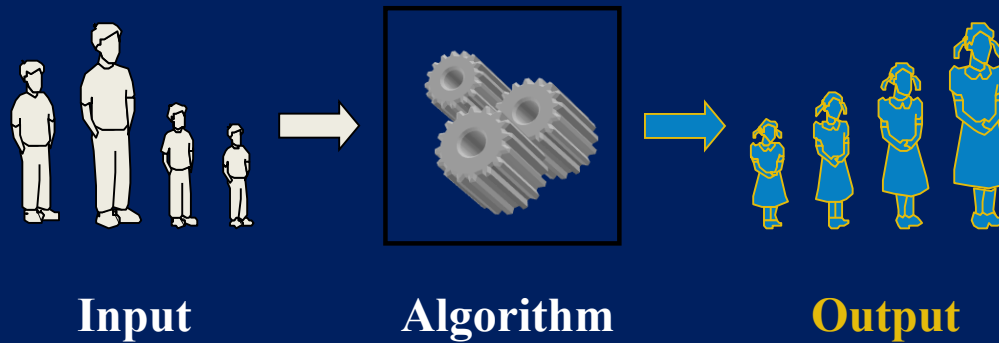


# DESIGN & ANALYSIS OF ALGORITHM (BCSC0012)

## Chapter 4: Sorting Insertion Sort



Prof. Anand Singh Jalal

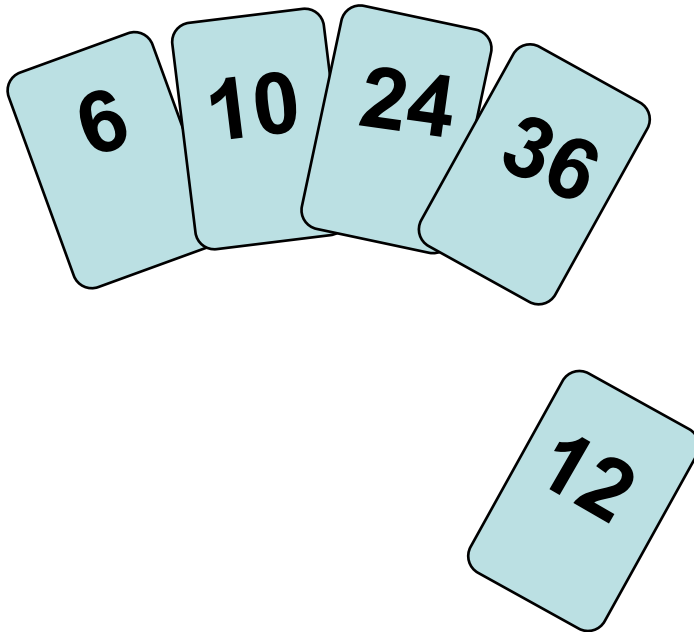
Department of Computer Engineering & Applications

# Insertion Sort

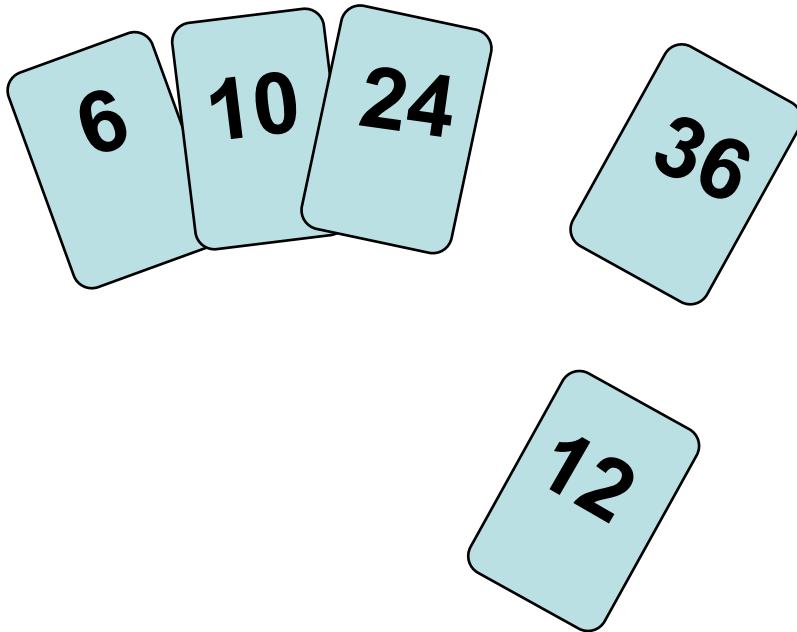
- **Idea:** like sorting a hand of playing cards
  - Start with an empty left hand and the cards facing down on the table.
  - Remove one card at a time from the table, and insert it into the correct position in the left hand
    - compare it with each of the cards already in the hand, from right to left
  - The cards held in the left hand are sorted
    - these cards were originally the top cards of the pile on the table

