



Chapter 1: Introduction

Database System Concepts, 7th Ed.

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Database Applications Examples

- Enterprise Information
 - Sales: customers, products, purchases
 - Accounting: payments, receipts, assets
 - Human Resources: Information about employees, salaries, payroll taxes.
- Manufacturing: management of production, inventory, orders, supply chain.
- Banking and finance
 - customer information, accounts, loans, and banking transactions.
 - Credit card transactions
 - Finance: sales and purchases of financial instruments (e.g., stocks and bonds; storing real-time market data)
- Universities: registration, grades



Database Applications Examples (Cont.)

- Airlines: reservations, schedules
- Telecommunication: records of calls, texts, and data usage, generating monthly bills, maintaining balances on prepaid calling cards
- Web-based services
 - Online retailers: order tracking, customized recommendations
 - Online advertisements
- Document databases
- Navigation systems: For maintaining the locations of various places of interest along with the exact routes of roads, train systems, buses, etc.



Purpose of Database Systems

In the early days, database applications were built directly on top of file systems, which leads to:

- Data redundancy and inconsistency: data is stored in multiple file formats resulting in duplication of information in different files
- Difficulty in accessing data
 - Need to write a new program to carry out each new task
- Data isolation
 - Multiple files and formats
- Integrity problems
 - Integrity constraints (e.g., $\text{account balance} > 0$) become “buried” in program code rather than being stated explicitly
 - Hard to add new constraints or change existing ones



Purpose of Database Systems (Cont.)

- Atomicity of updates
 - Failures may leave database in an inconsistent state with partial updates carried out
 - Example: Transfer of funds from one account to another should either complete or not happen at all
- Concurrent access by multiple users
 - Concurrent access needed for performance
 - Uncontrolled concurrent accesses can lead to inconsistencies
 - Ex: Two people reading a balance (say 100) and updating it by withdrawing money (say 50 each) at the same time
- Security problems
 - Hard to provide user access to some, but not all, data

Database systems offer solutions to all the above problems



Data Models

- A collection of tools for describing
 - Data
 - Data relationships
 - Data semantics
 - Data constraints
- Relational model
- Entity-Relationship data model (mainly for database design)
- Object-based data models (Object-oriented and Object-relational)
- Semi-structured data model (XML)
- Other older models:
 - Network model
 - Hierarchical model



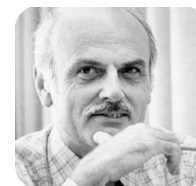
Relational Model

- All the data is stored in various tables.
- Example of tabular data in the relational model

Columns

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
98345	Kim	Elec. Eng.	80000
76766	Crick	Biology	72000
10101	Srinivasan	Comp. Sci.	65000
58583	Califieri	History	62000
83821	Brandt	Comp. Sci.	92000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
76543	Singh	Finance	80000

Rows



Ted Codd
Turing Award 1981

(a) The *instructor* table



A Sample Relational Database

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
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33456	Gold	Physics	87000
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(a) The *instructor* table

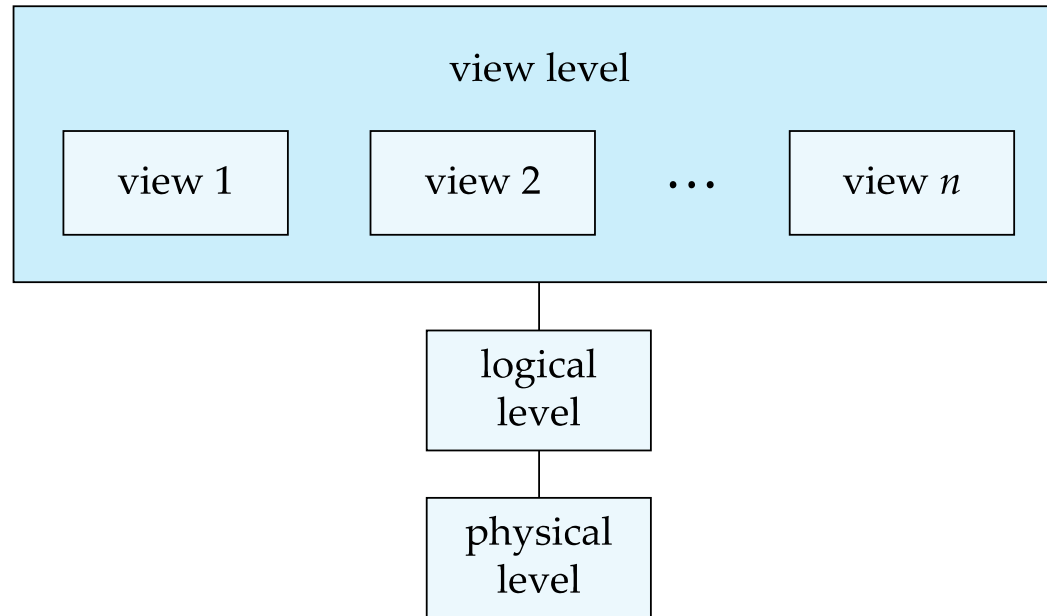
<i>dept_name</i>	<i>building</i>	<i>budget</i>
Comp. Sci.	Taylor	100000
Biology	Watson	90000
Elec. Eng.	Taylor	85000
Music	Packard	80000
Finance	Painter	120000
History	Painter	50000
Physics	Watson	70000

