

# 18.404/6.840 Lecture 14

## (midterm replaced lecture 13)

### **Last time:**

- TIME
- P
- 

### **Today:**

- NTIME
- NP
- P vs NP problem
- Dynamic Programming
- Polynomial-time reducibility

### **Posted:**

- Midterm & solutions, Problem Set 3 solutions, Problem Set 4

# Quick Review

**Defn:** TIMEsome deterministic 1-tape TM decides  
and runs in time

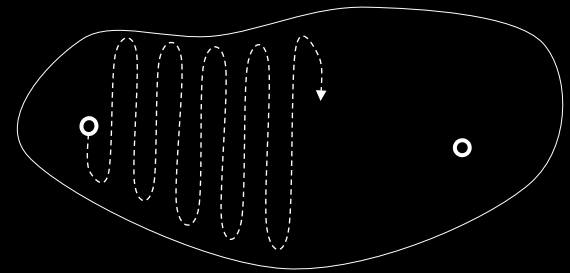
**Defn:**  $P$   
polynomial time decidable languages

is a directed graph with a path from  $s$  to  $t$

**Theorem:**

is a directed graph with a path from  $s$  to  $t$   
that goes through every node of  $G$

? Unsolved Problem  
[connection to factoring]



# Nondeterministic Complexity

In a nondeterministic TM (NTM) decider, all branches halt on all inputs.

**Defn:** An NTM runs in time if all branches halt within steps on all inputs of length .

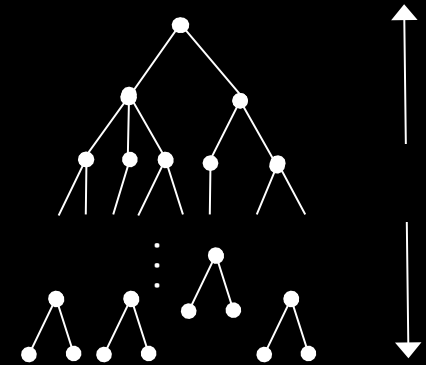
**Defn:**  $\text{NTIME } B$  | some 1-tape NTM decides  $B$   
and runs in time }

**Defn:** NP

nondeterministic polynomial time decidable languages

- Invariant for all reasonable nondeterministic models
- Corresponds roughly to easily verifiable problems

Computation tree  
for NTM on input .



all branches halt  
within steps

# NP

**Theorem:** NP

**Proof:**

“On input (Say has nodes.)

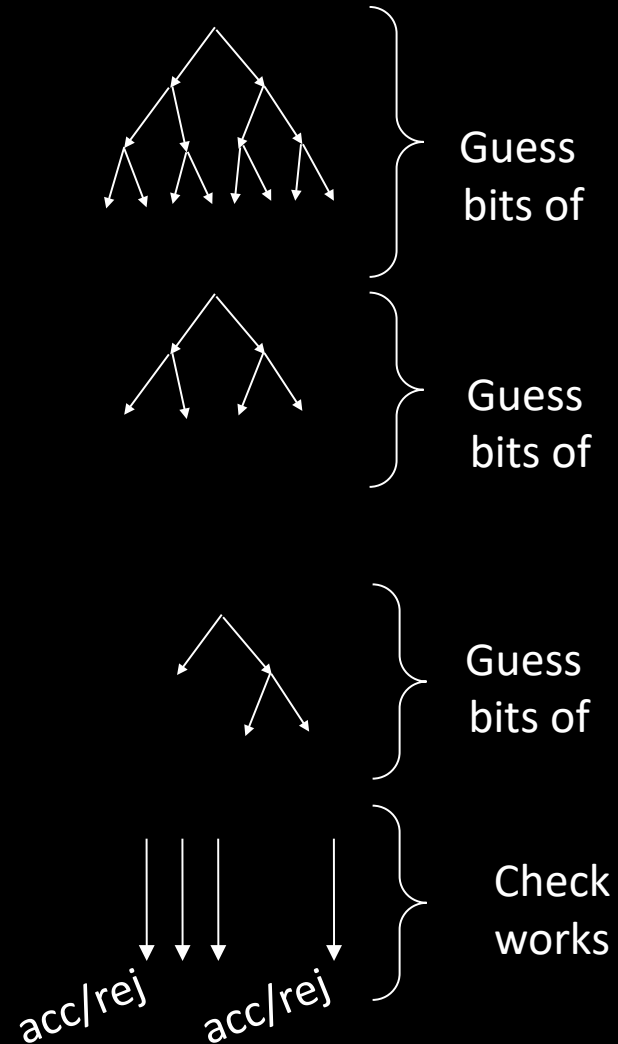
1. Nondeterministically write a sequence  
of nodes.

2. *Accept* if

each is an edge  
and no repeats.

