

Module-2

Back Tracking & Branch and Bound

BCSC0012

Designing Techniques of Algorithms

Design and Analysis of Algorithms

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Designing Techniques of Algorithms

01

Divide and Conquer Strategy

02

Greedy Technique

03

Dynamic Programming

04

Back Tracking & Branch and Bound







04

Back Tracking, Branch and Bound



Back Tracking

01

Backtracking is nothing but the modified process of the Brute force approach

02

It is a technique where multiple solutions to a problem are available, and it searches for the solution among all the available options.

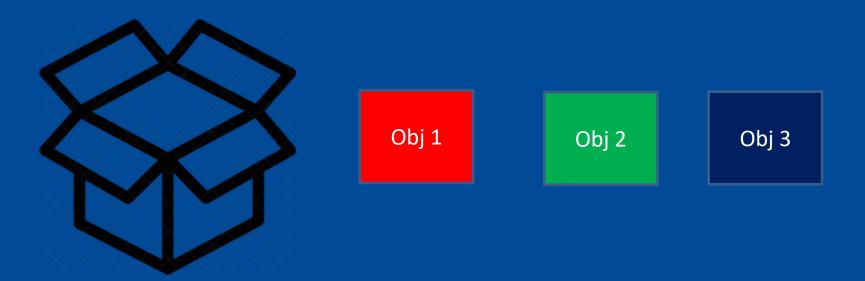
Brute Force Approach - It is an intuitive, direct, and straightforward technique of problem-solving in which all the possible ways or all the possible solutions to a given problem are enumerated. Example: Daily Life Activities (Home to College/Market)



Understanding Brute Force with Example

Example

Consider the box, and we have three objects of three different colors. One object is of red color, the second object is of green color, and the third object is of blue color.



- Now, we have to keep these objects inside the box.
- We have multiple options of placing the order of object in the box.
- As per the Brute force approach, we have to consider all the options and identify the best option among all the possible options.

Understanding Brute Force with Example



Obj 1

Obj 2

Obj 3

Suppose we first fill red object, after then green object and then blue object. This is the first solution to this problem. The possible solution is red, green, and blue.



These are the all possible solutions, and we have to identify the best possible solution out of all the possible solutions. This approach is known as a brute force approach.

Backtracking is similar to the brute force approach, but it is a modified process of the brute force approach.

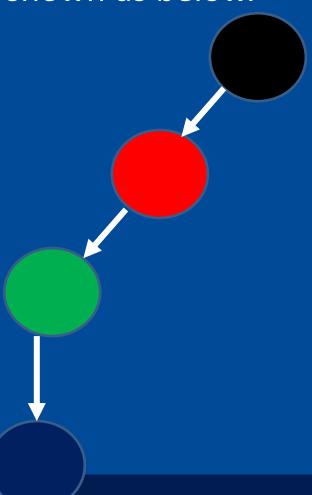


In backtracking, solutions are represented in the form of a tree and that tree is known as a **State Space Tree**.

Backtracking follows the DFS strategy to form a State
 Space Tree.

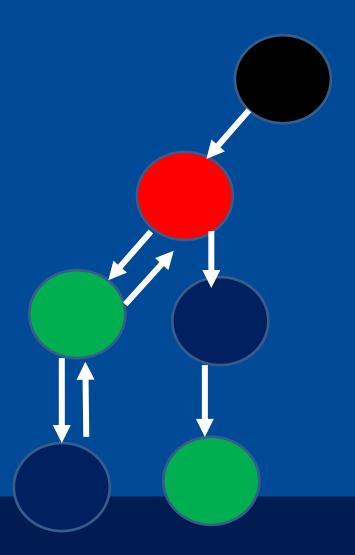


Lets take the same previous example. Consider the first solution, i.e., red, green, blue, and it can be represented in the form of a tree as shown as below.



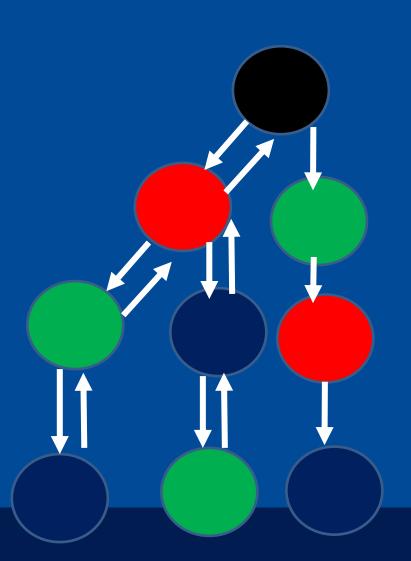


Consider the second solution, i.e., red, blue, green. Since there is no more object after blue so we will backtrack and move to the Red. After Red, instead of taking green, we first take blue and then green, shown as below





The next solution is green, red and blue. Since we cannot explore the green object, so we move back and reach the blue object.



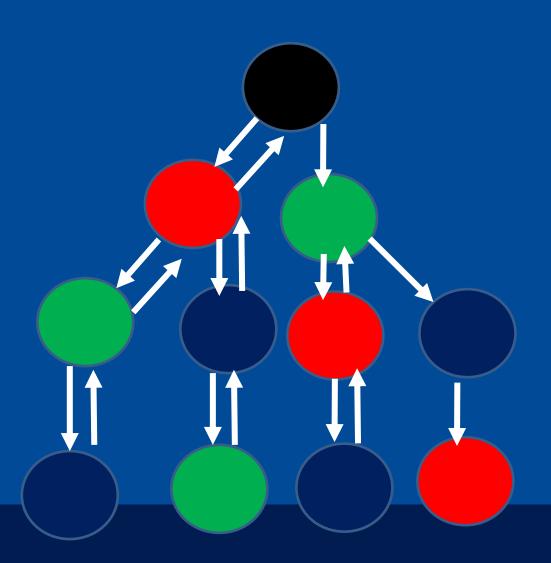
We cannot explore the blue object, so we again move back and reach to the red object. Instead of using the red object, we will use the **green object**.

After the green object, we use the red object and then we use the blue object.

We cannot explore the blue object further. Now the sequence green, red and blue is formed as shown as below.

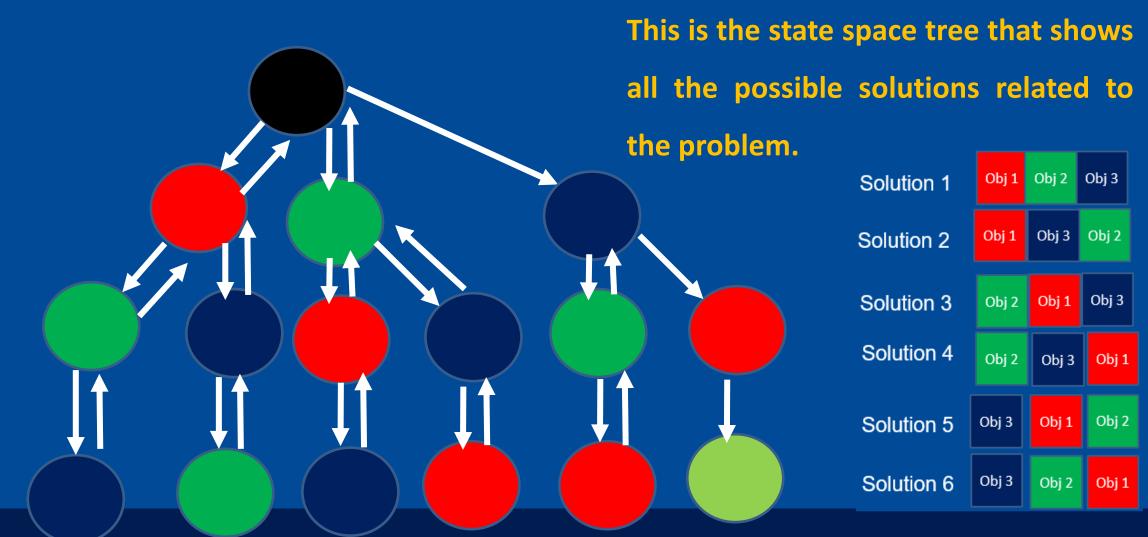


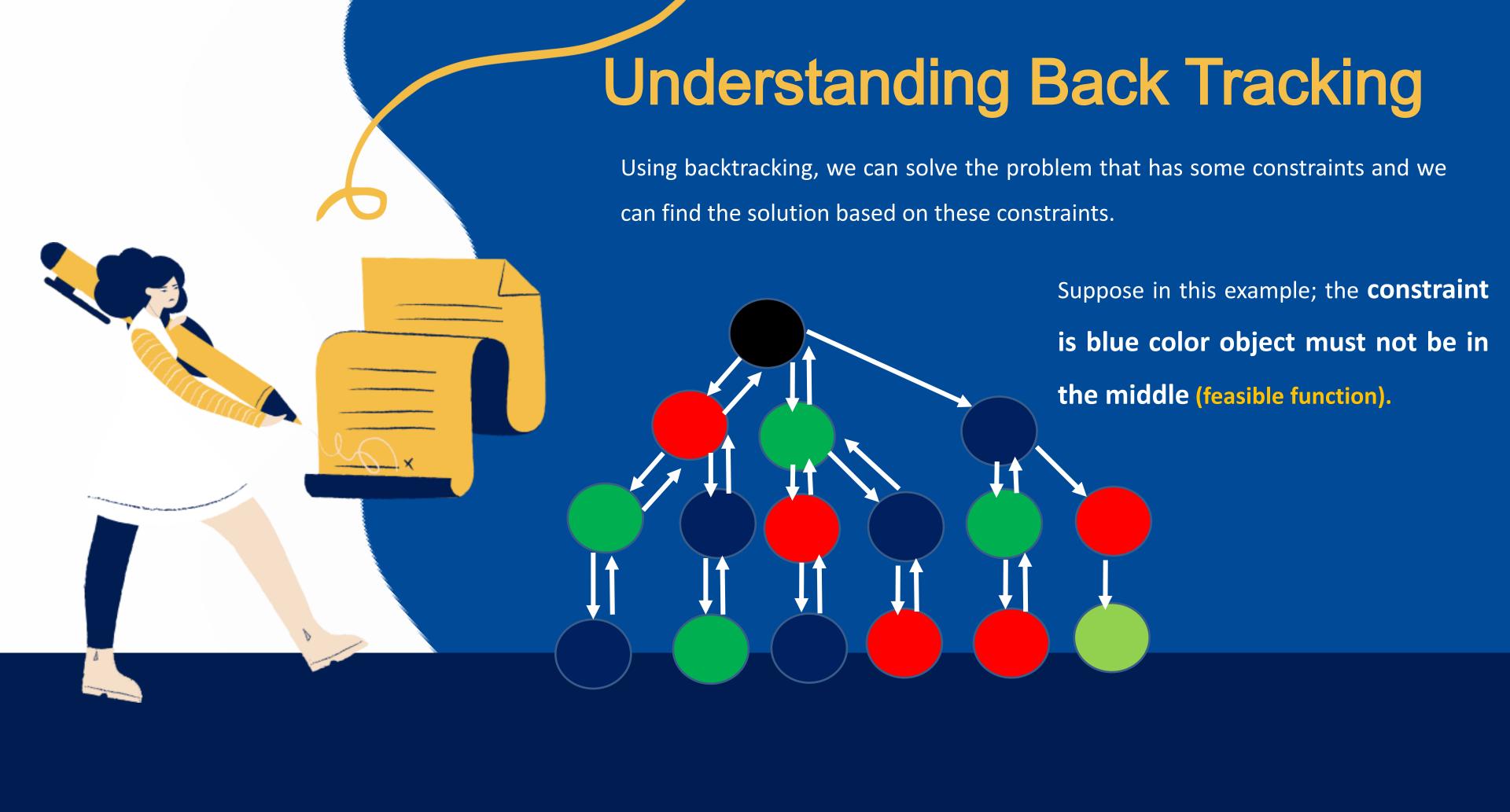
The next solution is green, blue and red. Since we cannot explore the blue object, we move back and continue.





The next solution is **blue**, **red** and **green**. Since we cannot explore red object so we backtrack and reach to the green object.





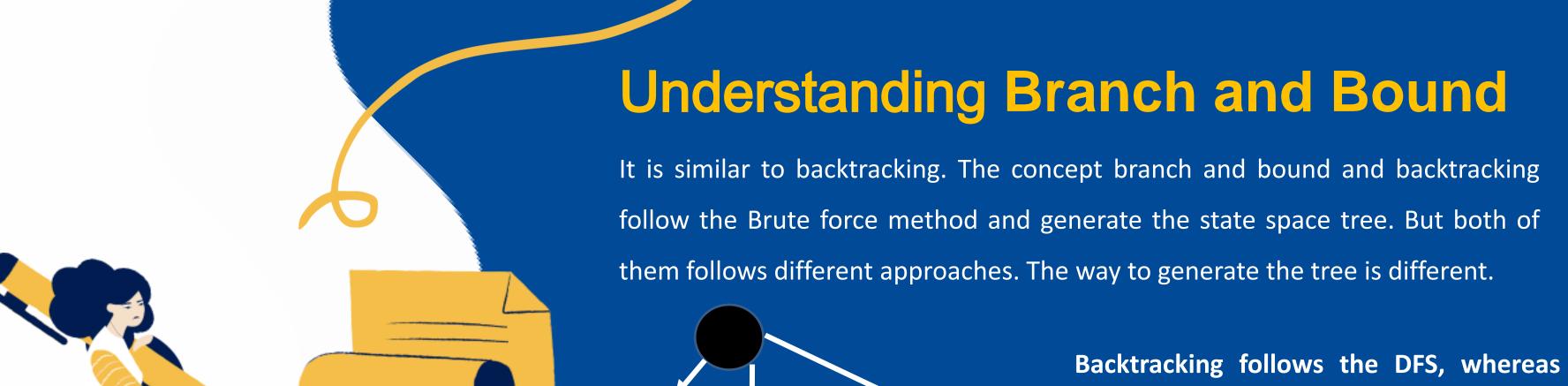


Suppose in this example; the **constraint is blue color object must not be** in the middle (bounding function).

Note: bounding function consists of list of conditions/constraint

This solution will be not selected

The above is the state space tree that does not have blue object in the middle of the solution.



Backtracking follows the DFS, whereas the branch n bound follows the BFS to generate the tree.

As it follows the BFS, so first all the nodes of the same level are added then we move to the next level.

Difference between Back Tracking and Branch & Bound



Parameter	Backtracking	Branch and Bound	
Approach	Backtracking is used to find all possible solutions available to a problem. When it realizes that it has made a bad choice, it undoes the last choice by backing it up. It searches the state space tree until it has found a solution for the problem.	Branch-and-Bound is used to solve optimization problems. When it realizes that it already has a better optimal solution that the pre-solution leads to, it abandons that pre-solution. It completely searches the state space tree to get optimal solution.	
Traversal	Backtracking traverses the state space tree by DFS(Depth First Search) manner.	Branch-and-Bound traverse the tree in any manner, DFS or BFS .	
Function	Backtracking involves bounding function to handle constrains	Branch-and-Bound involves a feasibility function to handle constraints.	
Problems	Backtracking is used for solving Decision Problem.	Branch-and-Bound is used for solving Optimization Problem.	
Searching	In backtracking, the state space tree is searched until the solution is obtained.	In Branch-and-Bound as the optimum solution may be present any where in the state space tree, so the tree need to be searched completely.	
Efficiency	Backtracking is more efficient.	Branch-and-Bound is less efficient.	
Applications	Useful in solving N-Queen Problem, Sum of subset.	Useful in solving Travelling Salesman Problem.	



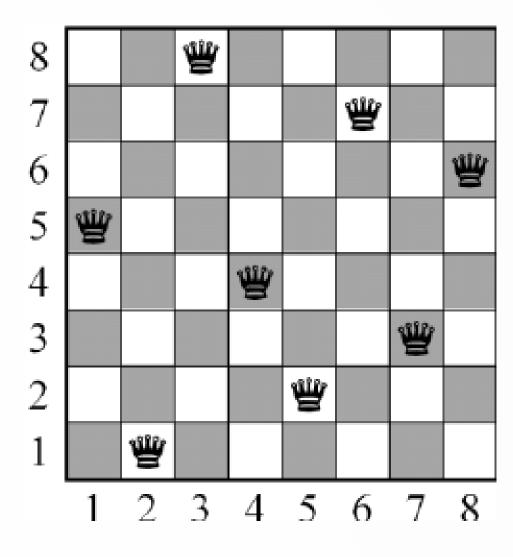
Application of Back Tracking and Branch & Bound

The problems that can be solved by using backtracking are:

- N Queens problem
- Sum of Subsets Problem

The problem that can be solved by using branch and bound is:

Travelling Salesman Problem

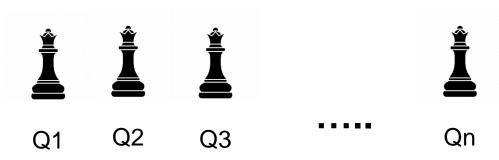


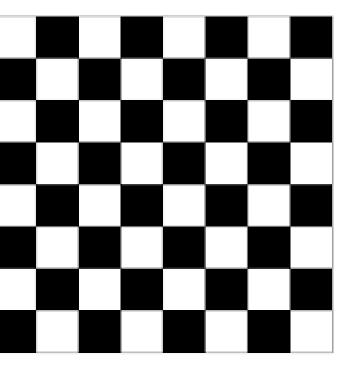
01. N Queens problem

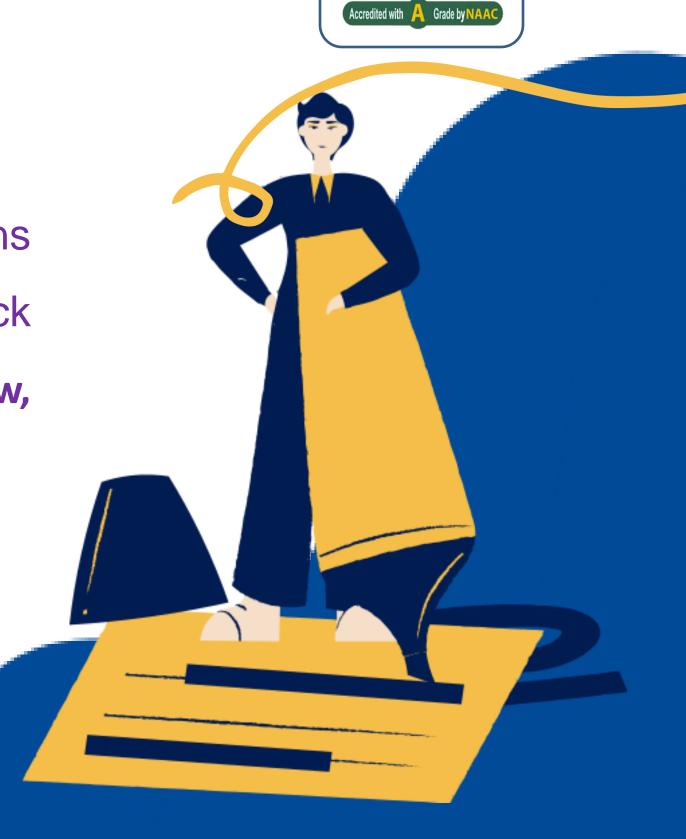
Using Backtracking

N Queens problem

The N Queen is the problem of placing N chess queens on an N×N chessboard so that no two queens attack each other. i.e., no two queens are on the same row, column or diagonal.







