

# 18.404/6.840 Lecture 5

## **Last time:**

- Context free grammars (CFGs)
- Context free languages (CFLs)
- Pushdown automata (PDA)
- Converting CFGs to PDAs

## **Today:**

- Proving languages not Context Free
- Turing machines
- T-recognizable and T-decidable languages

## **Posted:**

- Solutions to PSet 1
- PSet 2

# Equivalence of CFGs and PDAs

**Recall Theorem:**  $L$  is a CFL iff some PDA recognizes

Done.  $\rightarrow$

$\leftarrow$  Need to know the fact, not the proof

## Corollaries:

- 1) Every regular language is a CFL.
- 2) If  $L$  is a CFL and  $R$  is regular then  $L \cap R$  is a CFL.

### Proof sketch of (2):

While reading the input, the finite control of the PDA for  $L$  simulates the DFA for  $R$ .

**Note 1:** If  $L$  and  $R$  are CFLs then  $L \cup R$  may not be a CFL (will show today).

Therefore the class of CFLs is not closed under  $\cup$ .

**Note 2:** The class of CFLs is closed under  $\cap$  (see Pset 2).

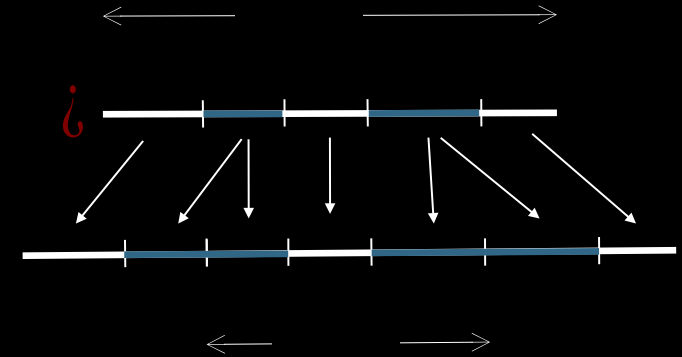
# Proving languages not Context Free

Let  $L$ . We will show that  $L$  isn't a CFL.

**Pumping Lemma for CFLs:** For every CFL  $L$ , there is a  $p$  such that if  $|w| \geq p$  and  $w \in L$  then  $w = uvxyz$  where

- 1)  $|vxy| \leq p$  for all
- 2)  $|v| \geq 1$
- 3)  $uv^i xy \in L$  for all  $i \geq 0$

Informally: All long strings in  $L$  are pumpable and stay in  $L$ .

