# 18.404/6.840 Lecture 7

### Last time:

- Equivalence of variants of the Turing machine model
  - a. Multi-tape TMs
  - b. Nondeterministic TMs
  - c. Enumerators
- Church-Turing Thesis
- Notation for encodings and TMs

### Today:

- Decision procedures for automata and grammars

Will have mini chat-breaks (experiment)

## TMs and Encodings – review

A TM has 3 possible outcomes for each input w:

- 1. Accept w (enter  $q_{acc}$ )
- 2. Reject w by halting (enter  $q_{rej}$ )
- 3. *Reject* w by looping (running forever)

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A is <u>T-recognizable</u> if A = L(M) for some TM M.

A is <u>T-decidable</u> if A = L(M) for some TM decider M.

halts on all inputs
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 $\langle O_1, O_2, \dots, O_k \rangle$  encodes objects  $O_1, O_2, \dots, O_k$  as a single string.

Notation for writing a TM M is M = "On input w [English description of the algorithm]"

### Acceptance Problem for DFAs

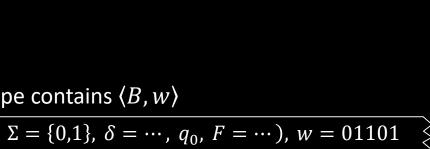
Let  $A_{DFA} = \{\langle B, w \rangle | B \text{ is a DFA and } B \text{ accepts } w\}$ 

Theorem:  $A_{DFA}$  is decidable

Proof: Give TM  $D_{A-DFA}$  that decides  $A_{DFA}$ .

 $D_{A-DFA}$  = "On input s

- 1. Check that s has the form  $\langle B, w \rangle$  where B is a DFA and w is a string; reject if not.
- 2. Simulate the computation of B on w.
- 3. If *B* ends in an accept state then *accept*. If not then *reject*."



input tape contains  $\langle B, w \rangle$   $Q = \{q_0, \dots, q_k\}, \ \Sigma = \{0,1\}, \ \delta = \dots, \ q_0, \ F = \dots), \ w = 01101$ 

**Shorthand:** 

On input  $\langle B, w \rangle$ 

work tape with current state and input head location

## Acceptance Problem for NFAs

Let  $A_{NFA} = \{\langle B, w \rangle | B \text{ is a NFA and } B \text{ accepts } w\}$ 

Theorem:  $A_{NFA}$  is decidable

Proof: Give TM  $D_{A-NFA}$  that decides  $A_{NFA}$ .

 $D_{\mathrm{A-NFA}} = \text{"On input } \langle B, w \rangle$ 

- 1. Convert NFA B to equivalent DFA B'.
- 2. Run TM  $D_{A-DFA}$  on input  $\langle B', w \rangle$ . [Recall that  $D_{A-DFA}$  decides  $A_{DFA}$ ]
- 3. Accept if  $D_{\rm A-DFA}$  accepts. Reject if not."

**New element:** Use conversion construction and previously constructed TM as a subroutine.

# Emptiness Problem for DFAs

Let  $E_{DFA} = \{\langle B \rangle | B \text{ is a DFA and } L(B) = \emptyset \}$ 

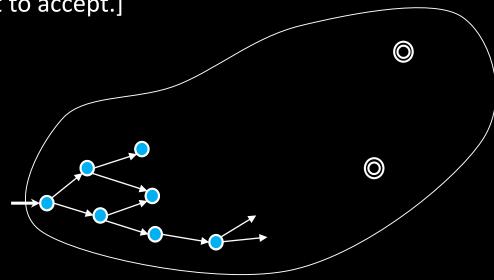
Theorem:  $E_{DFA}$  is decidable

Proof: Give TM  $\overline{D}_{\mathrm{E-DFA}}$  that decides  $\overline{E}_{\mathrm{DFA}}$  .

 $\overline{D_{\mathrm{E-DFA}}}$  = "On input  $\langle B \rangle$  [IDEA: Check for a path from start to accept.]

- 1. Mark start state.
- Repeat until no new state is marked:
   Mark every state that has an incoming arrow from a previously marked state.
- 3. Accept if no accept state is marked.

  Reject if some accept state is marked."



# Equivalence problem for DFAs

Let  $EQ_{DFA} = \{\langle A, B \rangle | A \text{ and } B \text{ are DFAs and } L(A) = L(B) \}$ 

Theorem:  $EQ_{DFA}$  is decidable

Proof: Give TM  $D_{\mathrm{EO-DFA}}$  that decides  $EQ_{\mathrm{DFA}}$  .