# Insertion Sort ...

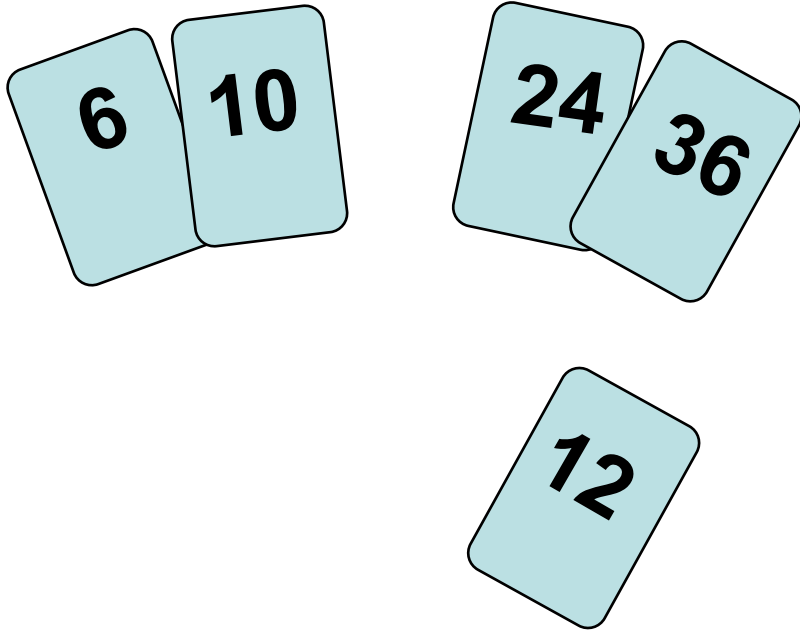
To insert 12, we need to make room for it by moving first 36 and then 24.



# Insertion Sort ...



# Insertion Sort ...



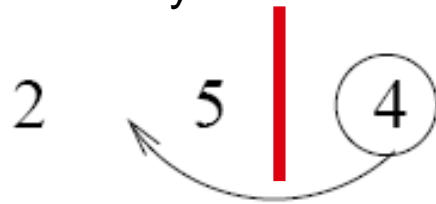
# Insertion Sort ...

input array

5    2    4    6    1    3

at each iteration, the array is divided in two sub-arrays:

