

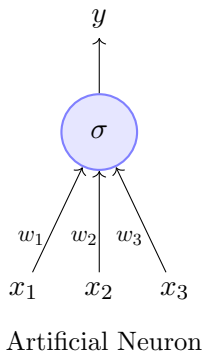
## CS7015 (Deep Learning) : Lecture 2

McCulloch Pitts Neuron, Thresholding Logic, Perceptrons, Perceptron Learning Algorithm and Convergence, Multilayer Perceptrons (MLPs), Representation Power of MLPs

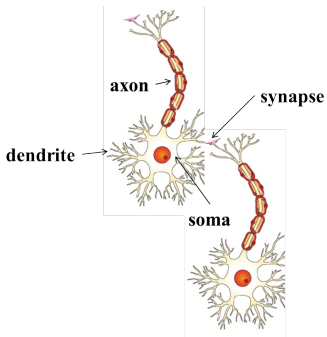
Mitesh M. Khapra

Department of Computer Science and Engineering  
Indian Institute of Technology Madras

# Module 2.1: Biological Neurons



- The most fundamental unit of a deep neural network is called an *artificial neuron*
- Why is it called a neuron ? Where does the inspiration come from ?
- The inspiration comes from biology (more specifically, from the *brain*)
- *biological neurons = neural cells = neural processing units*
- We will first see what a biological neuron looks like ...



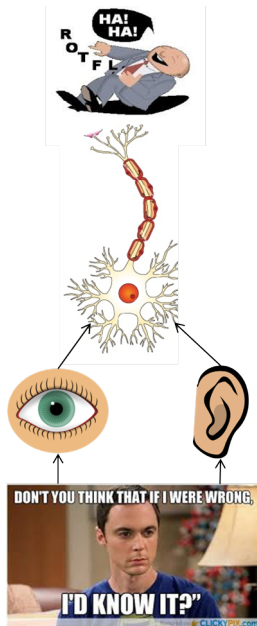
Biological Neurons\*

- **dendrite:** receives signals from other neurons
- **synapse:** point of connection to other neurons
- **soma:** processes the information
- **axon:** transmits the output of this neuron

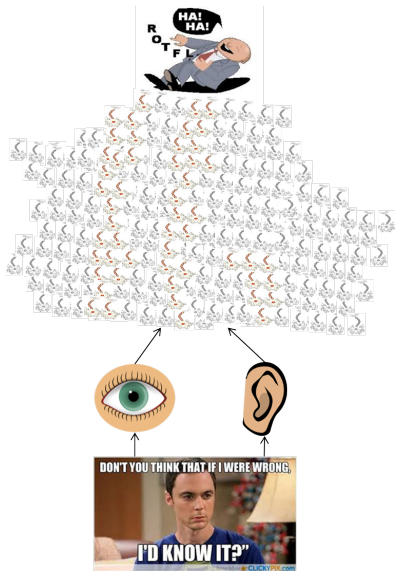
dendrite  
synapse  
soma  
axon.

---

\*Image adapted from  
<https://cdn.vectorstock.com/i/composite/12,25/neuron-cell-vector-81225.jpg>



- Let us see a very cartoonish illustration of how a neuron works
- Our sense organs interact with the outside world
- They relay information to the neurons
- The neurons (may) get activated and produces a response (laughter in this case)



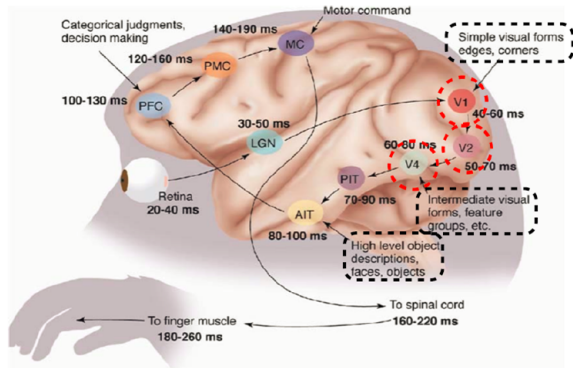
- Of course, in reality, it is not just a single neuron which does all this
- There is a massively parallel interconnected network of neurons
- The sense organs relay information to the lowest layer of neurons
- Some of these neurons may fire (in red) in response to this information and in turn relay information to other neurons they are connected to
- These neurons may also fire (again, in red) and the process continues eventually resulting in a response (laughter in this case)
- An average human brain has around  $10^{11}$  (100 billion) neurons!

*fires if at least  
2 of the 3 inputs fired*



A simplified illustration

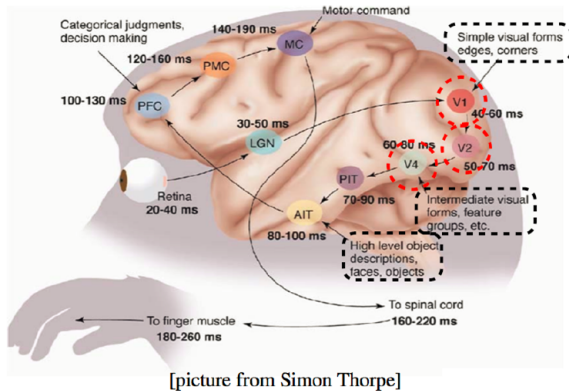
- This massively parallel network also ensures that there is division of work
- Each neuron may perform a certain role or respond to a certain stimulus



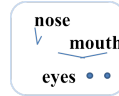
[picture from Simon Thorpe]

- The neurons in the brain are arranged in a hierarchy
- We illustrate this with the help of visual cortex (part of the brain) which deals with processing visual information
- Starting from the retina, the information is relayed to several layers (follow the arrows)
- We observe that the layers  $V1$ ,  $V2$  to  $AIT$  form a hierarchy (from identifying simple visual forms to high level objects)





Layer 1: detect edges & corners



Layer 2: form feature groups



Layer 3: detect high level objects, faces, etc.

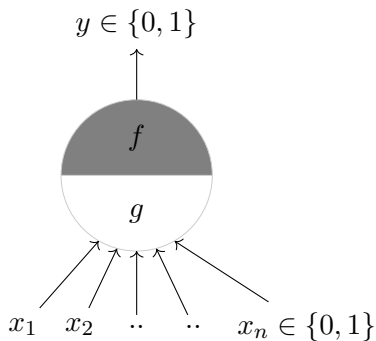
Sample illustration of hierarchical processing\*

\*Idea borrowed from Hugo Larochelle's lecture slides

## Disclaimer

- I understand very little about how the brain works!
- What you saw so far is an overly simplified explanation of how the brain works!
- But this explanation suffices for the purpose of this course!