N Queens problem

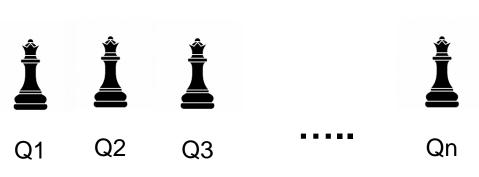
Algorithm for N Queens Problem

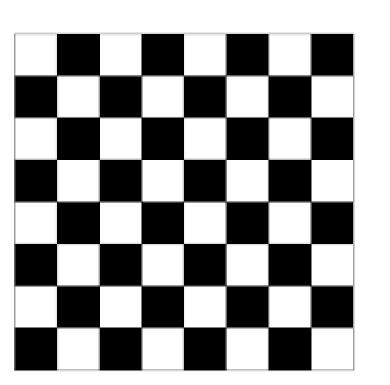
Starts solving the problem by putting a queen on the first row of the board and tries to put
the second queen on the second row in a way it wouldn't conflict the first one.
(If it satisfy the bounding functions (attacking condition), then kill the node and backtrack it)

It continues putting the queens on the board row by row until it puts the last one on the nth row.

If it couldn't fill any tile on a row it would backtrack and change the position of the previous

row's queen.

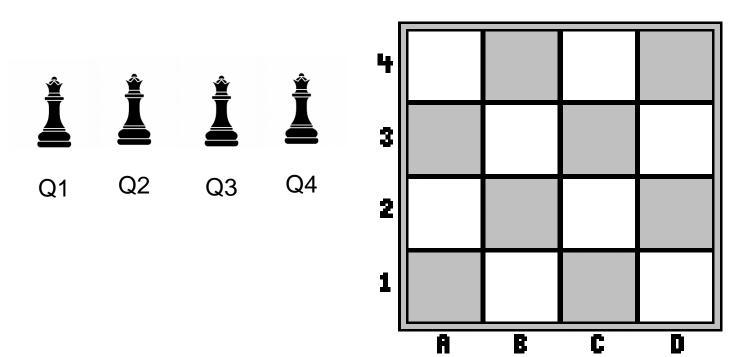






Example- 4 Queens problem

• The 4 Queen is the problem of placing 4 chess queens on an 4×4 chessboard so that no two queens attack each other. i.e., no two queens are on the same row, column or diagonal.



The expected output is a binary matrix which has 1s indicates for the blocks where queens are placed, 0 indicates not placed.

{	0,	0,	0,	0}
{	0,	0,	0,	0}
{	0,	0,	0,	0}
{	0,	0,	0,	0}





Example- 4 Queens problem

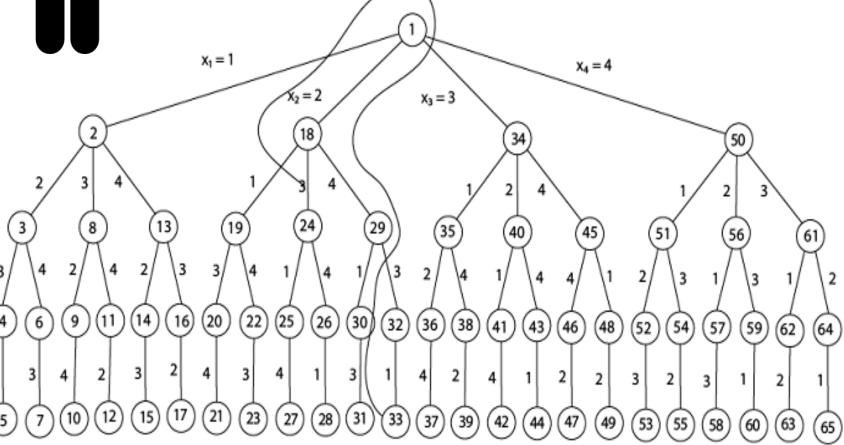




One way of thinking, use Naive Algorithm (brute force approach)

Generate all possible configurations of queens on board and print a configuration that satisfies the given constraints or list of conditions

(bounding functions)



4 3 2 1 B C D

Q3

Q4

Q2

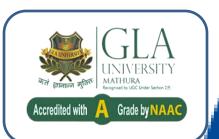
Q1

Possible State Space Tree (Without diagonal attack)

Note: Discussion on Blackboard



Example- 4 Queens problem

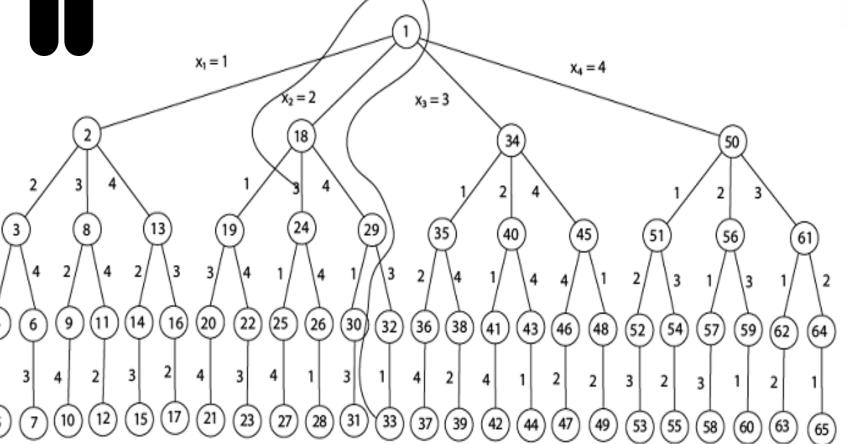




One way of thinking, use Naive Algorithm (brute force approach)

Generate all possible configurations of queens on board and print a configuration that satisfies the given constraints or list of conditions

(bounding functions)



4 3 2 1 B C D

Q3

Q4

Q2

Q1

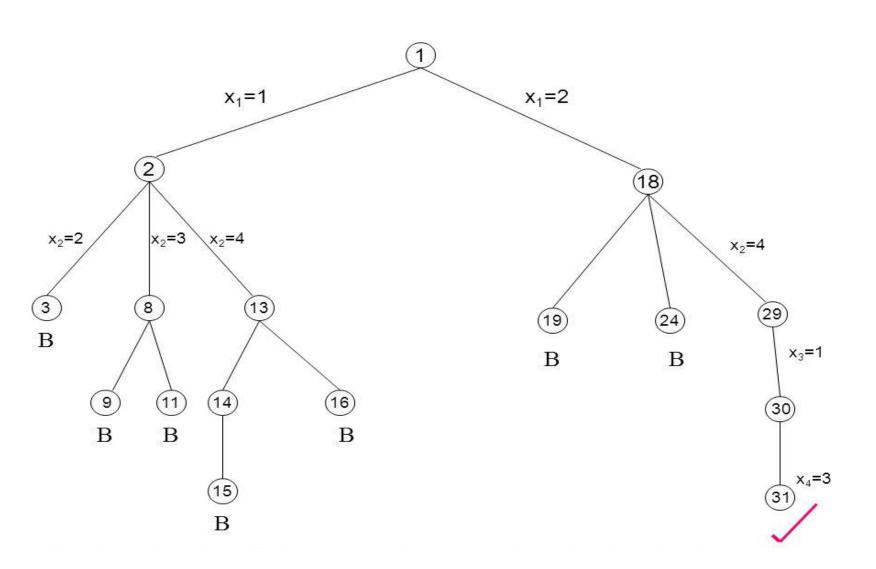
Possible State Space Tree (Without diagonal attack)



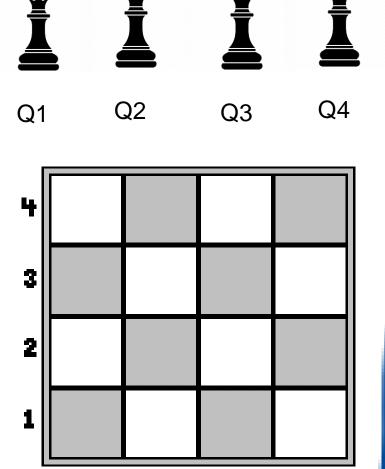
Solution of 4 Queens problem



Apply backtracking (bounding functions) and print a configuration that satisfies the given constraints or list of conditions

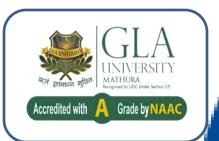


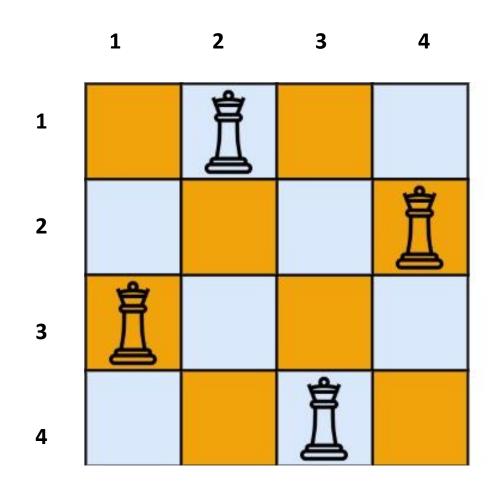






Solutions of 4 Queens problem

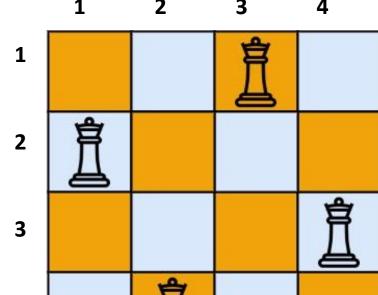




One Solution of 4 Queens Problem

The expected output is a binary matrix which has 1s for the blocks where queens are placed. The output matrix for above 4 queen solution.

$$X = \begin{cases} 1 & 2 & 3 & 4 \\ 1 & \{0, 1, 0, 0\} \\ 2 & \{0, 0, 0, 0, 1\} \\ 3 & \{1, 0, 0, 0, 0\} \\ 4 & \{0, 0, 1, 0\} \end{cases}$$



Second Solution of 4 Queens Problem

 $X_{2} = \begin{bmatrix} 3 & 1 & 4 & 2 \end{bmatrix}$



N Queens problem

Pseudo Code for N Queens Problem

```
Nqueens(k,n){
for i=1 to n{
   if(place(k,i)){
      x[k]=i
      if (k==n){
        for j=1 to n
                                 OR
          print x[i]}
      else
       Nqueens(k+1,n) }}}
place(k,i){
for j=1 to k
   if(x[j]==i \mid \mid abs(x[j]-i ==abs(j-k))
       return false
   return true }
```

- 1. Start in the leftmost column
- 2. If all queens are placed return true & Print Result
- 3. Try all rows in the current column Do following for every tried row.
 - a) If the queen can be placed safely in this row then mark this [row, column] as part of the solution and recursively check if placing queen here leads to a solution.
 - b) If placing the queen in [row, column] leads to a solution then return true.
 - c) If placing queen doesn't lead to a solution then unmark this [row, column] (Backtrack) and go to step (a) to try other rows.
- 4. If all rows have been tried and nothing worked, return false to trigger backtracking.



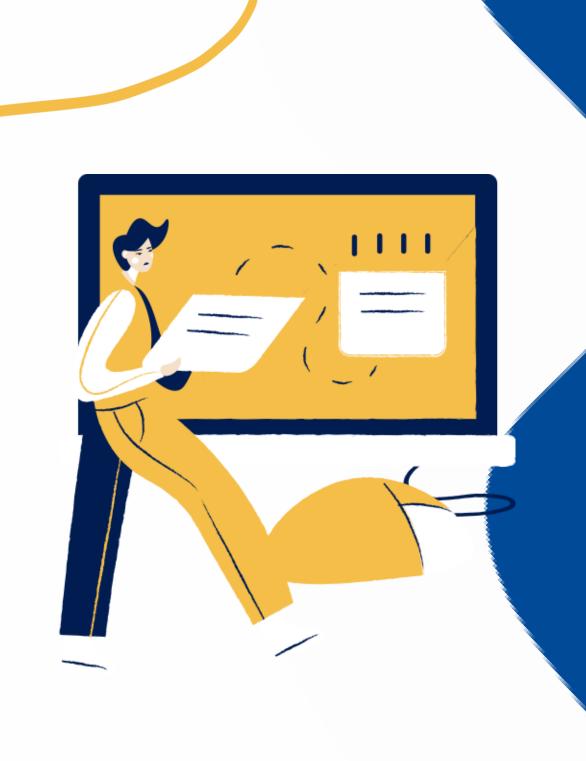


Time Complexity of N Queens problem



```
Nqueens(k,n){
for i=1 to n{
   if(place(k,i)){
      x[k]=i
                                                 n*k
                                                                 n*n
      if (k==n){
         for j=1 to n
           print x[i]}
                                                   n times for loop
      else
                                                       → n*T(n-1)
                                      → T(n-1)
        Nqueens(k+1,n) }}}
place(k,i){
for j=1 to k
                                                    Total Time T(n) = n^*n + n^*k + n^*T(n-1)
                                                                    = n^2 + n^*T(n-1)
    if(x[j]==i || abs(x[j]-i ==abs(j-k))
                                                                     = O(n^n)
        return false
                                                                  or = O(n!)
    return true }
```





02. Sum of Subset Problems

Using Backtracking



Sum of Subset Problems

Suppose we are given *n* distinct positive numbers (usually called weights) and we desire to find all combinations of these numbers whose sum is *M*. This is called the *sum of subsets* problem.

The problem is given an A set of integers a1, a2,...., an upto n integers.

The question arises that is there a non-empty subset such that the sum of the subset is given as M integer?.

For example, the set is given as [5, 2, 1, 3, 9], and the sum of the subset is 9; the answer is **YES** as the sum of the subset [5, 3, 1] is equal to 9.





Example of Sum of Subset Problems

We have a set of 5 integers given: N = 4, -2, 2, 3, 1

We want to find out the subset whose sum is equal to 5.

There are many solutions to this problem.

search generates all the possible subsets of the original array, i.e., there are 2^n possible states.

The naïve approach, i.e., brute-force

Solve the problem using Back
Tracking Strategy

total possible subsets=2⁶





Algorithm of Sum of Subset Problems

Step 1- Start with an empty set (draw State Space Tree)

Step 2 -Add the next element from the list to the set (add node in the tree in the DFS manner)

Step 3- If the subset is having sum M, then stop with that subset as solution.

Step 4- If the subset is not feasible or if we have reached the end of the set, then backtrack through the subset until we find the most suitable value.

Step 5- If the subset is feasible (sum of subset < M) then go to step 2.

Step 6- If we have visited all the elements without finding a suitable subset and if no backtracking is possible then stop without solution.





