

L3-1

Process Synchronisations - Process Types - Race  
Conditions

## Processes

Cooperative Independent  
processes processes

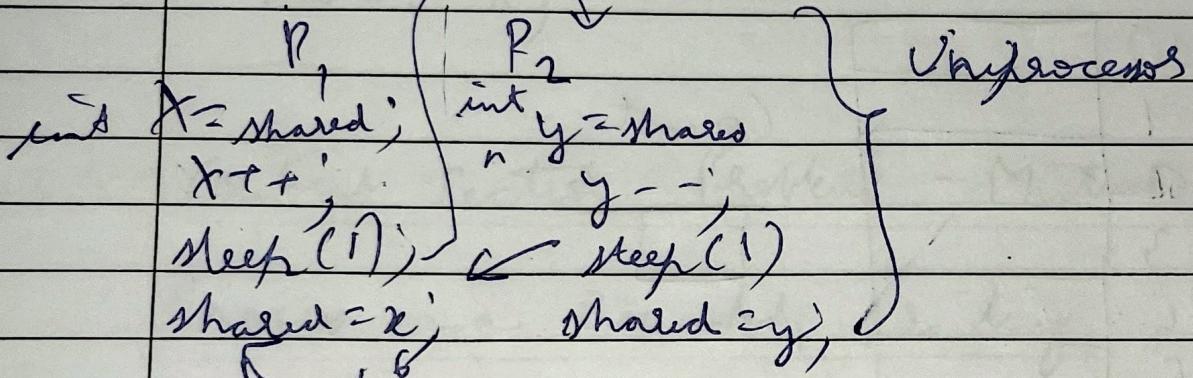
Process can share

- \* Variable
- \* Memory
- \* Code
- \* Resources

Printer

Scanner

→ Context switching



Terminated

Shared gets updated 6 times

This process is called race condition

## L-3-2 Producer Consumer Problem

void consumer(void)

int item();

while (true)

{

Buffer empty

— / —

while ( $count \geq 0$ );  
item C = Buffer (out)  
out = (out + 1) mod n;  
count = count - 1  
Process-item (item C);  
} }  
1) load R<sub>c</sub>, m [count]  
2) DECR R<sub>c</sub>  
3) store m [count] R<sub>c</sub>

n = 2

Buffer [0 ... n-1]

0	
1	
2	
3	
4	
5	
6	
7	

(count)

int count = 0;

void producer (void)

{

int temp;  
while (true)

{

produce-item (temp);

while ( $count \geq n$ );  $\rightarrow$  Buffer overflow

buffer [in] = temp;

in = (in + 1) ~~like~~ mod n;

count = count + 1

$\rightarrow$  Load R<sub>p</sub>, m [count]

INCR R<sub>p</sub>

Store m [count], R<sub>p</sub>

Case I: Best Case

Processor produces items and stores in the buffer.

Consumer consumes the items from a particular position from the buffer.

Case II: If produced preempts & next, consumer preempts

Flow: Product  $I_1, I_2$  Consumed  $I_1, I_2$  Produced  $I_3$   
Consumed  $I_3$

L-3-4 Critical Section Problem - Mutual Exclusion

Process and Bounded Waiting

Critical Section  $\rightarrow$  it is part of the program where shared resources are accessed by various processes

\* Critical section is the place where shared variables/resources are placed

Process-1

Process-2

main()

main()

A, B Non-critical  
critical section

Entry section

Count ++

c8

Exit Section

Non-critical

D, E

Entry section

Count --

c9

Exit section

Race condition occurs if critical section of 2 processes are accessed simultaneously

To access critical section, the entry section code must be executed

Rules for synchronisation mechanism

Primary  
1) Mutual Exclusion

Secondary  
2) Progress

3) Bounded Wait

4) No assumption related to hardware or speed

1) Mutual exclusion - If one process is using critical section, another process cannot enter the critical section

2) Progress - There is no progress if neither of the processes are accessing the critical section

3) Bounded wait - Let the process use as many sections as many no. of times as possible, but it should not be that one process keeps on using the critical section

L3.5

LOCK Variable in OS

Critical Section Solution using "Lock"

do {

    acquire lock  
    CS

    release lock  
}

Execute in user mode

\* Multipurpose → Multiprocess solution

\* No mutual exclusion

Guarantees

1 while ( $LOCK \neq 1$ ),  
2     LOCK = 1

ENTRY  
CODE

3 Critical section

4 LOCK = 0

EXIT CODE

Case 1: P<sub>1</sub>, P<sub>2</sub> processes

\* If one process has made  $LOCK = 1$ , then another process can't access

at the known  $LOCK = 1$ .