## Module 2.2: McCulloch Pitts Neuron



- McCulloch (neuroscientist) and Pitts (logician) proposed a highly simplified computational model of the neuron (1943)
- $g$  aggregates the inputs and the function  $f$  takes a decision based on this aggregation
- The inputs can be excitatory or inhibitory
- $y = 0$  if any  $x_i$  is inhibitory, else

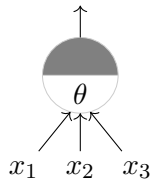
$$g(x_1, x_2, \dots, x_n) = g(\mathbf{x}) = \sum_{i=1}^n x_i$$

$$y = f(g(\mathbf{x})) = \begin{cases} 1 & \text{if } g(\mathbf{x}) \geq \theta \\ 0 & \text{if } g(\mathbf{x}) < \theta \end{cases}$$

- $\theta$  is called the thresholding parameter
- This is called Thresholding Logic

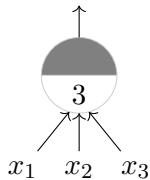
Let us implement some boolean functions using this McCulloch Pitts (MP) neuron  
...

$$y \in \{0, 1\}$$



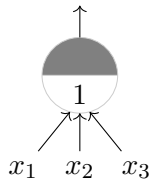
A McCulloch Pitts unit

$$y \in \{0, 1\}$$



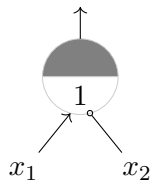
AND function

$$y \in \{0, 1\}$$



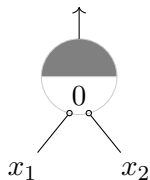
OR function

$$y \in \{0, 1\}$$



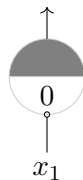
$x_1$  AND  $!x_2^*$

$$y \in \{0, 1\}$$



NOR function

$$y \in \{0, 1\}$$



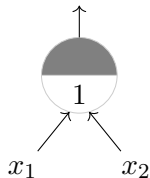
NOT function

---

\*circle at the end indicates inhibitory input: if any inhibitory input is 1 the output will be 0

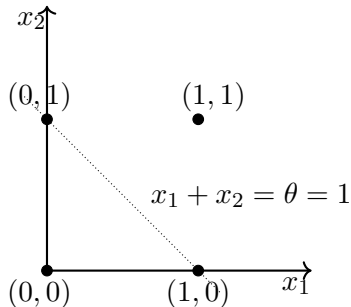
- Can any boolean function be represented using a McCulloch Pitts unit ?
- Before answering this question let us first see the geometric interpretation of a MP unit ...

$$y \in \{0, 1\}$$



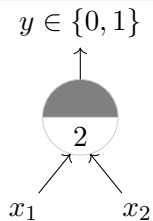
OR function

$$x_1 + x_2 = \sum_{i=1}^2 x_i \geq 1$$



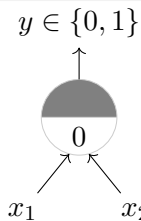
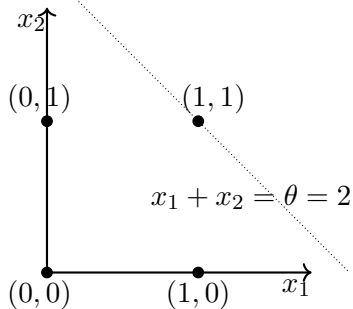
- A single MP neuron splits the input points (4 points for 2 binary inputs) into two halves
- Points lying on or above the line  $\sum_{i=1}^n x_i - \theta = 0$  and points lying below this line
- In other words, all inputs which produce an output 0 will be on one side ( $\sum_{i=1}^n x_i < \theta$ ) of the line and all inputs which produce an output 1 will lie on the other side ( $\sum_{i=1}^n x_i \geq \theta$ ) of this line
- Let us convince ourselves about this with a few more examples (if it is not already clear from the math)



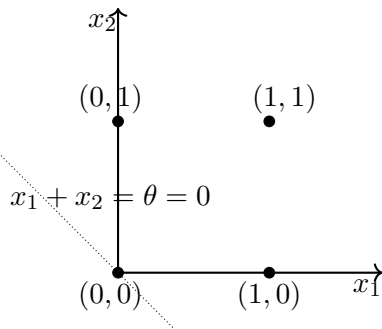


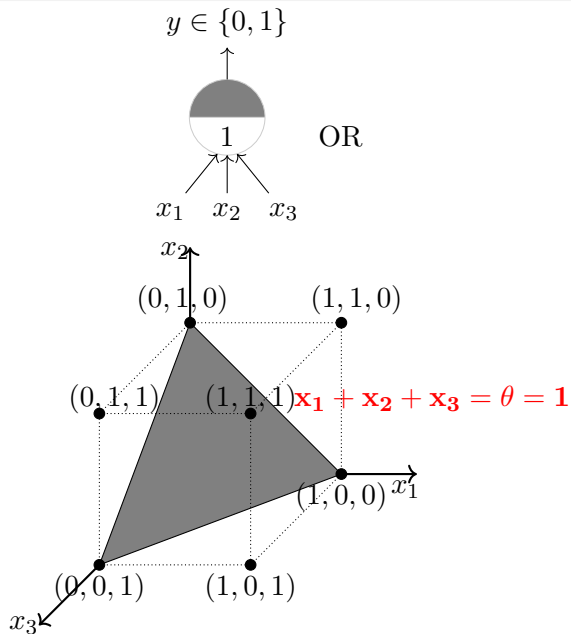
AND function

$$x_1 + x_2 = \sum_{i=1}^2 x_i \geq 2$$



Tautology (always ON)





- What if we have more than 2 inputs?
- Well, instead of a line we will have a plane
- For the OR function, we want a plane such that the point  $(0,0,0)$  lies on one side and the remaining 7 points lie on the other side of the plane

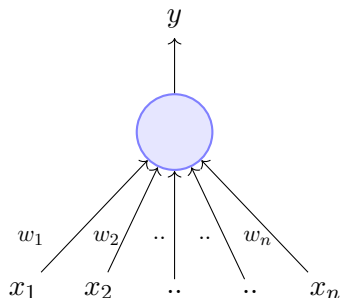
### The story so far ...

- A single McCulloch Pitts Neuron can be used to represent boolean functions which are linearly separable
- Linear separability (for boolean functions) : There exists a line (plane) such that all inputs which produce a 1 lie on one side of the line (plane) and all inputs which produce a 0 lie on other side of the line (plane)

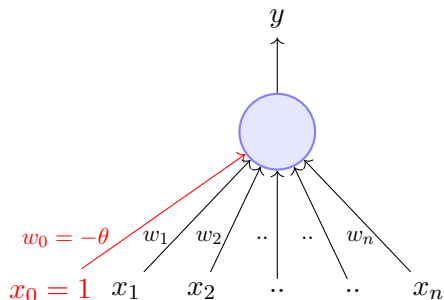
## Module 2.3: Perceptron

## The story ahead ...

- What about non-boolean (say, real) inputs ?
- Do we always need to hand code the threshold ?
- Are all inputs equal ? What if we want to assign more weight (importance) to some inputs ?
- What about functions which are not linearly separable ?