Example of Sum of Subset Problems

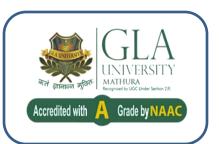
Problem Statement: Given a set of positive integers weight, and a value sum, determine that the sum of the subset of a given set is equal to the given sum.

$$w[1:5] = \{2, 3, 5, 7, 10\}, Sum(w) = 14, n=5$$

$$x =$$

Fill 1 if weight is selected otherwise fill 0 if weight is not selected

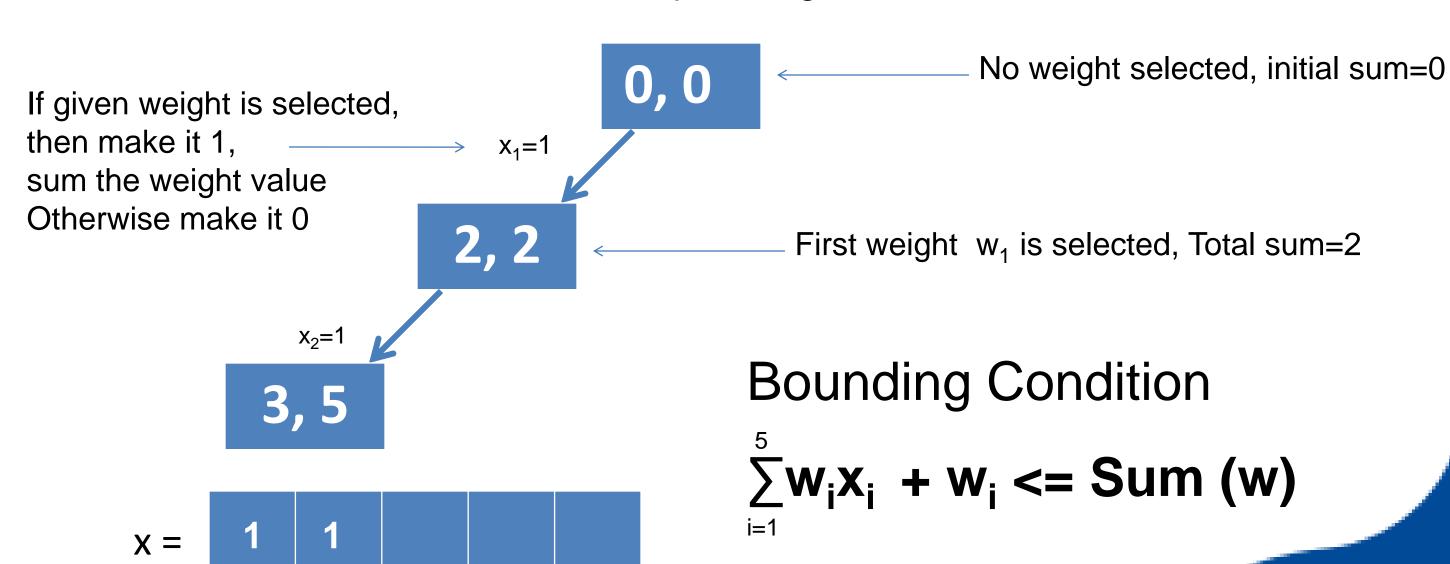




$$w[1:5] = \{2, 3, 5, 7, 10\}, Sum(w) = 14, n=5$$

Step-1

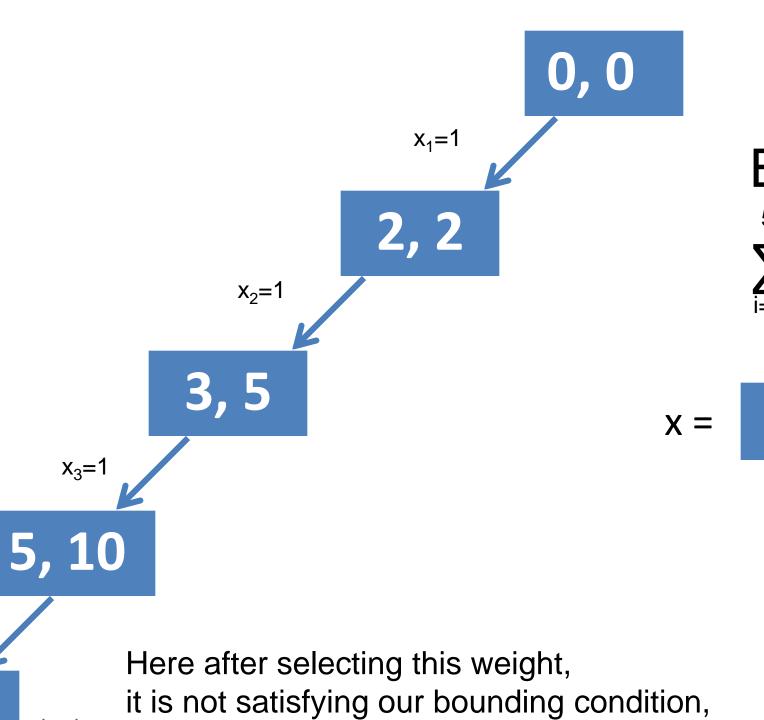
First, we create a State Space Tree in DFS order, check the bounding function condition, if condition true, keep visiting it otherwise kill the node.







$$w[1:5] = \{2, 3, 5, 7, 10\}, Sum(w) = 14, n=5$$



Then stop and kill this node, backtrack.

 $x_4 = 1$

7, 17

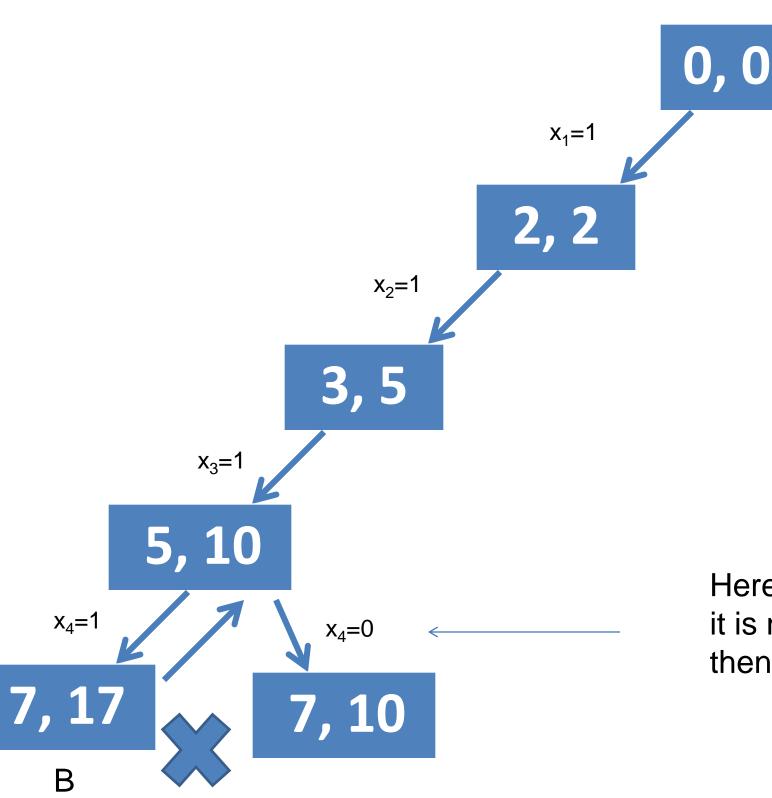
В

 $\sum_{i=1}^{n} w_i x_i + w_i <= Sum(w)$

~Dr Gaurav Kumar, Asst. Prof, CEA, GLAU



$$w[1:5] = \{2, 3, 5, 7, 10\}, Sum(w) = 14, n=5$$



Bounding Condition

 $\sum_{i=1}^{3} w_i x_i + w_i \le Sum(w)$

x = 1 1 1 0

Here given weight is not selected, because it is not satisfying our bounding condition, then make it 0, and do not sum the weight



0, 0





2, 2

 $W_1=1$

Sum(w) = 14, n=5

 $W_2=1$

3, 5

W₄=0

7, 10

W₃=1

5, 10

W₄=1

7, 17

В

10, 20

 $W_5=1$





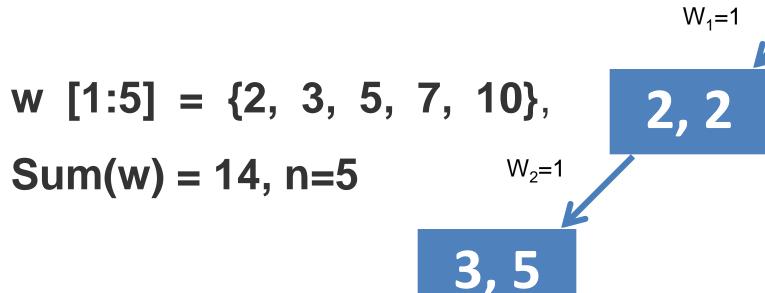
 $\sum_{i=1}^{5} w_i x_i + w_i <= Sum(w)$

