

# 18.404/6.840 Lecture 14

(midterm replaced lecture 13)

## Last time:

- TIME
- P
- 

## Today:

- NTIME
- NP
- P vs NP problem
- Dynamic Programming
- Polynomial-time reducibility

## Posted:

- Midterm & solutions, Problem Set 3 solutions, Problem Set 4

# Quick Review

**Defn:** TIME some deterministic 1-tape TM decides  
and runs in time

**Defn:** P  
polynomial time decidable languages

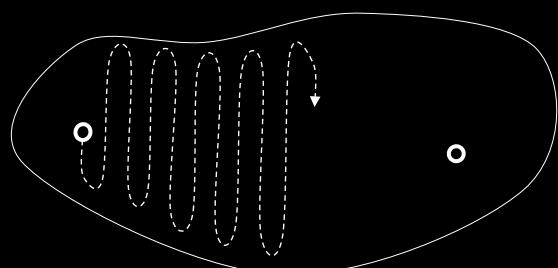
is a directed graph with a path from  $s$  to  $t$

**Theorem:**

is a directed graph with a path from  $s$  to  $t$   
that goes through every node of  $G$

? Unsolved Problem

[connection to factoring]



# Nondeterministic Complexity

In a nondeterministic TM (NTM) decider, all branches halt on all inputs.

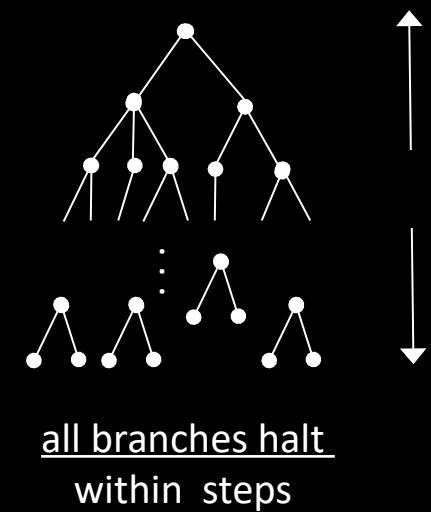
**Defn:** An NTM runs in time if all branches halt within  $t$  steps on all inputs of length  $n$ .

**Defn:**  $\text{NTIME}(B)$  | some 1-tape NTM decides  $B$  and runs in time  $t$

**Defn:**  $\text{NP}$   
nondeterministic polynomial time decidable languages

- Invariant for all reasonable nondeterministic models
- Corresponds roughly to easily verifiable problems

Computation tree  
for NTM on input.



# NP

**Theorem:** NP

**Proof:**

"On input (Say has nodes.)

1. Nondeterministically write a sequence

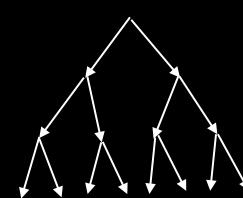
of nodes.

2. *Accept* if

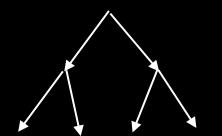
each is an edge  
and no repeats.

3. *Reject* if any condition fails."

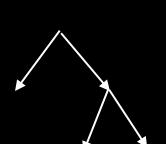
Computation of  
M on



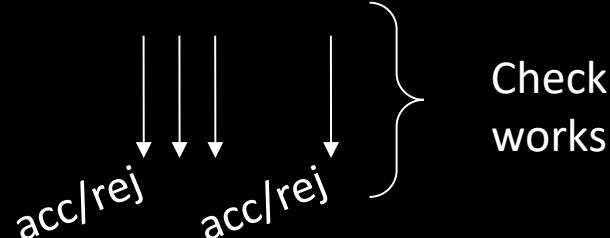
Guess  
bits of



Guess  
bits of



Guess  
bits of



Check  
works

# NP

**Defn:** is not prime and is written in binary} for integers , in binary}

**Theorem:** NP

Proof: “On input

1. Nondeterministically write where .
2. *Accept* if divides with remainder .  
*Reject* if not.”

**Note:** Using base 10 instead of base 2 wouldn’t matter because can convert in

**Bad encoding:** write number in unary: , exponentially longer.

**Theorem (2002):** P

We won’t cover this proof.

# Intuition for P and NP

NP = All languages where can verify membership quickly

P = All languages where can test membership quickly

Examples of quickly verifying membership:

- : Give the Hamiltonian path.
- : Give the factor.

