

18.404/6.840 Lecture 8

Last time:

- Decision procedures for automata and grammars
 - , , , , , are decidable
 - is T-recognizable

Today:

- is undecidable
- The diagonalization method
- is T-unrecognizable
- The reducibility method
- Other undecidable languages

Pset 3 will be posted soon

Continue to have chat-breaks; will try to keep them short.

Recall: Acceptance Problem for TMs

Let \mathcal{M} is a TM and $\mathcal{L}(\mathcal{M})$ accepts

Today's Theorem: $\mathcal{L}(\mathcal{M})$ is not decidable

Proof uses the diagonalization method,
so we will introduce that first.

The Size of Infinity

How to compare the relative sizes of infinite sets?

Cantor (~1890s) had the following idea.

Defn: Say that set and have the same size if there is a one-to-one and onto function

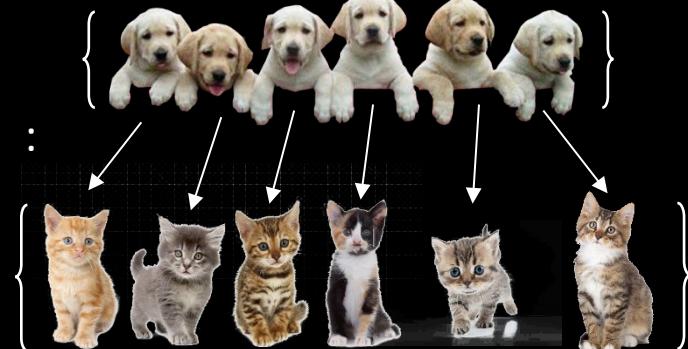
Range
“surjective”
“injective”

We call such an a 1-1 correspondence

Informally, two sets have the same size if we can pair up their members.

This definition works for finite sets.

Apply it to infinite sets too.



Countable Sets

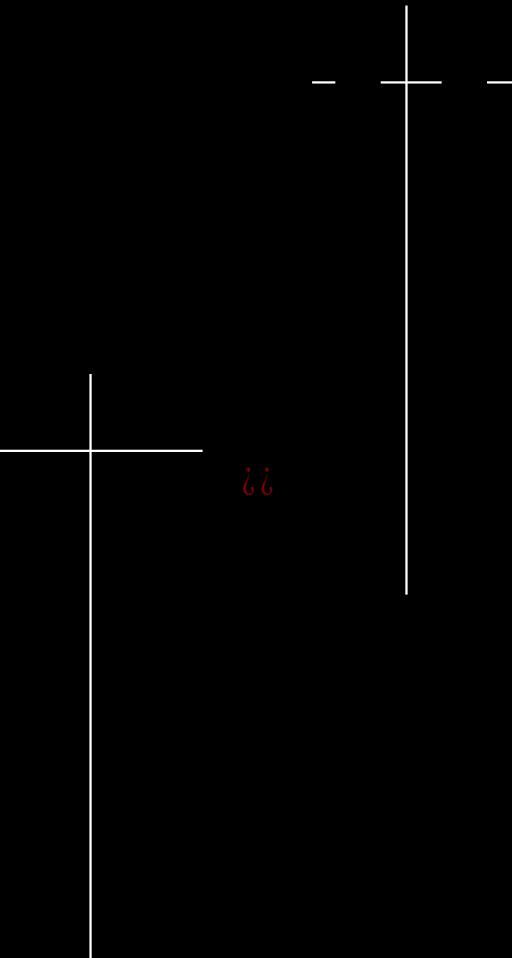
Let \mathbb{N} and let

Show \mathbb{N} and $\mathbb{Q}_{\geq 0}$ have the same size

Let

Show \mathbb{N} and $\mathbb{Q}_{\geq 0}$ have the same size

| | 1 | 2 | 3 | 4 | ... |
|---|-----|-----|-----|-----|-----|
| 1 | 1/1 | 1/2 | 1/3 | 1/4 | |
| 2 | 2/1 | 2/2 | 2/3 | 2/4 | ... |
| 3 | 3/1 | 3/2 | 3/3 | 3/4 | |
| 4 | 4/1 | 4/2 | 4/3 | 4/4 | |



Defn: A set is countable if it is finite or it has the same size as \mathbb{N} .

Both \mathbb{N} and $\mathbb{Q}_{\geq 0}$ are countable.