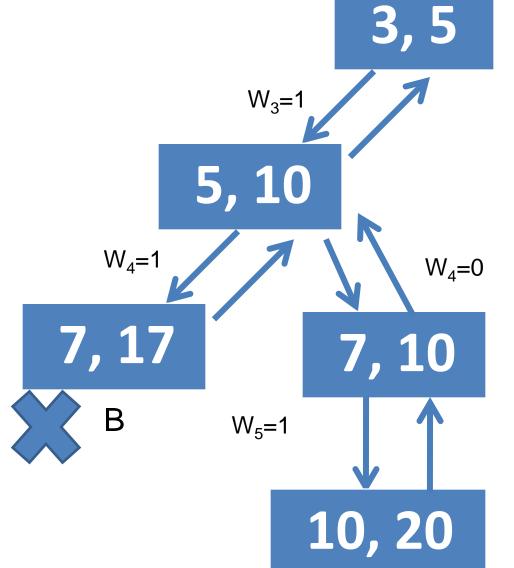
x = 1 1 1 0 1



Practice Example of Sum of **Subset Problems**







В

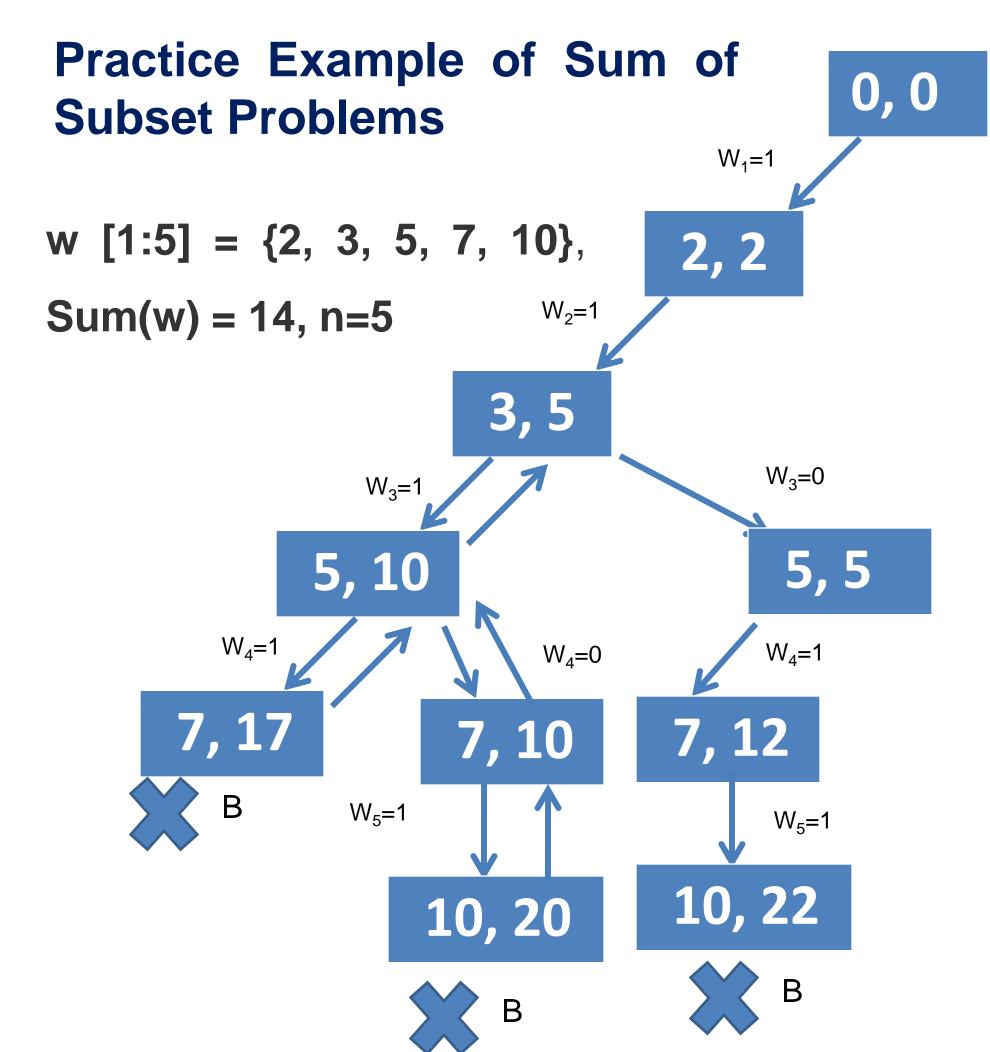


Bounding Condition

$$\sum_{i=1}^{5} \mathbf{w_i x_i} + \mathbf{w_i} <= \mathbf{Sum}(\mathbf{w})$$

 $\chi =$





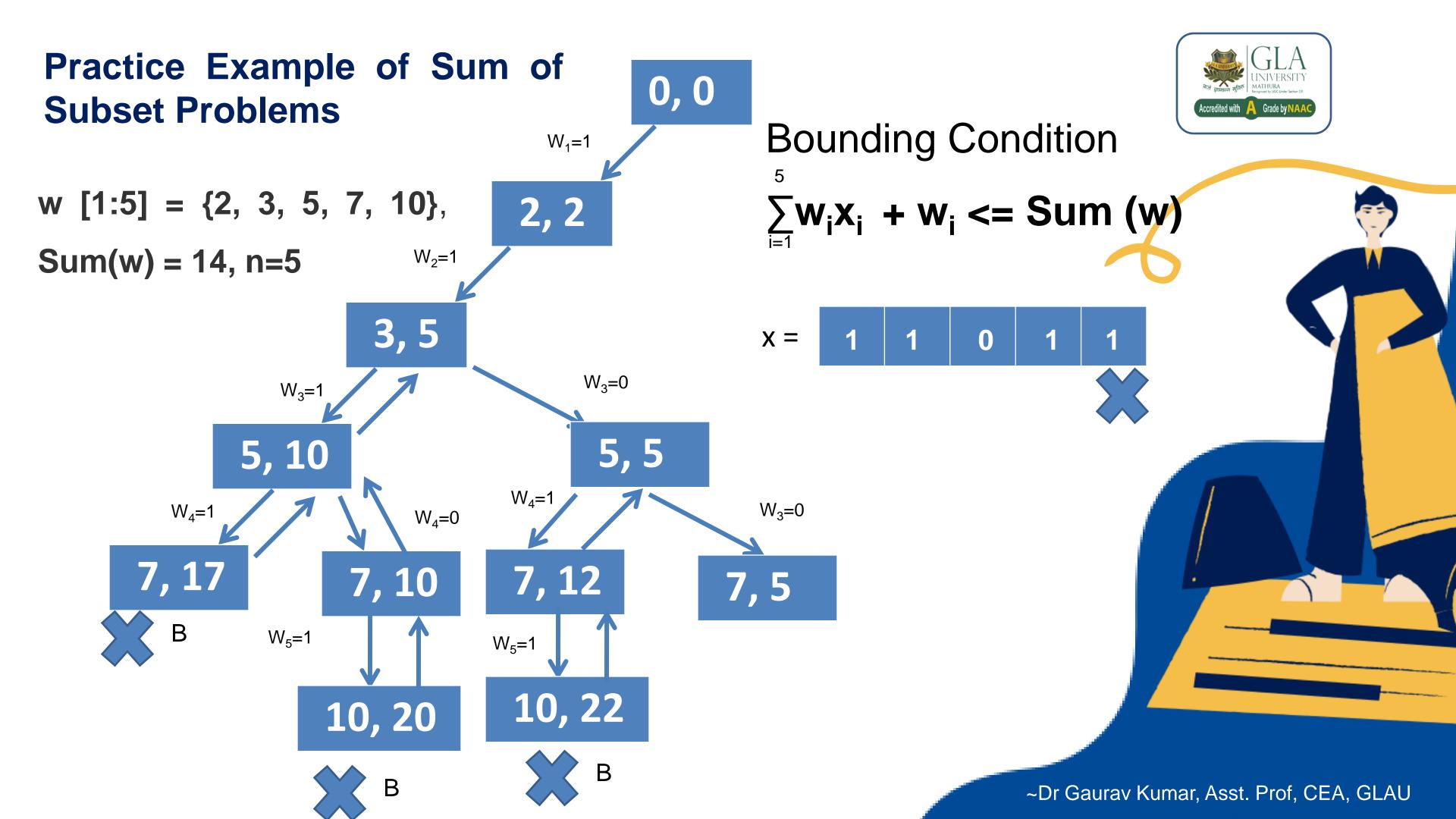


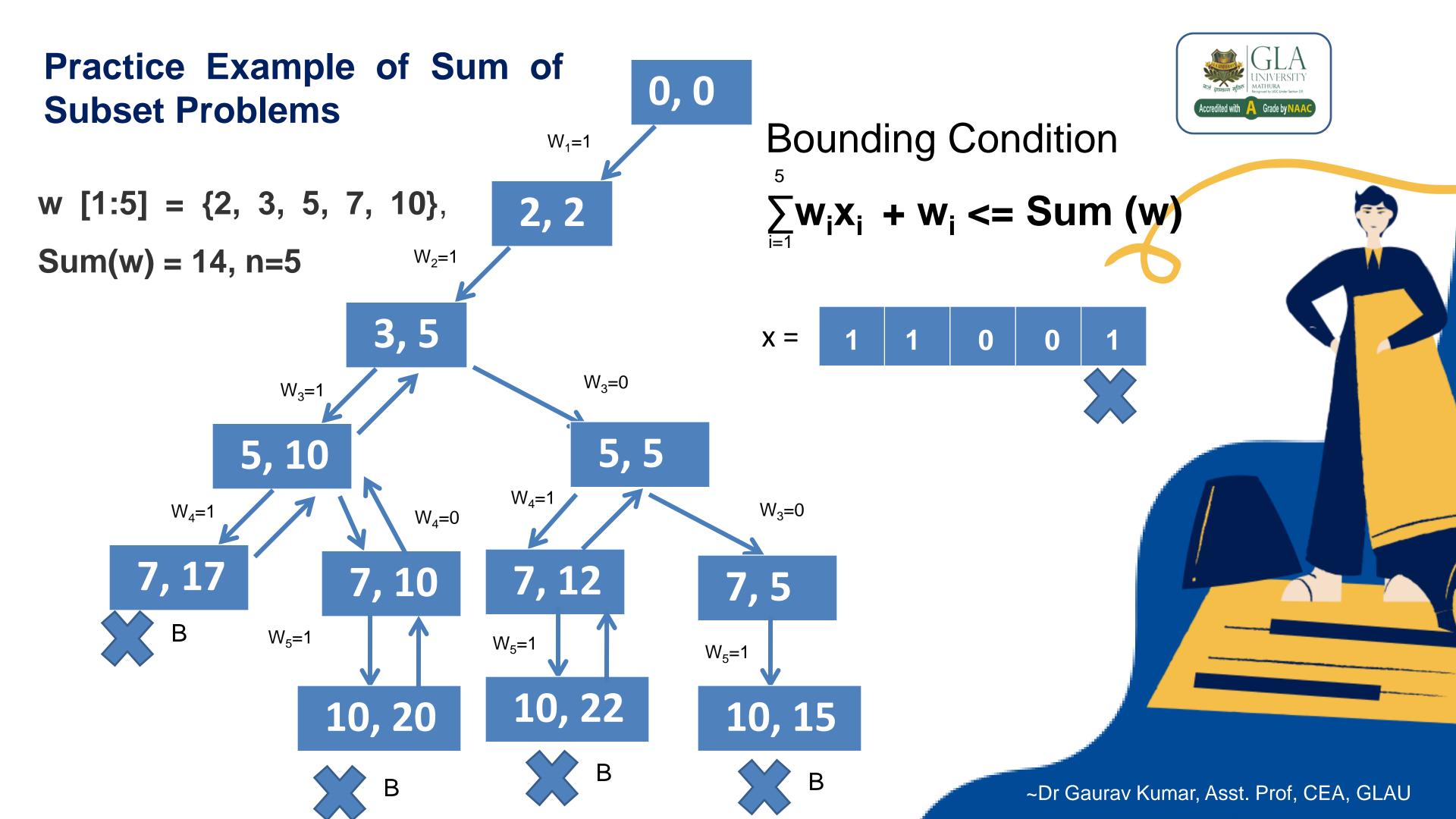
Bounding Condition

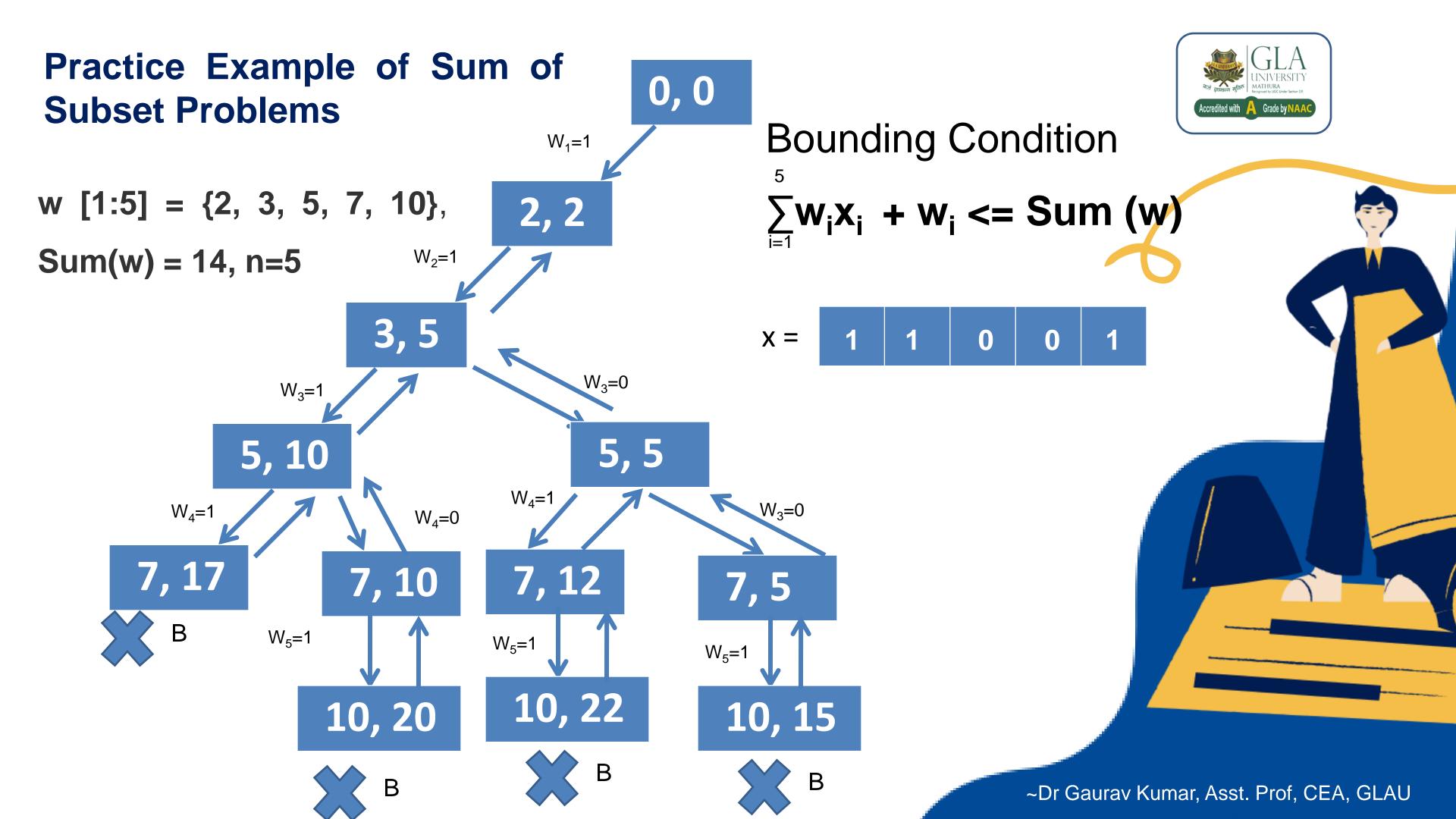
 $\sum_{i=1}^{5} \mathbf{w_i x_i} + \mathbf{w_i} <= \mathbf{Sum} (\mathbf{w})$

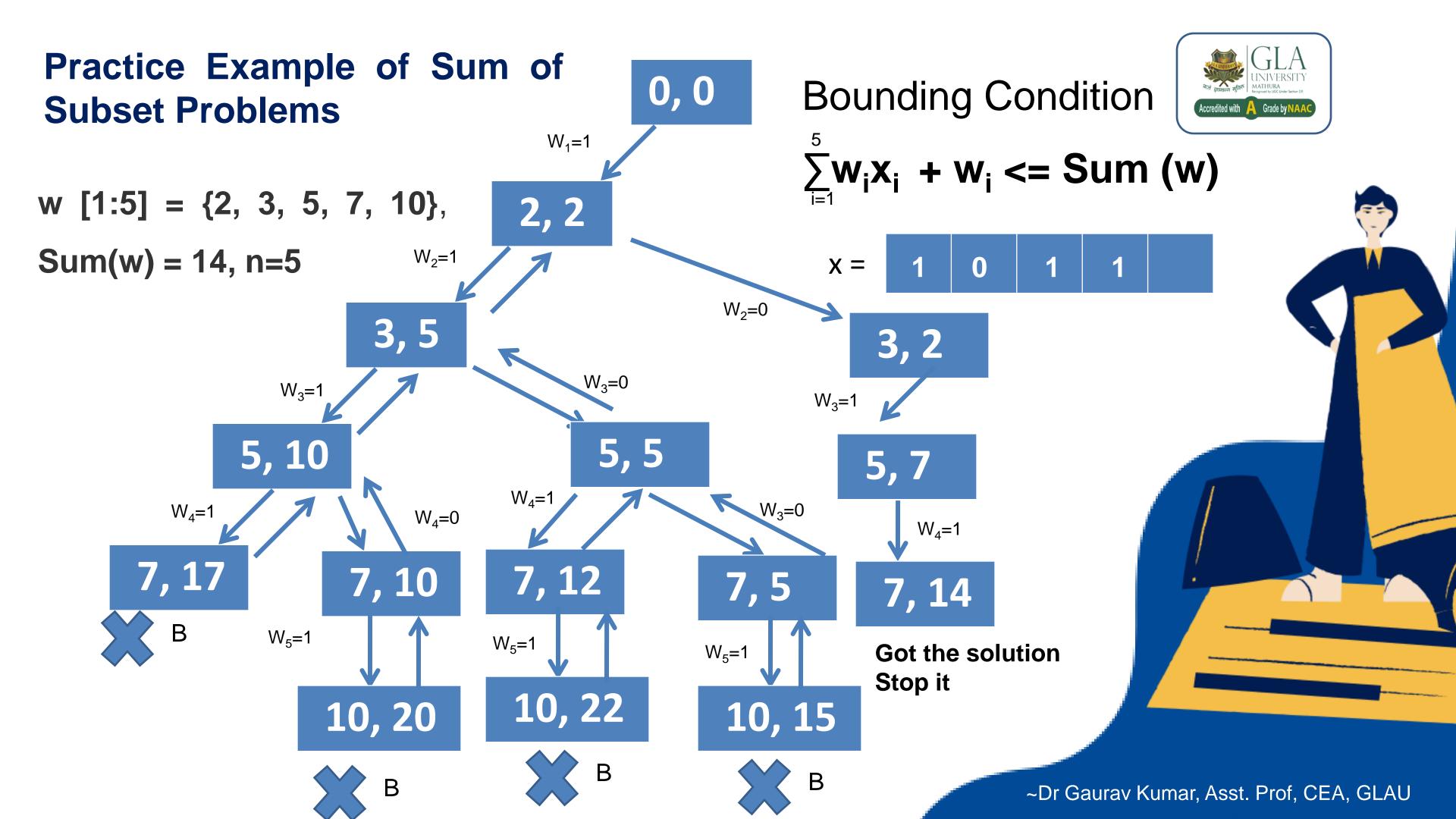


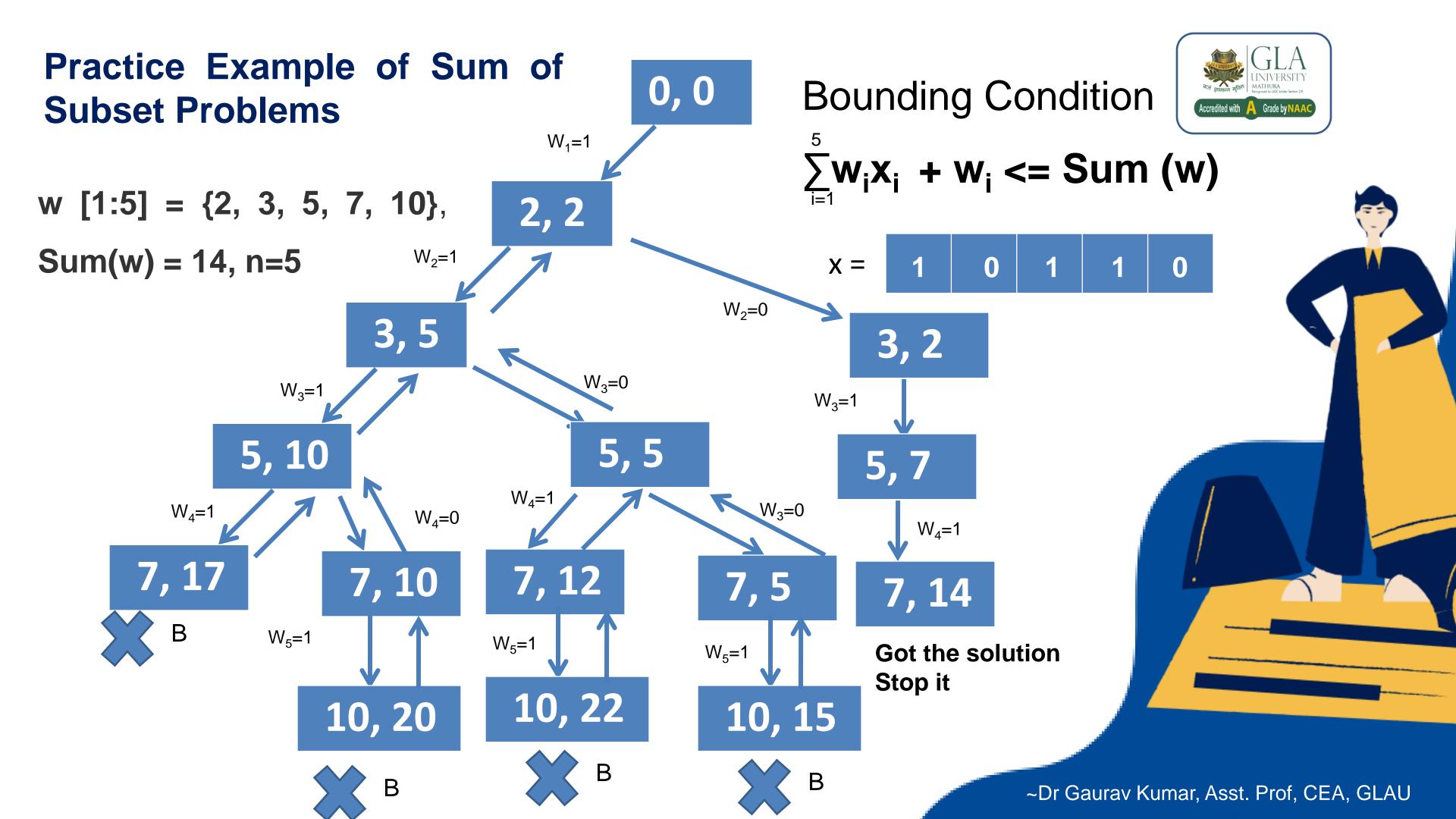










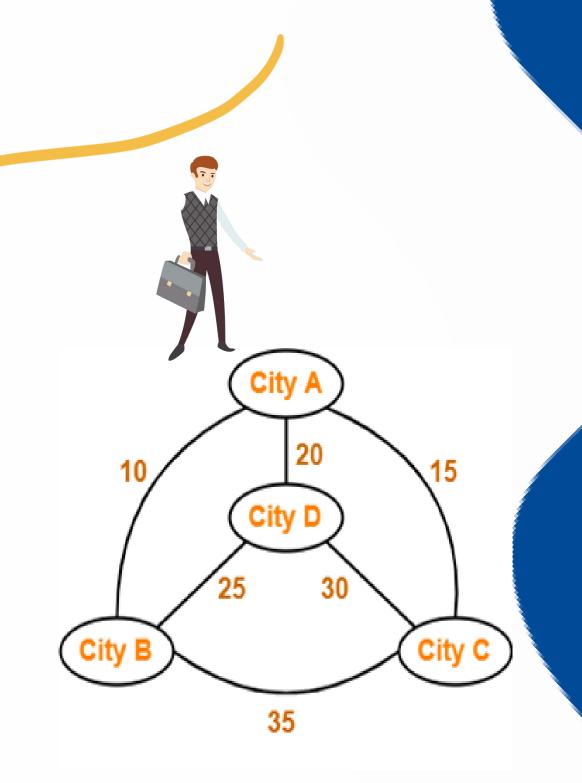


Time Complexity of Sum of Subset Problems

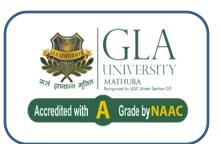


```
void subset_sum(int list[], int sum, int starting_index, int target_sum)
if( target_sum == sum )
subset_count++;
if(starting_index < list.length)</pre>
subset_sum(list, sum - list[starting_index-1],starting_index, target_sum);
else
for( int i = starting_index; i < list.length; i++ )</pre>
subset_sum(list, sum + list[i], i + 1, target_sum);
```





Using Branch and Bound



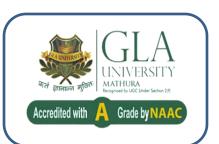
Branch-and-Bound

 A BFS-like state space search will be called FIFO search as the list of live nodes is a first-in-first-out list (or queue).

or

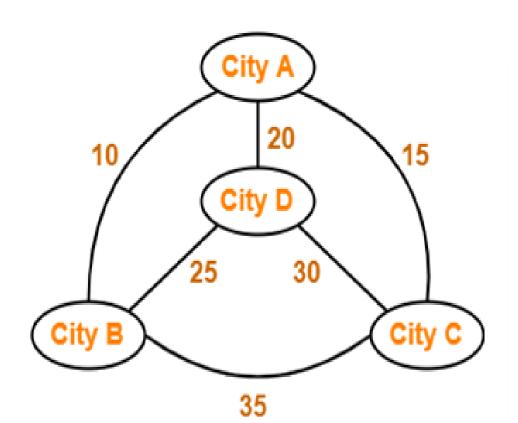
 A DFS-search like state space search will be called LIFO search as the list of live nodes is a last-in-firstout list (or stack).





Problem Statement

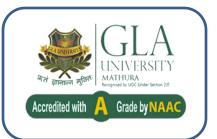
• Given a set of cities and distance between every pair of cities, the problem is to find the shortest possible route that visits every city exactly once and returns to the starting point.





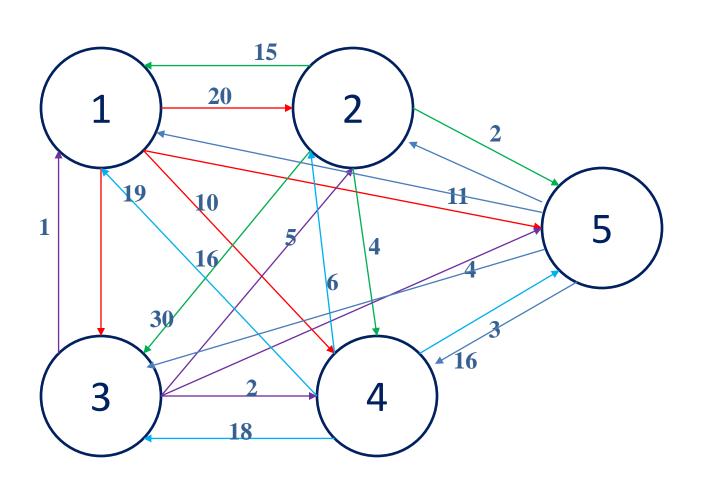


Example of Traveling Salesman Problem



Step-01:

Write the initial cost matrix and reduce it



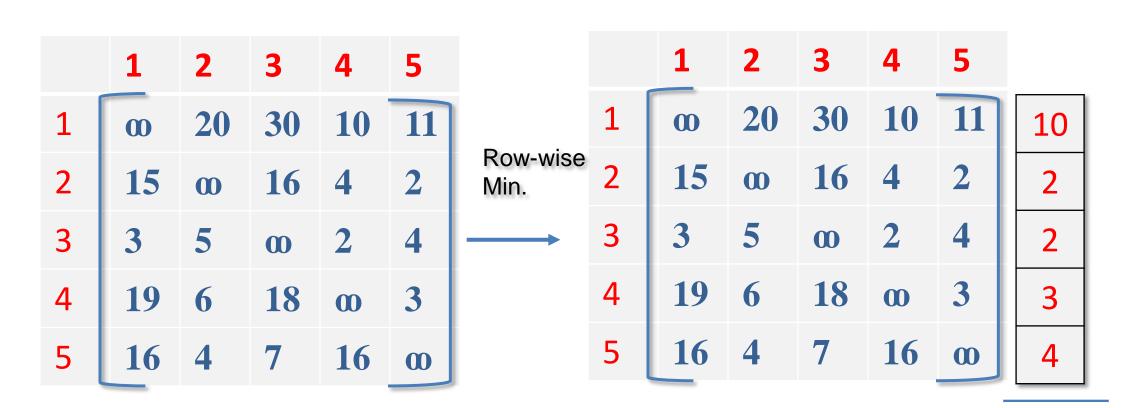
	1	2	3	4	5
1	œ	20	30	10	11
2	15	$\mathbf{\omega}$	16	4	2
3	3	5	$\mathbf{\omega}$	2	4
4	19	6	18	$\mathbf{\omega}$	3
5	16	4	7	16	œ

Rules

- To reduce a matrix, perform the row reduction and column reduction of the matrix separately.
- A row or a column is said to be reduced if it contains at least one entry '0' in it.







