

18.404/6.840 Lecture 22

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Last time:

- Finished $NL = coNL$
- Time and Space Hierarchy Theorems

Today:

- A “natural” intractable problem
- Oracles and P versus NP

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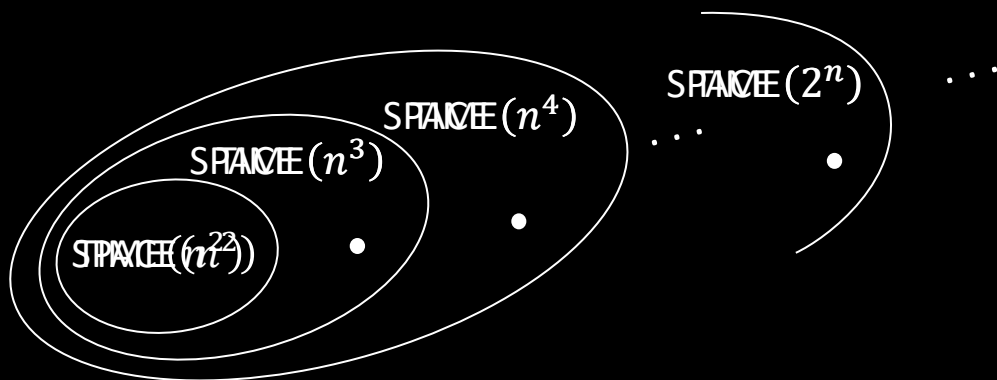
- Solutions to Problem Set 5
- Problem Set 6

Review: Hierarchy Theorems

Theorems:

$\text{SPACE}(o(f(n))) \subsetneq \text{SPACE}(f(n))$ for space constructible f .

$\text{TIME}(o(f(n)/\log(f(n)))) \subsetneq \text{TIME}(f(n))$ for time constructible f .



Corollary: $\text{NL} \subsetneq \text{PSPACE}$

Implies $TQBF \notin \text{NL}$ because the polynomial-time reductions in the proof that $TQBF$ is PSPACE-complete can be done in log space.

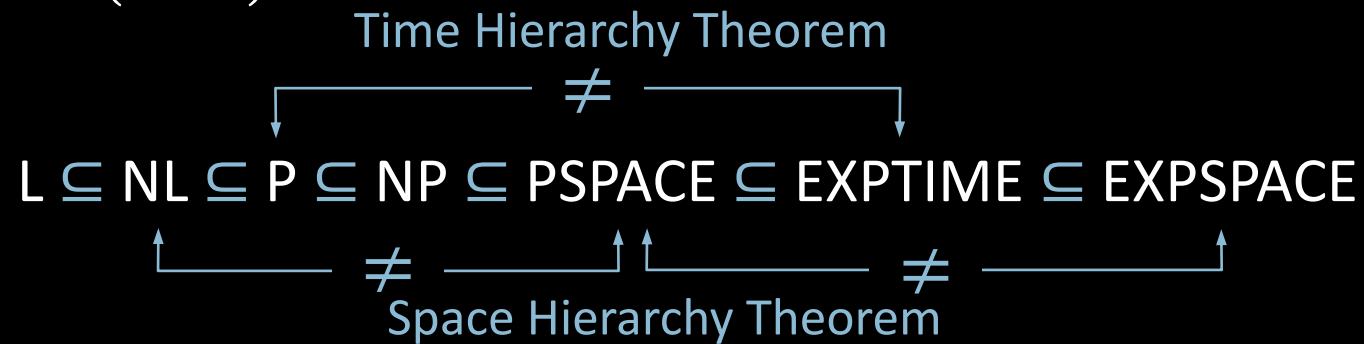
Check-in 22.1

Which of these are known to be true?
Check all that apply.

