

# Facts behind the Words of a Patent Query

## Why Patent Prior-art Search Fails?

### ABSTRACT

#### Categories and Subject Descriptors

H.3.3 [Information Search and Retrieval]: Query Formulation

#### General Terms

Theory

#### Keywords

patent search, Query Reformulation, Data Analysis

## 1. INTRODUCTION

## 2. BASELINE

## 3. TERM ANALYSIS

The main complain about patent search is insufficient match between the content of patent queries and relevant patents[1, 2]. However, our analyses showed that only %20 overlap is sufficient for the system to retrieve a relevant or non-relevant patent at top-100 and except for few queries, non-retrieved relevant patents had enough matched term with the query. So, we start our experiments with term analysis for patent query and retrieved documents.

### 3.1 Discriminative Words

For our initial experiments, we identified the *discriminative words* by positive scoring the words in relevant documents and negative scoring the irrelevant one.

$$score(t, Q) = Rel(t) - Irr(t) \quad (1)$$

$$t \in \{\text{terms in top-100 retrieved documents}\}$$

Where  $Rel(t)$  is the average term frequency in retrieved relevant patents and  $Irr(t)$  is the average term frequency in

retrieved irrelevant patents. Words with a positive score consider *useful terms* since they are more frequent in relevant patents.

Surprisingly, we could not find any correlation between the percentage of *useful terms* and the performance. We expected a higher performance for the queries with more *useful terms*.

#### 3.1.1 Optimal RF<sup>1</sup> Query Formulation

We hypothesize that a query formulated by *useful terms* is optimal since they are all frequent in relevant patents and rare in irrelevant ones. Table 1 compares the performance for baseline where the query is the full patent query both weighted and unweighed with the performance for optimal RF query weighted with the score of each term(formula 1) and unweighed.

Table 1: .

	Pat. Query Weight:TF	Pat. Query Weight:1	Opt. RFQuery Weight:Score(t)	Opt. RFQuery Weight:1
PRES	0.5355	0.4268	0.6086	0.6087
MAP	0.1618	0.1181	0.4617	0.5075
A. Recall	0.5491	0.4385	0.6129	0.6118

It can be seen that ‘MAP’ jumps from 0.1618 to **0.5075** which is about %35 increase. Fig. ... shows

#### 3.1.2 Query Reduction by RF

## 3.2 What did not Work

#### 3.2.1 Pseudo Relevance Feedback

#### 3.2.2 Identify the Noisy Words

## 3.3 Improvement by Minimum User Effort

## 4. RELATED WORK

## 5. CONCLUSIONS

## 6. ACKNOWLEDGMENTS

<sup>1</sup>Relevance Feedback

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## 7. REFERENCES

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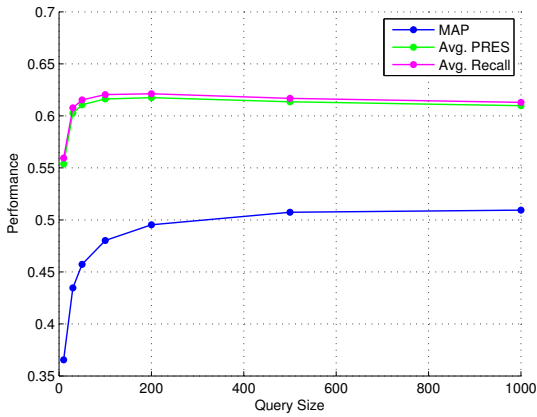
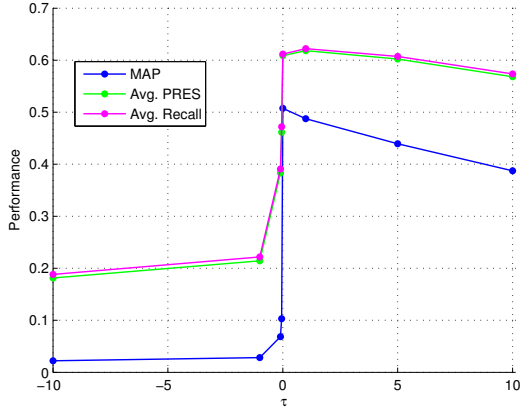


Figure 1: Potential for 0.5 V bias.