# **Convolutional Neural Networks**

### **Basic Concepts**

CNN (Convolutional Neural Networks): Neural networks specialized for applications in image and video recognition, recommender systems and natural language processing.

Three types of layers in a Convolutional Neural Network:

- 1. Convolutional Layers.
- 2. Pooling Layers.
- 3. Fully-Connected Layers.

#### **Activation and Pooling Layers**

Activation: Transformation to the output using Activation functional like ReLU

Pooling: The feature map dimensionality is reduced using pooling

### **Max Pooling and Min Pooling**

**Pooling** is done on the newluy created feature map. It's used to **down-sample** the feature map.

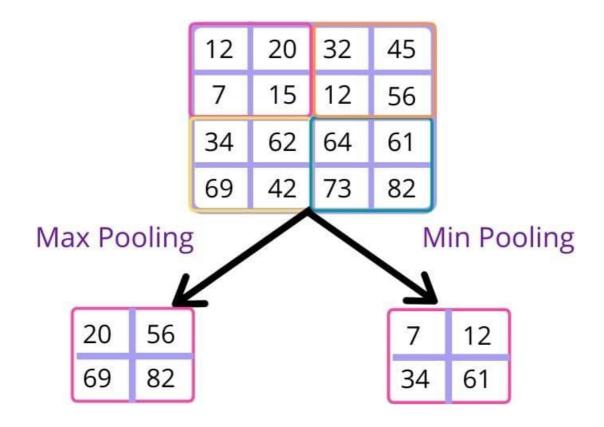
There are two different types of pooling:

• Max pooling: in each stride the **max** value within the window is pooled into an output matrix.

# Input

7	3	5	2		Output		
8	7	1	6	maxpool	8	6	
4	9	3	9		9	9	
0	8	4	5				

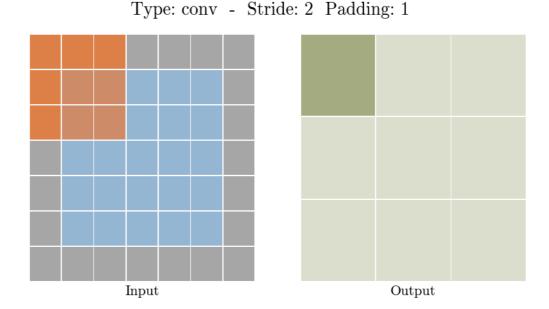
• Min pooling: in each stride the **min** value within the window is pooled into an output matrix.



## **Stride Padding and Flattening Concepts of CNN**

**Stride**: The amount of movement between the filter over the input image. The default is  $1 \times 1$  which means the kernal will jump one unit to the right. Increasing the stride value will make the process of creating a feature map faster and decrease the size of it.

During convolution we tend to lose pixels on the perimeter of the image. To solve this problem we **pad the image** with zeros to allow more space for the kernal to cover the image.



After many convolutions and pooling operations, the 3D image is converted to a feature vector. This process is called **Flattening** 





# **Building a CNN Model**

Using a public dataset from kaggle <u>Flower Recognition Dataset</u> (<a href="https://www.kaggle.com/datasets/alxmamaev/flowers-recognition">https://www.kaggle.com/datasets/alxmamaev/flowers-recognition</a>)

```
In [2]: from keras.preprocessing.image import ImageDataGenerator
    import numpy as np
    from tensorflow.keras.utils import img_to_array, img_to_array , load_img
    import matplotlib.pyplot as plt
```