- (a) $\text{TIME}(2^n) \subsetneq \text{TIME}(2^{n+1})$
- (b) $\text{TIME}(2^n) \subsetneq \text{TIME}(2^{2n})$
- (c) $\text{NTIME}(n^2) \subsetneq \text{PSPACE}$
- (d) $\text{NP} \subsetneq \text{PSPACE}$

Exponential Complexity Classes

Defn: $\text{EXPTIME} = \bigcup_k \text{TIME}\left(2^{(n^k)}\right)$
 $\text{EXPSPACE} = \bigcup_k \text{SPACE}\left(2^{(n^k)}\right)$



Defn: B is EXPTIME-complete if

- 1) $B \in \text{EXPTIME}$
- 2) For all $A \in \text{EXPTIME}$, $A \leq_p B$

Same for EXPSPACE-complete

Theorem: If B is EXPTIME-complete then $B \notin P$

Theorem: If B is EXPSPACE-complete then $B \notin PSPACE$ (and $B \notin P$)

} intractable

Next will exhibit an EXPSPACE-complete problem

A “Natural” Intractable Problem

Defn: $EQ_{\text{REX}} = \{\langle R_1, R_2 \rangle \mid R_1 \text{ and } R_2 \text{ are equivalent regular expressions}\}$

Theorem: $EQ_{\text{REX}} \in \text{PSPACE}$

Proof: Later (if time) or exercise (uses Savitch’s theorem).

Notation: If R is a regular expression write R^k to mean $\overbrace{RR \cdots R}^k$ (exponent is written in binary).

Defn: $EQ_{\text{REX}\uparrow} = \{\langle R_1, R_2 \rangle \mid R_1 \text{ and } R_2 \text{ are equivalent regular expressions with exponentiation}\}$

Theorem: $EQ_{\text{REX}\uparrow}$ is EXPSPACE-complete

Proof: 1) $EQ_{\text{REX}\uparrow} \in \text{EXPSPACE}$

2) If $A \in \text{EXPSPACE}$ then $A \leq_P EQ_{\text{REX}\uparrow}$

1) Given regular expressions with exponentiation R_1 and R_2 , expand the exponentiation by using repeated concatenation and then use $EQ_{\text{REX}} \in \text{PSPACE}$. The expansion is exponentially larger, so gives an EXPSPACE algorithm for $EQ_{\text{REX}\uparrow}$.

2) Let $A \in \text{EXPSPACE}$ be decided by TM M in space $2^{(n^k)}$.

Give a polynomial-time reduction f mapping A to $EQ_{\text{REX}\uparrow}$.

Showing $A \leq_P EQ_{\text{REX}\uparrow}$

Theorem: $EQ_{\text{REX}\uparrow}$ is EXPSPACE-complete

Proof continued: Let $A \in \text{EXPSPACE}$ decided by TM M in space $2^{(n^k)}$.

Give a polynomial-time reduction f mapping A to $EQ_{\text{REX}\uparrow}$.

$$f(w) = \langle R_1, R_2 \rangle$$

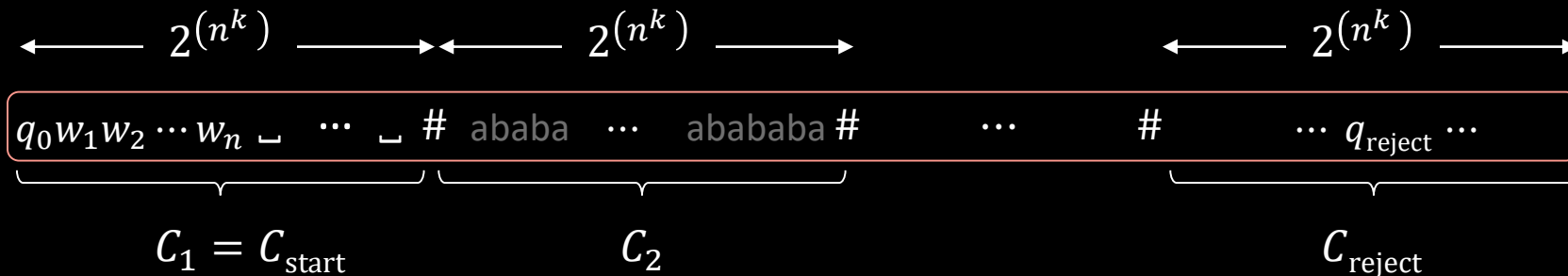
$$w \in A \text{ iff } L(R_1) = L(R_2)$$

Construct R_1 so that $L(R_1) =$ all strings except a rejecting computation history for M on w .

Construct $R_2 = \Delta^*$ (Δ is the alphabet for computation histories, i.e., $\Delta = \Gamma \cup Q \cup \{\#\}$) ✓

R_1 construction: $R_1 = R_{\text{bad-start}} \cup R_{\text{bad-move}} \cup R_{\text{bad-reject}}$

Rejecting computation history for M on w :



Check-in 22.2

Roughly estimate the size of the rejecting computation history for M on w .