(b) The *department* table



View of Data

An architecture for a database system





Instances and Schemas

- Similar to types and variables in programming languages
- **Logical Schema** – the overall logical structure of the database
 - Example: The database consists of information about a set of customers and accounts in a bank and the relationship between them
 - Analogous to type information of a variable in a program
- **Physical schema** – the overall physical structure of the database
- **Instance** – the actual content of the database at a particular point in time
 - Analogous to the value of a variable



Data Definition Language (DDL)

- Specification notation for defining the database schema

Example: **create table** *instructor* (
 ID **char**(5),
 name **varchar**(20),
 dept_name **varchar**(20),
 salary **numeric**(8,2))

- DDL compiler generates a set of table templates stored in a ***data dictionary***
- Data dictionary contains metadata (i.e., data about data)
 - Database schema
 - Integrity constraints
 - Primary key (ID uniquely identifies instructors)
 - Authorization
 - Who can access what



Data Manipulation Language (DML)

- Language for accessing and updating the data organized by the appropriate data model
 - DML also known as query language
- There are basically two types of data-manipulation language
 - **Procedural DML** -- require a user to specify what data are needed and how to get those data.
 - **Declarative DML** -- require a user to specify what data are needed without specifying how to get those data.
- Declarative DMLs are usually easier to learn and use than are procedural DMLs.
- Declarative DMLs are also referred to as non-procedural DMLs
- The portion of a DML that involves information retrieval is called a **query** language.



SQL Query Language

- SQL query language is nonprocedural. A query takes as input several tables (possibly only one) and always returns a single table.
- Example to find all instructors in Comp. Sci. dept

```
select name  
from instructor  
where dept_name = 'Comp. Sci.'
```

- SQL is **NOT** a Turing machine equivalent language
- To be able to compute complex functions SQL is usually embedded in some higher-level language
- Application programs generally access databases through one of
 - Language extensions to allow embedded SQL
 - Application program interface (e.g., ODBC/JDBC) which allow SQL queries to be sent to a database



Database Design

The process of designing the general structure of the database:

- Logical Design – Deciding on the database schema. Database design requires that we find a “good” collection of relation schemas.
 - Business decision – What attributes should we record in the database?
 - Computer Science decision – What relation schemas should we have and how should the attributes be distributed among the various relation schemas?
- Physical Design – Deciding on the physical layout of the database



