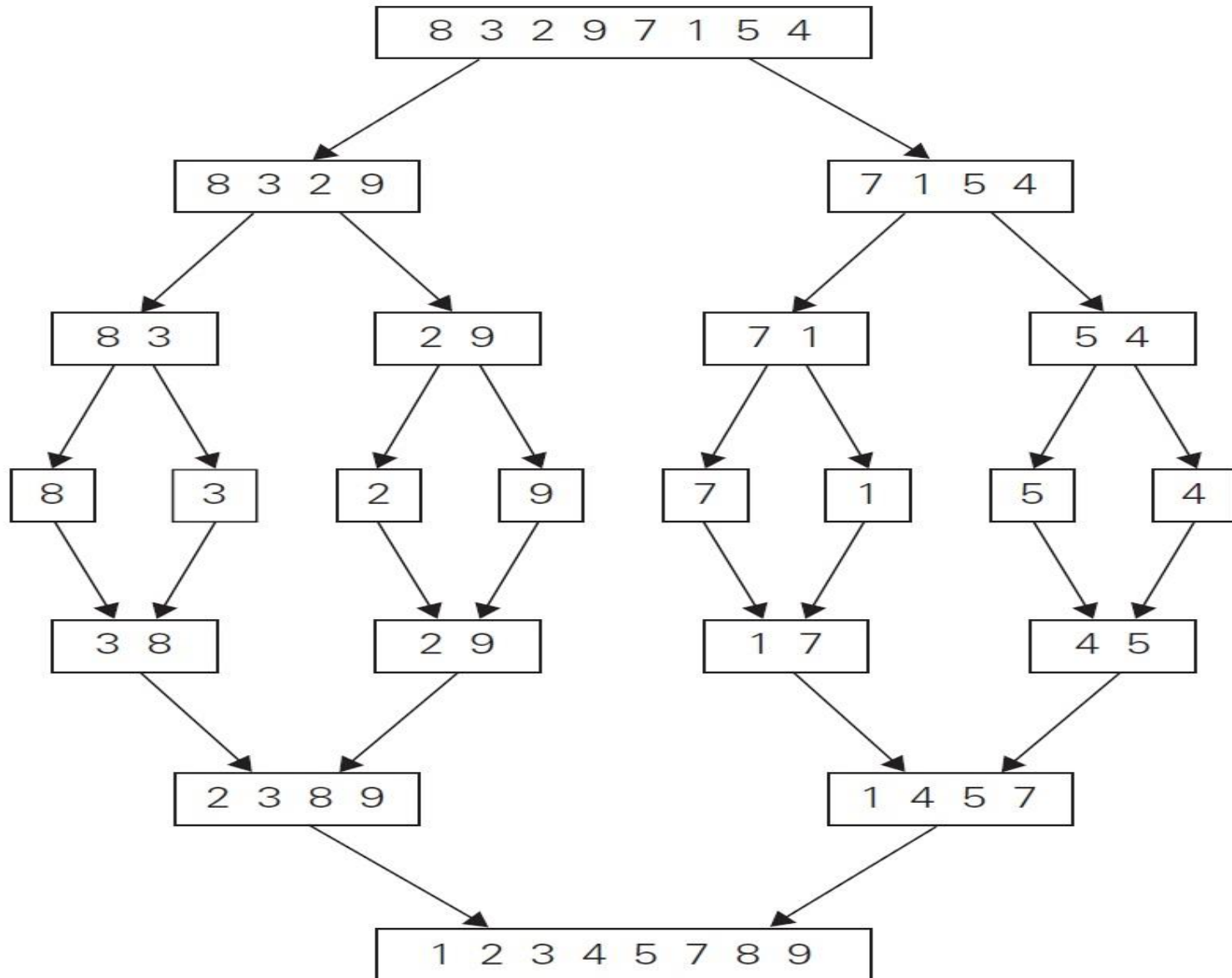
# MERGE SORT



# MERGE SORT



# MERGE SORT

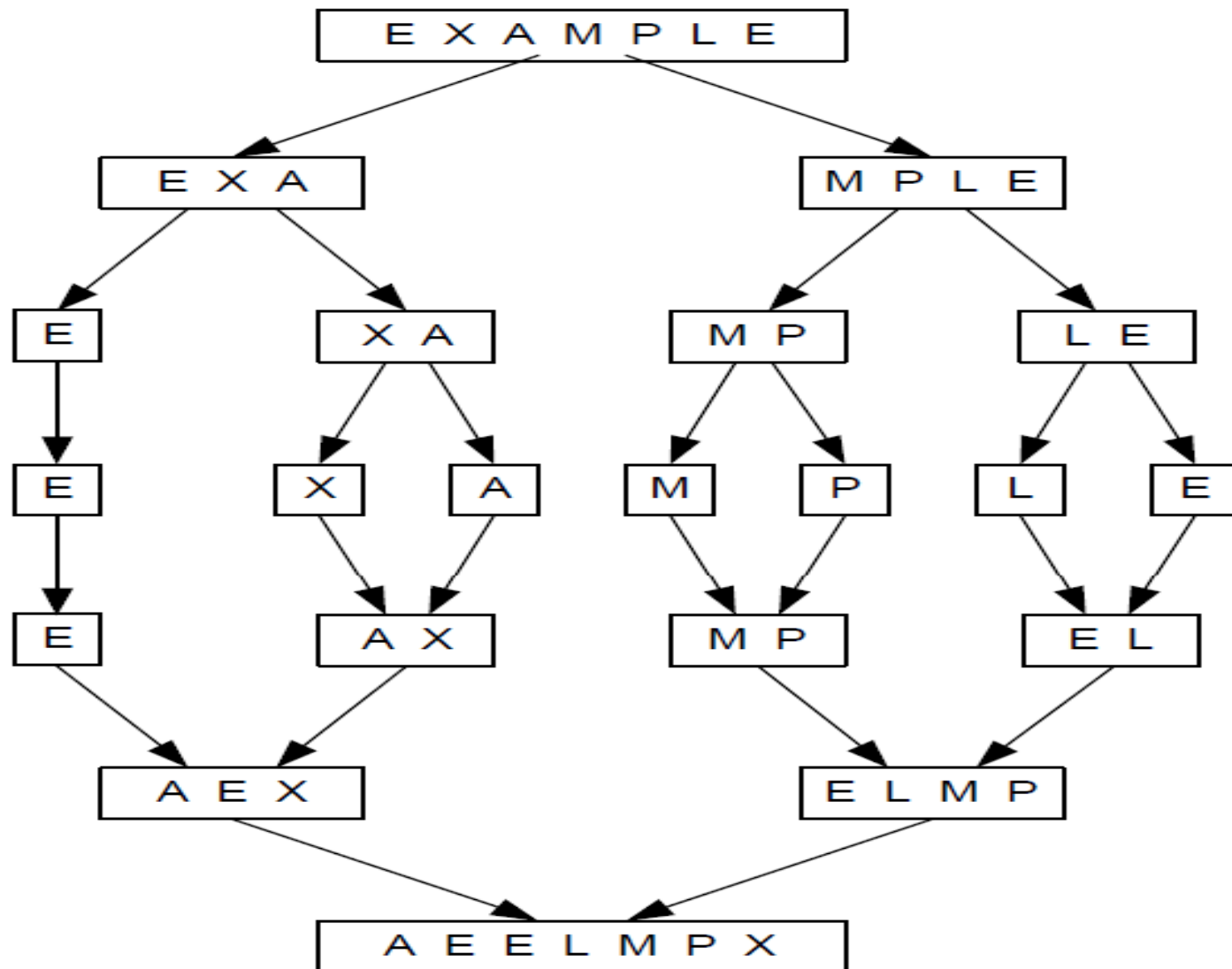


# MERGE SORT

Sort the following list using Merge sort

<b>E</b>	<b>X</b>	<b>A</b>	<b>M</b>	<b>P</b>	<b>L</b>	<b>E</b>
----------	----------	----------	----------	----------	----------	----------

# MERGE SORT



# **MERGESORT ANALYSIS**

# APPROACHES TO SOLVE RECURSION

## Approach 1:

1. Intuitive solution to recurrence is to “unroll” the recursion, accounting for the running time of first few levels.
2. Identify a pattern that can be continued as the recursion expands.
3. Sum the running times over all levels of the recursion and thereby arrives at a total running time.