Case 2: If P<sub>1</sub> preempted, P<sub>2</sub> arrives the CS. Then, if P<sub>1</sub> comes back, and accesses P<sub>2</sub> the CS. Then, there is no mutual exclusion.

1-3-6

## Test and set instruction

while

[CS]

(test-and-set (lock)); { Replace this  
with O & D  
instruction  
lock = false }

{ boolean test-and-set (boolean \* target)

boolean ret \* target;  
& target = TRUE  
) return ret;

\*

Initial value is 0 for lock

This method ensures both mutual exclusion  
as well as progress

-3-8

## Semaphores

Semaphore

(Counting) (-16 to +16)      Binary (0, 1)

Semaphore is an integer variable which is used in mutual exclusive manner by various concurrent cooperative processes in order to achieve synchronisation

Operations :- P(), Pm, Wait

V(), VP, Signal, Post, Release

Entry section

## down (Semaphore S)

S.Value = S.Value - 1  
if (S.value < 0)

If  $S = -4$   
then 4 processes  
are present in the  
block state

Put Process (PCB) in  
suspended list, Sleep()

If  $S \geq 0$ , 0  
processes are in  
the suspended list

else

return;

}

If  $S = 10$  10  
processes can enter  
critical section

## up (Semaphore S)

S.Value = S.value + 1;  
if (S.value < 0)

Select a process

from suspended list,  
Wake up();

}

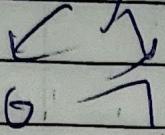
$S = 12$

5R, 3V, 27IP

$$17 - 5 + 3 = 15$$

E-39 What is binary semaphore?

## Priority Semaphore



Down (Semaphore S)

if ( $S\text{-value} \geq 1$ )

$S\text{-value} = 0;$

}

else

Block this process  
and place in suspend  
list, sleep()

}

Up (Semaphore S)

if (Suspend list is  
empty)

$S\text{-value} = 1;$

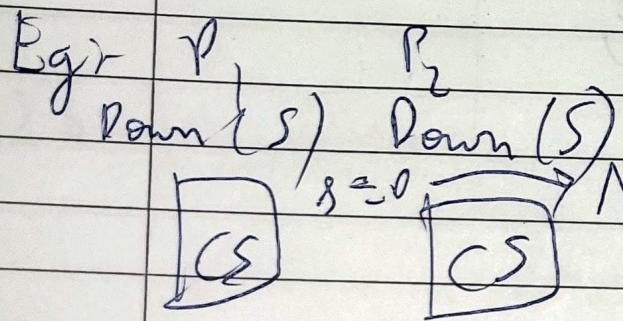
}

else

Check if any process is waiting?

Select a process from  
suspend list and  
wake up();

Eg:



up(S)      up(S)

L-3/11 Solution of Producer Consumer Problem using  
Semaphore

Counting Semaphore  $\hookrightarrow$  full = 0  $\rightarrow$  No. of filled slots  
empty = N  $\rightarrow$  No. of empty slots

Binary Semaphores S=1

	IN	Out	
produce_items(item))	①	②	Consumed
$N=8$			2
clear	0	x	1) down (full);
see 1) down (Empty);	1	v	2) down (S);
see 2) down (S);	2	c	item = Buffer[out]
Buffer [IN] =	3	d	out = (out + 1) mod N;
item;	4		3) up();
$In = (In + 1) \text{ mod } N$	5		4) up (empty);
Buf (S)	6		
see 4) up (S);	7		
see 4) up (full);			