Row-wise Total Cost = 21

Row Reduction

Consider the rows of above matrix one by one.

If the row already contains an entry '0', then-

• There is no need to reduce that row.

If the row does not contains an entry '0', then-

- Reduce that particular row.
- Select the least value element from that row.
- Subtract that element from each element of that row.
- This will create an entry '0' in that row, thus reducing that row.



Column Reduction

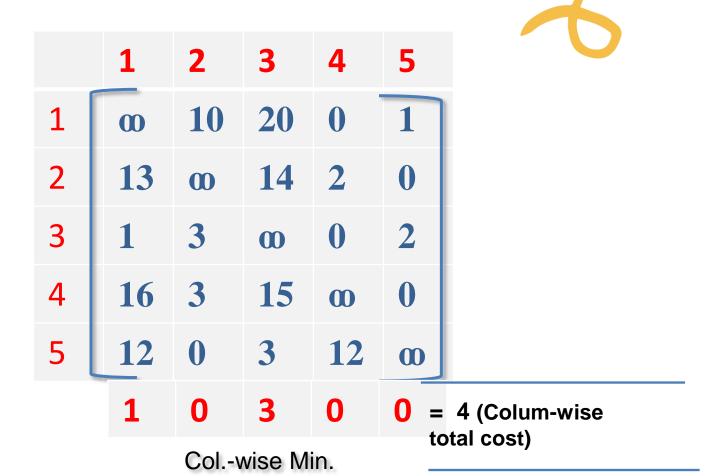
Consider the columns of above row-reduced matrix one by one.

If the column already contains an entry '0', then-

• There is no need to reduce that column.

If the column does not contains an entry '0', then-

- Reduce that particular column.
- Select the least value element from that column.
- Subtract that element from each element of that column.
- This will create an entry '0' in that column, thus reducing that column





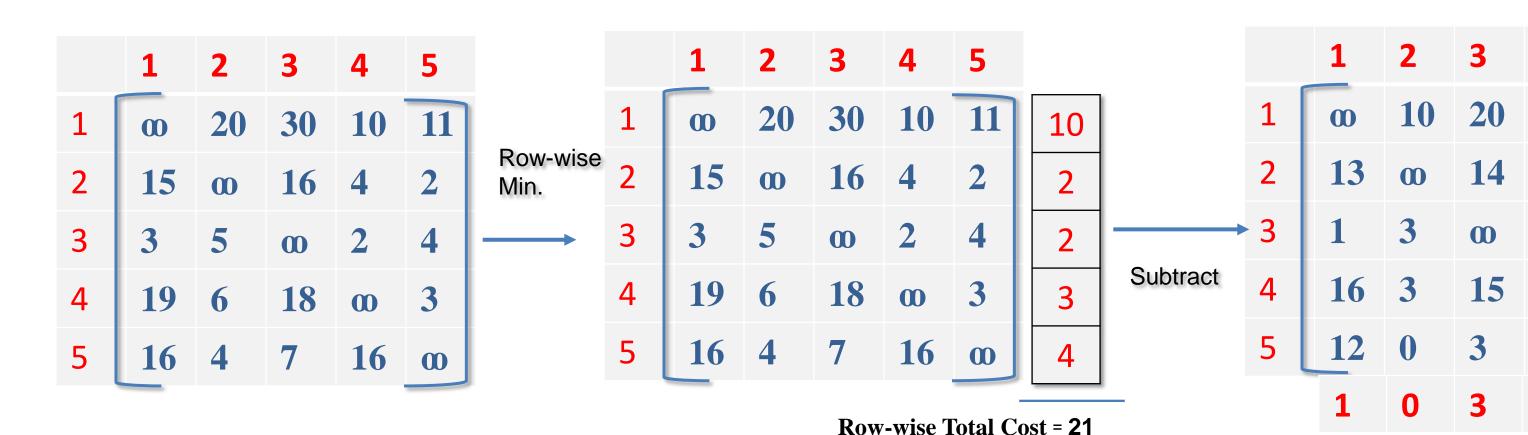
 $\mathbf{\omega}$

12

 $\mathbf{00}$

=4 (Colum-wise

total cost)

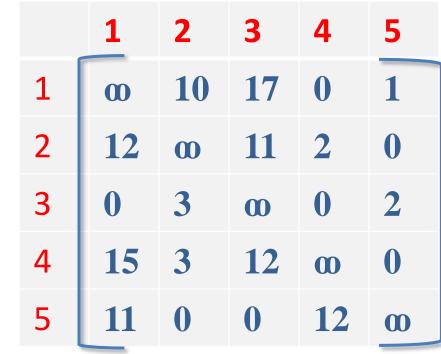


Reduced Cost Matrix

Reduced Cost= Row-wise cost + Column wise cost

$$C = 21 + 4 = 25$$

Reduced Cost Matrix at Node 1 (RCM-1)



Col.-wise Min.

Subtract

Step-02

- We consider all other vertices one by one.
- We select the best vertex where we can land upon to minimize the tour cost.



credited with 🔼 Grade by NA

- From the reduced matrix of step-01, Cost[1,2] = ?
- Set row-1 and column-2 to ∞
- Set $c[2,1] = \infty$

Now, We reduce this matrix.

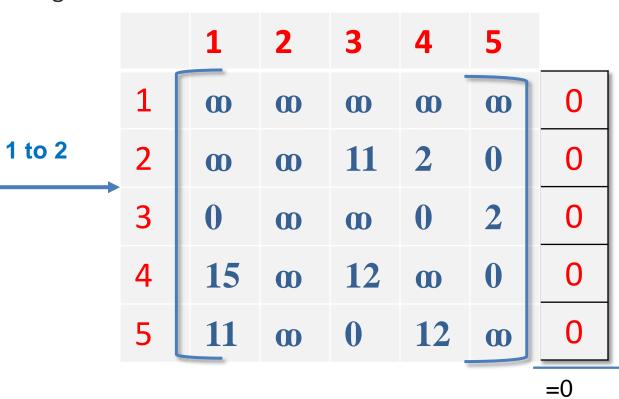
Then, we find out the cost of node-02.

Now, resulting cost matrix is-

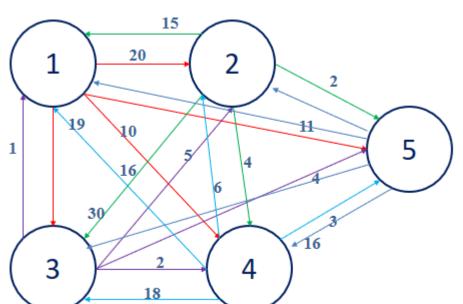
1 C=25	
$\begin{pmatrix} 2 \\ C=? \end{pmatrix} \begin{pmatrix} 3 \\ \end{pmatrix} \begin{pmatrix} 4 \\ \end{pmatrix} \begin{pmatrix} 5 \\ \end{pmatrix}$	

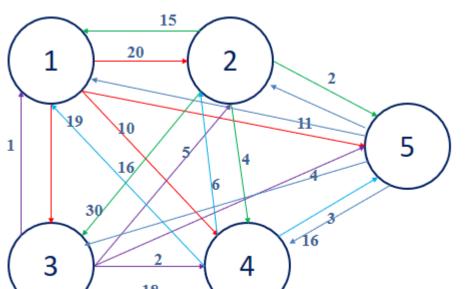
	_1	2	3	4	5
1	$\mathbf{\omega}$	10	17	0	1
2	12	00	11	2	0
3	0	3	00	0	2
4	15	3	12	$\mathbf{\omega}$	0
5	11	0	0	12	00

RCM-1



Row Reduction





Step-02

- We consider all other vertices one by one.
- We select the best vertex where we can land upon to minimize the tour cost.

Choosing To Go To Vertex-2: Node-2 (Path $1 \rightarrow 2$)



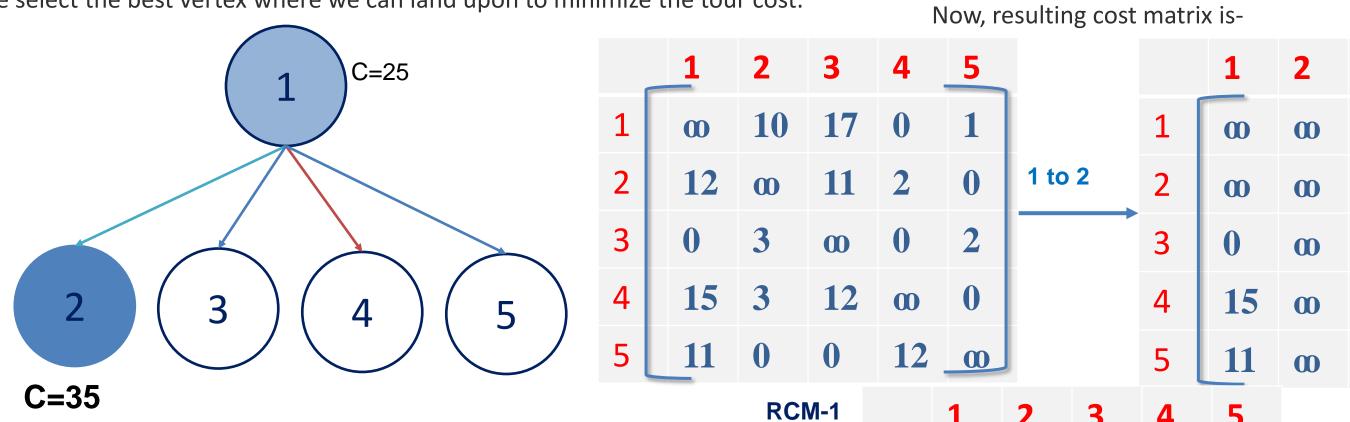
Row

Reduction

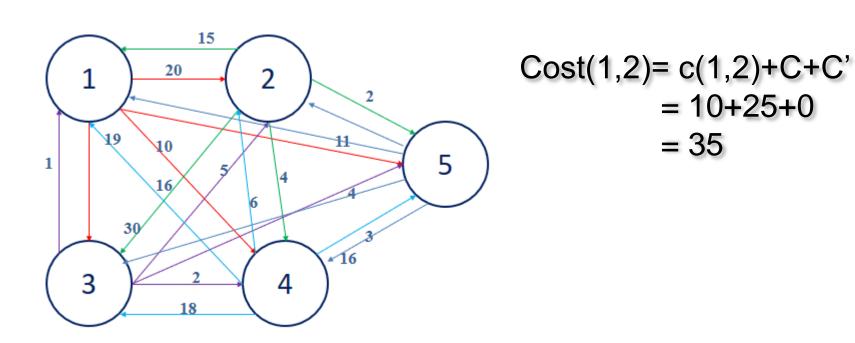
- From the reduced matrix of step-01, Cost[1,2] = ?
- Set row-A and column-B to ∞
- Set $c[2,1] = \infty$

Now, We reduce this matrix.

Then, we find out the cost of node-02.



		1	2	3	4	5		
	1	00	$\mathbf{\omega}$	00	$\mathbf{\omega}$	ω	0	
	2	$\mathbf{\omega}$	$\mathbf{\omega}$	11	2	0	0	
	3	0	$\mathbf{\omega}$	$\mathbf{\omega}$	0	2	0	
	4	15	$\mathbf{\omega}$	12	$\mathbf{\omega}$	0	0	
	5	11	$\mathbf{\omega}$	0	12	$\mathbf{\omega}$	0	
3	4	5					=0	

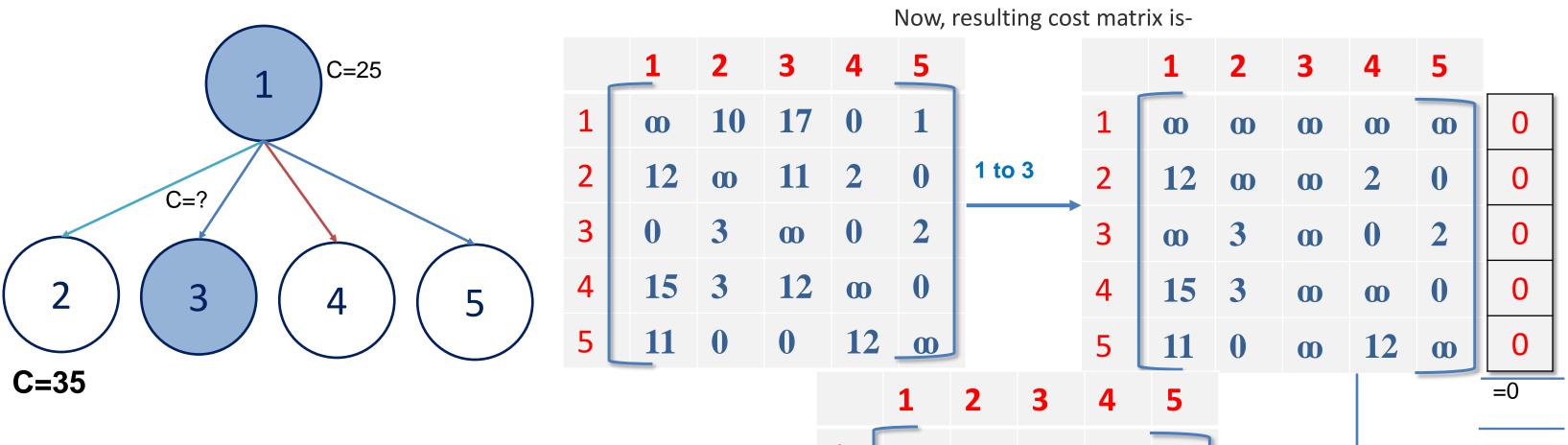


	1	2	3	4	5	
1	00	00	00	00	00	
2	00	00	11	2	0	
3	0	$\mathbf{\omega}$	00	0	2	Column
4	15	00	12	00	0	Reduction
5	11	00	0	12	00	
	0	0	0	0	0	=0

Choosing To Go To Vertex-3: Node-3 (Path $1 \rightarrow 3$)



- From the reduced matrix of step-01, Cost[1,3] = ?
- Set row-1 and column-3 to ∞
- Set $c[3,1] = \infty$

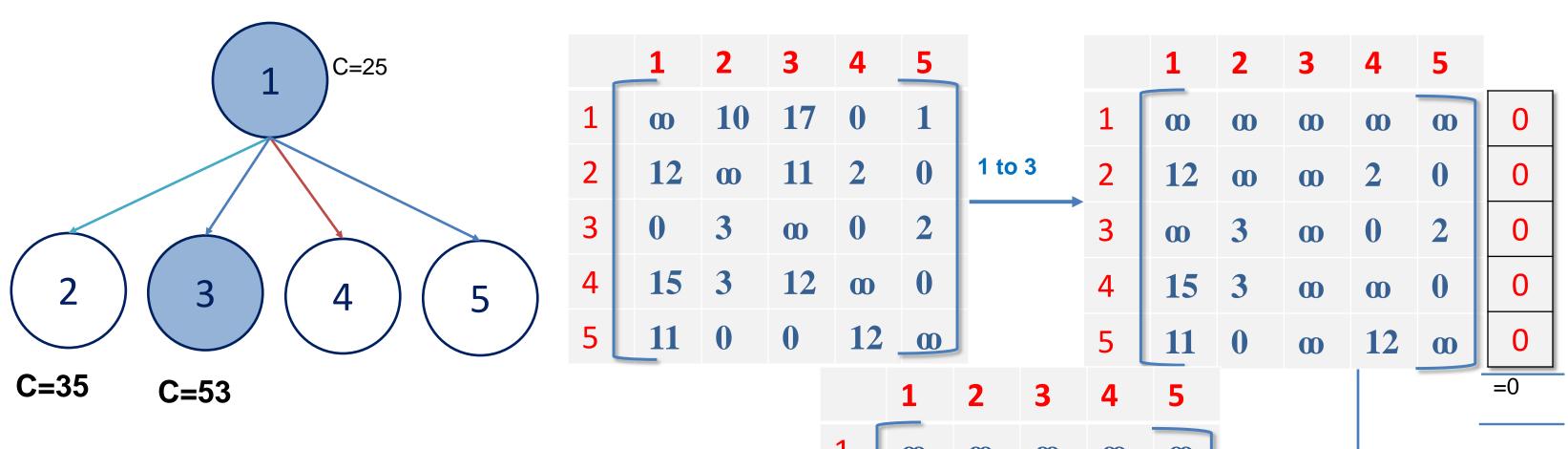


Cost(1,3)=
$$c(1,3)+C+C'$$

= 17+25+11
= 53

	1	2	3	4	5	
1	00	00	00	00	$\mathbf{\omega}$	
2	12	$\mathbf{\omega}$	00	2	0	
3	00	3	$\mathbf{\omega}$	0	2	
4	15	3	00	$\mathbf{\omega}$	0	
5	11	0	00	12	$\mathbf{\omega}$	
	11	0	0	0	0	=11





Cost(1,3)=
$$c(1,3)+C+C'$$

= 17+25+11
= 53

	1	2	3	4	5	
1	$\mathbf{\omega}$	$\mathbf{\omega}$	00	œ	00	
2	1	$\mathbf{\omega}$	00	2	0	
3	00	3	00	0	2	
4	4	3	00	$\mathbf{\omega}$	0	
5	0	0	00	12	$\mathbf{\omega}$	
	11	0	0	0	0	=11