#### 2. Split data into training and testing

```
In [5]: def split_data(files_dir, data_dir, class_name, train_ratio=0.0, val_ratio=0.0, test
            from os import path, listdir
            if not path.exists(files_dir) or not path.exists(data_dir):
                print(f"Error @ split_data: one of the specified directories paths '{files_d
            elif (train_ratio + val_ratio + test_ratio != 1):
                print(f"Error @ split_data: the ratios of splitted data don't add up to 1")
            else:
                total num files = len(listdir(files dir))
                train_num_files = round(total_num_files*train_ratio)
                val_num_files = round(total_num_files*val_ratio)
                test_num_files = round(total_num_files*test_ratio)
                if (train_num_files + val_num_files + test_num_files) > total_num_files:
                    print("Error @ split_data: the total number of files in each split of da
                          "files, this could be the result of rounding up the number of files
                         f"Total number of files: {total_num_files}\n",
                         f"Number of files in train split: {train_num_files}\n",
                         f"Number of files in validation split: {val_num_files}\n",
                         f"Number of files in test split: {test_num_files}\n",
                          "Try changing your choice of ratios in splits, or number of files i
                else:
                    #ensure there exist the needed folders
                    create_dir(at=data_dir, name="train")
                    create_dir(at=data_dir, name="validation")
                    create_dir(at=data_dir, name="test")
                    create_dir(at=path.join(data_dir, "train"), name=class_name)
                    create_dir(at=path.join(data_dir, "validation"), name=class_name)
                    create_dir(at=path.join(data_dir, "test"), name=class_name)
                    all files path = []
                    for file in listdir(files_dir):
                        file_path = path.join(files_dir, file)
                        assert path.exists(file path)
                        if path.isfile(file path):
                             all_files_path.append(path.join(files_dir, file))
                    from shutil import copy
                    if (train_num_files + val_num_files + test_num_files) < total_num_files:</pre>
                        train_num_files += 1
                    if shuffle:
                        from random import shuffle
                        shuffle(all_files_path)
                    i = 0
                    while i < train_num_files:</pre>
                        copy(src=all_files_path[i], dst=path.join(data_dir, "train", class_n
                        i += 1
                    print(f"successfully copied [{train_num_files}/{total_num_files}] traini
                    j = 0
                    while j < val_num_files:</pre>
                        copy(src=all_files_path[i + j], dst=path.join(data_dir, "validation"
                    print(f"successfully copied [{val num files}/{total num files}] validati
                    k = 0
                    while k <test_num_files:</pre>
                        copy(src=all_files_path[j + k + i], dst=path.join(data_dir, "test",
                    print(f"successfully copied [{test_num_files}/{total_num_files}] testing
```

#### **Define Bseline CNN Model**

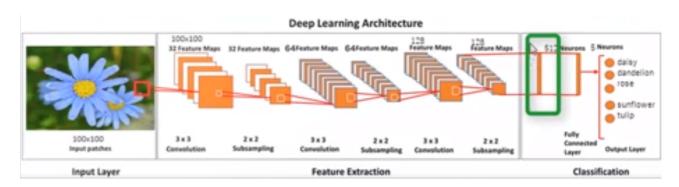
Convolutional Neural Network will contain the following layers:

Convolution2D: To make the convolutional network that deals with the images.

Podling2D: To add the pooling layers.

Flatten: Converts the pooled feature map to a single column to pass to the fully connected

Dense: Add the fully connected layer to the neural network:



```
In [8]: from tensorflow import keras
from tensorflow.keras import layers
```

```
In [9]: train_datagen = ImageDataGenerator(rescale = 1)
   test_datagen = ImageDataGenerator(rescale = 1)
# 1 means there's no scaling
```

```
In [10]: model = keras.Sequential()
```

Define Conv2D layer

```
In [11]: model.add(layers.Conv2D(32 , (3,3) , activation = 'relu' , input_shape = (100,100,3)
# Cov2D (number_of_filters , (kernal_size) , activation_function , input_shape 'only
# number of filters increase with every conv layer
```

Define MaxPool2D layer

```
In [12]: model.add(layers.MaxPool2D((2,2)))
In [13]: model.add(layers.Conv2D(64 , (3,3) , activation = 'relu'))
```

```
In [14]: model.add(layers.MaxPool2D((2,2)))
```

```
In [15]: model.add(layers.Conv2D(128 , (3,3) , activation = 'relu'))
       The start of the calssification
       model.add(layers.MaxPool2D((2,2)))
In [16]:
In [17]: model.add(layers.Flatten())
In [18]: |model.add(layers.Dense(512 , activation ='relu'))
In [19]: model.add(layers.Dense(5 , activation = 'softmax'))
       # 5 represent the number of classes because it's the last layer
       Training and Visualization
       training iterator = train datagen.flow from directory('dataset/flowers/train', batc
In [20]:
       testing iterator = test datagen.flow from directory('dataset/flowers/test', batch s
       Found 3117 images belonging to 5 classes.
       Found 1200 images belonging to 5 classes.
In [21]: model.compile(loss = 'categorical_crossentropy' , metrics = ['accuracy'] , optimizer
       history = model.fit(training iterator , validation data = testing iterator , epochs
       Epoch 1/8
       0.3266 - val_loss: 1.5767 - val_accuracy: 0.2650
       267 - val_loss: 1.4084 - val_accuracy: 0.3867
       Epoch 3/8
       614 - val loss: 1.3301 - val accuracy: 0.4300
       Epoch 4/8
       413 - val_loss: 1.2859 - val_accuracy: 0.4975
       Epoch 5/8
```