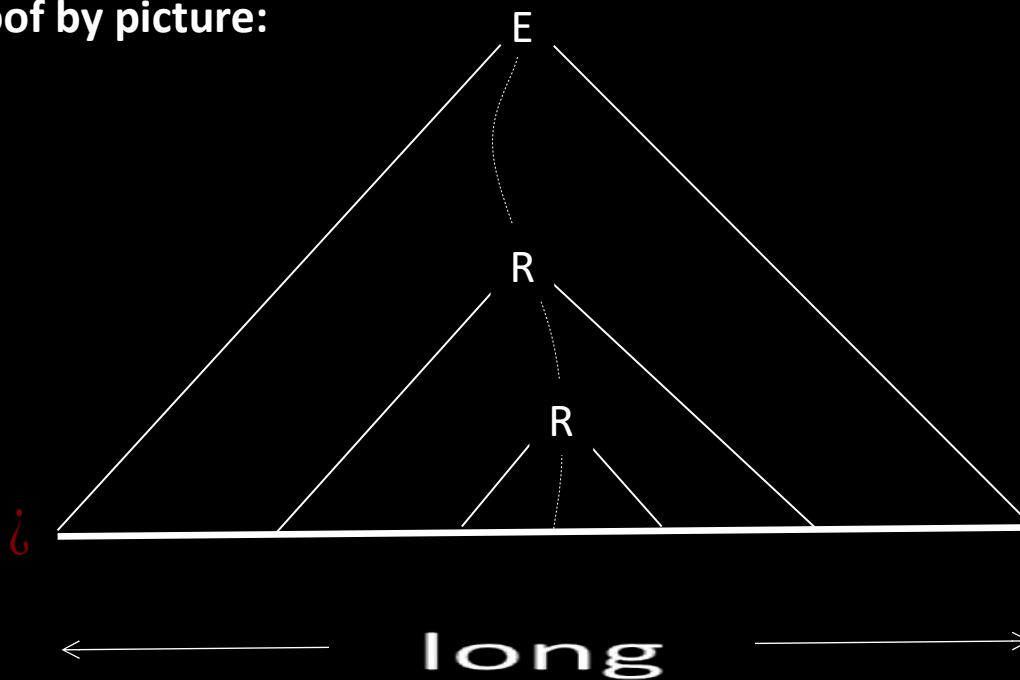


# Pumping Lemma – Proof

**Pumping Lemma for CFLs:** For every CFL, there is a  $n$  such that if  $s \in L$  and  $|s| \geq n$  then  $s = uv^k$  where

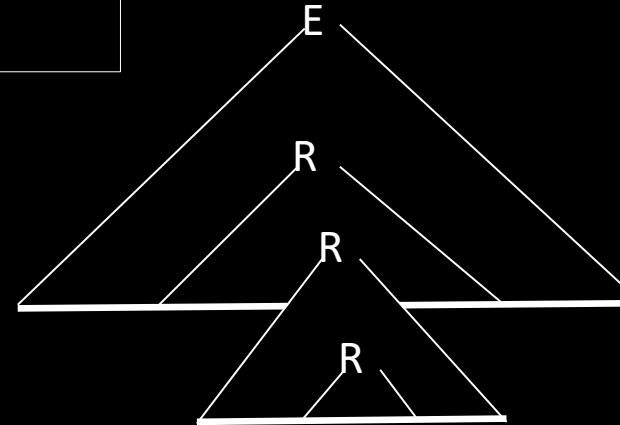
- 1)  $uv^k \in L$  for all  $k \geq 0$
- 2)  $|u| \geq 1$
- 3)  $|v| \geq 1$

**Proof by picture:**

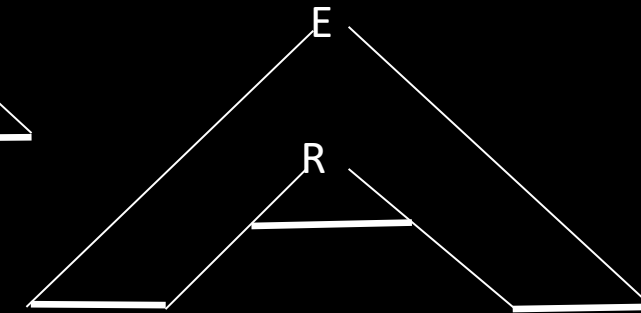


tall

Long  
tall parse tree



Generates



Generates

“cutting and pasting” argument

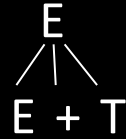
# Pumping Lemma – Proof details

For where , we have where:

- 1) for all ...cutting and pas
- 2) ...start
- 3) ...pick the

Let the length of the longest right hand side of a rule (E  $\rightarrow$  E+T)

the max branching of the parse tree



Let the height of the parse tree for .

A tree of height and max branching has at most leaves.