Choosing To Go To Vertex-4: Node-4 (Path $1 \rightarrow 4$)

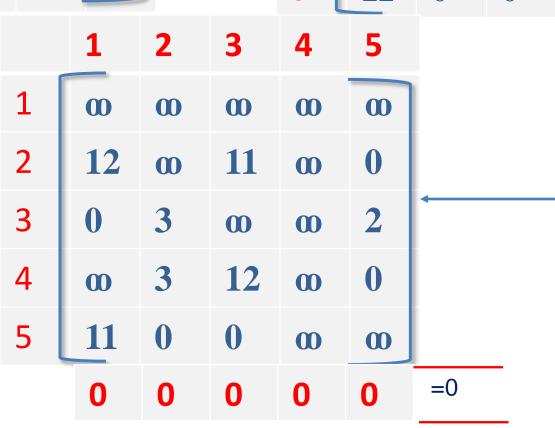
- From the reduced matrix of step-01, Cost[1,4] = ?
- Set row-1 and column-4 to ∞
- Set c[4,1] = ∞



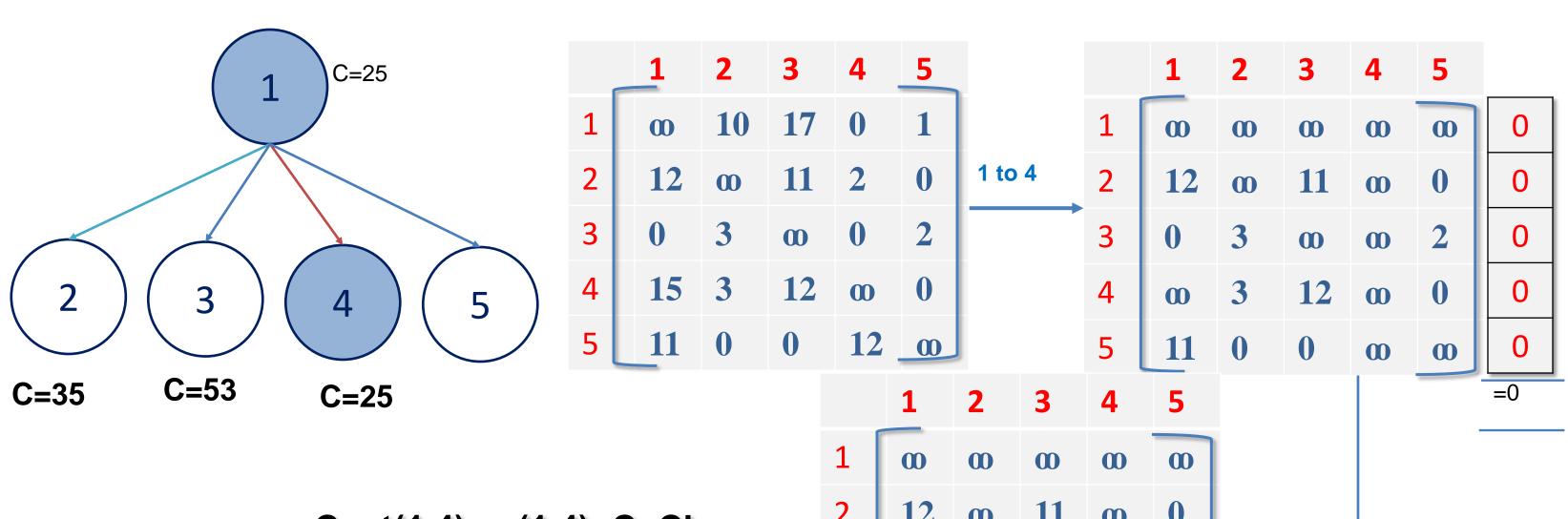
=0

		Now,	resulti	ng cos	t matr	ix is-
1 C=25	_	1	2	3	4	5
	1	00	10	17	0	1
	2	12	00	11	2	0
	3	0	3	00	0	2
$\begin{pmatrix} 2 \end{pmatrix} \begin{pmatrix} 3 \end{pmatrix} \begin{pmatrix} 4 \end{pmatrix} \begin{pmatrix} 5 \end{pmatrix}$	4	15	3	12	00	0
	5	_11	0	0	12	00
C=35						1
					1	00

1 \omega 10 17 0 1 2 12 \omega 11 2 0 1 to 4 2 12 \omega 11 \omega 0 0 3 0 3 \omega 0 2 3 0 3 \omega 0 2 4 15 3 12 \omega 0 4 \omega 3 12 \omega 0		_1	2	3	4	5				1	2	3	4	5	
3 0 3 \ \tilde{\omega} \ \tilde{\omega} \ 0 \ \ 3 \ \ \tilde{\omega} \ \tilde{\omega} \ \ \ 4 \ \ \tilde{\omega} \ 3 \ \ \ 12 \ \tilde{\omega} \ \ 0 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	1	œ	10	17	0	1			1	o	00	00	00	o	0
4 15 3 12 \omega 0 0 4 \omega 3 12 \omega 0	2	12	00	11	2	0	1 to	4	2	12	00	11	00	0	0
	3	0	3	$\mathbf{\omega}$	0	2			3	0	3	00	00	2	0
	4	15	3	12	00	0			4	00	3	12	$\mathbf{\omega}$	0	0
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	5	11	0	0	12	00			5	11	0	0	$\mathbf{\omega}$	w	0







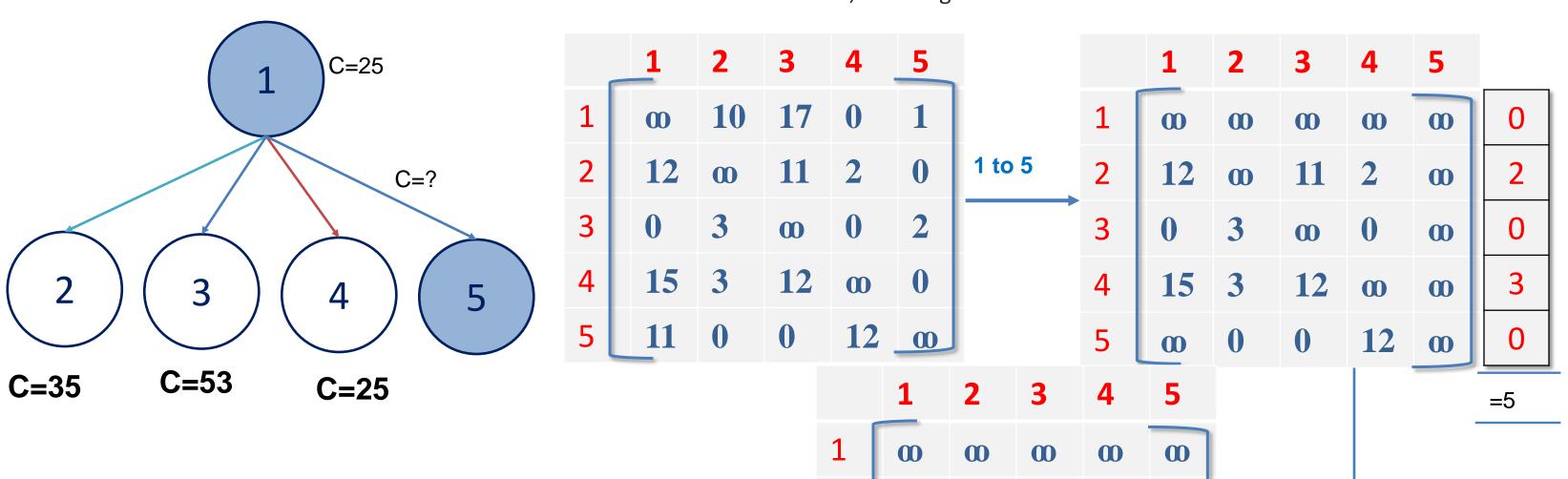
	1	2	3	4	5	
1	œ	00	00	00	00	
2	12	00	11	$\mathbf{\omega}$	0	
3	0	3	00	œ	2	
4	00	3	12	00	0	
5	11	0	0	00	$\mathbf{\omega}$	
	0	0	0	0	0	=0

Choosing To Go To Vertex-5: Node-5 (Path $1 \rightarrow 5$)

- From the reduced matrix of step-01, Cost[1,] = ?
- Set row-1 and column-5 to ∞
- Set $c[5,1] = \infty$

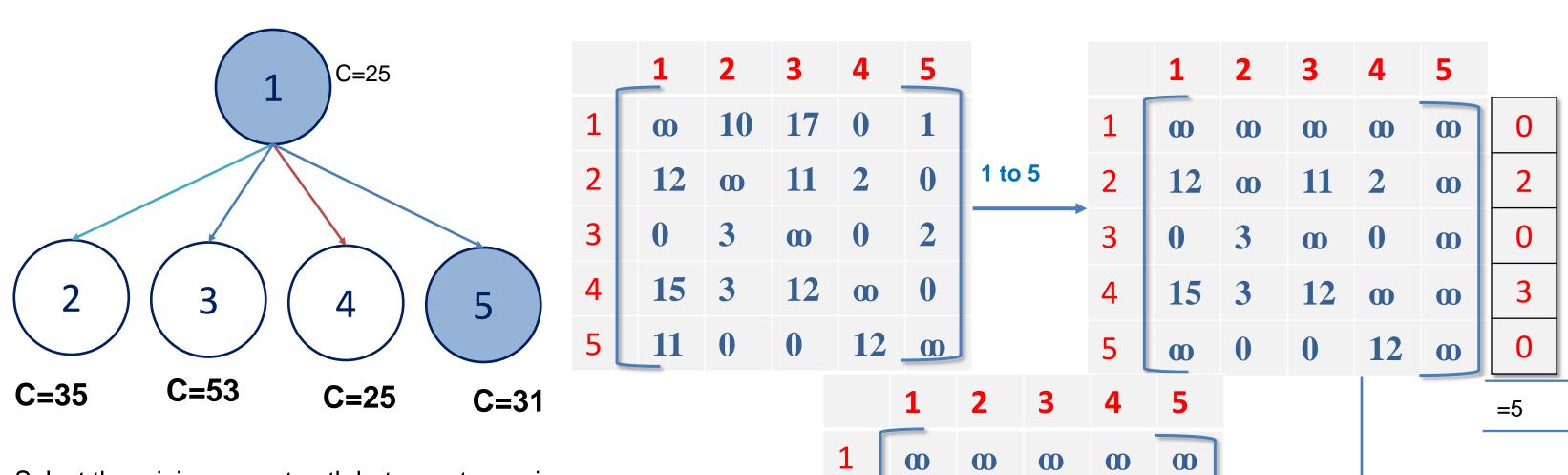


Now, resulting cost matrix is-

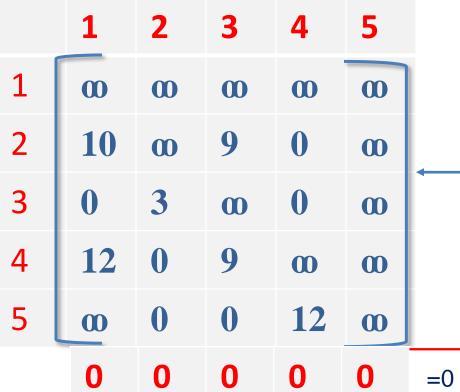




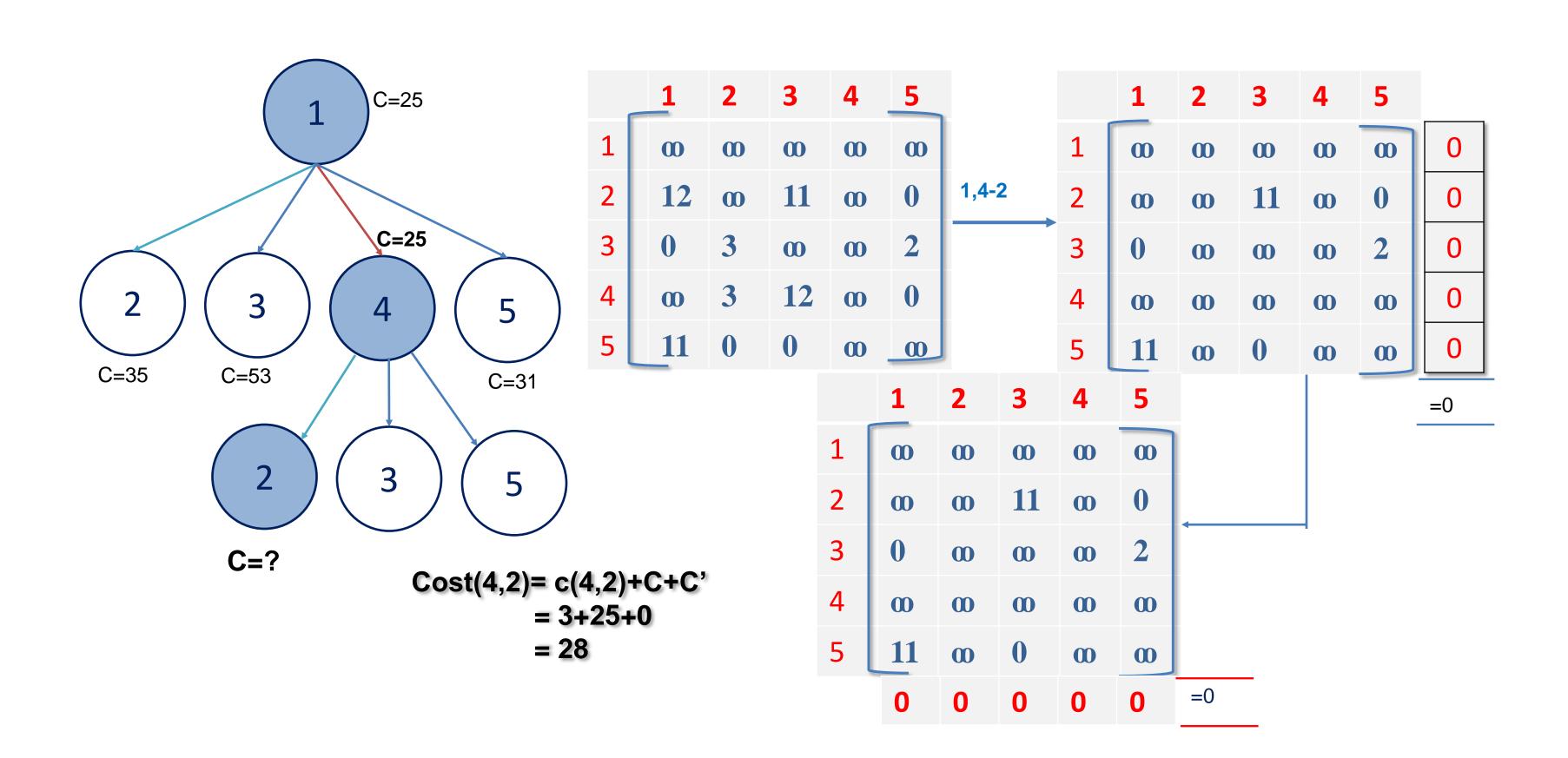




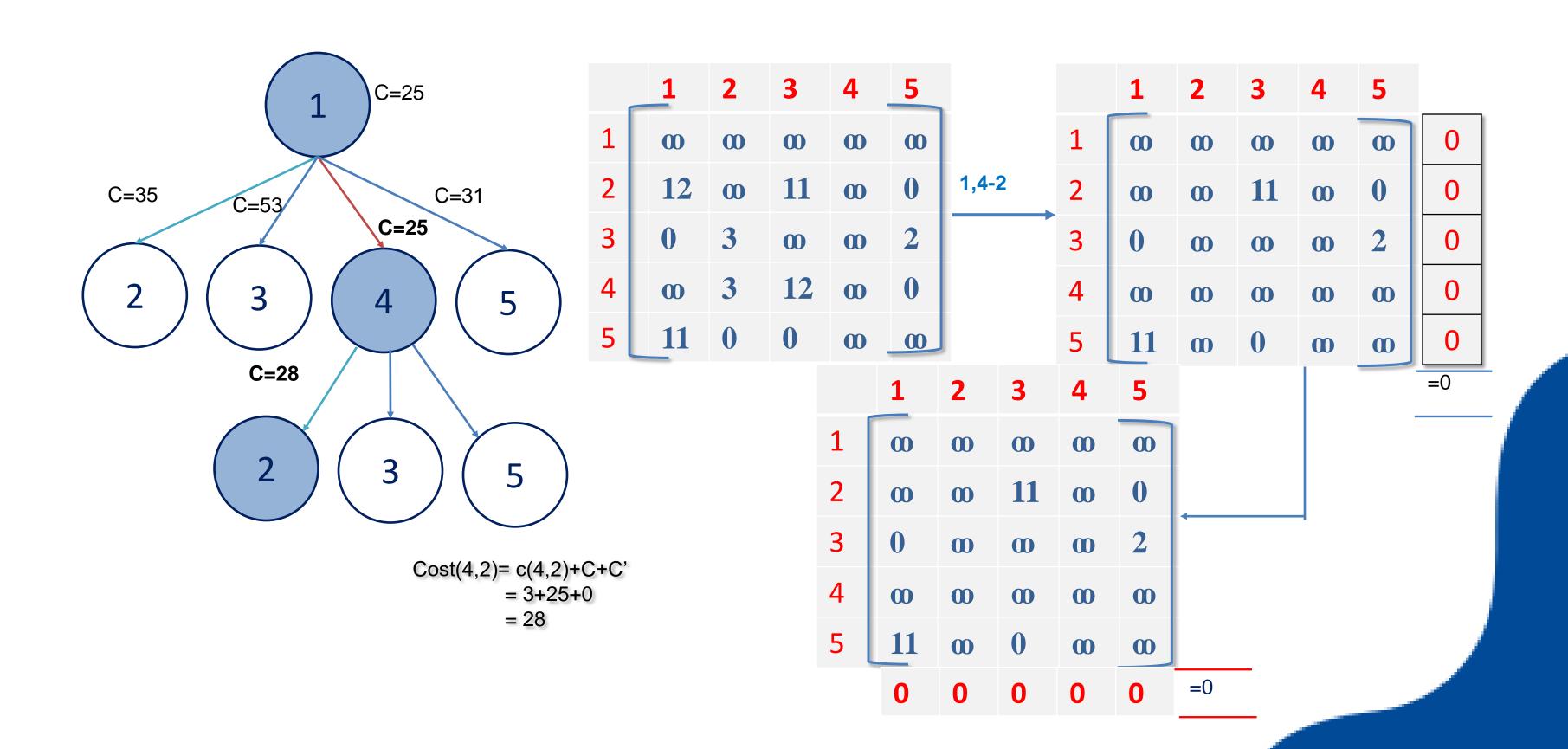
Select the minimum cost path between two pairs and continue till all paths are explored (repeat the same steps of row and column reductions for the next possible pairs)