49/49 [============= ] - 50s 1s/step - loss: 0.4126 - accuracy: 0.8

49/49 [============== ] - 43s 867ms/step - loss: 0.2959 - accuracy:

0.7193 - val loss: 1.3876 - val accuracy: 0.4967

905 - val\_loss: 1.5598 - val\_accuracy: 0.4600

585 - val loss: 1.7991 - val accuracy: 0.4808

0.9073 - val\_loss: 2.1199 - val\_accuracy: 0.4758

Epoch 7/8

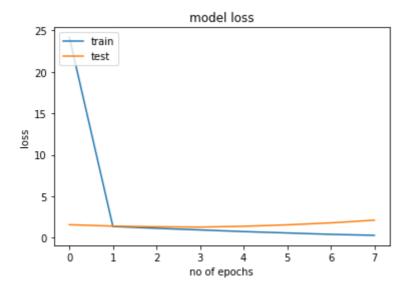
Epoch 8/8

```
In [22]: import matplotlib.pyplot as plt

#plot Loss vs epochs
plt.plot(history.history['loss'])
plt.plot(history.history['val_loss'])
plt.title('model loss')

plt.ylabel('loss')

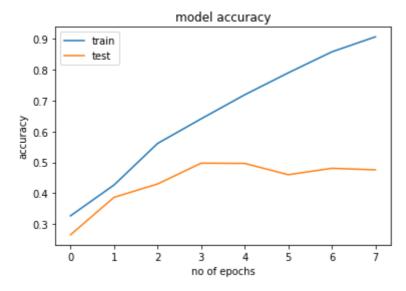
plt.xlabel('no of epochs')
plt.legend([ 'train', 'test'],loc = 'upper left')
plt.show()
```



```
In [23]: plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model accuracy')

    plt.ylabel('accuracy')

    plt.xlabel('no of epochs')
    plt.legend([ 'train', 'test'],loc = 'upper left')
    plt.show()
```



```
In [24]: # Save the model
model.save('models/flower_baseline_model.h5')
```

```
In [25]: #get the class labels
    class_labels = testing_iterator.class_indices
    print(class_labels)

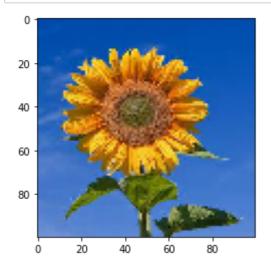
{'daisy': 0, 'dandelion': 1, 'rose': 2, 'sunflower': 3, 'tulip': 4}
```

### Load Model and make predictions

```
In [27]: from tensorflow.keras.models import load_model
In [28]: model = load_model('models/flower_baseline_model.h5')
```

#### **Make predictions**

```
In [29]: image1 = load_img('images/test1.jpg' , target_size = (100,100))
plt.imshow(image1)
plt.show()
```



```
In [30]: # convert the image to array and add one dimenssion
image1 = img_to_array(image1)
image1 = image1.reshape(1,100 , 100 , 3)
```

```
In [31]: result = model.predict(image1)
```

1/1 [======] - 1s 945ms/step

```
In [33]: print(result)
```

 $[[0.02161606\ 0.02248923\ 0.00239826\ 0.87949467\ 0.07400182]]$ 

Print the highest prediction

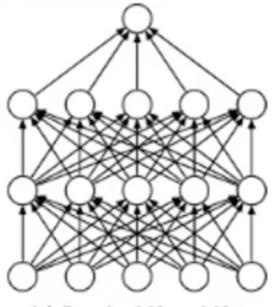
```
In [34]: print([label for label in class_labels][np.argmax(result)])
```

sunflower

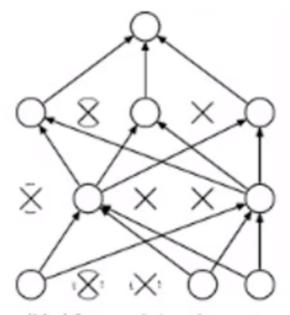
### **Improving Model - Optimization Techniques**

#### 1. Dropout Regularization

**Dropout** is a technique used as a regulaizer to prevent the model from **overfitting**. It works by randomly setting the outgoing hidden neurons to 0 (OFF) randomly at each update of the training phase.