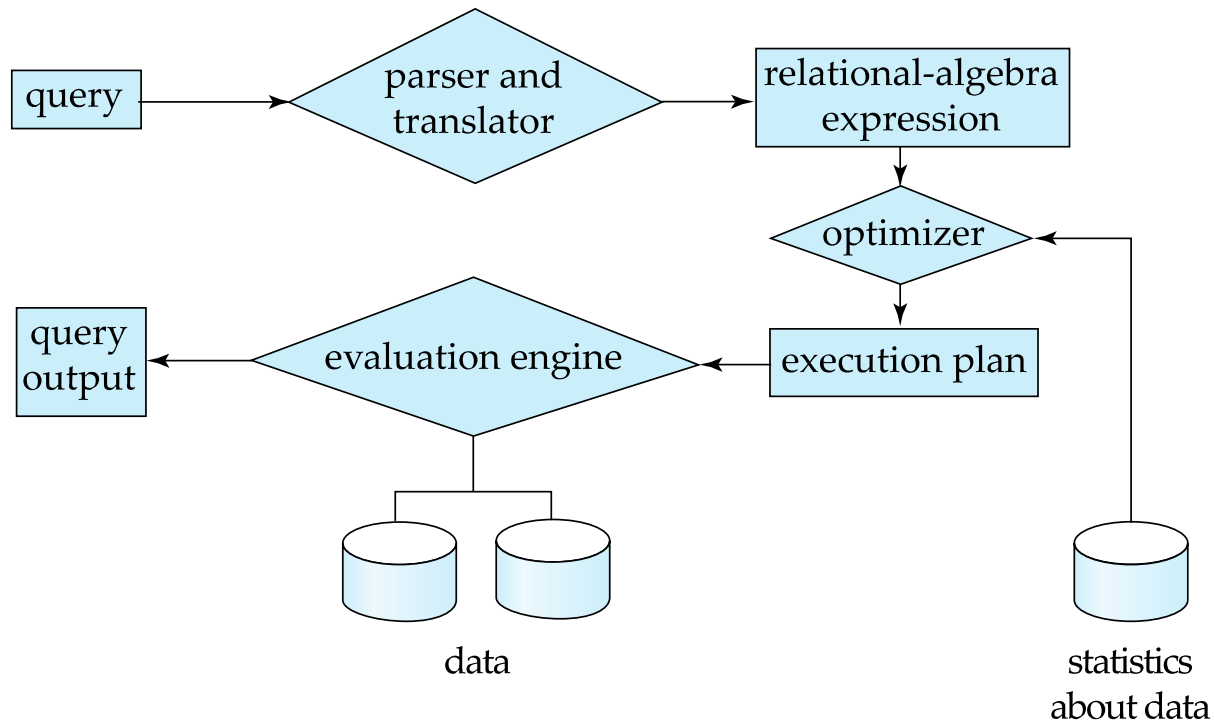
Query Processor

- The query processor components include:
 - DDL interpreter -- interprets DDL statements and records the definitions in the data dictionary.
 - DML compiler -- translates DML statements in a query language into an evaluation plan consisting of low-level instructions that the query evaluation engine understands.
 - The DML compiler performs query optimization; that is, it picks the lowest cost evaluation plan from among the various alternatives.
 - Query evaluation engine -- executes low-level instructions generated by the DML compiler.



Query Processing

1. Parsing and translation
2. Optimization
3. Evaluation





Transaction Management

- A **transaction** is a collection of operations that performs a single logical function in a database application
- **Transaction-management component** ensures that the database remains in a consistent (correct) state despite system failures (e.g., power failures and operating system crashes) and transaction failures.
- **Concurrency-control manager** controls the interaction among the concurrent transactions, to ensure the consistency of the database.