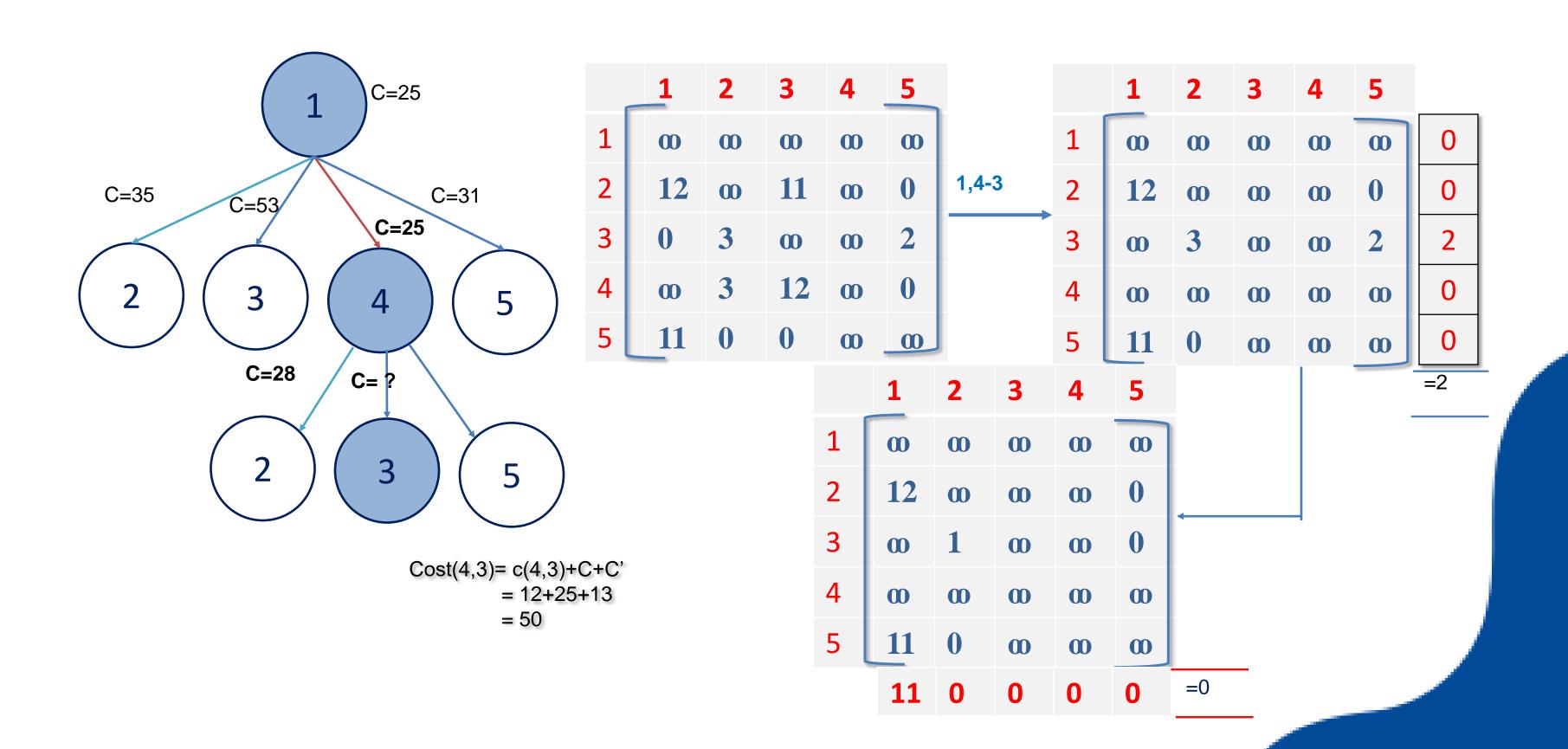




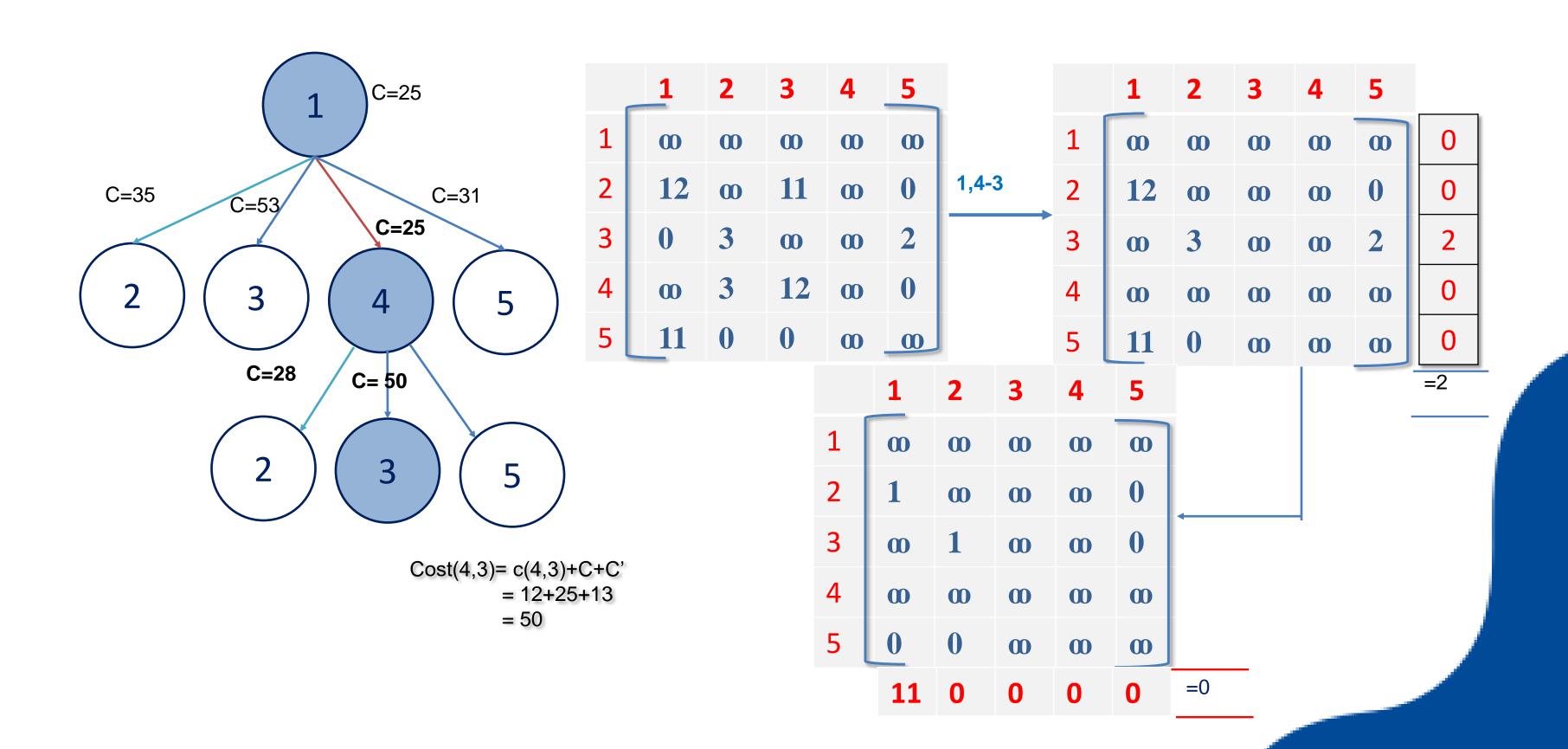




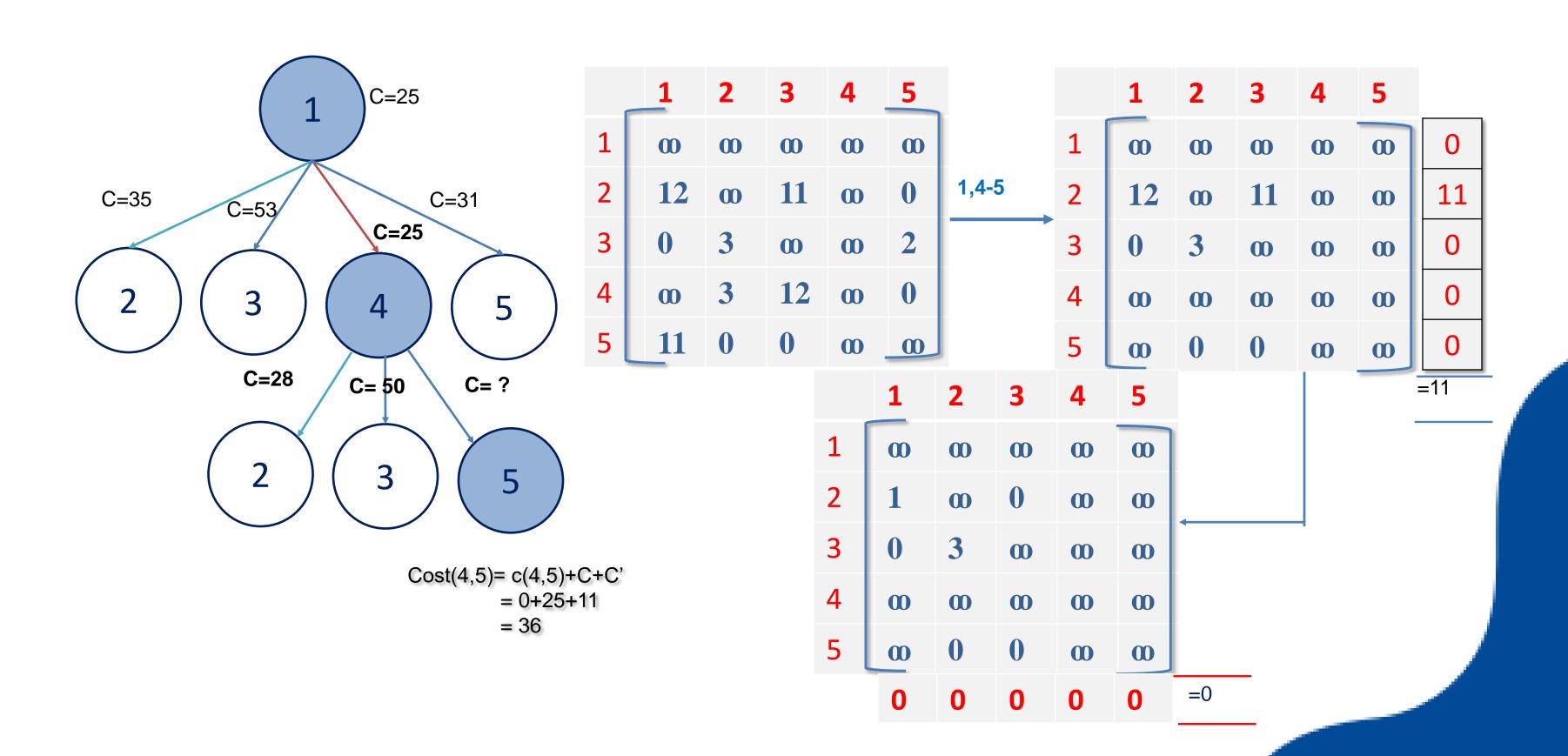




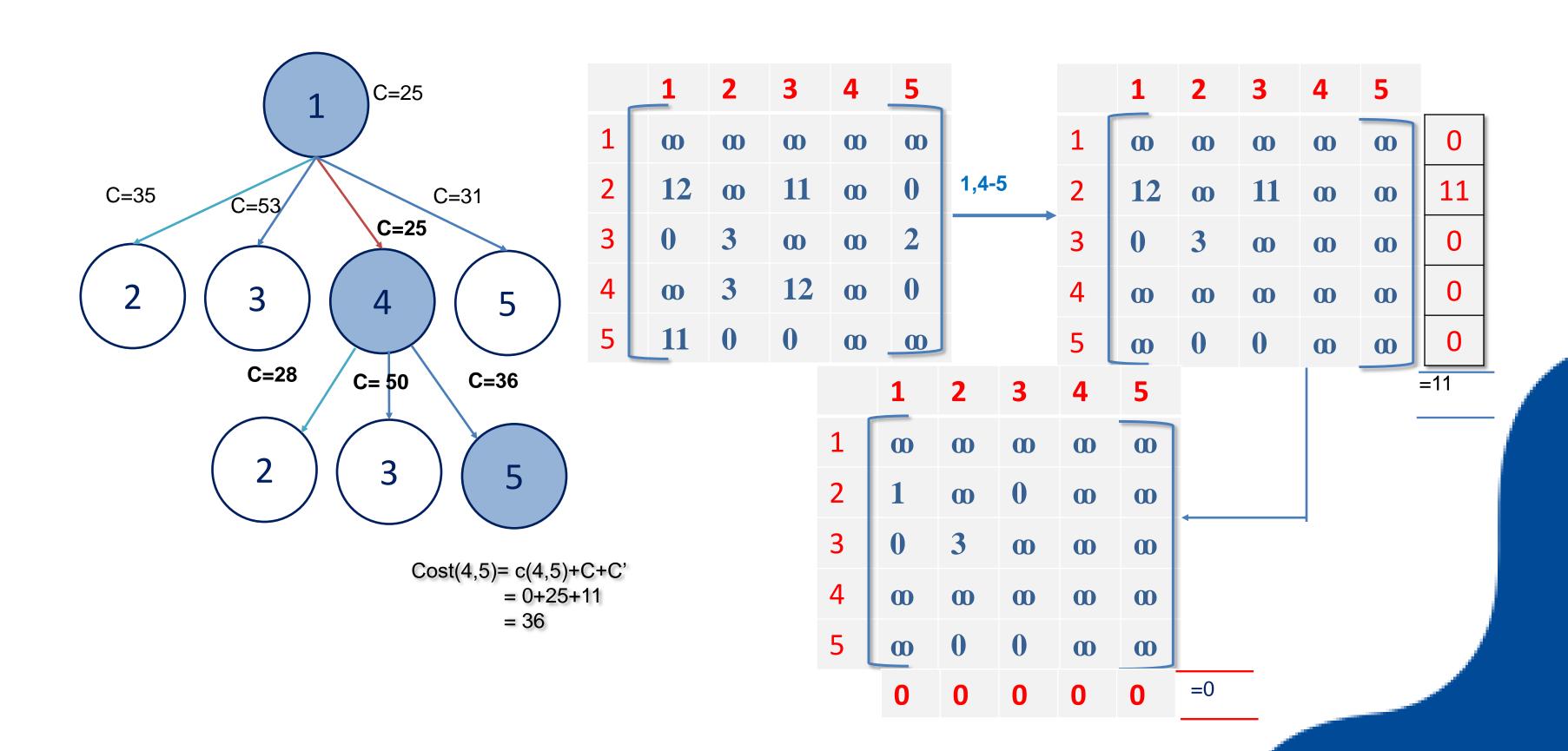




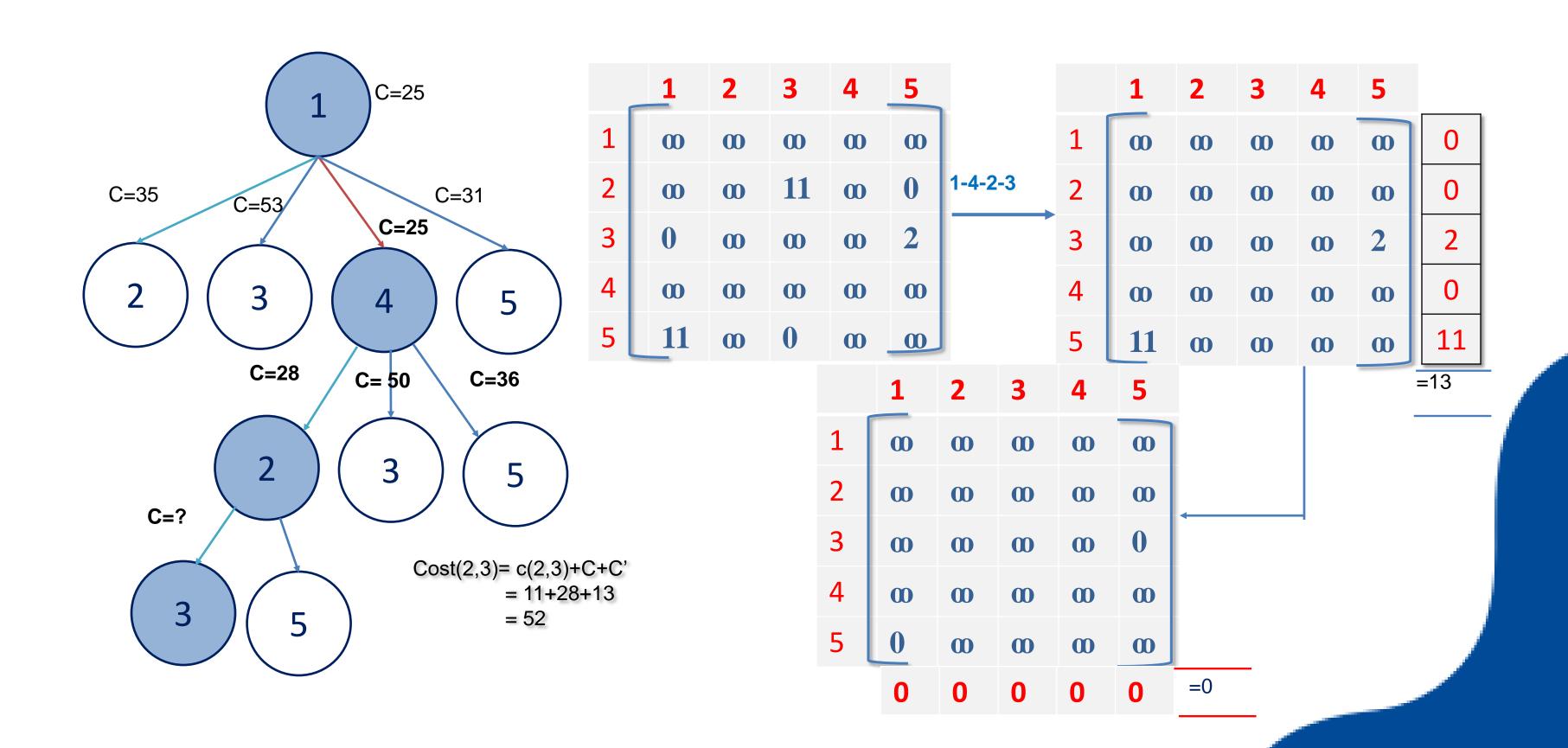




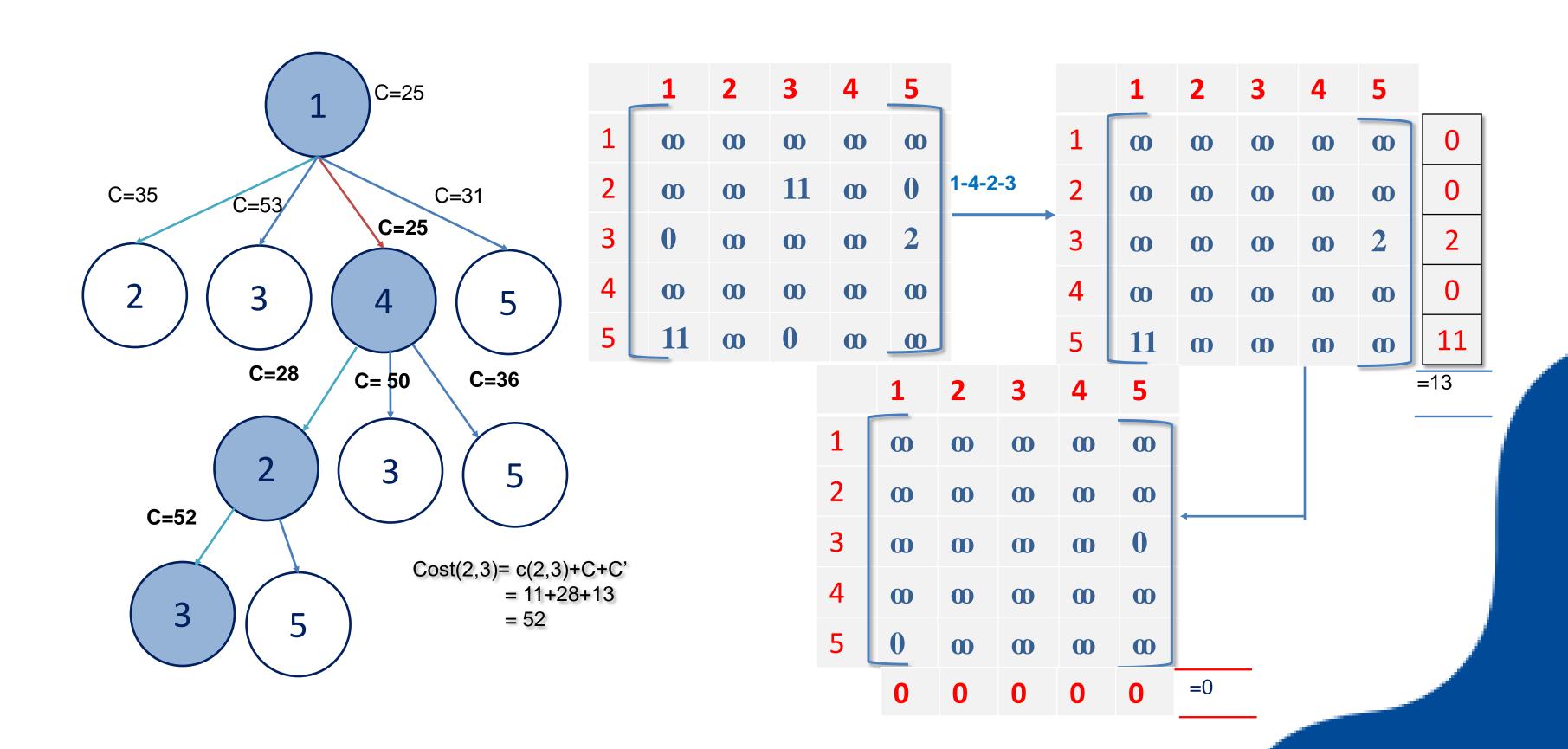




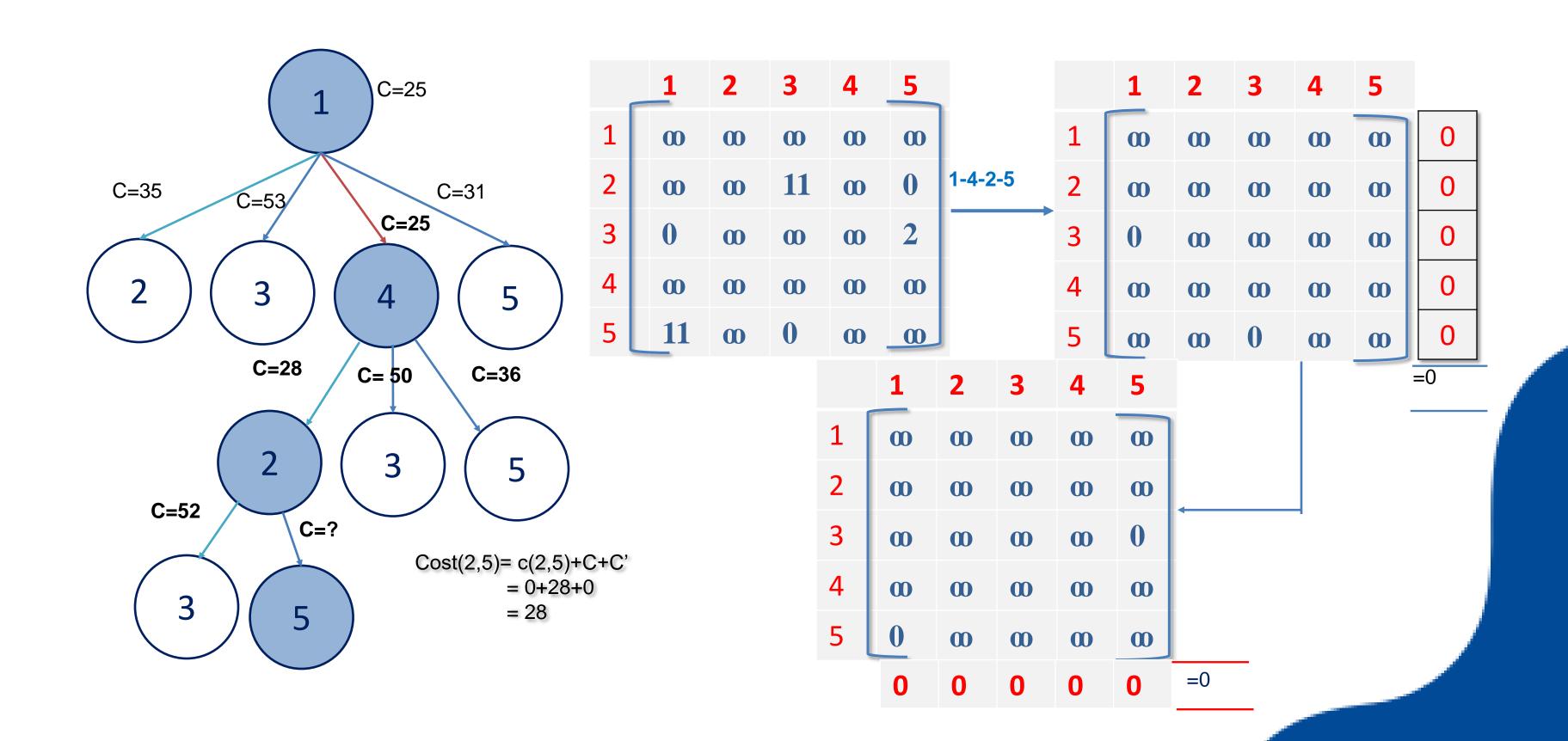




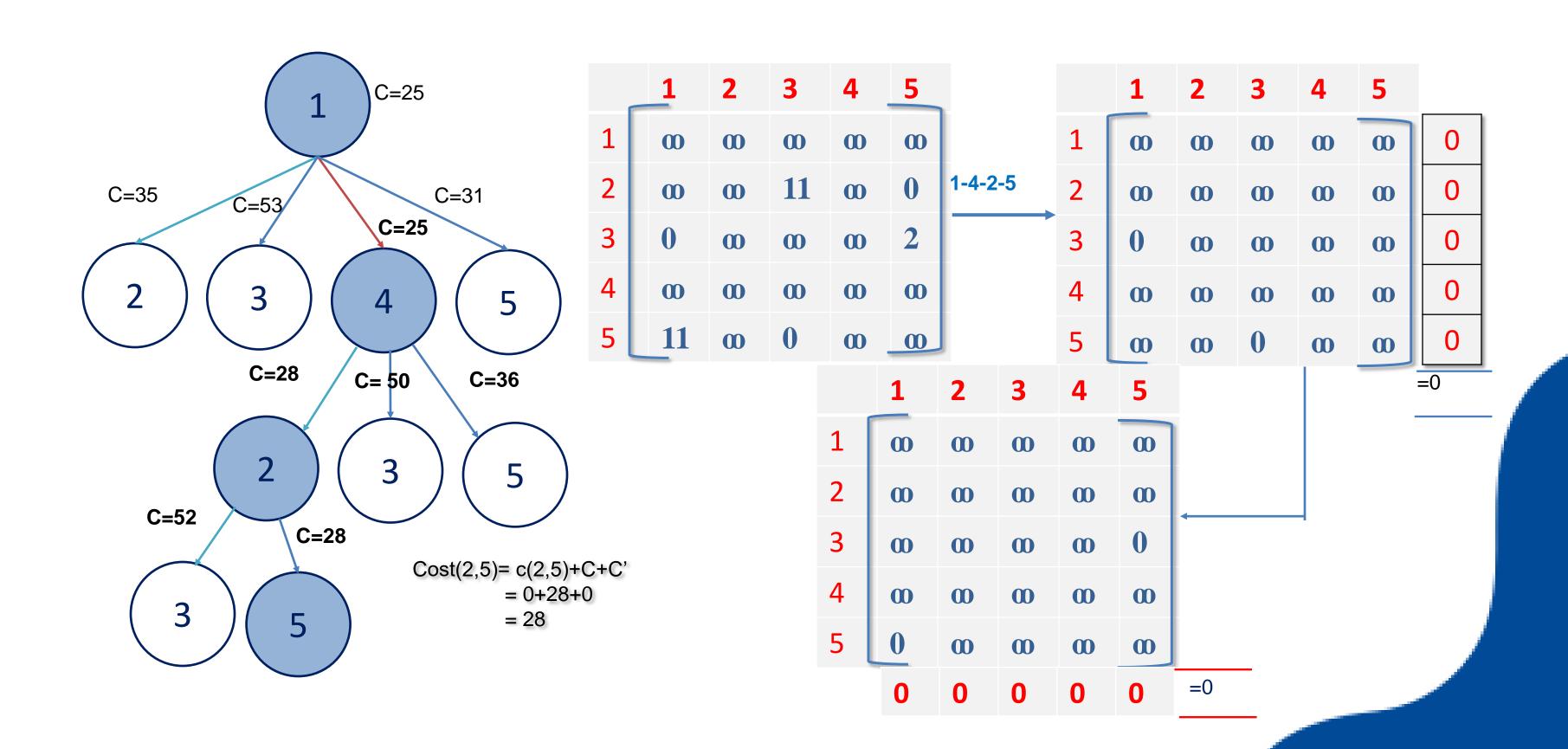


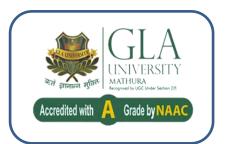


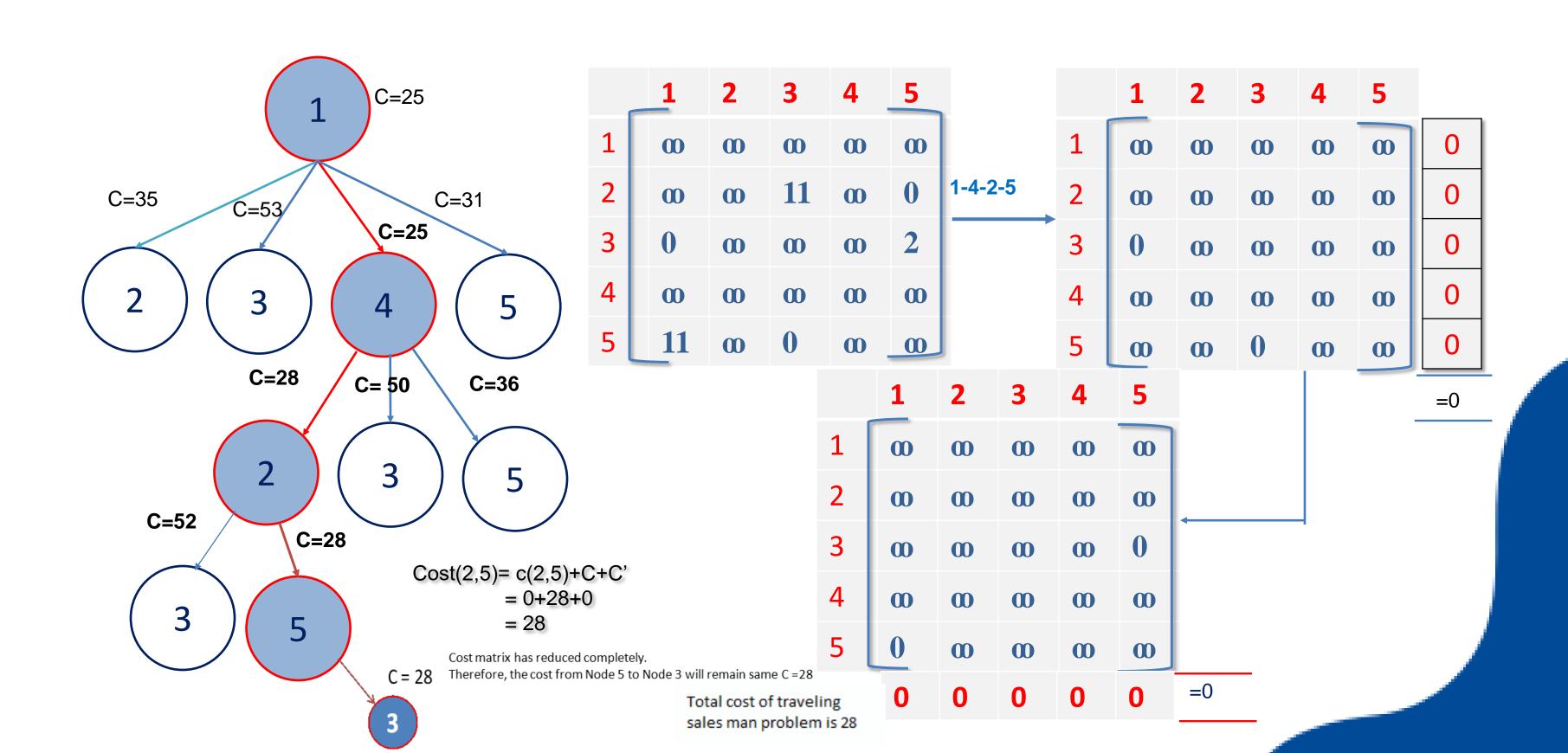












Time Complexity of Traveling Salesman Problem



• Consider city 1 as the starting and ending point. Since the route is cyclic, we can consider any point as a starting point.