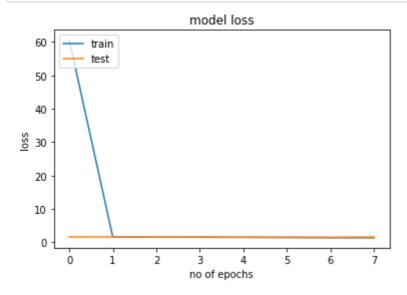


(a) Standard Neural Net



(b) After applying dropout.

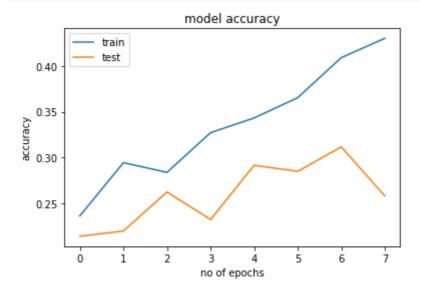
```
model2.compile(loss = 'categorical_crossentropy' , metrics = ['accuracy'] , optimize
      history = model2.fit(training_iterator , validation_data = testing_iterator , epochs
      Epoch 1/8
      0.2364 - val loss: 1.5892 - val accuracy: 0.2142
      Epoch 2/8
      49/49 [============== ] - 43s 875ms/step - loss: 1.5791 - accuracy:
      0.2945 - val_loss: 1.6027 - val_accuracy: 0.2200
      Epoch 3/8
      839 - val loss: 1.5622 - val accuracy: 0.2625
      Epoch 4/8
      272 - val_loss: 1.5939 - val_accuracy: 0.2325
      Epoch 5/8
      433 - val loss: 1.5278 - val accuracy: 0.2917
      Epoch 6/8
      0.3654 - val_loss: 1.5497 - val_accuracy: 0.2850
      Epoch 7/8
      49/49 [============== ] - 51s 1s/step - loss: 1.3641 - accuracy: 0.4
      090 - val loss: 1.5088 - val accuracy: 0.3117
      Epoch 8/8
      302 - val_loss: 1.5830 - val_accuracy: 0.2583
In [37]: #plot Loss vs epochs
      plt.plot(history.history['loss'])
      plt.plot(history.history['val_loss'])
      plt.title('model loss')
      plt.ylabel('loss')
      plt.xlabel('no of epochs')
      plt.legend([ 'train', 'test'],loc = 'upper left')
      plt.show()
```



```
In [38]: plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model accuracy')

    plt.ylabel('accuracy')

    plt.xlabel('no of epochs')
    plt.legend([ 'train', 'test'],loc = 'upper left')
    plt.show()
```



Comparing the results of the baseline model and the dropout model shows that the baseline model performs better

#### 2. Padding and Filter Optimization

**Padding** is to add extra pixels outside the image. And zero padding means every pixel value that you add is zero.

By default padding will be 'valid', which means apply when required only. If we want to apply it always we have to use 'same' so that all image pixels are used.

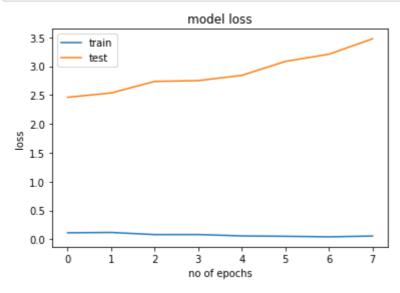
```
In [41]: model3 = keras.Sequential()
model3.add(layers.Conv2D(32 , (3,3) , activation = 'relu' , input_shape = (100,100,3)
model3.add(layers.MaxPool2D((2,2)))

model3.add(layers.Conv2D(64 , (3,3) , activation = 'relu' , padding = 'same'))
model3.add(layers.MaxPool2D((2,2)))

model3.add(layers.Conv2D(128 , (3,3) , activation = 'relu' , padding = 'same'))
model3.add(layers.MaxPool2D((2,2)))

model3.add(layers.Flatten())
model3.add(layers.Dense(512 , activation = 'relu'))
model3.add(layers.Dense(512 , activation = 'relu'))
model3.add(layers.Dense(512 , activation = 'relu'))
```