## Step1: Analyze the first few levels.

- 1<sup>st</sup> level of recursion  $\rightarrow$  Single problem of size  $n \rightarrow O(n)$
- 2<sup>nd</sup> level of recursion  $\rightarrow$  2 problems each of size  $n/2 \rightarrow O(n/2)$
- 3<sup>rd</sup> level of recursion  $\rightarrow$  4 problems each of size  $n/4 \rightarrow O(n/4)$

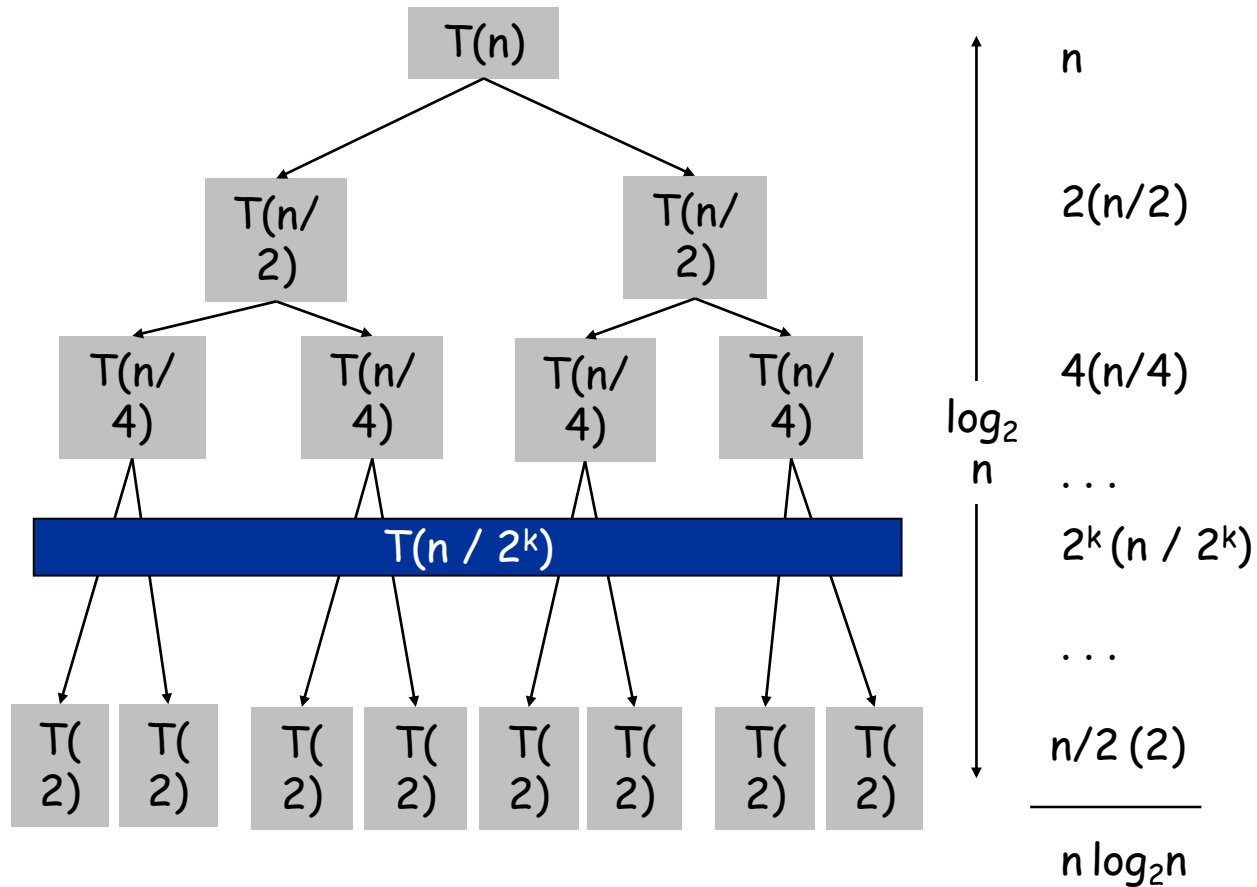
## Step 2: Identifying the pattern.

