What are the best systems?

New Perspectives on NLP Benchmarking.

Nathan Noiry & Pierre Colombo

Datacraft 8. March 2022.

Classical Al pipeline:

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Data collection

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Data collection

Features extraction

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ML Model

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Analysis Tools

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Explainability Fairness Robustness

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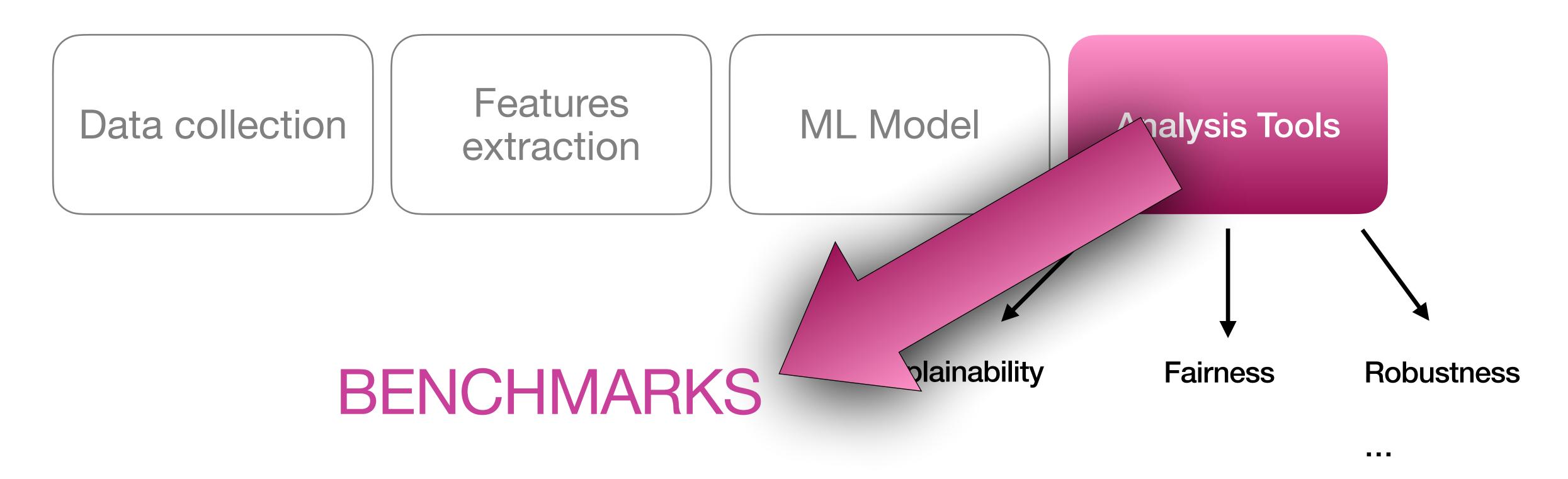
Explainability

Fairness

Robustness

Stop focusing on the models!

Classical Al pipeline:



Stop focusing on the models!

Warmup

Warmup

What is a benchmark?

- 1. An ensemble of datasets
 - 2. One or multiple metrics
 - 3. A way to aggregate performances

Warmup

What is a benchmark?

- 1. An ensemble of datasets
 - 2. One or multiple metrics
 - 3. A way to aggregate performances

Why are benchmark vitals?

Research advances in Machine Learning are crucially fueled by *reliable evaluation procedures*

- 1.1 Context: problems, evaluation of automatic evaluation.
- 1.2 What are the main metrics to do reference based evaluation of NLG?
- 1.3 Reference based evaluation of NLG using embedding based metrics.
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- 1.1 Framework
- 1.2 Task Level Aggregation
- 1.3 Instance Level Aggregation

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3. Conclusions

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Why do we need human evaluation?

1. Cheap: compared to human evaluation.

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Karpinska et al. 2021

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Karpinska et al. 2021

- 1. Cheap: compared to human evaluation.
- 2. Fast: you can label "instantaneously".
- 3. Reproductible: two sentences always get the same score.
- 4. Easy to use (e.g no annotator training, no form design).