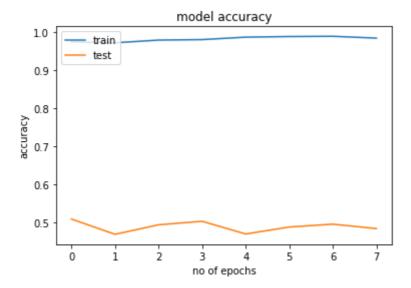
```
model3.compile(loss = 'categorical_crossentropy' , metrics = ['accuracy'] , optimize
     history = model.fit(training_iterator , validation_data = testing_iterator , epochs
     Epoch 1/8
     0.9747 - val loss: 2.4604 - val accuracy: 0.5100
     Epoch 2/8
     724 - val_loss: 2.5361 - val_accuracy: 0.4700
     Epoch 3/8
     0.9795 - val loss: 2.7356 - val accuracy: 0.4950
     808 - val_loss: 2.7496 - val_accuracy: 0.5042
     Epoch 5/8
     872 - val loss: 2.8406 - val accuracy: 0.4708
     Epoch 6/8
     0.9888 - val_loss: 3.0829 - val_accuracy: 0.4892
     Epoch 7/8
     49/49 [============= ] - 43s 876ms/step - loss: 0.0423 - accuracy:
     0.9894 - val loss: 3.2076 - val accuracy: 0.4967
     Epoch 8/8
     0.9846 - val_loss: 3.4740 - val_accuracy: 0.4850
In [43]: #plot Loss vs epochs
     plt.plot(history.history['loss'])
     plt.plot(history.history['val_loss'])
     plt.title('model loss')
     plt.ylabel('loss')
     plt.xlabel('no of epochs')
     plt.legend([ 'train', 'test'],loc = 'upper left')
     plt.show()
```



```
In [44]: plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model accuracy')

    plt.ylabel('accuracy')

    plt.xlabel('no of epochs')
    plt.legend([ 'train', 'test'],loc = 'upper left')
    plt.show()
```



#### 3. Adding more filters

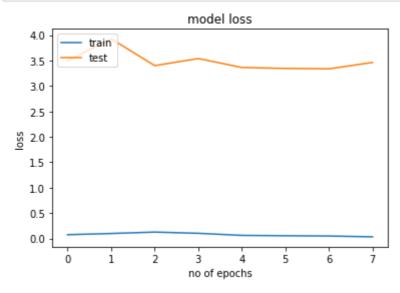
```
In [45]: model4 = keras.Sequential()
    model4.add(layers.Conv2D(64 , (3,3) , activation = 'relu' , input_shape = (100,100,3
    model4.add(layers.MaxPool2D((2,2)))

model4.add(layers.Conv2D(128 , (3,3) , activation = 'relu' ))
model4.add(layers.MaxPool2D((2,2)))

model4.add(layers.Conv2D(256 , (3,3) , activation = 'relu' ))
model4.add(layers.MaxPool2D((2,2)))

model4.add(layers.Flatten())
model4.add(layers.Dense(512 , activation = 'relu'))
model4.add(layers.Dense(5, activation = 'softmax'))
```