### Check-in 7.1

Let  $\overline{EQ_{REX}} = \{\langle R_1, R_2 \rangle | R_1 \text{ and } R_2 \text{ are regular expressions and } L(R_1) = L(R_2) \}$ 

Can we now conclude that  $EQ_{\rm REX}$  is decidable?

- a) Yes, it follows immediately from things we've already shown.
- b) Yes, but it would take significant additional work.
- c) No, intersection is not a regular operation.



# Teach at Splash!

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Where? Virtual

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## Acceptance Problem for CFGs

Let  $A_{CFG} = \{\langle G, w \rangle | G \text{ is a CFG and } w \in L(G) \}$ 

**Theorem:**  $A_{CFG}$  is decidable

**Proof:** Give TM  $D_{\mathrm{A-CFG}}$  that decides  $A_{\mathrm{CFG}}$ .

 $D_{A-CFG} =$ "On input  $\langle G, w \rangle$ 

- 1. Convert *G* into CNF.
- 2. Try all derivations of length 2|w| 1.
- 3. Accept if any generate w. Reject if not.

### Check-in 7.2

Can we conclude that  $A_{PDA}$  is decidable?

- a) Yes.
- b) No, PDAs may be nondeterministic.
- c) No, PDAs may not halt.

Recall Chomsky Normal Form (CNF) only allows rules:

 $A \rightarrow BC$ 

 $B \rightarrow b$ 

**Lemma 1:** Can convert every CFG into CNF. Proof and construction in book.

**Lemma 2:** If H is in CNF and  $w \in L(H)$  then every derivation of w has 2|w|-1 steps. Proof: exercise.

## **Emptiness Problem for CFGs**

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Let E_{CFG} = \{\langle G \rangle | G \text{ is a CFG and } L(G) = \emptyset \}
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Theorem:  $E_{CFG}$  is decidable

Proof:

 $D_{\rm E-CFG}$  = "On input  $\langle G \rangle$  [IDEA: work backwards from terminals]

- 1. Mark all occurrences of terminals in *G*.
- Repeat until no new variables are marked
   Mark all occurrences of variable A if
   A → B<sub>1</sub>B<sub>2</sub> ··· B<sub>k</sub> is a rule and all B<sub>i</sub> were already marked.
- 3. Reject if the start variable is marked. Accept if not."

$$S \rightarrow RTa$$
 $R \rightarrow Tb$ 
 $T \rightarrow a$ 

# Equivalence Problem for CFGs

Let  $EQ_{CFG} = \{\langle G, H \rangle | G, H \text{ are CFGs and } L(G) = L(H) \}$ 

Theorem:  $EQ_{CFG}$  is NOT decidable

Proof: Next week.

Let  $AMBIG_{CFG} = \{\langle G \rangle | G \text{ is an ambiguous CFG } \}$ 

### Check-in 7.3

Why can't we use the same technique we used to show  $EQ_{\mathrm{DFA}}$  is decidable to show that  $EQ_{\mathrm{CFG}}$  is decidable?

- a) Because CFGs are generators and DFAs are recognizers.
- b) Because CFLs are closed under union.
- c) Because CFLs are not closed under complementation and intersection.

## Acceptance Problem for TMs

Let  $A_{\text{TM}} = \{\langle M, w \rangle | M \text{ is a TM and } M \text{ accepts } w\}$ 

Theorem:  $A_{TM}$  is not decidable

Proof: Thursday.

Theorem:  $A_{TM}$  is T-recognizable

Proof: The following TM U recognizes  $A_{\rm TM}$ 

U = "On input  $\langle M, w \rangle$ 

- 1. Simulate M on input w.
- 2. Accept if M halts and accepts.
- 3. Reject if M halts and rejects.
- 4. Reject if M never halts." Not a legal TM action.

Turing's original "Universal Computing Machine"



Von Neumann said U inspired the concept of a stored program computer.

# Quick review of today

1. We showed the decidability of various problems about automata and grammars:

$$A_{
m DFA}$$
 ,  $A_{
m NFA}$  ,  $E_{
m DFA}$  ,  $EQ_{
m DFA}$  ,  $A_{
m CFG}$  ,  $E_{
m DFA}$ 

2. We showed that  $A_{\rm TM}$  is T-recognizable.