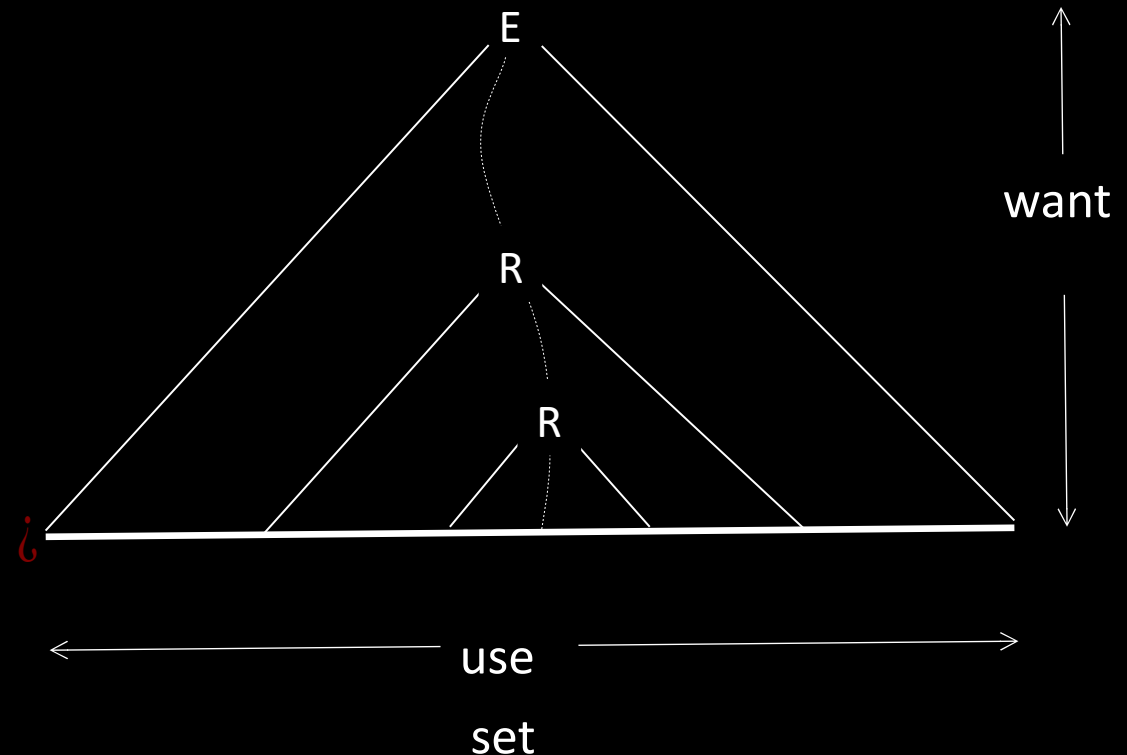
So .

Let where # variables in the grammar.

So if then and so .

Thus at least variables occur in the longest path.

So some variable must repeat on a path.



# Example 1 of Proving Non-CF

**Pumping Lemma for CFLs:** For every CFL, there is a  $p$  such that if  $z = uv^pw$  and  $|v| + |w| \geq p$  then  $uv^i pw$  is in the language for all  $i \geq 0$  where

- 1)  $|v| > 0$  for all
- 2)  $|w| > 0$
- 3)  $|v| + |w| \geq p$

Let

**Show:**  $\{0^n 1^n 2^n \mid n \geq 0\}$  is not a CFL

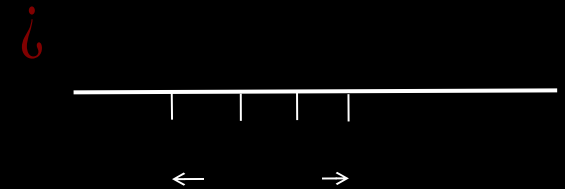
## Check-in 5.1

Let  $L_1 = \{0^n 1^n \mid n \geq 0\}$  (equal #s of 0s and 1s)

Let  $L_2 = \{0^n 1^n 2^n \mid n \geq 0\}$  (equal #s of 1s and 2s)

Observe that PDAs can recognize  $L_1$  and  $L_2$ . What can we now conclude?

- a) The class of CFLs is not closed under intersection.
- b) The Pumping Lemma shows that  $L_1 \cap L_2$  is not a CFL.
- c) The class of CFLs is closed under complement.



# Example 2 of Proving Non-CF

**Pumping Lemma for CFLs:** For every CFL, there is a  $p$  such that if  $z = uv^2w$  and  $|v| \leq p$  then  $uv^k w \in L$  where

- 1) for all  $k \geq 1$
- 2)  $|v| > 0$
- 3)  $|v| \leq p$

Let  $L = \{0^n 1^n \mid n \geq 1\}$ .