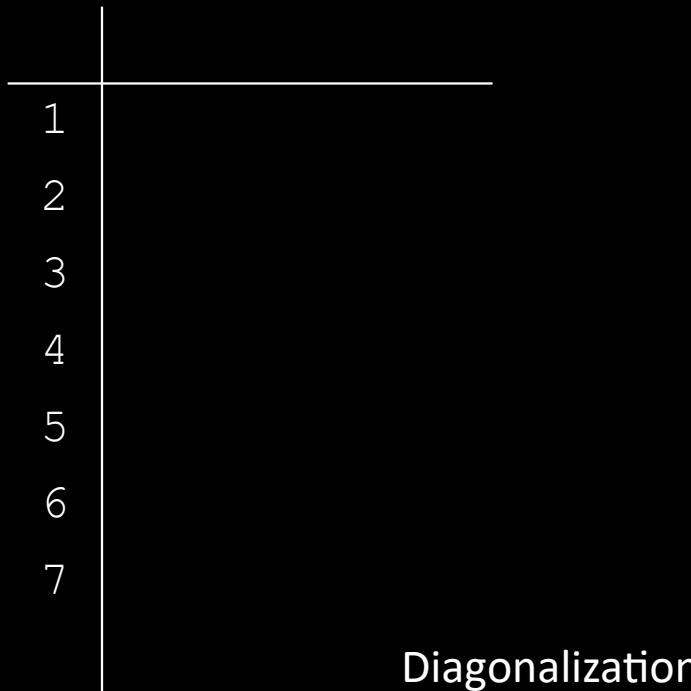
is Uncountable – Diagonalization

Let all real numbers (expressible by infinite decimal expansion)

Theorem: is uncountable

Proof by contradiction via diagonalization: Assume is countable

So there is a 1-1 correspondence



Demonstrate a number that is missing from the list.

0 . 8 5 1 . . .

differs from the th number in the th digit
so cannot be the th number for any .
Hence is not paired with any It is missing from the list.
Therefore is not a 1-1 correspondence.

is Uncountable – Corollaries

Let all languages

Corollary 1: is uncountable

Proof: There's a 1-1 correspondence from to so they are the same size.

Observation: is countable.

Let all Turing machines

Observation: is countable.

Because is a TM.

Corollary 2: Some language is not decidable.

Because there are more languages than TMs.

We will show some specific language is not decidable.

Check-in 8.1

Hilbert's 1st question asked if there is a set of intermediate size between and . Gödel and Cohen showed that we cannot answer this question by using the standard axioms of mathematics.

How can we interpret their conclusion?

- (a) We need better axioms to describe reality.
- (b) Infinite sets have no mathematical reality so Hilbert's 1st question has no answer.

Check-in 8.1

is undecidable

Recall is a TM and accepts

Theorem: is not decidable

Proof by contradiction: Assume some TM decides .

So on

Use to construct TM

“On input

1. Simulate on input
2. *Accept* if rejects. *Reject* if accepts.”

accepts iff doesn't accept .

accepts iff doesn't accept .

Contradiction.

Why is this proof a diagonalization?

| All TMs | All TM descriptions: | ... |
|---------|----------------------|-----|
| acc | | |
| | rej | |
| | acc | |
| | | acc |



Check-in 8.2

Recall the Queue Automaton (QA) defined in Pset 2.

It is similar to a PDA except that it is deterministic
and it has a queue instead of a stack.

Let \mathcal{A} is a QA and $L(\mathcal{A})$ accepts

Is $L(\mathcal{A})$ decidable?

- (a) Yes, because QA are similar to PDA and $L(\mathcal{A})$ is decidable.
- (b) No, because “yes” would contradict results we now know.
- (c) We don’t have enough information to answer this question.

is T-unrecognizable

Theorem: If L_1 and L_2 are T-recognizable then $L_1 \cap L_2$ is decidable

Proof: Let TM M_1 and M_2 recognize L_1 and L_2 .

Construct TM M deciding $L_1 \cap L_2$.

"On input

1. Run M_1 and M_2 in parallel until one accepts.
2. If M_1 accepts then *accept*.
If M_2 accepts then *reject*."

Corollary: \emptyset is T-unrecognizable

Proof: \emptyset is T-recognizable but also undecidable

Check-in 8.3

From what we've learned, which closure properties can we prove for the class of T-recognizable languages?
Choose all that apply.

- (a) Closed under union.
- (b) Closed under intersection.
- (c) Closed under complement.
- (d) Closed under concatenation.
- (e) Closed under star.

Check-in 8.3

The Reducibility Method

Use our knowledge that HALT is undecidable to show other problems are undecidable.

Defn: halts on input

Theorem: HALT is undecidable

Proof by contradiction, showing that HALT is reducible to :

Assume that HALT is decidable and show that HALT is decidable (false!).

Let TM decide .

Construct TM deciding .

“On input

1. Use to test if on halts. If not, reject.
2. Simulate on until it halts (as guaranteed by).
3. If has accepted then *accept*.
If has rejected then *reject*.

TM decides , a contradiction. Therefore HALT is undecidable.

Quick review of today

1. Showed that Σ^* and $\{0\}^*$ are not the same size to introduce the Diagonalization Method.
2. HALT_T is undecidable.
3. If Σ_1 and Σ_2 are T-recognizable then $\Sigma_1 \cup \Sigma_2$ is decidable.
4. HALT_T is T-unrecognizable.
5. Introduced the Reducibility Method to show that HALT_T is undecidable.