- Frank Rosenblatt, an American psychologist, proposed the **classical perceptron** model (1958)
- A more general computational model than McCulloch–Pitts neurons
- **Main differences:** Introduction of numerical weights for inputs and a mechanism for learning these weights
- Inputs are no longer limited to boolean values
- Refined and carefully analyzed by Minsky and Papert (1969) - their model is referred to as the **perceptron** model here



$$\begin{aligned}
 y &= 1 && \text{if } \sum_{i=1}^n w_i * x_i \geq \theta \\
 &= 0 && \text{if } \sum_{i=1}^n w_i * x_i < \theta
 \end{aligned}$$

Rewriting the above,

A more accepted convention,

$$\begin{aligned}
 y &= 1 && \text{if } \sum_{i=0}^n w_i * x_i \geq 0 \\
 &= 0 && \text{if } \sum_{i=0}^n w_i * x_i < 0
 \end{aligned}$$

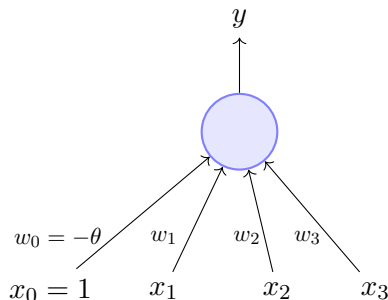
where,  $x_0 = 1$  and  $w_0 = -\theta$

$$\begin{aligned}
 y &= 1 && \text{if } \sum_{i=1}^n w_i * x_i - \theta \geq 0 \\
 &= 0 && \text{if } \sum_{i=1}^n w_i * x_i - \theta < 0
 \end{aligned}$$

We will now try to answer the following questions:

- Why are we trying to implement boolean functions?
- Why do we need weights ?
- Why is  $w_0 = -\theta$  called the bias ?





$x_1 = isActorDamon$

$x_2 = isGenreThriller$

$x_3 = isDirectorNolan$

- Consider the task of predicting whether we would like a movie or not
- Suppose, we base our decision on 3 inputs (binary, for simplicity)
- Based on our past viewing experience (**data**), we may give a high weight to *isDirectorNolan* as compared to the other inputs
- Specifically, even if the actor is not *Matt Damon* and the genre is not *thriller* we would still want to cross the threshold  $\theta$  by assigning a high weight to *isDirectorNolan*
- $w_0$  is called the bias as it represents the prior (prejudice)
- A movie buff may have a very low threshold and may watch any movie irrespective of the genre, actor, director [ $\theta = 0$ ]

What kind of functions can be implemented using the perceptron? Any difference from McCulloch Pitts neurons?

## McCulloch Pitts Neuron

(assuming no inhibitory inputs)

$$y = 1 \quad \text{if } \sum_{i=0}^n x_i \geq 0$$
$$= 0 \quad \text{if } \sum_{i=0}^n x_i < 0$$

## Perceptron

$$y = 1 \quad \text{if } \sum_{i=0}^n w_i * x_i \geq 0$$
$$= 0 \quad \text{if } \sum_{i=0}^n w_i * x_i < 0$$

- From the equations it should be clear that even a perceptron separates the input space into two halves
- All inputs which produce a 1 lie on one side and all inputs which produce a 0 lie on the other side
- In other words, a single perceptron can only be used to implement linearly separable functions
- Then what is the difference? The weights (including threshold) can be learned and the inputs can be real valued
- We will first revisit some boolean functions and then see the perceptron learning algorithm (for learning weights)

$x_1$	$x_2$	OR	
0	0	0	$w_0 + \sum_{i=1}^2 w_i x_i < 0$
1	0	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$
0	1	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$
1	1	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$

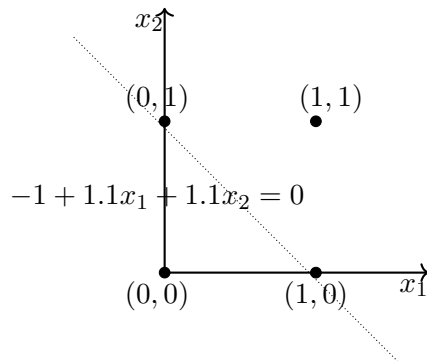
$$w_0 + w_1 \cdot 0 + w_2 \cdot 0 < 0 \implies w_0 < 0$$

$$w_0 + w_1 \cdot 0 + w_2 \cdot 1 \geq 0 \implies w_2 \geq -w_0$$

$$w_0 + w_1 \cdot 1 + w_2 \cdot 0 \geq 0 \implies w_1 \geq -w_0$$

$$w_0 + w_1 \cdot 1 + w_2 \cdot 1 \geq 0 \implies w_1 + w_2 \geq -w_0$$

- One possible solution to this set of inequalities is  $w_0 = -1, w_1 = 1.1, w_2 = 1.1$  (and various other solutions are possible)



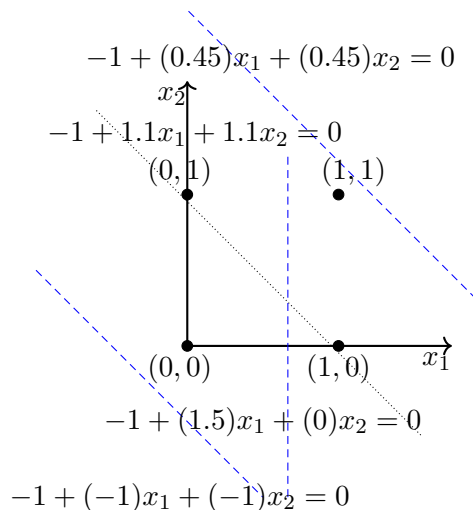
- Note that we can come up with a similar set of inequalities and find the value of  $\theta$  for a McCulloch Pitts neuron also (Try it!)