3. *Reject* if any condition fails.”

Computation of  
M on



# NP

**Defn:**  $\{ \langle n, c \rangle \mid n \text{ is not prime and } n \text{ is written in binary} \}$   
 $\text{for integers } n, c \text{ in binary}$

**Theorem:** NP

**Proof:** “On input

1. Nondeterministically write  $c$  where  $c$  is the certificate.
2. *Accept* if  $c$  divides  $n$  with remainder 0.  
*Reject* if not.”

**Note:** Using base 10 instead of base 2 wouldn't matter because can convert in polynomial time.  
**Bad encoding:** write number  $n$  in unary:  $1^n$ , exponentially longer.

**Theorem (2002):** P

We won't cover this proof.

# Intuition for P and NP

NP = All languages where can verify membership quickly

P = All languages where can test membership quickly

Examples of quickly verifying membership:

- : Give the Hamiltonian path.
- : Give the factor.

The Hamiltonian path and the factor are called **short certificates** of membership.

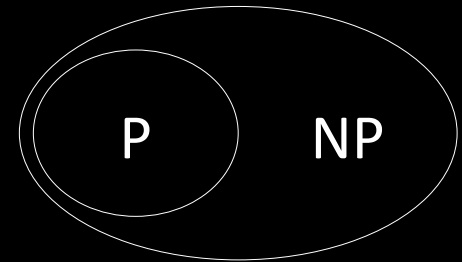
## Check-in 14.1

Let  $\bar{L}$  be the complement of  $L$ .

So if  $G$  does not have a Hamiltonian path from  $s$  to  $t$ .

Is  $\bar{L}$  NP?

- (a) Yes, we can invert the accept/reject output of the NTM for  $L$ .
- (b) No, we cannot give a short certificate for a graph not to have a Hamiltonian path.
- (c) I don't know.





# Recall

## Recall:

**Theorem:**  $is$  decidable

Proof: “On input

1. Convert  $is$  into Chomsky Normal Form.
2. Try all derivations of length  $n$ .
3. *Accept* if any generate  $is$ . *Reject* if not.

Chomsky Normal Form (CNF):

$A \rightarrow BC$

$B \rightarrow b$

Let’s always assume  $is$  is in CNF.

**Theorem:** NP

Proof: “On input

4. Nondeterministically pick some derivation of length  $n$ .
5. *Accept* if it generates  $is$ . *Reject* if not.



# Attempt to show P

## Theorem: P

Proof attempt:

Recursive algorithm tests if generates , starting at any specified variable R.

“On input

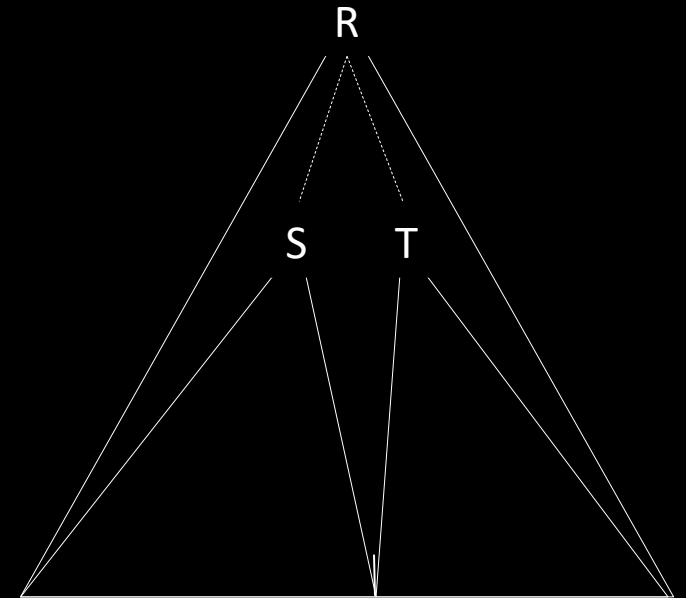
1. For each way to divide and for each rule  $R \rightarrow ST$
2. Use to test and
3. *Accept* if both accept
4. *Reject* if none of the above accepted.”

Then decide by starting from 's start variable.

is a correct algorithm, but it takes non-polynomial time.  
(Each recursion makes calls and depth is roughly .)

**Fix:** Use recursion + memory called *Dynamic Programming* (DP)

**Observation:** String of length has substrings  
therefore there are only possible sub-problems to solve.



# DP shows P

## Theorem: P

Proof : Use DP (Dynamic Programming) = recursion + memory.

“On input

“memoization”

1. If previously solved, return result and continue.
2. Use to test and
3. *Accept* if both accept
4. *Reject* if none of the above accepted.”

} same as before

Then decide by starting from G’s start variable.

Total number of calls is so time used is polynomial.