**Show:**  $L$  is not a CFL.

Assume (for contradiction) that  $L$  is a CFL.

The CFL pumping lemma gives  $z$  as above. Need to choose  $z$ . Which?

Try  $z = 0^p 1^p$ . But can be pumped.

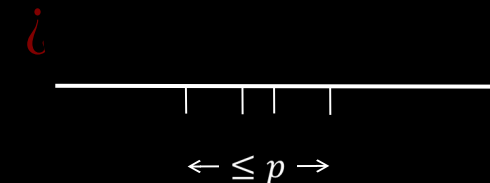
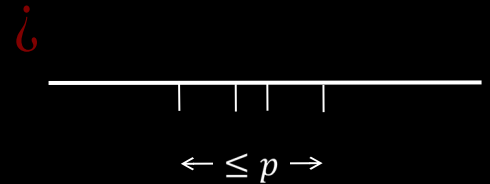
Try  $z = 0^p 1^p 0^p$ .

Show  $z$  cannot be pumped satisfying the 3 conditions.

Condition 3 implies that  $v$  does not overlap two runs of 0s or two runs of 1s.

Therefore, in  $z$ , two runs of 0s or two runs of 1s have unequal length.

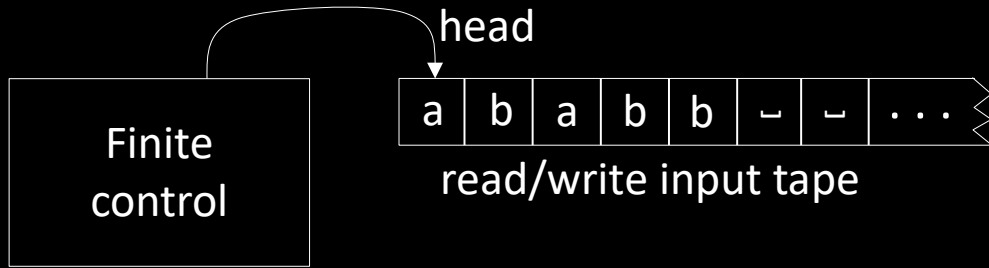
So  $z$  violating Condition 1. Contradiction! Thus  $L$  is not a CFL.







# Turing Machines (TMs)

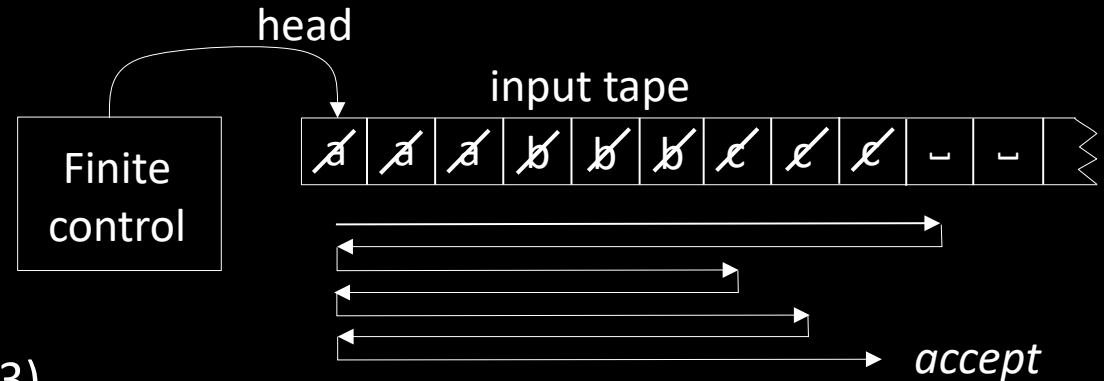


- 1) Head can read and write
- 2) Head is two way (can move left or right)
- 3) Tape is infinite (to the right)
- 4) Infinitely many blanks "␣" follow input
- 5) Can accept or reject any time (not only at end of input)

# TM – example

## TM recognizing

- 1) Scan right until  $\sqcup$  while checking if input is in  $\{a, b, c\}$ , *reject* if not.
- 2) Return head to left end.
- 3) Scan right, crossing off single a, b, and c.
- 4) If the last one of each symbol, *accept*.
- 5) If the last one of some symbol but not others, *reject*.
- 6) If all symbols remain, return to left end and repeat from (3).