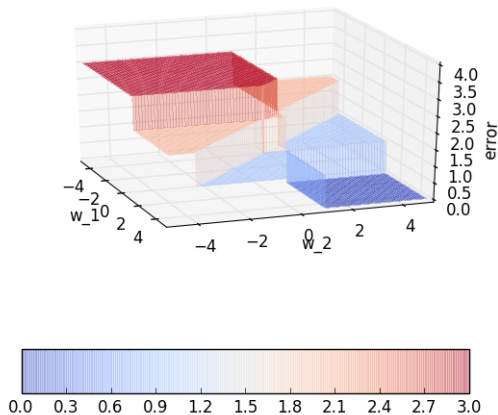
## Module 2.4: Errors and Error Surfaces

- Let us fix the threshold ( $-w_0 = 1$ ) and try different values of  $w_1, w_2$
- Say,  $w_1 = -1, w_2 = -1$
- What is wrong with this line? We make an error on 1 out of the 4 inputs
- Lets try some more values of  $w_1, w_2$  and note how many errors we make

$w_1$	$w_2$	errors
-1	-1	3
1.5	0	1
0.45	0.45	3

- We are interested in those values of  $w_0, w_1, w_2$  which result in 0 error
- Let us plot the error surface corresponding to different values of  $w_0, w_1, w_2$



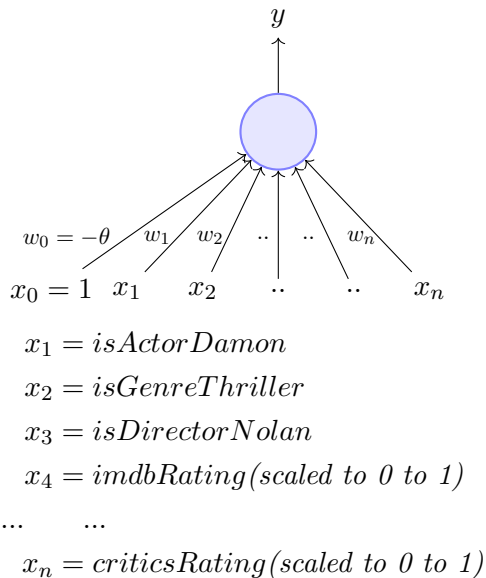


- For ease of analysis, we will keep  $w_0$  fixed (-1) and plot the error for different values of  $w_1, w_2$
- For a given  $w_0, w_1, w_2$  we will compute  $-w_0 + w_1 * x_1 + w_2 * x_2$  for all combinations of  $(x_1, x_2)$  and note down how many errors we make
- For the OR function, an error occurs if  $(x_1, x_2) = (0, 0)$  but  $-w_0 + w_1 * x_1 + w_2 * x_2 \geq 0$  or if  $(x_1, x_2) \neq (0, 0)$  but  $-w_0 + w_1 * x_1 + w_2 * x_2 < 0$
- We are interested in finding an algorithm which finds the values of  $w_1, w_2$  which minimize this error

## Module 2.5: Perceptron Learning Algorithm



- We will now see a more principled approach for learning these weights and threshold but before that let us answer this question...
- Apart from implementing boolean functions (which does not look very interesting) what can a perceptron be used for ?
- Our interest lies in the use of perceptron as a binary classifier. Let us see what this means...



- Let us reconsider our problem of deciding whether to watch a movie or not
- Suppose we are given a list of  $m$  movies and a label (class) associated with each movie indicating whether the user liked this movie or not : binary decision
- Further, suppose we represent each movie with  $n$  features (some boolean, some real valued)
- We will assume that the data is linearly separable and we want a perceptron to learn how to make this decision
- In other words, we want the perceptron to find the equation of this separating plane (or find the values of  $w_0, w_1, w_2, \dots, w_m$ )

---

**Algorithm:** Perceptron Learning Algorithm

---

$P \leftarrow$  inputs with label 1;

$N \leftarrow$  inputs with label 0;

Initialize  $\mathbf{w}$  randomly;

**while** !convergence **do**

    Pick random  $\mathbf{x} \in P \cup N$  ;

**if**  $\mathbf{x} \in P$  and  $\sum_{i=0}^n w_i * x_i < 0$  **then**

        |  $\mathbf{w} = \mathbf{w} + \mathbf{x}$  ;

**end**

**if**  $\mathbf{x} \in N$  and  $\sum_{i=0}^n w_i * x_i \geq 0$  **then**

        |  $\mathbf{w} = \mathbf{w} - \mathbf{x}$  ;

**end**

**end**

//the algorithm converges when all the  
inputs are classified correctly

---

- Why would this work ?
- To understand why this works we will have to get into a bit of Linear Algebra and a bit of geometry...

- Consider two vectors  $\mathbf{w}$  and  $\mathbf{x}$

$$\mathbf{w} = [w_0, w_1, w_2, \dots, w_n]$$

$$\mathbf{x} = [1, x_1, x_2, \dots, x_n]$$

$$\mathbf{w} \cdot \mathbf{x} = \mathbf{w}^T \mathbf{x} = \sum_{i=0}^n w_i * x_i$$

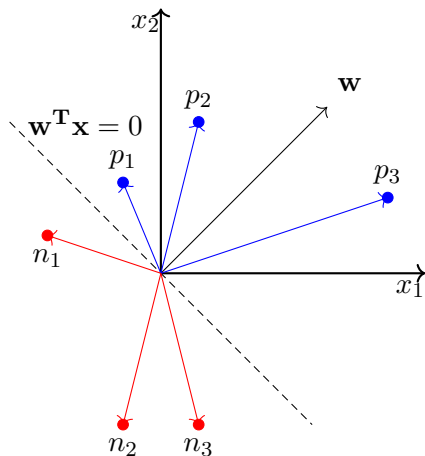
- We can thus rewrite the perceptron rule as

$$y = 1 \quad \text{if} \quad \mathbf{w}^T \mathbf{x} \geq 0$$

$$= 0 \quad \text{if} \quad \mathbf{w}^T \mathbf{x} < 0$$

- We are interested in finding the line  $\mathbf{w}^T \mathbf{x} = 0$  which divides the input space into two halves
- Every point ( $\mathbf{x}$ ) on this line satisfies the equation  $\mathbf{w}^T \mathbf{x} = 0$
- What can you tell about the angle ( $\alpha$ ) between  $\mathbf{w}$  and any point ( $\mathbf{x}$ ) which lies on this line ?
- The angle is  $90^\circ$  ( $\because \cos \alpha = \frac{\mathbf{w}^T \mathbf{x}}{\|\mathbf{w}\| \|\mathbf{x}\|} = 0$ )
- Since the vector  $\mathbf{w}$  is perpendicular to every point on the line it is actually perpendicular to the line itself

- Consider some points (vectors) which lie in the positive half space of this line (*i.e.*,  $\mathbf{w}^T \mathbf{x} \geq 0$ )
- What will be the angle between any such vector and  $\mathbf{w}$  ? Obviously, less than  $90^\circ$
- What about points (vectors) which lie in the negative half space of this line (*i.e.*,  $\mathbf{w}^T \mathbf{x} < 0$ )
- What will be the angle between any such vector and  $\mathbf{w}$  ? Obviously, greater than  $90^\circ$
- Of course, this also follows from the formula ( $\cos \alpha = \frac{\mathbf{w}^T \mathbf{x}}{\|\mathbf{w}\| \|\mathbf{x}\|}$ )
- Keeping this picture in mind let us revisit the algorithm