- At level  $j$  of the recursion, the number of subproblems are now a total of  $2^j$
- Each problem has shrunk in size by factor of 2 “ $j$ ” time  $\rightarrow n/2^j$

## Step 3: Summing overall levels of recursion.

- The number of times the input must be halved to reduce the size of  $n$  to 2 is  $\log n$
- There are totally “ $n$ ” levels of recursion  $\rightarrow O(n \log n)$

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ \underbrace{2T(n/2)}_{\text{sorting both halves}} + \underbrace{n}_{\text{merging}} & \text{otherwise} \end{cases}$$



# SUBSTITUTING A SOLUTION INTO THE MERGESORT RECURSION

$$T(n) = 2T(n/2) + n \quad \text{.....(1)}$$

$$T(n/2) = 2T(n/4) + n/2 \quad \text{.....(2)}$$

Put (2) in (1)

$$\begin{aligned} T(n) &= 2 \{ 2T(n/4) + n/2 \} + n \\ &= 2^2T(n/4) + n + n \\ &= 2^3T(n/8) + n + n + n \\ &= 2^4T(n/16) + n + n + n + n \\ &= 2^kT(n/2^k) + n + n + n + n + n \text{.....}(k \text{ times } n \text{ will be present}) \\ &= 2^kT(n/2^k) + nk \end{aligned}$$

Now put  $2^k = n$ , so  $k$  will be  $\log_2 n$

$$\begin{aligned} \text{So, } T(n) &= nT(2^k/2^k) + n\log_2 n \\ &= nT(1) + n\log_2 n \\ &= n + n\log_2 n \end{aligned}$$

Hence,  $T(n) = O(n \log_2 n)$

# COUNTING INVERSION

# COUNTING INVERSION

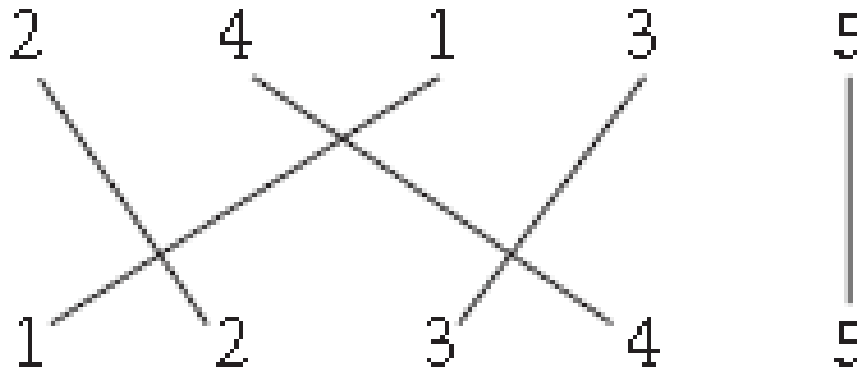
- **Introduction**
- We are given a sequence of  $n$  numbers  $a_1, \dots, a_n$ .
- We will assume that all the numbers are distinct.
- We want to define a measure that tells us how far this list is from being in ascending order.
- The value of the measure should be 0 if  $a_1 < a_2 < \dots < a_n$ , and should increase as the numbers become more scrambled.