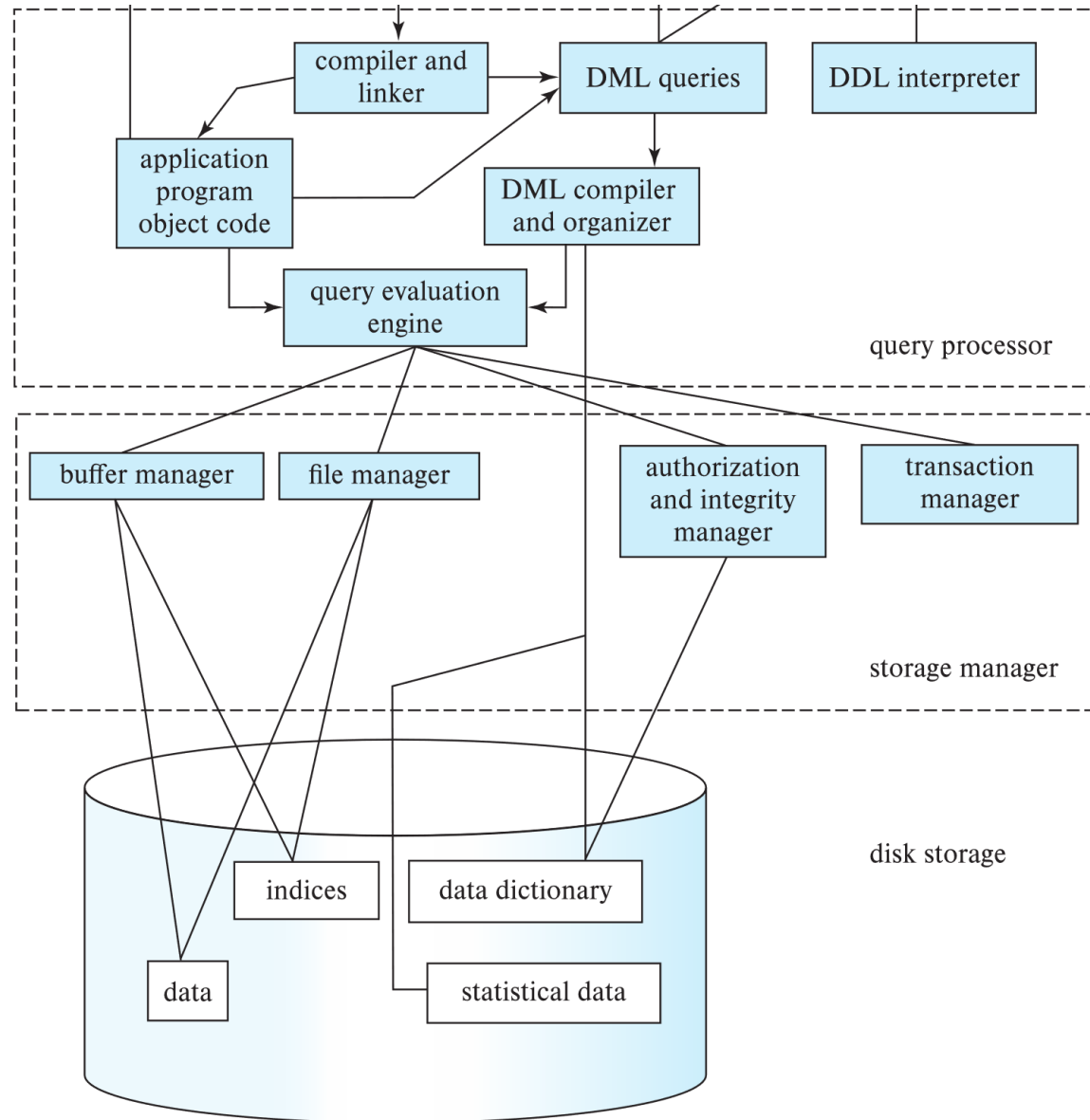


Database Architecture

- Centralized databases
 - One to a few cores, shared memory
- Client-server,
 - One server machine executes work on behalf of multiple client machines.
- Parallel databases
 - Many core shared memory
 - Shared disk
 - Shared nothing
- Distributed databases
 - Geographical distribution
 - Schema/data heterogeneity



Database Architecture (Centralized/Shared-Memory)





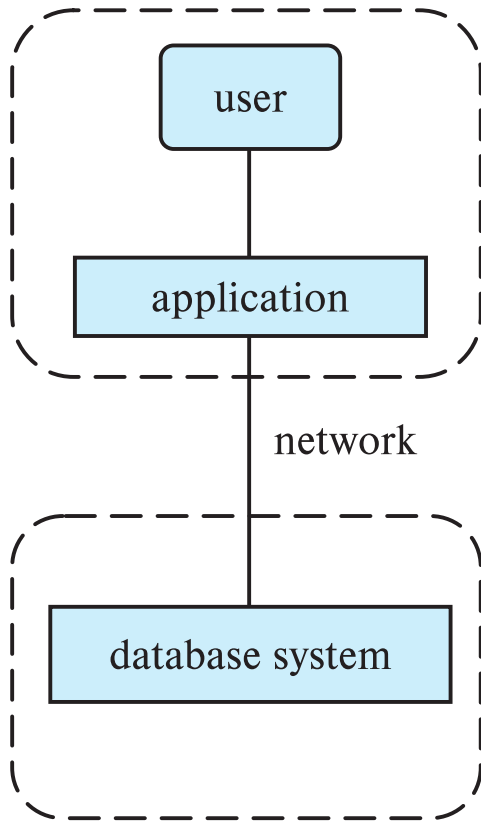
Database Applications

Database applications are usually partitioned into two or three parts

- Two-tier architecture -- the application resides at the client machine, where it invokes database system functionality at the server machine
- Three-tier architecture -- the client machine acts as a front end and does not contain any direct database calls.
 - The client end communicates with an application server, usually through a forms interface.
 - The application server in turn communicates with a database system to access data.



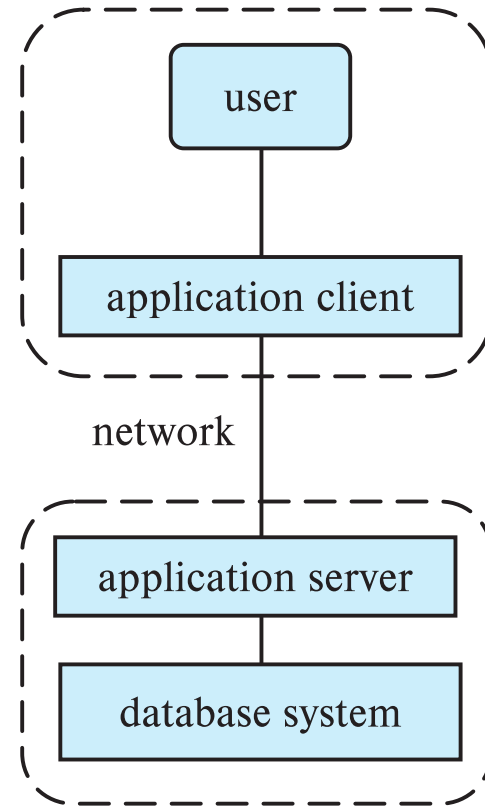
Two-tier and three-tier architectures



(a) Two-tier architecture

client

server



(b) Three-tier architecture



Database Administrator

A person who has central control over the system is called a **database administrator (DBA)**. Functions of a DBA include:

- Schema definition
- Storage structure and access-method definition
- Schema and physical-organization modification
- Granting of authorization for data access
- Routine maintenance
- Periodically backing up the database
- Ensuring that enough free disk space is available for normal operations, and upgrading disk space as required
- Monitoring jobs running on the database