left sub-array



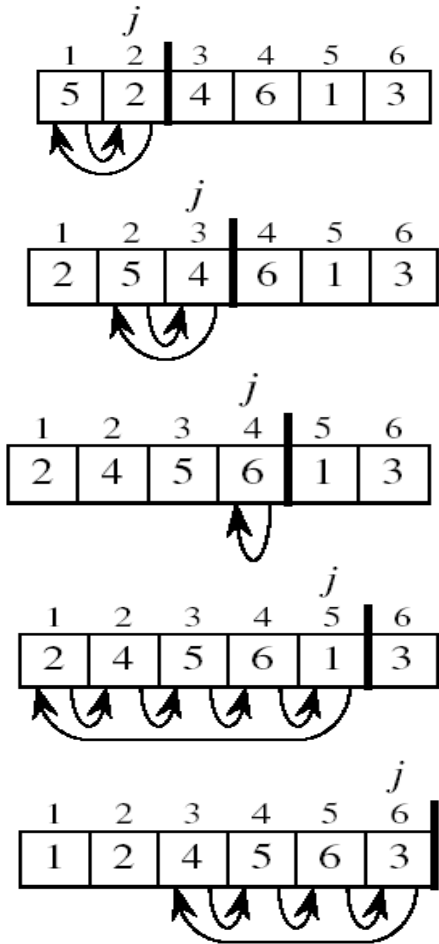
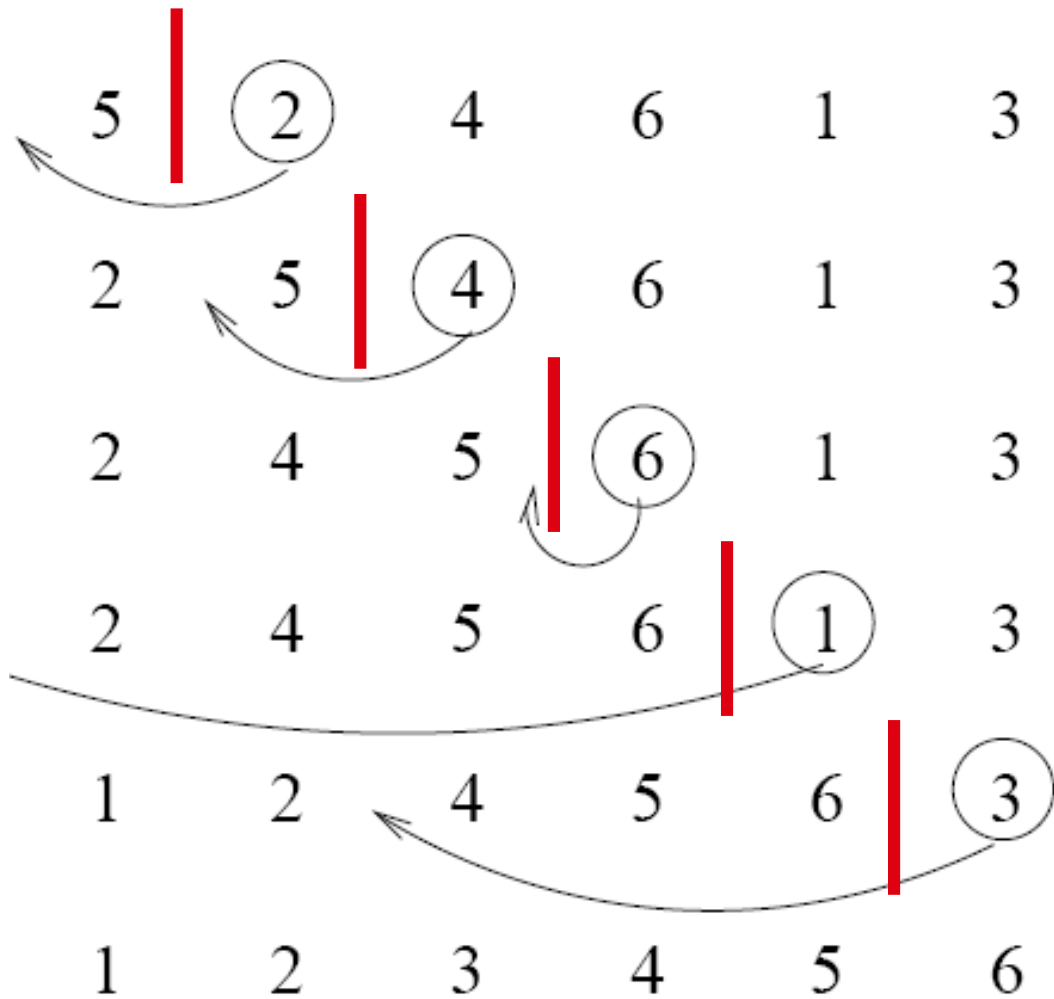
sorted

right sub-array

6    1    3

unsorted

# Insertion Sort ...



# Insertion Sort ...

*Alg.:* INSERTION-SORT( $A$ )