Alternately, solve all smaller sub-problems first: “bottom up”

## Check-in 14.2

Suppose is a CFL.

Does that imply that P?

- (a) Yes
- (b) No.

# P & Bottom-up DP

**Theorem:** P

Proof : Use bottom-up DP.

“On input

1. For each and variable R  
Solve by checking if R is a rule. } Solve for substrings of length 1
2. For and each substring of where and variable R  
Solve by checking for each R ST and each division if both and were positive. } Solve for substrings of length by using previous answers for substrings of length .
3. *Accept* if is positive where S is the original start variable.
4. *Reject* if not.”

Total number of calls is so time used is polynomial.

Often, bottom-up DP is shown as filling out a table.

# Satisfiability Problem

**Defn:** A *Boolean formula* has Boolean variables (TRUE/FALSE values) and Boolean operations AND ( $\wedge$ ), OR ( $\vee$ ), and NOT ( $\neg$ ).

**Defn:** is *satisfiable* if evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

**Example:** Let  $\phi = (x \vee y)$  (Notation:  $\phi$  means  $\phi$ )  
Then  $\phi$  is satisfiable ( $x=1, y=0$ )

**Defn:** is a satisfiable Boolean formula}

**Theorem (Cook, Levin 1971):**  $P \neq NP$

**Proof method:** polynomial time (mapping) reducibility

## Check-in 14.3

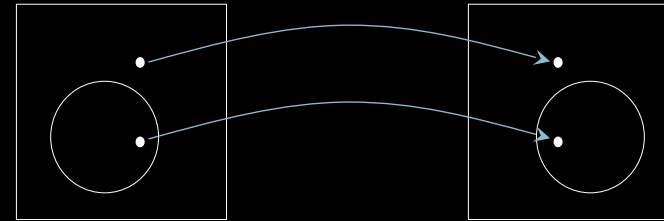
Is  $P = NP$ ?

- (a) Yes.
- (b) No.
- (c) I don't know.
- (d) No one knows.

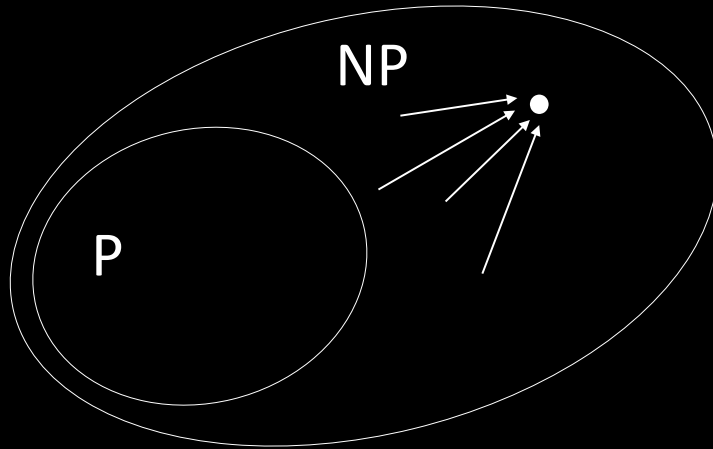
# Polynomial Time Reducibility

**Defn:**  $A$  is polynomial time reducible to  $B$  if  
by a reduction function that is computable in polynomial time.

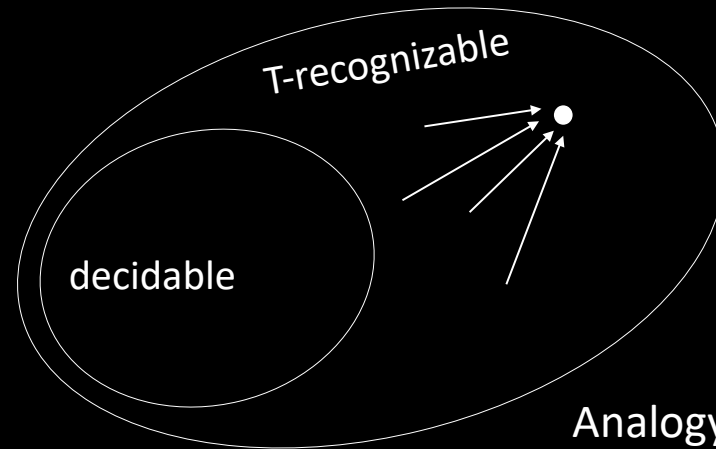
**Theorem:** If  $A$  and  $P$  then  $P = NP$ .



is computable in polynomial time



Idea to show  $P = NP$



Analogy with

# Quick review of today

1. NTIME and NP
2.  $\text{P}^{\text{NP}}$  and NP
3. P versus NP question
4. P via Dynamic Programming
5. The Satisfiability Problem
6. Polynomial time reducibility