 S_1 : The weather is cold today.

 S_2 : It is freezing today





 S_1 : The weather is cold today. S_2 : It is freezing today S_1 : I like those cats. S_2 : It is freezing today O.1 Dissimilar

 S_1 : The weather is cold today.

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 S_1 : I like those cats.

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Similar



Dissimilar

We want to build a metric m

$$m: \mathcal{S} \times \mathcal{S} \rightarrow [0,1]$$

$$(S_1, S_2) \to m(S_1, S_2)$$

 S_1 : The weather is cold today. S_2 : It is freezing today

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0.1

Dissimilar

Similar

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Success Criterion:

When do we know that m is good?

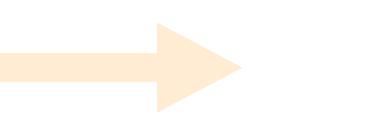
Let's formalize the problem of Automatic Evaluation

 S_1 : The weather is cold today.

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8.0

Similar

0.1

Dissimilar

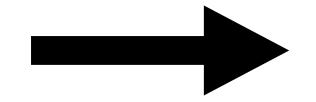
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Correlation with human scores

Scenario 1: Let's assume we have a reference Reference based

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System

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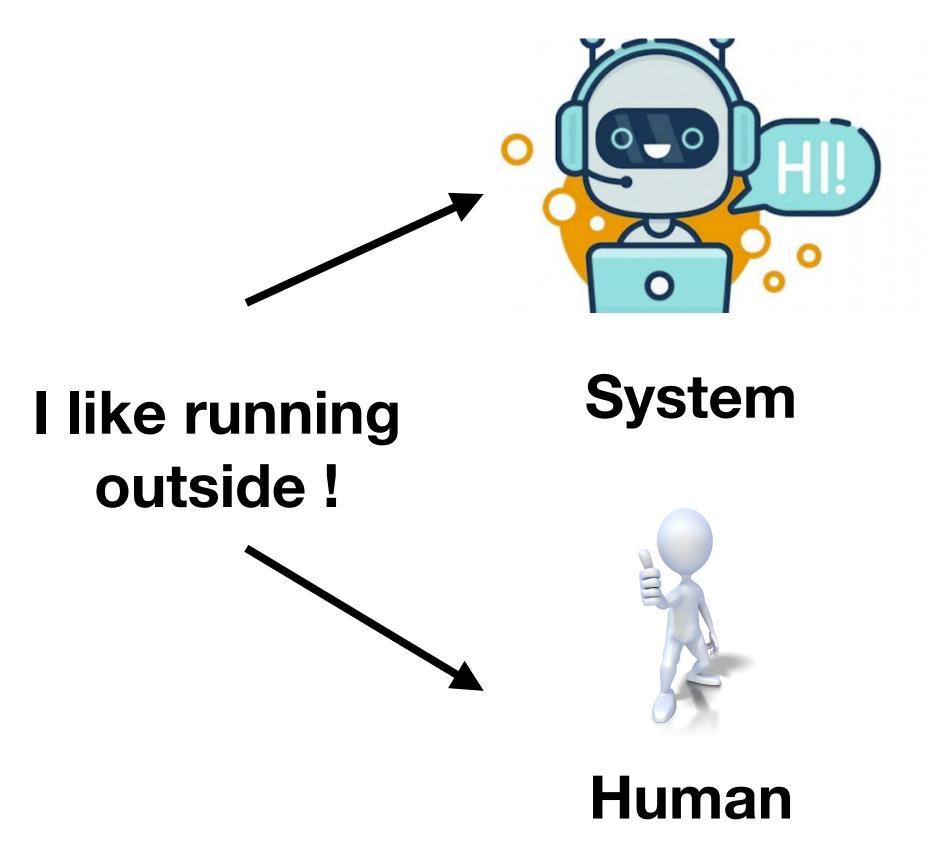