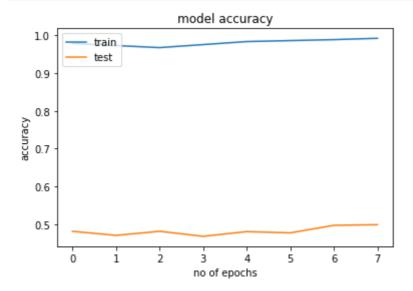
```
model4.compile(loss = 'categorical_crossentropy' , metrics = ['accuracy'] , optimize
       history = model.fit(training_iterator , validation_data = testing_iterator , epochs
       Epoch 1/8
       0.9788 - val loss: 3.4948 - val accuracy: 0.4808
       Epoch 2/8
       49/49 [============= ] - 43s 869ms/step - loss: 0.0987 - accuracy:
       0.9734 - val_loss: 3.9269 - val_accuracy: 0.4700
       Epoch 3/8
       0.9679 - val loss: 3.3994 - val accuracy: 0.4808
       Epoch 4/8
       49/49 [============= ] - 47s 960ms/step - loss: 0.1025 - accuracy:
       0.9759 - val_loss: 3.5390 - val_accuracy: 0.4675
       Epoch 5/8
       49/49 [============== ] - 42s 852ms/step - loss: 0.0618 - accuracy:
       0.9840 - val loss: 3.3631 - val accuracy: 0.4800
       Epoch 6/8
       49/49 [============= ] - 49s 998ms/step - loss: 0.0549 - accuracy:
       0.9865 - val_loss: 3.3446 - val_accuracy: 0.4767
       Epoch 7/8
       891 - val_loss: 3.3371 - val_accuracy: 0.4967
       Epoch 8/8
       0.9926 - val_loss: 3.4621 - val_accuracy: 0.4983
In [47]: #plot Loss vs epochs
       plt.plot(history.history['loss'])
       plt.plot(history.history['val_loss'])
       plt.title('model loss')
       plt.ylabel('loss')
       plt.xlabel('no of epochs')
       plt.legend([ 'train', 'test'],loc = 'upper left')
       plt.show()
```



```
In [48]: plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model accuracy')

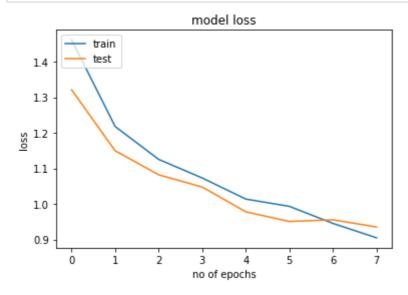
    plt.ylabel('accuracy')

    plt.xlabel('no of epochs')
    plt.legend([ 'train', 'test'],loc = 'upper left')
    plt.show()
```



```
4. Augmentation Optimization
         train_datagen = ImageDataGenerator(rescale = 1/255 , horizontal_flip = True , rotati
In [49]:
                                           height_shift_range = 0.2)
         test_datagen = ImageDataGenerator(rescale = 1/255 , horizontal_flip = True , rotatio
                                           height shift range = 0.2)
         training_iterator = train_datagen.flow_from_directory('dataset/flowers/train' , batc
In [51]:
         testing_iterator = test_datagen.flow_from_directory('dataset/flowers/test' , batch_s
         Found 3117 images belonging to 5 classes.
         Found 1200 images belonging to 5 classes.
In [50]:
         model5 = keras.Sequential()
         model5.add(layers.Conv2D(32 , (3,3) , activation = 'relu' , input_shape = (100,100,3
         model5.add(layers.MaxPool2D((2,2)))
         model5.add(layers.Conv2D(64 , (3,3) , activation = 'relu' ))
         model5.add(layers.MaxPool2D((2,2)))
         model5.add(layers.Conv2D(128 , (3,3) , activation = 'relu' ))
         model5.add(layers.MaxPool2D((2,2)))
         model5.add(layers.Flatten())
         model5.add(layers.Dense(512 , activation ='relu'))
         model5.add(layers.Dense(5 , activation = 'softmax'))
```

```
model5.compile(loss = 'categorical_crossentropy' , metrics = ['accuracy'] , optimize
     history = model.fit(training_iterator , validation_data = testing_iterator , epochs
     Epoch 1/8
     545 - val loss: 1.3207 - val accuracy: 0.4050
     Epoch 2/8
     678 - val_loss: 1.1495 - val_accuracy: 0.5275
     Epoch 3/8
     274 - val loss: 1.0820 - val accuracy: 0.5592
     Epoch 4/8
     509 - val_loss: 1.0475 - val_accuracy: 0.5717
     Epoch 5/8
     922 - val loss: 0.9779 - val accuracy: 0.5950
     Epoch 6/8
     49/49 [============= ] - 63s 1s/step - loss: 0.9933 - accuracy: 0.5
     913 - val_loss: 0.9508 - val_accuracy: 0.6217
     Epoch 7/8
     320 - val loss: 0.9555 - val accuracy: 0.6117
     Epoch 8/8
     468 - val_loss: 0.9354 - val_accuracy: 0.6458
In [53]: #plot Loss vs epochs
     plt.plot(history.history['loss'])
     plt.plot(history.history['val_loss'])
     plt.title('model loss')
     plt.ylabel('loss')
     plt.xlabel('no of epochs')
     plt.legend([ 'train', 'test'],loc = 'upper left')
     plt.show()
```



```
In [54]: plt.plot(history.history['accuracy'])
    plt.plot(history.history['val_accuracy'])
    plt.title('model accuracy')

    plt.ylabel('accuracy')

    plt.xlabel('no of epochs')
    plt.legend([ 'train', 'test'],loc = 'upper left')
    plt.show()
```