empty = 5, 6

full = 3, 4, 5

S = 0 + 0 + 1

$$In = (3 + 1) \text{ mod } 8 = 4$$

Case 2: With preemption

$N=8$

In	0	a	Out
③	1	v	④
	2	c	
	3		
	4		
	5		
	6		
	7		

Empty = 5, 6, 7

full = 3, 4, 5

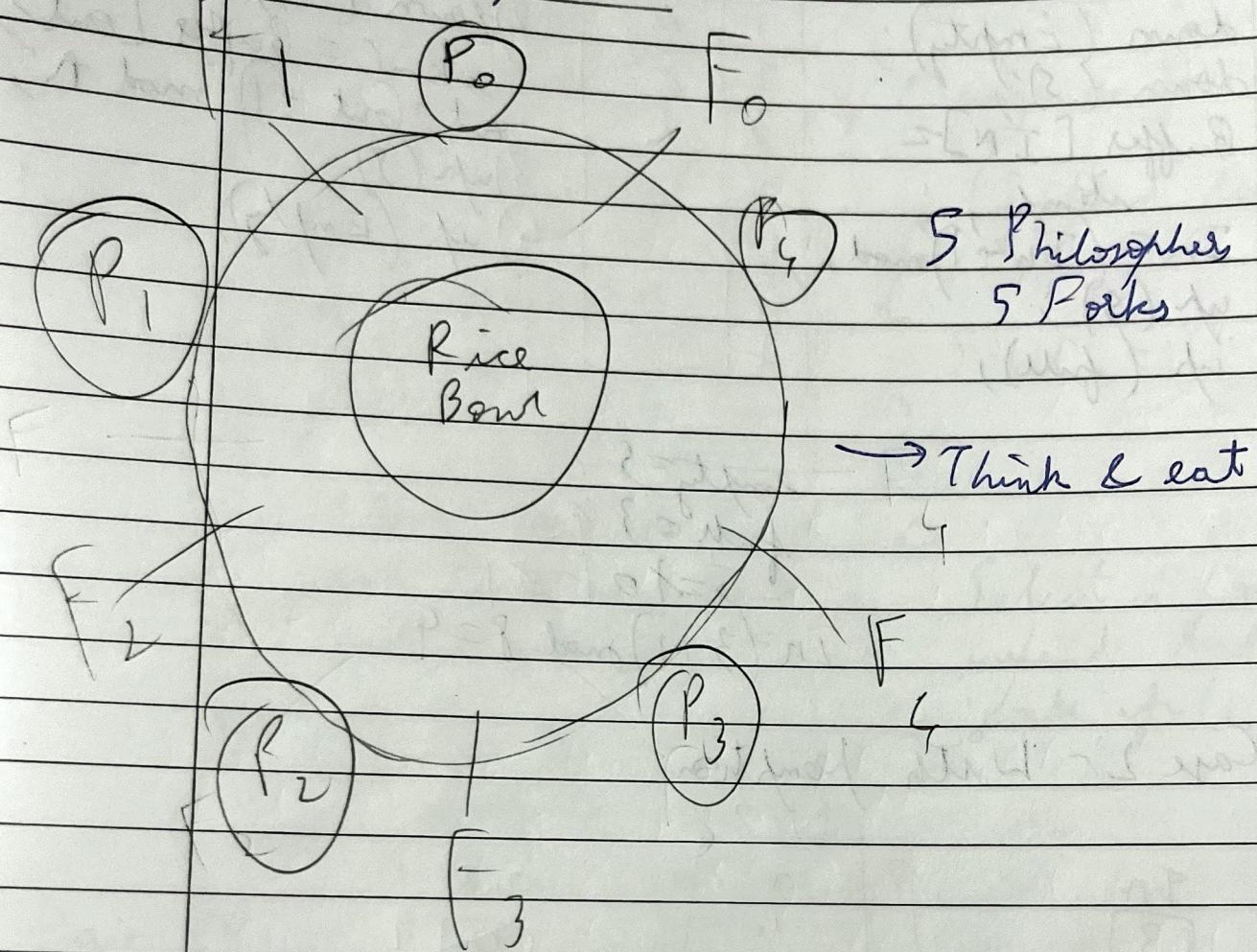
S = 1, 0, -1

ProducedSemaphore at down (S)

There is no inconsistency created, as mutex is there

-3-13

## Dining Philosophers' problem & solution using semaphores



void Philosophies (void)

while (true)

Thinking(); left fork

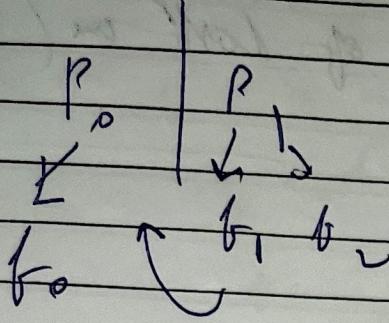
take - fork(i);

take fork((i+1)?:N);  $\leftarrow$  right you  
BARTU,

Put  $\text{fork}(i)$ :

Put  $\text{fork}(i + 1) \in \alpha$

Case 2



$S[i]$  five semaphores

$S_0 - \{ S_1 \} S_2 S_3 \{ S_4 \}$

At Reptile Using semaphores,

{ void Philosopher(void)

white (true)

Thinking ()

Entry

{ wait (take\_fork( $S_i$ ));  
wait (take\_fork( $S_{(i+1) \bmod N}$ )) }  
EAT(); }  
P0

Exit

{ signal (put\_fork( $i$ ));  
signal (put\_fork( $i+1 \bmod N$ )) }  
P1  
P2  
P3  
P4

$P_0 \rightarrow S_0 S_1$   
 $P_1 \rightarrow S_0 S_1$   
 $P_2 \rightarrow S_1 S_2$   
 $P_3 \rightarrow S_2 S_3$   
 $P_4 \rightarrow S_3 S_4$

When 1 philosopher is picking up second fork,  
there are chances of preemption. That time  
there is a deadlock (All the processes should  
preempt)

The problem can be solved by redesigning any  
one order of the forks of last one of them