System



Human

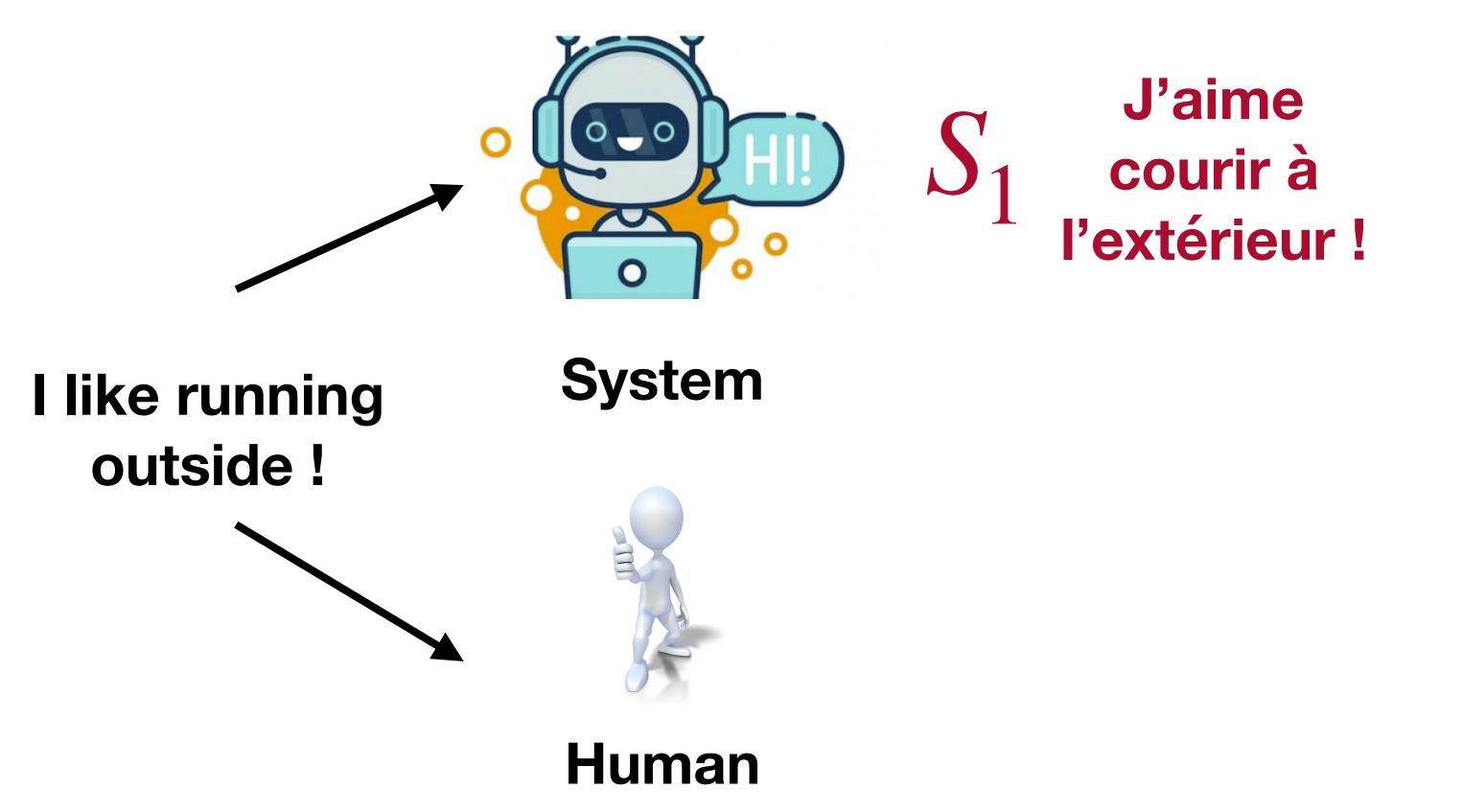
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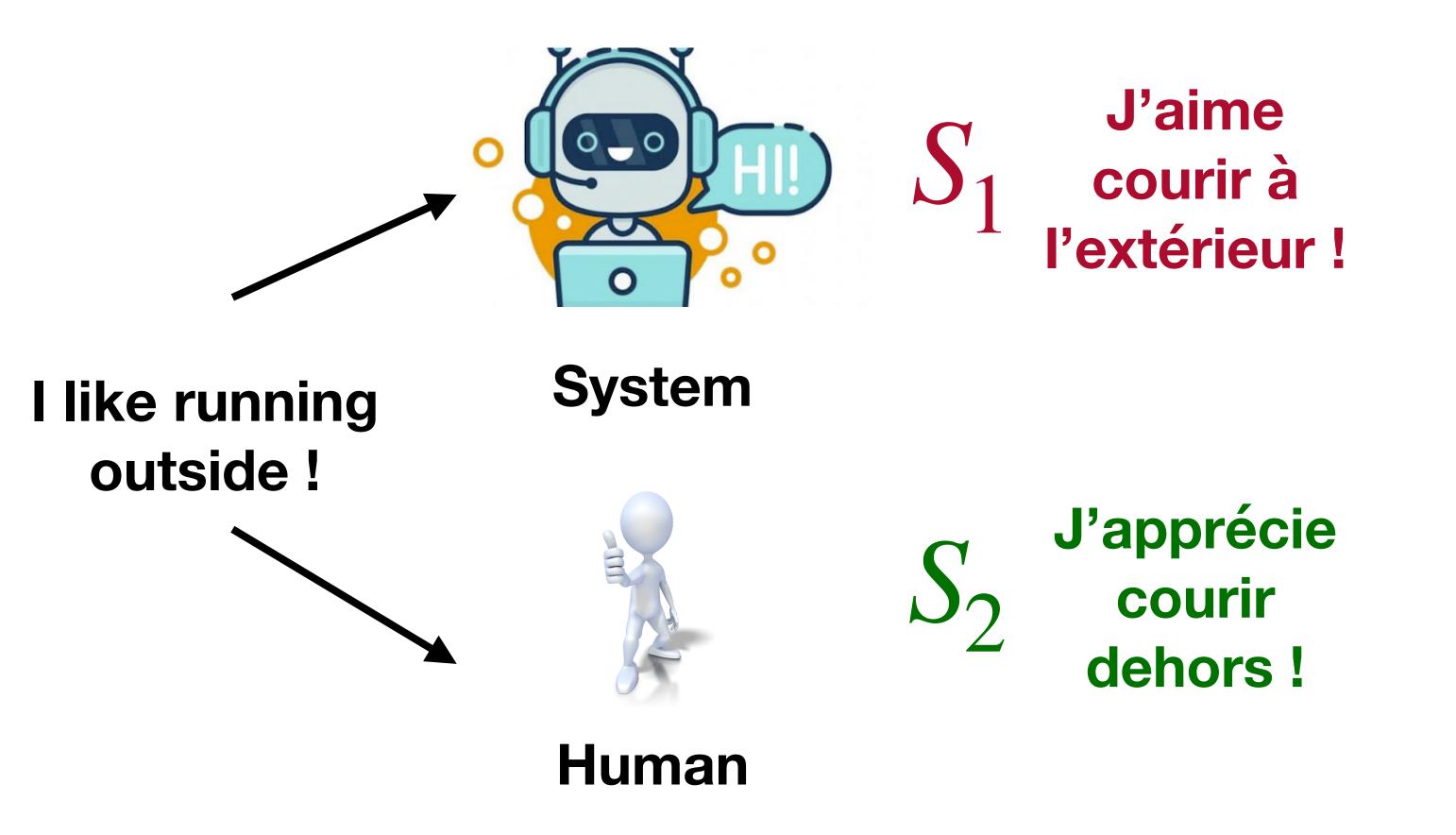
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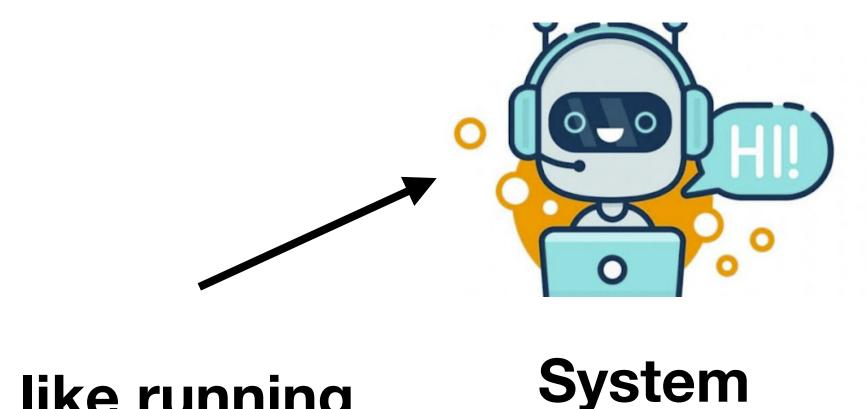
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S₁