### Check-in 5.2

How do we get the effect of “crossing off” with a Turing machine?

- a) We add that feature to the model.
- b) We use a tape alphabet  $\{a, b, c, \sqcup, \text{///}\}$ .
- c) All Turing machines come with an eraser.

# TM – Formal Definition

Defn: A Turing Machine (TM) is a 7-tuple

input alphabet

tape alphabet  $\Sigma$

$\{L, R\}$  (L = Left, R = Right)

$Q$

On input  $w$  a TM may halt (accept or reject) or may run forever (“loop”).

So  $M$  has 3 possible outcomes for each input  $w$ :

1. Accept (halt and accept)
2. Reject by halting (halt and reject)
3. Reject by looping (running forever)

## Check-in 5.3

This Turing machine model is deterministic.  
How would we change it to be nondeterministic?

- a) Add a second transition function.
- b) Change  $\Sigma$  to be  $\Sigma^*$
- c) Change the tape alphabet to be infinite.

# TM Recognizers and Deciders

Let  $M$  be a TM. Then  $M$  accepts  $w$ .

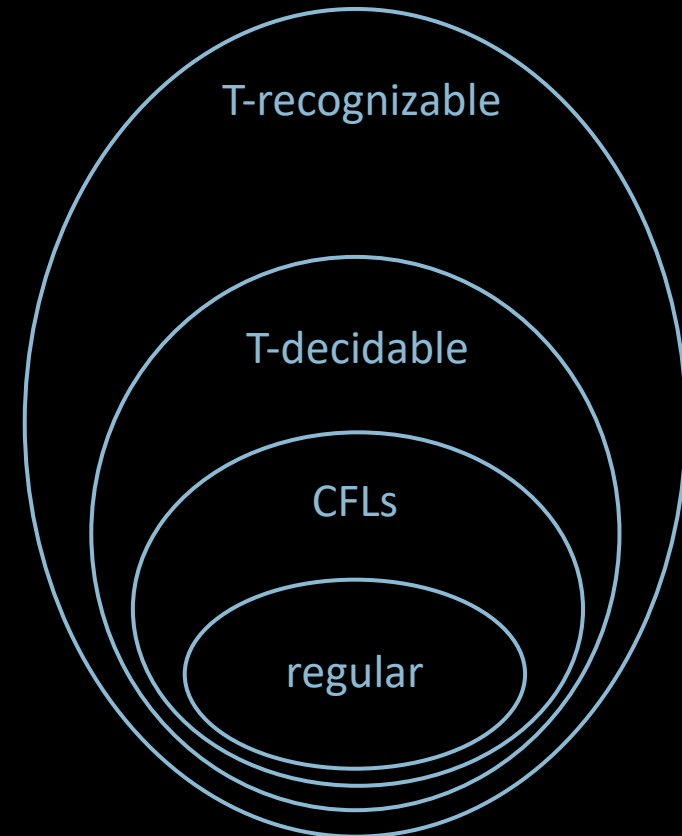
Say that  $M$  recognizes  $w$  if  $M$  accepts  $w$ .

**Defn:**  $L$  is Turing-recognizable if  $L = \{w \mid M \text{ recognizes } w\}$  for some TM  $M$ .

**Defn:** TM  $M$  is a decider if  $M$  halts on all inputs.

Say that  $M$  decides  $L$  if  $L = \{w \mid M \text{ accepts } w\}$  and  $M$  is a decider.

**Defn:**  $L$  is Turing-decidable if  $L = \{w \mid M \text{ decides } w\}$  for some TM decider  $M$ .



# Quick review of today

1. Proved the CFL Pumping Lemma as a tool for showing that languages are not context free.
2. Defined Turing machines (TMs).
3. Defined TM deciders (halt on all inputs).
4. T-recognizable and T-decidable languages.