### Algorithm: Perceptron Learning Algorithm

$P \leftarrow$  inputs with label 1;

$N \leftarrow$  inputs with label 0;

Initialize  $\mathbf{w}$  randomly;

**while** !convergence **do**

    Pick random  $\mathbf{x} \in P \cup N$  ;

**if**  $\mathbf{x} \in P$  and  $\mathbf{w} \cdot \mathbf{x} < 0$  **then**

$\mathbf{w} = \mathbf{w} + \mathbf{x}$  ;

**end**

**if**  $\mathbf{x} \in N$  and  $\mathbf{w} \cdot \mathbf{x} \geq 0$  **then**

$\mathbf{w} = \mathbf{w} - \mathbf{x}$  ;

**end**

**end**

//the algorithm converges when all the  
inputs are classified correctly

$$\cos \alpha = \frac{\mathbf{w}^T \mathbf{x}}{\|\mathbf{w}\| \|\mathbf{x}\|}$$

- For  $\mathbf{x} \in P$  if  $\mathbf{w} \cdot \mathbf{x} < 0$  then it means that the angle ( $\alpha$ ) between this  $\mathbf{x}$  and the current  $\mathbf{w}$  is greater than  $90^\circ$  (but we want  $\alpha$  to be less than  $90^\circ$ )
- What happens to the new angle ( $\alpha_{new}$ ) when  $\mathbf{w}_{new} = \mathbf{w} + \mathbf{x}$

$$\begin{aligned}\cos(\alpha_{new}) &\propto \mathbf{w}_{new}^T \mathbf{x} \\ &\propto (\mathbf{w} + \mathbf{x})^T \mathbf{x} \\ &\propto \mathbf{w}^T \mathbf{x} + \mathbf{x}^T \mathbf{x} \\ &\propto \cos \alpha + \mathbf{x}^T \mathbf{x}\end{aligned}$$

$$\cos(\alpha_{new}) > \cos \alpha$$

- Thus  $\alpha_{new}$  will be less than  $\alpha$  and this is exactly what we want

### Algorithm: Perceptron Learning Algorithm

$P \leftarrow$  inputs with label 1;

$N \leftarrow$  inputs with label 0;

Initialize  $\mathbf{w}$  randomly;

**while** !convergence **do**

    Pick random  $\mathbf{x} \in P \cup N$  ;

**if**  $\mathbf{x} \in P$  and  $\mathbf{w} \cdot \mathbf{x} < 0$  **then**

$\mathbf{w} = \mathbf{w} + \mathbf{x}$  ;

**end**

**if**  $\mathbf{x} \in N$  and  $\mathbf{w} \cdot \mathbf{x} \geq 0$  **then**

$\mathbf{w} = \mathbf{w} - \mathbf{x}$  ;

**end**

**end**

//the algorithm converges when all the  
inputs are classified correctly

$$\cos \alpha = \frac{\mathbf{w}^T \mathbf{x}}{\|\mathbf{w}\| \|\mathbf{x}\|}$$

- For  $\mathbf{x} \in N$  if  $\mathbf{w} \cdot \mathbf{x} \geq 0$  then it means that the angle ( $\alpha$ ) between this  $\mathbf{x}$  and the current  $\mathbf{w}$  is less than  $90^\circ$  (but we want  $\alpha$  to be greater than  $90^\circ$ )
- What happens to the new angle ( $\alpha_{new}$ ) when  $\mathbf{w}_{new} = \mathbf{w} - \mathbf{x}$

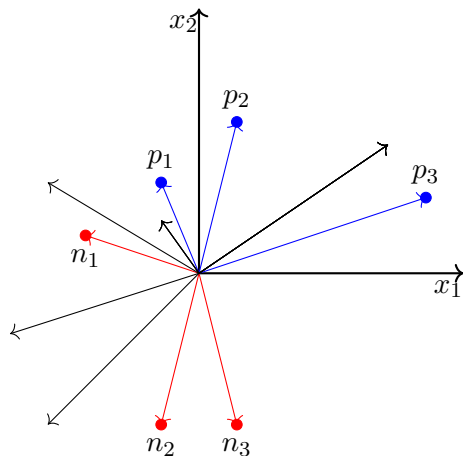
$$\begin{aligned} \cos(\alpha_{new}) &\propto \mathbf{w}_{new}^T \mathbf{x} \\ &\propto (\mathbf{w} - \mathbf{x})^T \mathbf{x} \\ &\propto \mathbf{w}^T \mathbf{x} - \mathbf{x}^T \mathbf{x} \\ &\propto \cos \alpha - \mathbf{x}^T \mathbf{x} \end{aligned}$$

$$\cos(\alpha_{new}) < \cos \alpha$$

- Thus  $\alpha_{new}$  will be greater than  $\alpha$  and this is exactly what we want

- We will now see this algorithm in action for a toy dataset





- We initialized  $\mathbf{w}$  to a random value
- We observe that currently,  $\mathbf{w} \cdot \mathbf{x} < 0$  ( $\because$  angle  $> 90^\circ$ ) for all the positive points and  $\mathbf{w} \cdot \mathbf{x} \geq 0$  ( $\because$  angle  $< 90^\circ$ ) for all the negative points (the situation is exactly oppsite of what we actually want it to be)
- We now run the algorithm by randomly going over the points
- Randomly pick a point (say,  $p_1$ ), apply correction  $\mathbf{w} = \mathbf{w} + \mathbf{x} \because \mathbf{w} \cdot \mathbf{x} < 0$  (you can check the angle visually)
- Randomly pick a point (say,  $p_2$ ), apply correction  $\mathbf{w} = \mathbf{w} + \mathbf{x} \because \mathbf{w} \cdot \mathbf{x} < 0$  (you can check the angle visually)
- Randomly pick a point (say,  $n_1$ ), apply correction  $\mathbf{w} = \mathbf{w} - \mathbf{x} \because \mathbf{w} \cdot \mathbf{x} \geq 0$  (you can check

## Module 2.6: Proof of Convergence

- Now that we have some faith and intuition about why the algorithm works, we will see a more formal proof of convergence ...

## Theorem

**Definition:** Two sets  $P$  and  $N$  of points in an  $n$ -dimensional space are called absolutely linearly separable if  $n + 1$  real numbers  $w_0, w_1, \dots, w_n$  exist such that every point  $(x_1, x_2, \dots, x_n) \in P$  satisfies  $\sum_{i=1}^n w_i * x_i > w_0$  and every point  $(x_1, x_2, \dots, x_n) \in N$  satisfies  $\sum_{i=1}^n w_i * x_i < w_0$ .