# COUNTING INVERSION

- **Counting the Number of Inversions**
- The two indices  $i < j$  form an inversion if  $a_i > a_j$ , that is, if the two elements  $a_i$  and  $a_j$  are “out of order.”
- Counting the number of inversions is to determine the number of inversions in the sequence  $a_1, \dots, a_n$ .

# COUNTING INVERSION

- **Example**
- Sequence is 2, 4, 1, 3, 5.
- There are three inversions in this sequence: (2, 1), (4, 1), and (4, 3).



# COUNTING INVERSION

- **Example**
- If the sequence is in descending order, then every pair forms an inversion, and so there are  $n(n-1)/2$  pairs.

5	4	3	2	1
---	---	---	---	---

$\{5,4\}, \{5,3\}, \{5,2\}, \{5,1\}$
$\{4,3\}, \{4,2\}, \{4,1\}$
$\{3,2\}, \{3,1\}$
$\{2,1\}$



# COUNTING INVERSION

- **Algorithm**
- Look at every pair of numbers  $(a_i, a_j)$  and determine whether they constitute an inversion.
- This would take  $O(n^2)$  time.
- The basic idea is to follow the strategy of divide and conquer.
- We set  $m = \lfloor n/2 \rfloor$  and divide the list into the two pieces  $a_1, \dots, a_m$  and  $a_{m+1}, \dots, a_n$ .
- We first count the number of inversions in each of these two halves separately.
- Then we count the number of inversions  $(a_i, a_j)$ , where the two numbers belong to different halves.

# COUNTING INVERSION

- **Algorithm**
- Note that these first-half/second-half inversions have a particularly nice form: they are precisely the pairs  $(a_i, a_j)$ , where  $a_i$  is in the first half,  $a_j$  is in the second half.
- Suppose we have recursively sorted the first and second halves of the list and counted the inversions in each.
- We now have two sorted lists  $A$  and  $B$ , containing the first and second halves, respectively.
- We want to produce a single sorted list  $C$  from their union, while also counting the number of pairs  $(a, b)$  with  $a \in A$ ,  $b \in B$ , and  $a > b$ .

# COUNTING INVERSION

- **Algorithm: Counting Inversions**

//Purpose: To count the inversions for a given list  $L(a_1, a_2, \dots, a_n)$

//Input: An unsorted list of distinct numbers  $L(a_1, a_2, \dots, a_n)$

//Output: The number of inversions  $r$  for the list  $L(a_1, a_2, \dots, a_n)$

`Sort-and-Count( $L$ )`

`If the list has one element then`  
`there are no inversions`

`Else`

`Divide the list into two halves:`

`$A$  contains the first  $\lceil n/2 \rceil$  elements.`

`$B$  contains the remaining  $\lfloor n/2 \rfloor$  elements.`

`$(r_A, A)$  = Sort-and-Count( $A$ )`

`$(r_B, B)$  = Sort-and-Count( $B$ )`

`$(r, L)$  = Merge-and-Count( $A, B$ )`

`Endif`

`Return  $r = r_A + r_B + r$ , and the sorted list  $L$`

# COUNTING INVERSION

Merge-and-Count(A,B)

Maintain a Current pointer into each list, initialized to point to the front elements

Maintain a variable Count for the number of inversions, initialized to 0

While both lists are nonempty:

    Let  $a_i$  and  $b_j$  be the elements pointed to by the Current pointer

    Append the smaller of these two to the output list

    If  $b_j$  is the smaller element then

        Increment Count by the number of elements remaining in A

    Endif

    Advance the Current pointer in the list from which the smaller element was selected.