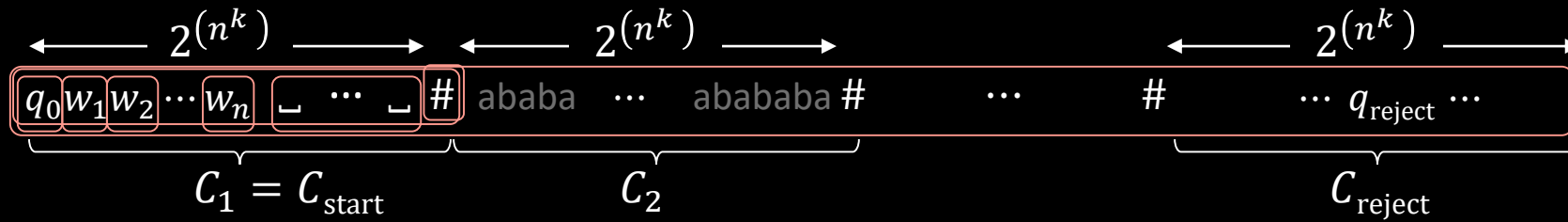
- (a) 2^n (c) $2^{2^{(n^k)}}$
 (b) $2^{(n^k)}$

$$A \leq_P EQ_{\text{REX}\uparrow} (R_{\text{bad-start}})$$

Construct R_1 to generate all strings except a rejecting computation history for M on w .

$$R_1 = R_{\text{bad-start}} \cup R_{\text{bad-move}} \cup R_{\text{bad-reject}}$$

Rejecting computation history for M on w :



$R_{\text{bad-start}}$ generates all strings that do not start with $C_{\text{start}} = q_0 w_1 w_2 \cdots w_n \sqcup \cdots \sqcup$

$$R_{\text{bad-start}} = S_0 \cup S_1 \cup S_2 \cup \cdots \cup S_n \cup S_{\text{blanks}} \cup S_{\#}$$

Remember: Δ is the alphabet for computation histories, i.e., $\Delta = \Gamma \cup Q \cup \{\#\}$

Notation: $\Delta_{\varepsilon} = \Delta \cup \{\varepsilon\}$

$\Delta_{-b} = \Delta$ without b

$\Delta^7 =$ all strings of length 7

$\Delta_{\varepsilon}^7 =$ all strings of length 0 thru 7

$$S_{\text{blanks}} = \underbrace{\Delta^{n+1} \Delta_{\varepsilon}^{2^{(n^k)} - (n+2)}}_{\text{all strings of length } n+1 \text{ thru } 2^{(n^k)} - 1} \Delta_{- \sqcup} \Delta^*$$

$$S_0 = \Delta_{-q_0} \Delta^*$$

$$S_1 = \Delta \Delta_{-w_1} \Delta^*$$

$$S_2 = \Delta^2 \Delta_{-w_2} \Delta^*$$

\vdots

$$S_n = \Delta^n \Delta_{-w_n} \Delta^*$$

$$S_{n+1} = \Delta^{n+1} \Delta_{- \sqcup} \Delta^*$$

\vdots

$$S_{2^n-1} = \Delta^{2^n-1} \Delta_{- \sqcup} \Delta^*$$

$$S_{\#} = \Delta^{2^n} \Delta_{- \#} \Delta^*$$

$$A \leq_P EQ_{\text{REX}\uparrow} (R_{\text{bad-move}} \& R_{\text{bad-reject}})$$

Construct R_1 to generate all strings except a rejecting computation history for M on w .

$$R_1 = R_{\text{bad-start}} \cup R_{\text{bad-move}} \cup R_{\text{bad-reject}}$$

Rejecting computation history for M on w :