**for**  $j \leftarrow 2$  **to**  $n$

**do**  $\text{key} \leftarrow A[j]$

▷ Insert  $A[j]$  into the sorted sequence  $A[1 \dots j-1]$

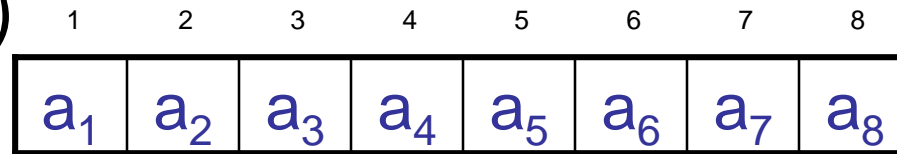
$i \leftarrow j - 1$

**while**  $i > 0$  and  $A[i] > \text{key}$

**do**  $A[i + 1] \leftarrow A[i]$

$i \leftarrow i - 1$

$A[i + 1] \leftarrow \text{key}$



- Insertion sort – sorts the elements in place



# Analysis of Insertion Sort

## INSERTION-SORT(A)

	cost	times
<b>for</b> $j \leftarrow 2$ <b>to</b> $n$	$c_1$	$n$
<b>do</b> $\text{key} \leftarrow A[j]$	$c_2$	$n-1$
Insert $A[j]$ into the sorted sequence $A[1 \dots j-1]$	$0$	$n-1$
$i \leftarrow j - 1$	$c_4$	$n-1$
<b>while</b> $i > 0$ and $A[i] > \text{key}$	$c_5$	$\sum_{j=2}^n t_j$
<b>do</b> $A[i + 1] \leftarrow A[i]$	$c_6$	$\sum_{j=2}^n (t_j - 1)$
$i \leftarrow i - 1$	$c_7$	$\sum_{j=2}^n (t_j - 1)$
$A[i + 1] \leftarrow \text{key}$	$c_8$	$n-1$

$t_j$ : # of times the while statement is executed at iteration  $j$

$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^n t_j + c_6 \sum_{j=2}^n (t_j - 1) + c_7 \sum_{j=2}^n (t_j - 1) + c_8 (n-1)$$

# Insertion Sort: Best Case Analysis

- The array is already sorted “**while**  $i > 0$  and  $A[i] > \text{key}$ ”

- $A[i] \leq \text{key}$  upon the first time the **while** loop test is run

(when  $i = j - 1$ )

- $t_j = 1$

- $$T(n) = c_1n + c_2(n - 1) + c_4(n - 1) + c_5(n - 1) + c_8(n - 1)$$

$$= (c_1 + c_2 + c_4 + c_5 + c_8)n + (c_2 + c_4 + c_5 + c_8)$$

$$= an + b = \Theta(n)$$

$$T(n) = c_1n + c_2(n - 1) + c_4(n - 1) + c_5 \sum_{j=2}^n t_j + c_6 \sum_{j=2}^n (t_j - 1) + c_7 \sum_{j=2}^n (t_j - 1) + c_8(n - 1)$$

# Insertion Sort: Worst Case Analysis

- The array is in reverse sorted order “**while**  $i > 0$  and  $A[i] > \text{key}$ ”
  - Always  $A[i] > \text{key}$  in **while** loop test
  - Have to compare  $\text{key}$  with all elements to the left of the  $j$ -th position  $\Rightarrow$  compare with  $j-1$  elements  $\Rightarrow t_j = j$

using  $\sum_{j=1}^n j = \frac{n(n+1)}{2} \Rightarrow \sum_{j=2}^n j = \frac{n(n+1)}{2} - 1 \Rightarrow \sum_{j=2}^n (j-1) = \frac{n(n-1)}{2}$  we have:

$$T(n) = c_1 n + c_2(n-1) + c_4(n-1) + c_5 \left( \frac{n(n+1)}{2} - 1 \right) + c_6 \frac{n(n-1)}{2} + c_7 \frac{n(n-1)}{2} + c_8(n-1)$$

$$= an^2 + bn + c$$

a quadratic function of  $n$

- $T(n) = \Theta(n^2)$  order of growth in  $n^2$

$$T(n) = c_1 n + c_2(n-1) + c_4(n-1) + c_5 \sum_{j=2}^n t_j + c_6 \sum_{j=2}^n (t_j - 1) + c_7 \sum_{j=2}^n (t_j - 1) + c_8(n-1)$$

# Insertion Sort: Time Complexity ...

- Advantages
  - Good running time for “almost sorted” arrays  $\Theta(n)$
- Disadvantages
  - $\Theta(n^2)$  running time in **worst** and **average** case
  - $\approx n^2/2$  **comparisons** and **exchanges**

Time Complexity	
Best Case	$n$
Average Case	$n^2$
Worst Case	$n^2$

# Insertion Sort: Summary

**Time Complexity:**  $O(n^2)$

**Auxiliary Space:**  $O(1)$

**Boundary Cases:** Insertion sort takes maximum time to sort if elements are sorted in reverse order. And it takes minimum time (Order of  $n$ ) when elements are already sorted.

**Sorting In Place:** Yes

**Stable:** Yes

**Online:** Yes

**Uses:** Insertion sort is used when number of elements is small. It can also be useful when input array is almost sorted, only few elements are misplaced in complete big array.

**“Thank you”**

*Any Questions ?*



**Dr. Anand Singh Jalal**  
**Professor**

**Email: [asjalal@gla.ac.in](mailto:asjalal@gla.ac.in)**