**Proposition:** If the sets  $P$  and  $N$  are finite and linearly separable, the perceptron learning algorithm updates the weight vector  $\mathbf{w}_t$  a finite number of times. In other words: if the vectors in  $P$  and  $N$  are tested cyclically one after the other, a weight vector  $\mathbf{w}_t$  is found after a finite number of steps  $t$  which can separate the two sets.

**Proof:** On the next slide

## Setup:

- If  $x \in N$  then  $-x \in P$  ( $\because w^T x < 0 \implies w^T(-x) \geq 0$ )
- We can thus consider a single set  $P' = P \cup N^-$  and for every element  $p \in P'$  ensure that  $w^T p \geq 0$
- Further we will normalize all the  $p$ 's so that  $\|p\| = 1$  (notice that this does not affect the solution  $\because$  if  $w^T \frac{p}{\|p\|} \geq 0$  then  $w^T p \geq 0$ )
- Let  $w^*$  be the normalized solution vector (we know one exists as the data is linearly separable)

---

## Algorithm: Perceptron Learning Algorithm

---

$P \leftarrow$  inputs with label 1;

$N \leftarrow$  inputs with label 0;

$N^-$  contains negations of all points in  $N$ ;

$P' \leftarrow P \cup N^-$ ;

Initialize  $\mathbf{w}$  randomly;

**while** !convergence **do**

    Pick random  $\mathbf{p} \in P'$  ;

$\mathbf{p} \leftarrow \frac{\mathbf{p}}{\|\mathbf{p}\|}$  (so now,  $\|\mathbf{p}\| = 1$ ) ;

**if**  $\mathbf{w} \cdot \mathbf{p} < 0$  **then**

$\mathbf{w} = \mathbf{w} + \mathbf{p}$  ;

**end**

**end**

//the algorithm converges when all the inputs are classified correctly

//notice that we do not need the other **if** condition because by construction we want all points in  $P'$  to lie in the positive half space  $\mathbf{w} \cdot \mathbf{p} \geq 0$

## Observations:

- $w^*$  is some optimal solution which exists but we don't know what it is
- We do not make a correction at every time-step
- We make a correction only if  $w^T \cdot p_i \leq 0$  at that time step
- So at time-step  $t$  we would have made only  $k$  ( $\leq t$ ) corrections
- Every time we make a correction a quantity  $\delta$  gets added to the numerator
- So by time-step  $t$ , a quantity  $k\delta$  gets added to the numerator

## Proof:

- Now suppose at time step  $t$  we inspected the point  $p_i$  and found that  $w^T \cdot p_i \leq 0$
- We make a correction  $w_{t+1} = w_t + p_i$
- Let  $\beta$  be the angle between  $w^*$  and  $w_{t+1}$

$$\cos\beta = \frac{w^* \cdot w_{t+1}}{\|w_{t+1}\|}$$

$$\begin{aligned} \text{Numerator} &= w^* \cdot w_{t+1} = w^* \cdot (w_t + p_i) \\ &= w^* \cdot w_t + w^* \cdot p_i \\ &\geq w^* \cdot w_t + \delta \quad (\delta = \min\{w^* \cdot p_i | \forall i\}) \\ &\geq w^* \cdot (w_{t-1} + p_j) + \delta \\ &\geq w^* \cdot w_{t-1} + w^* \cdot p_j + \delta \\ &\geq w^* \cdot w_{t-1} + 2\delta \\ &\geq w^* \cdot w_0 + (k)\delta \quad (\text{By induction}) \end{aligned}$$

## Proof (continued:)

So far we have,  $w^T \cdot p_i \leq 0$  (and hence we made the correction)

$$\cos\beta = \frac{w^* \cdot w_{t+1}}{\|w_{t+1}\|} \quad (\text{by definition})$$

$$\text{Numerator} \geq w^* \cdot w_0 + k\delta \quad (\text{proved by induction})$$

$$\begin{aligned} \text{Denominator}^2 &= \|w_{t+1}\|^2 \\ &= (w_t + p_i) \cdot (w_t + p_i) \\ &= \|w_t\|^2 + 2w_t \cdot p_i + \|p_i\|^2 \\ &\leq \|w_t\|^2 + \|p_i\|^2 \quad (\because w_t \cdot p_i \leq 0) \\ &\leq \|w_t\|^2 + 1 \quad (\because \|p_i\|^2 = 1) \\ &\leq (\|w_{t-1}\|^2 + 1) + 1 \\ &\leq \|w_{t-1}\|^2 + 2 \\ &\leq \|w_0\|^2 + (k) \quad (\text{By same observation that we made about } \delta) \end{aligned}$$

## Proof (continued:)

So far we have,  $w^T \cdot p_i \leq 0$  (and hence we made the correction)

$$\cos\beta = \frac{w^* \cdot w_{t+1}}{\|w_{t+1}\|} \quad (\text{by definition})$$

$$\text{Numerator} \geq w^* \cdot w_0 + k\delta \quad (\text{proved by induction})$$

$$\text{Denominator}^2 \leq \|w_0\|^2 + k \quad (\text{By same observation that we made about } \delta)$$

$$\cos\beta \geq \frac{w^* \cdot w_0 + k\delta}{\sqrt{\|w_0\|^2 + k}}$$

- $\cos\beta$  thus grows proportional to  $\sqrt{k}$
- As  $k$  (number of corrections) increases  $\cos\beta$  can become arbitrarily large
- But since  $\cos\beta \leq 1$ ,  $k$  must be bounded by a maximum number
- Thus, there can only be a finite number of corrections ( $k$ ) to  $w$  and the algorithm will converge!



## Coming back to our questions ...

- What about non-boolean (say, real) inputs? **Real valued inputs are allowed in perceptron**
- Do we always need to hand code the threshold? **No, we can learn the threshold**
- Are all inputs equal? What if we want to assign more weight (importance) to some inputs? **A perceptron allows weights to be assigned to inputs**
- What about functions which are not linearly separable ? **Not possible with a single perceptron but we will see how to handle this ..**

## Module 2.7: Linearly Separable Boolean Functions

- So what do we do about functions which are not linearly separable ?
- Let us see one such simple boolean function first ?

