# 18.404/6.840 Lecture 17

## Last time:

- Cook-Levin Theorem: *SAT* is NP-complete
- 3SAT is NP-complete

## Today:

- Space complexity
- SPACE(f(n)), NSPACE(f(n))
- PSPACE, NPSPACE
- Relationship with TIME classes
- Examples

## **SPACE Complexity**

**Defn:** Let  $f: \mathbb{N} \to \mathbb{N}$  where  $f(n) \ge n$ . Say TM M runs in space f(n) if M always halts and uses at most f(n) tape cells on all inputs of length n.

#### Check-in 17.1

We define space complexity for multi-tape TMs by taking the sum of the cells used on all tapes.

Do we get the same class PSPACE for multi-tape TMs?

- (a) No.
- (b) Yes, converting a multi-tape TM to single-tape only squares the amount of space used.
- (c) Yes, converting a multi-tape TM to single-tape only increases the amount of space used by a constant factor.

# Relationships between Time and SPACE Complexity

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Theorem: For t(n) \ge n

1) \mathsf{TIME}\big(t(n)\big) \subseteq \mathsf{SPACE}\big(t(n)\big)

2) \mathsf{SPACE}\big(t(n)\big) \subseteq \mathsf{TIME}\big(2^{o(t(n))}\big)

= \mathsf{U}_c \, \mathsf{TIME}\big(c^{t(n)}\big)
```

#### Proof:

- 1) A TM that runs in t(n) steps cannot use more than t(n) tape cells.
- 2) A TM that uses t(n) tape cells cannot use more than  $c^{t(n)}$  time without repeating a configuration and looping (for some c).

Corollary:  $P \subseteq PSPACE$ 

Theorem:  $NP \subseteq PSPACE$  [next slide]

## $NP \subseteq PSPACE$

**Theorem:** NP ⊆ PSPACE

Proof:

- 1.  $SAT \in PSPACE$
- 2. If  $A \leq_{\mathbf{P}} B$  and  $B \in \mathsf{PSPACE}$  then  $A \in \mathsf{PSPACE}$

**Defn:**  $coNP = \{ \overline{A} \mid A \in NP \}$ 

 $HAMPATH \in coNP$ 

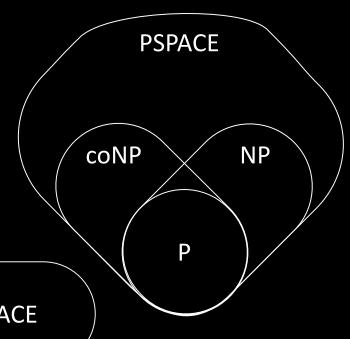
 $TAUTOLOGY = \{\langle \phi \rangle | \text{ all assignments satisfy } \phi \} \in coNP$ 

coNP ⊆ PSPACE (because PSPACE = coPSPACE)

P = PSPACE ? Not known.

Or possibly:

$$P = NP = coNP = PSPACE$$



# Example: TQBF

**Defn:** A <u>quantified Boolean formula</u> (QBF) is a Boolean formula with leading exists  $(\exists x)$  and for all  $(\forall x)$  quantifiers. All variables must lie within the scope of a quantifier.

A QBF is True or False.

**Examples:** 
$$\phi_1 = \forall x \,\exists y \,[(x \lor y) \land (\overline{x} \lor \overline{y})]$$

$$\phi_2 = \exists y \ \forall x \ [(x \lor y) \land (\overline{x} \lor \overline{y})]$$

Defn:  $TQBF = \{\langle \phi \rangle | \phi \text{ is a QBF that is TRUE} \}$ 

Thus  $\phi_1 \in TQBF$  and  $\phi_2 \notin TQBF$ .

**Theorem:**  $TQBF \in PSPACE$ 

## Check-in 17.2

How is SAT a special case of TQBF?

- (a) Remove all quantifiers.
- (b) Add  $\exists$  and  $\forall$  quantifiers.
- (c) Add only  $\exists$  quantifiers.
- (d) Add only  $\forall$  quantifiers.

## $TQBF \in PSPACE$

**Theorem:**  $TQBF \in PSPACE$ 

Proof: "On input  $\langle \phi \rangle$ 

- 1. If  $\phi$  has no quantifiers, then  $\phi$  has no variables so either  $\phi$  = True or  $\phi$  = False. Output accordingly.