EndWhile

Once one list is empty, append the remainder of the other list to the output

Return Count and the merged list

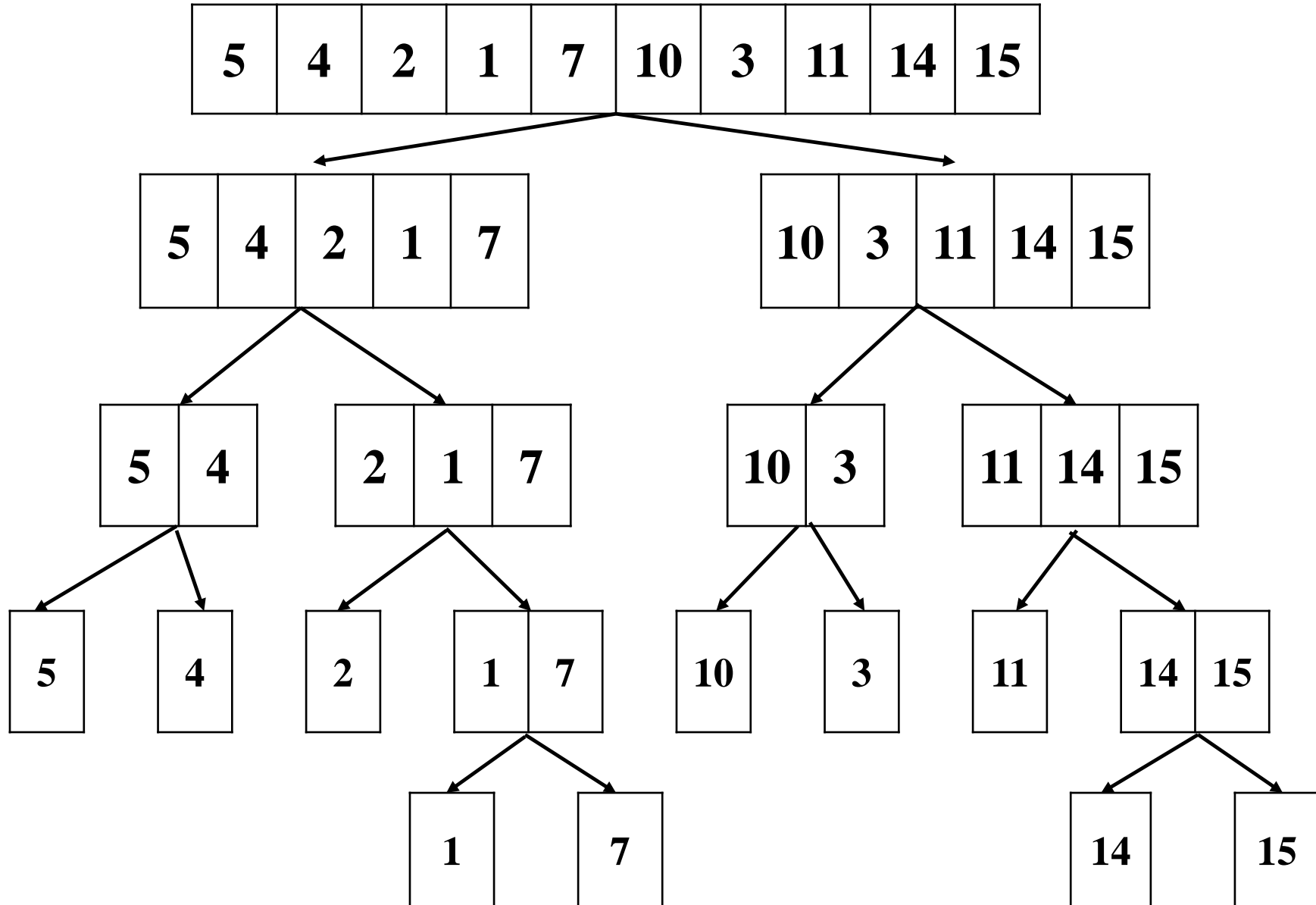
# COUNTING INVERSION

- **EXAMPLE**

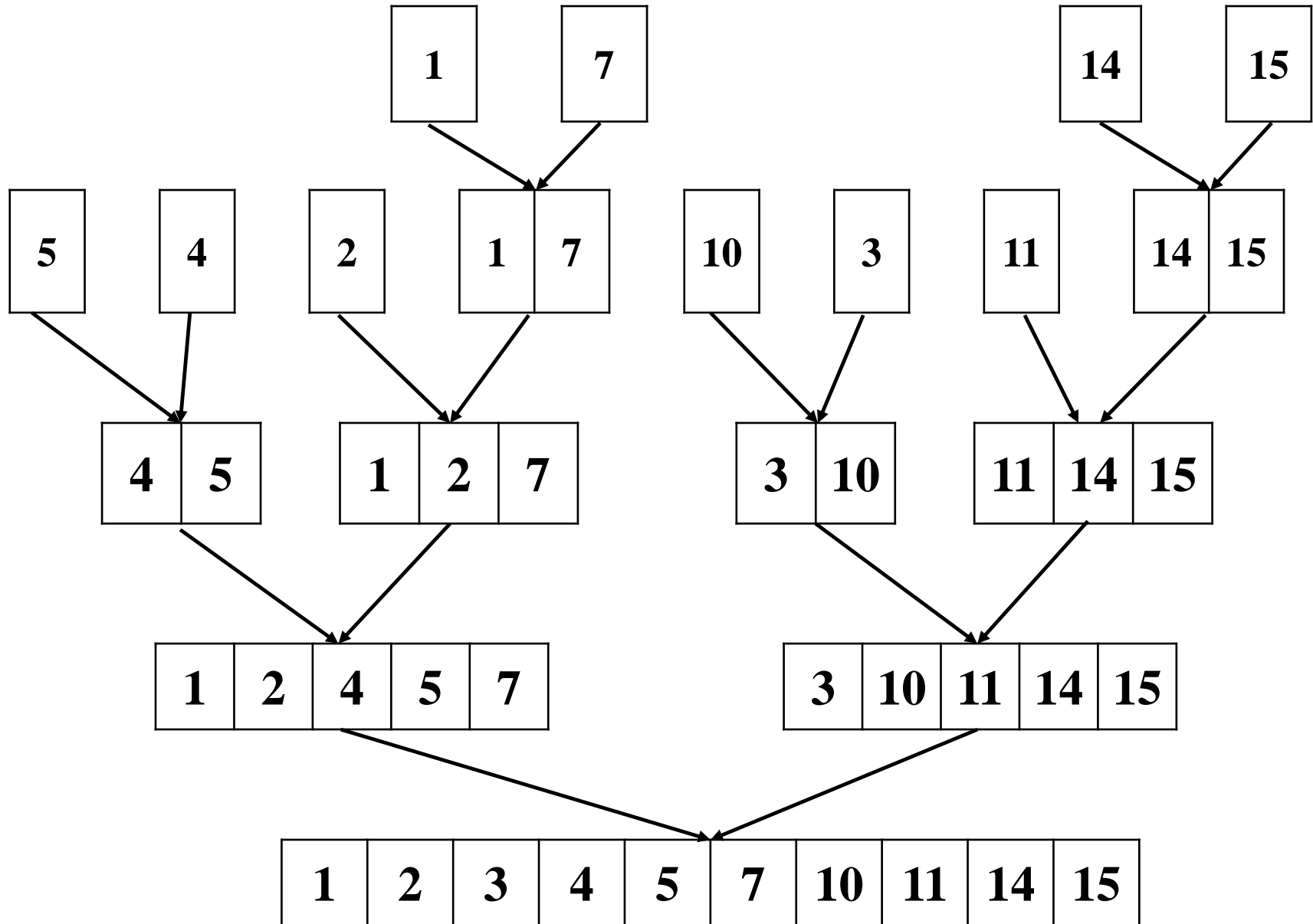
<b>5</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>7</b>	<b>10</b>	<b>3</b>	<b>11</b>	<b>14</b>	<b>15</b>
----------	----------	----------	----------	----------	-----------	----------	-----------	-----------	-----------

- **Total Inversions: 10**

# COUNTING INVERSION



# COUNTING INVERSION



**THANK YOU**