$x_1$	$x_2$	XOR	
0	0	0	$w_0 + \sum_{i=1}^2 w_i x_i < 0$
1	0	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$
0	1	1	$w_0 + \sum_{i=1}^2 w_i x_i \geq 0$
1	1	0	$w_0 + \sum_{i=1}^2 w_i x_i < 0$

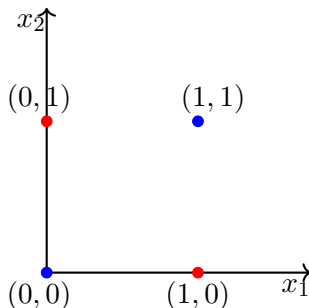
$$w_0 + w_1 \cdot 0 + w_2 \cdot 0 < 0 \implies w_0 < 0$$

$$w_0 + w_1 \cdot 0 + w_2 \cdot 1 \geq 0 \implies w_2 \geq -w_0$$

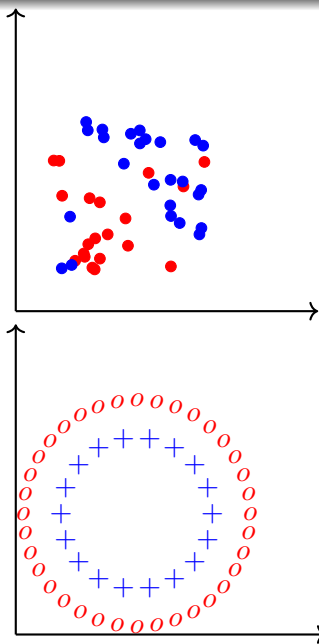
$$w_0 + w_1 \cdot 1 + w_2 \cdot 0 \geq 0 \implies w_1 \geq -w_0$$

$$w_0 + w_1 \cdot 1 + w_2 \cdot 1 < 0 \implies w_1 + w_2 < -w_0$$

- The fourth condition contradicts conditions 2 and 3
- Hence we cannot have a solution to this set of inequalities



- And indeed you can see that it is impossible to draw a line which separates the red points from the blue points



- Most real world data is not linearly separable and will always contain some outliers
- In fact, sometimes there may not be any outliers but still the data may not be linearly separable
- We need computational units (models) which can deal with such data
- While a single perceptron cannot deal with such data, we will show that a network of perceptrons can indeed deal with such data

- Before seeing how a network of perceptrons can deal with linearly inseparable data, we will discuss boolean functions in some more detail ...

- How many boolean functions can you design from 2 inputs ?
- Let us begin with some easy ones which you already know ..

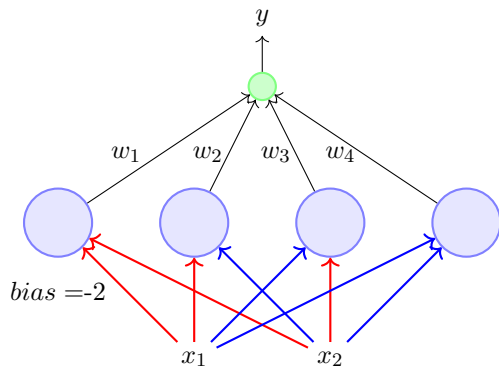
$x_1$	$x_2$	$f_1$	$f_2$	$f_3$	$f_4$	$f_5$	$f_6$	$f_7$	$f_8$	$f_9$	$f_{10}$	$f_{11}$	$f_{12}$	$f_{13}$	$f_{14}$	$f_{15}$	$f_{16}$
0	0	0	0	0	0	0	0	0	0	1	1	1	1	1	1	1	1
0	1	0	0	0	0	1	1	1	1	0	0	0	0	1	1	1	1
1	0	0	0	1	1	0	0	1	1	0	0	1	1	0	0	1	1
1	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1

- Of these, how many are linearly separable ? (turns out all except XOR and !XOR - feel free to verify)
- In general, how many boolean functions can you have for  $n$  inputs ?  $2^{2^n}$
- How many of these  $2^{2^n}$  functions are not linearly separable ? For the time being, it suffices to know that at least some of these may not be linearly inseparable (I encourage you to figure out the exact answer :-)

## Module 2.8: Representation Power of a Network of Perceptrons



- We will now see how to implement **any** boolean function using a network of perceptrons ...

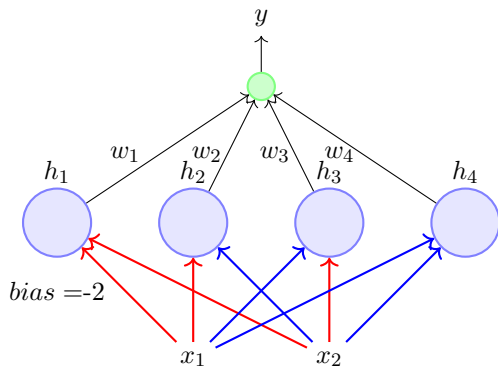


red edge indicates  $w = -1$   
blue edge indicates  $w = +1$

- For this discussion, we will assume True = +1 and False = -1
- We consider 2 inputs and 4 perceptrons
- Each input is connected to all the 4 perceptrons with specific weights
- The bias ( $w_0$ ) of each perceptron is -2 (i.e., each perceptron will fire only if the weighted sum of its input is  $\geq 2$ )
- Each of these perceptrons is connected to an output perceptron by weights (which need to be learned)
- The output of this perceptron ( $y$ ) is the output of this network

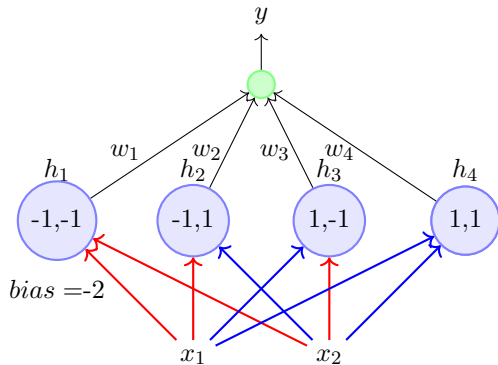
## Terminology:

- This network contains 3 layers
- The layer containing the inputs  $(x_1, x_2)$  is called the **input layer**
- The middle layer containing the 4 perceptrons is called the **hidden layer**
- The final layer containing one output neuron is called the **output layer**
- The outputs of the 4 perceptrons in the hidden layer are denoted by  $h_1, h_2, h_3, h_4$
- The red and blue edges are called layer 1 weights
- $w_1, w_2, w_3, w_4$  are called layer 2 weights



red edge indicates  $w = -1$

blue edge indicates  $w = +1$

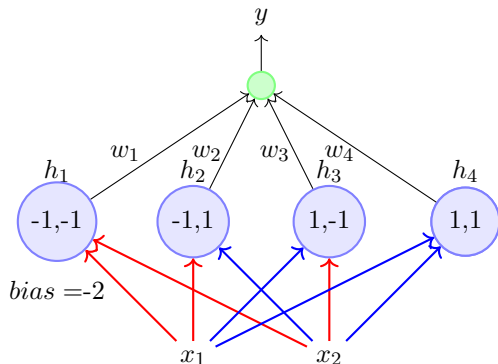


red edge indicates  $w = -1$

blue edge indicates  $w = +1$

- We claim that this network can be used to implement **any** boolean function (linearly separable or not) !
- In other words, we can find  $w_1, w_2, w_3, w_4$  such that the truth table of any boolean function can be represented by this network
- Astonishing claim! Well, not really, if you understand what is going on
- Each perceptron in the middle layer fires only for a specific input (and no two perceptrons fire for the same input)
- the first perceptron fires for  $\{-1,-1\}$
- the second perceptron fires for  $\{-1,1\}$
- the third perceptron fires for  $\{1,-1\}$
- the fourth perceptron fires for  $\{1,1\}$