The Hamiltonian path and the factor are called ***short certificates*** of membership.

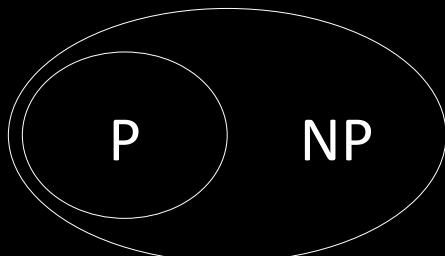
## Check-in 14.1

Let  $\bar{L}$  be the complement of  $L$ .

So if  $\bar{L}$  does not have a Hamiltonian path from  $s$  to  $t$ .

Is  $\bar{L}$  NP?

- (a) Yes, we can invert the accept/reject output of the NTM for  $\bar{L}$ .
- (b) No, we cannot give a short certificate for a graph not to have a Hamiltonian path.
- (c) I don't know.





# Recall

**Recall:**

**Theorem:** is decidable

Proof: “On input

1. Convert into Chomsky Normal Form.
2. Try all derivations of length .
3. *Accept* if any generate . *Reject* if not.

Chomsky Normal Form (CNF):

$$\begin{array}{l} A \rightarrow BC \\ B \rightarrow b \end{array}$$

Let's always assume is in CNF.

**Theorem:** NP

Proof: “On input

4. Nondeterministically pick some derivation of length .
5. *Accept* if it generates . *Reject* if not.

# Attempt to show P

**Theorem:** P

Proof attempt:

Recursive algorithm tests if generates , starting at any specified variable R.

“On input

1. For each way to divide and for each rule R ST
2. Use to test and
3. Accept if both accept
4. Reject if none of the above accepted.”

Then decide by starting from 's start variable.

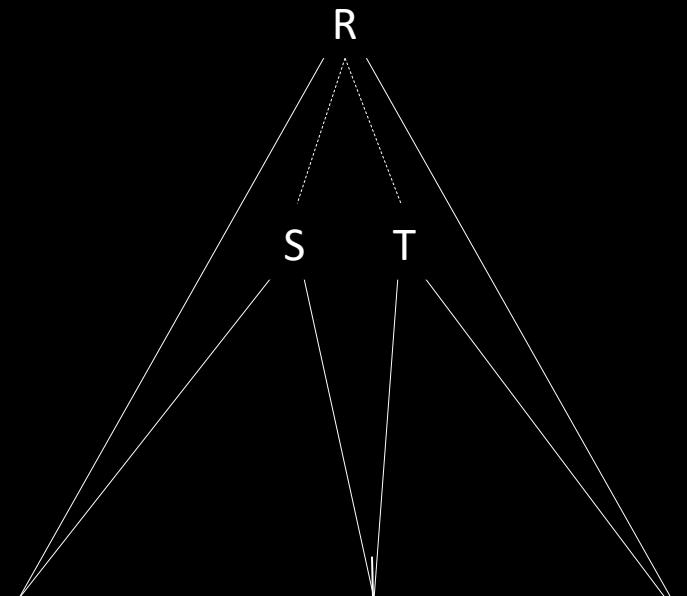
is a correct algorithm, but it takes non-polynomial time.

(Each recursion makes calls and depth is roughly .)

**Fix:** Use recursion + memory called *Dynamic Programming* (DP)

**Observation:** String of length has substrings

therefore there are only possible sub-problems to solve.



# DP shows P

**Theorem:** P

Proof : Use DP (Dynamic Programming) = recursion + memory.

“On input

“memoization”

1. ~~If previously solved then for each make *test* continue.~~
2. Use to test and
3. Accept if both accept
4. Reject if none of the above accepted.”

Then decide by starting from G's start variable.

} same as before

Total number of calls is so time used is polynomial.

Alternately, solve all smaller sub-problems first: “bottom up”

## Check-in 14.2

Suppose is a CFL.

Does that imply that P?

- (a) Yes
- (b) No.

# P & Bottom-up DP

**Theorem:** P

Proof : Use bottom-up DP.

“On input

1. For each and variable R  
Solve by checking if R is a rule.  
} Solve for substrings of length 1
2. For and each substring of where and variable R  
Solve by checking for each R ST and each division if both and were positive.  
} Solve for substrings of length by using previous answers for substrings of length .
3. Accept if is positive where S is the original start variable.
4. Reject if not.”