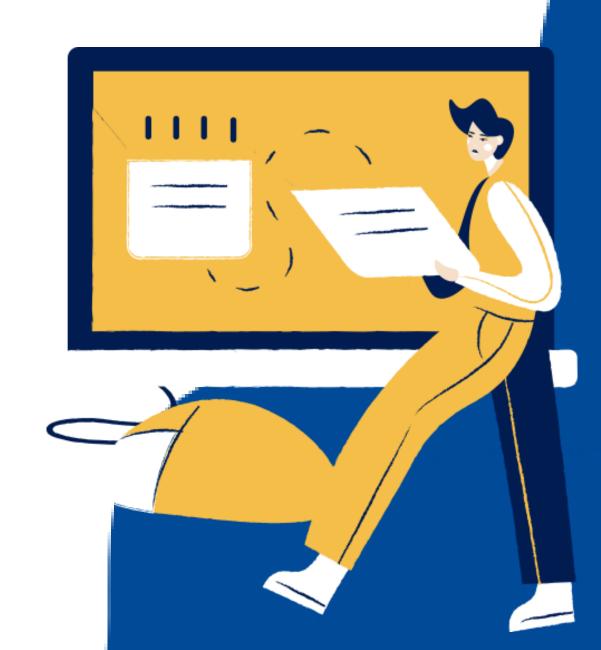
Generate all (n-1)! permutations of cities.

• Calculate the cost of every permutation and keep track of the minimum cost permutation.

Return the permutation with minimum cost.

Total Time= O(n!) using Brute Force Approach

Total Time = $O(n^{2*}2^n)$ using Branch and Bound Strategy or DP







Happy Learning!

If you have any doubts, or queries, can be discussed in the C-11, Room 310, AB-1.

or share it on WhatsApp 8586968801

if there is any suggestion or feedback about slides, please write it on gaurav.kumar@gla.ac.in