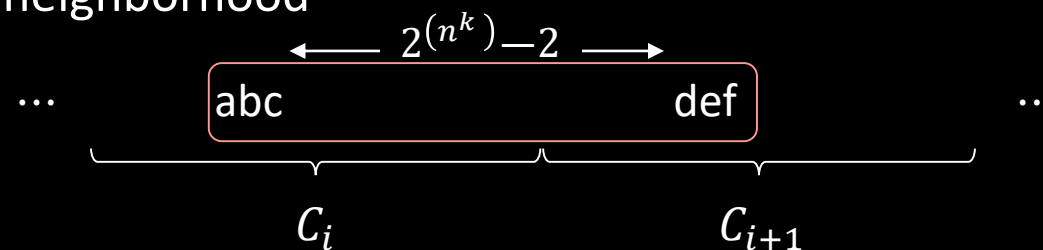
$R_{\text{bad-reject}}$ generates all strings that do not contain q_{reject}

$$R_{\text{bad-reject}} = \Delta_{-q_{\text{reject}}}^*$$

$R_{\text{bad-move}}$ generates all strings that contain an illegal 2×3 neighborhood

$$R_{\text{bad-move}} = \bigcup_{\text{illegal}} \left[\Delta^* \text{abc} \Delta^{2^{(n^k)}-2} \text{def} \Delta^* \right]$$

a	b	c
d	e	f





Computation with Oracles

Let A be any language.

Defn: A TM M with oracle for A , written M^A , is a TM equipped with a “black box” that can answer queries “is $x \in A$?” for free.

Example: A TM with an oracle for SAT can decide all $B \in NP$ in polynomial time.

Defn: $P^A = \{B \mid B \text{ is decidable in polynomial time with an oracle for } A\}$

Thus $NP \subseteq P^{SAT}$

$NP = P^{SAT}$? Probably No because $coNP \subseteq P^{SAT}$

Defn: $NP^A = \{B \mid B \text{ is decidable in nondeterministic polynomial time with an oracle for } A\}$

Recall $MIN-FORMULA = \{\langle \phi \rangle \mid \phi \text{ is a minimal Boolean formula}\}$

Example: $\overline{MIN-FORMULA} \in NP^{SAT}$

“On input $\langle \phi \rangle$

1. Guess shorter formula ψ
2. Use SAT oracle to solve the coNP problem: ϕ and ψ are equivalent
3. *Accept* if ϕ and ψ are equivalent. *Reject* if not.”

Oracles and P versus NP

Theorem: There is an oracle A where $P^A = NP^A$

Proof: Let $A = TQBF$

$$NP^{TQBF} \subseteq NPSpace = PSPACE \subseteq P^{TQBF}$$

Relevance to the P versus NP question

Recall: We showed $EQ_{\text{REX}\uparrow} \notin PSPACE$.

Could we show $SAT \notin P$ using a similar method?

Reason: Suppose YES.

The Hierarchy Theorems are proved by a diagonalization.

In this diagonalization, the TM D simulates some TM M .

If both TMs were oracle TMs D^A and M^A with the same oracle A , the simulation and the diagonalization would still work.

Therefore, if we could prove $P \neq NP$ by a diagonalization, we would also prove that $P^A \neq NP^A$ for every oracle A .

But that is false!

Check-in 22.3

Which of these are known to be true?
Check all that apply.

- (a) $P^{SAT} = \overline{P^{SAT}}$
- (b) $NP^{SAT} = \text{coNP}^{SAT}$
- (c) $MIN-FORMULA \in P^{TQBF}$
- (d) $NP^{TQBF} = \text{coNP}^{TQBF}$

Quick review of today

1. Defined EXPTIME and EXPSPACE
2. Defined EXPTIME- and EXPSPACE-completeness
3. Showed $EQ_{\text{REX}\uparrow}$ is EXPSPACE-complete and thus $EQ_{\text{REX}\uparrow} \notin \text{PSPACE}$
4. Defined oracle TMs
5. Showed $P^A = \text{NP}^A$ for some oracle A
6. Discussed relevance to the P vs NP question

$$EQ_{\text{REX}} \in \text{PSPACE}$$

Theorem: $EQ_{\text{REX}} \in \text{PSPACE}$

Proof: Show $\overline{EQ_{\text{REX}}} \in \text{NPSPACE}$

“On input $\langle R_1, R_2 \rangle$ [assume alphabet Σ]

1. Convert R_1 and R_2 to equivalent NFAs N_1 and N_2 having m_1 and m_2 states.
2. Nondeterministically guess the symbols of a string s of length $2^{m_1 + m_2}$ and simulate N_1 and N_2 on s , storing only the current sets of states of N_1 and N_2 .
3. If they ever disagree on acceptance then *accept*.
4. If always agree on acceptance then *reject*.”