Total number of calls is so time used is polynomial.

Often, bottom-up DP is shown as filling out a table.

# Satisfiability Problem

**Defn:** A *Boolean formula* has Boolean variables (TRUE/FALSE values) and Boolean operations AND (), OR (), and NOT () .

**Defn:** is *satisfiable* if evaluates to TRUE for some assignment to its variables.  
Sometimes we use 1 for True and 0 for False.

**Example:** Let  $\phi$  (Notation:  $\phi$  means  $\exists x \exists y \dots$ )

Then  $\phi$  is satisfiable ( $x=1, y=0$ )

**Defn:**  $\phi$  is a satisfiable Boolean formula}

**Theorem (Cook, Levin 1971):**  $P \neq NP$

**Proof method:** polynomial time (mapping) reducibility

## Check-in 14.3

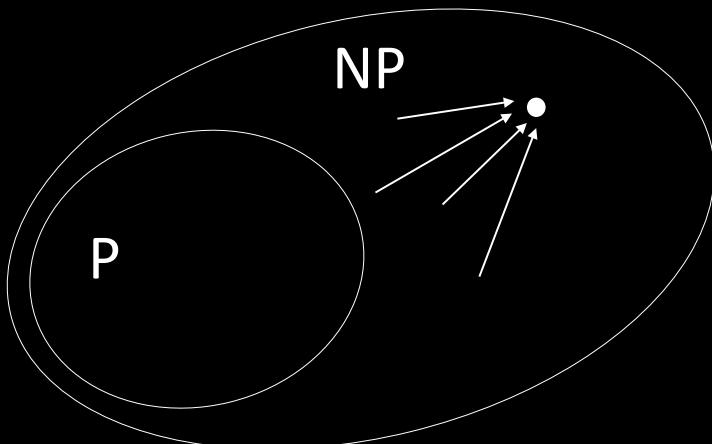
Is  $P = NP$ ?

- (a) Yes.
- (b) No.
- (c) I don't know.
- (d) No one knows.

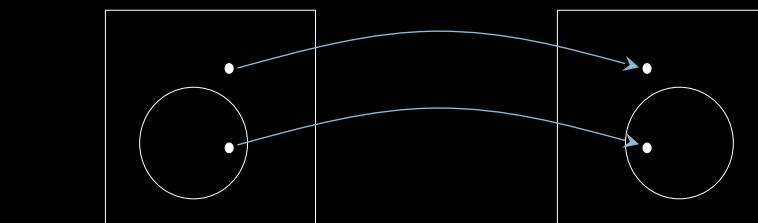
# Polynomial Time Reducibility

**Defn:** is polynomial time reducible to () if  
by a reduction function that is computable in polynomial time.

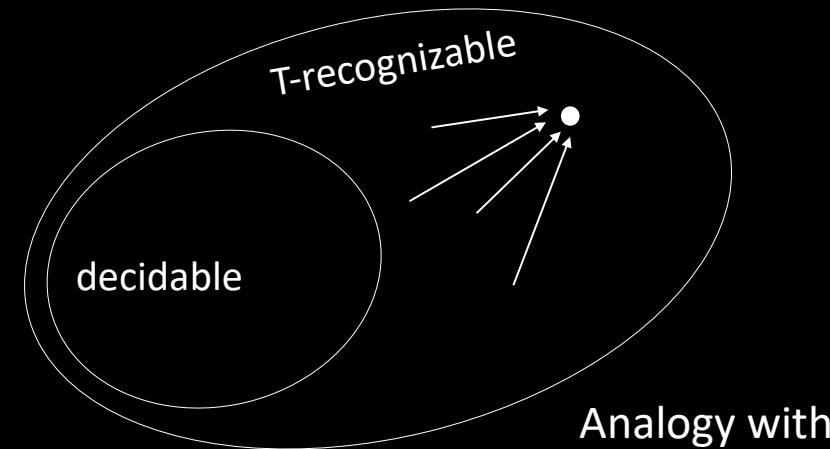
**Theorem:** If and P then P.



Idea to show  $P \subseteq P = NP$



is computable in polynomial time



Analogy with

# Quick review of today

1. NTIME and NP
2. and NP
3. P versus NP question
4. P via Dynamic Programming
5. The Satisfiability Problem
6. Polynomial time reducibility