- 2. If  $\phi = \exists x \ \psi$  then evaluate  $\psi$  with x = True and x = False recursively. Accept if either accepts. Reject if not.
- 3. If  $\phi = \forall x \ \psi$  then evaluate  $\psi$  with x = True and x = False recursively. Accept if both accept. Reject if not."

### Space analysis:

Each recursive level uses constant space (to record the x value). The recursion depth is the number of quantifiers, at most  $n = |\langle \phi \rangle|$ .

So  $TQBF \in SPACE(n)$ 



## Example: Ladder Problem

A <u>ladder</u> is a sequence of strings of a common length where consecutive strings differ in a single symbol.

A word ladder for English is a ladder of English words.

Let A be a language. A ladder in A is a ladder of strings in A.

**Defn:**  $LADDER_{DFA} = \{\langle B, u, v \rangle | B \text{ is a DFA and } L(B) \text{ contains a ladder } y_1, y_2, \dots, y_k \text{ where } y_1 = u \text{ and } y_k = v \}.$ 

Theorem:  $LADDER_{DFA} \in NPSPACE$ 

WORK
PORT
SORT
SOOT
SLOT
PLOT
PLOY
PLAY

# $LADDER_{DFA} \in NPSPACE$

Theorem:  $LADDER_{DFA} \in NPSPACE$ 

Proof idea: Nondeterministically guess the sequence from u to v.

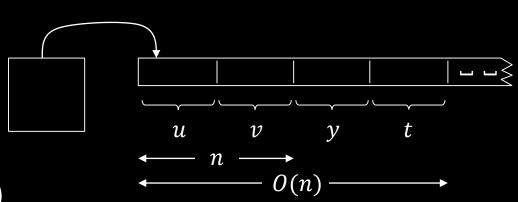
Careful- (a) cannot store sequence, (b) must terminate.

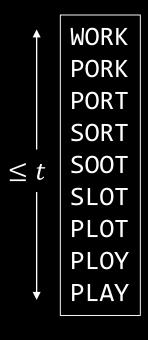
Proof: "On input  $\langle B, u, v \rangle$ 

- 1. Let y = u and let m = |u|.
- 2. Repeat at most t times where  $t = |\Sigma|^m$ .
- 3. Nondeterministically change one symbol in y.
- 4. Reject if  $y \notin L(B)$ .
- 5. Accept if y = v.
- 6. *Reject* [exceeded *t* steps].

Space used is for storing y and t.  $LADDER_{DFA} \in NSPACE(n)$ .

Theorem:  $LADDER_{DFA} \in PSPACE$  (!)





# $LADDER_{DFA} \in PSPACE$

Theorem:  $LADDER_{DFA} \in SPACE(n^2)$ 

Proof: Write  $u \stackrel{\nu}{\longrightarrow} v$  if there's a ladder from u to v of length  $\leq b$ .

Here's a recursive procedure to solve the bounded DFA ladder problem:

BOUNDED- $LADDER_{DFA} = \{\langle B, u, v, b \rangle | B \text{ a DFA and } u \xrightarrow{b} v \text{ by a ladder in } L(B)\}$ 

 $B-L = \text{"On input } \langle B, u, v, b \rangle$  Let m = |u| = |v|.

- 1. For b = 1, accept if  $u, v \in L(B)$  and differ in  $\leq 1$  place, else reject.
- 2. For b > 1, repeat for each w of length |u|
- 3. Recursively test  $u \xrightarrow{b/2} w$  and  $w \xrightarrow{b/2} v$  [division rounds up]
- 4. *Accept* both accept.
- 5. Reject [if all fail]."

Test  $\langle B, u, v \rangle \in LADDER_{DFA}$  with B-L procedure on input  $\langle B, u, v, t \rangle$  for  $t = |\Sigma|^m$ 

#### Space analysis:

Each recursive level uses space O(n) (to record w).

Recursion depth is  $\log t = O(m) = O(n)$ .

Total space used is  $O(n^2)$ .

#### Check-in 17.3

Find an English word ladder connecting MUST and VOTE.

- (a) Already did it.
- (b) I will.

## Quick review of today

- 1. Space complexity
- 2. SPACE(f(n)), NSPACE(f(n))
- 3. PSPACE, NPSPACE
- 4. Relationship with TIME classes
- 5.  $TQBF \in PSPACE$
- 6.  $LADDER_{DFA} \in NSPACE(n)$
- 7.  $LADDER_{DFA} \in SPACE(n^2)$