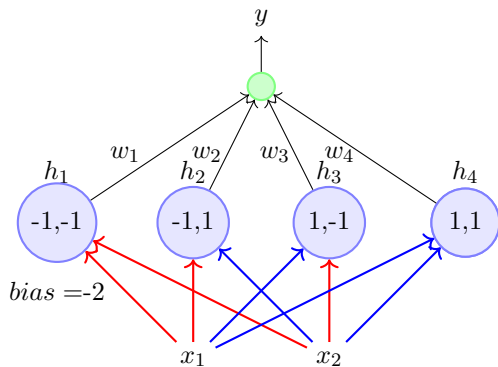
- Let  $w_0$  be the bias output of the neuron (i.e., it will fire if  $\sum_{i=1}^4 w_i h_i \geq w_0$ )



red edge indicates  $w = -1$   
blue edge indicates  $w = +1$

$x_1$	$x_2$	$XOR$	$h_1$	$h_2$	$h_3$	$h_4$	$\sum_{i=1}^4 w_i h_i$
0	0	0	1	0	0	0	$w_1$
0	1	1	0	1	0	0	$w_2$
1	0	1	0	0	1	0	$w_3$
1	1	0	0	0	0	1	$w_4$

- This results in the following four conditions to implement XOR:  $w_1 < w_0, w_2 \geq w_0, w_3 \geq w_0, w_4 < w_0$
- Unlike before, there are no contradictions now and the system of inequalities can be satisfied
- Essentially each  $w_i$  is now responsible for one of the 4 possible inputs and can be adjusted to get the desired output for that input



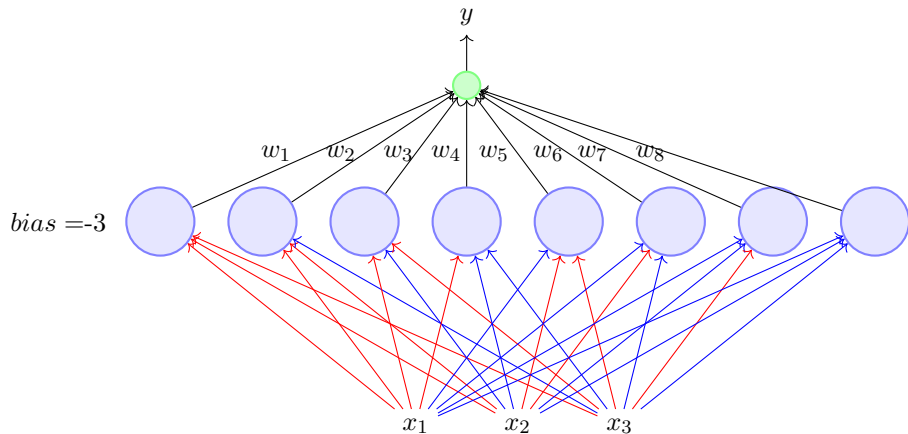
red edge indicates  $w = -1$

blue edge indicates  $w = +1$

- It should be clear that the same network can be used to represent the remaining 15 boolean functions also
- Each boolean function will result in a different set of non-contradicting inequalities which can be satisfied by appropriately setting  $w_1, w_2, w_3, w_4$
- Try it!

- What if we have more than 3 inputs ?

- Again each of the 8 perceptrons will fire only for one of the 8 inputs
- Each of the 8 weights in the second layer is responsible for one of the 8 inputs and can be adjusted to produce the desired output for that input





- What if we have  $n$  inputs ?

## Theorem

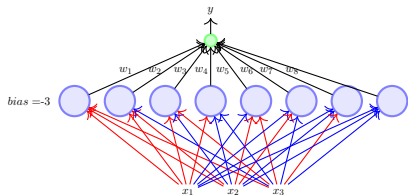
Any boolean function of  $n$  inputs can be represented exactly by a network of perceptrons containing 1 hidden layer with  $2^n$  perceptrons and one output layer containing 1 perceptron

**Proof (informal:)** We just saw how to construct such a network

**Note:** A network of  $2^n + 1$  perceptrons is not necessary but sufficient. For example, we already saw how to represent AND function with just 1 perceptron

**Catch:** As  $n$  increases the number of perceptrons in the hidden layers obviously increases exponentially

- Again, why do we care about boolean functions ?
- How does this help us with our original problem: which was to predict whether we like a movie or not? Let us see!



$$\begin{array}{l}
 p_1 \\
 p_2 \\
 \vdots \\
 n_1 \\
 n_2 \\
 \vdots
 \end{array}
 \left[ \begin{array}{cccc|c}
 x_{11} & x_{12} & \dots & x_{1n} & y_1 = 1 \\
 x_{21} & x_{22} & \dots & x_{2n} & y_2 = 1 \\
 \vdots & \vdots & \vdots & \vdots & \vdots \\
 x_{k1} & x_{k2} & \dots & x_{kn} & y_i = 0 \\
 x_{j1} & x_{j2} & \dots & x_{jn} & y_j = 0 \\
 \vdots & \vdots & \vdots & \vdots & \vdots
 \end{array} \right]$$

- We are given this data about our past movie experience
- For each movie, we are given the values of the various factors  $(x_1, x_2, \dots, x_n)$  that we base our decision on and we are also also given the value of  $y$  (like/dislike)
- $p_i$ 's are the points for which the output was 1 and  $n_i$ 's are the points for which it was 0
- The data may or may not be linearly separable
- The proof that we just saw tells us that it is possible to have a network of perceptrons and learn the weights in this network such that for any given  $p_i$  or  $n_j$  the output of the network will be the same as  $y_i$  or  $y_j$  (i.e., we can separate the positive and the negative points)

## The story so far ...

- Networks of the form that we just saw (containing, an input, output and one or more hidden layers) are called Multilayer Perceptrons (MLP, in short)
- More appropriate terminology would be “Multilayered Network of Perceptrons” but MLP is the more commonly used name
- The theorem that we just saw gives us the representation power of a MLP with a single hidden layer
- Specifically, it tells us that a MLP with a single hidden layer can represent **any** boolean function