J'aime courir à l'extérieur!





 S_2

J'apprécie courir dehors!



Scenario 2: we have no reference.

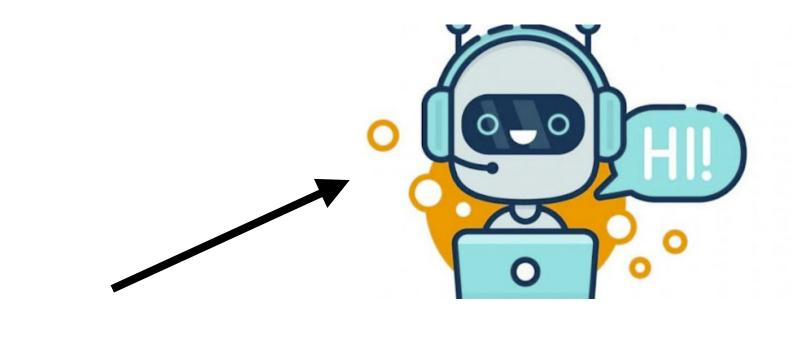
Reference free



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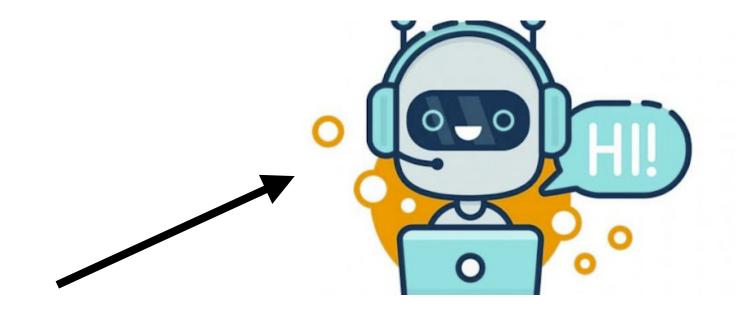
S I like running outside!



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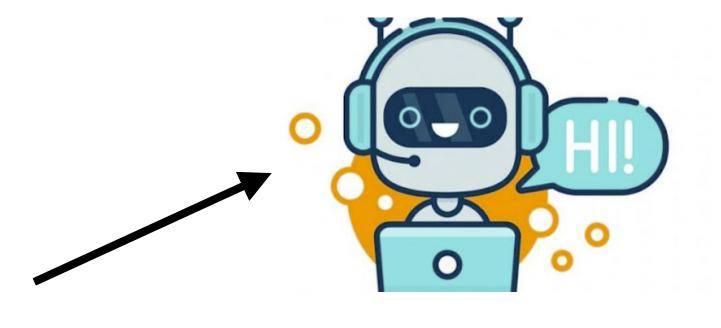


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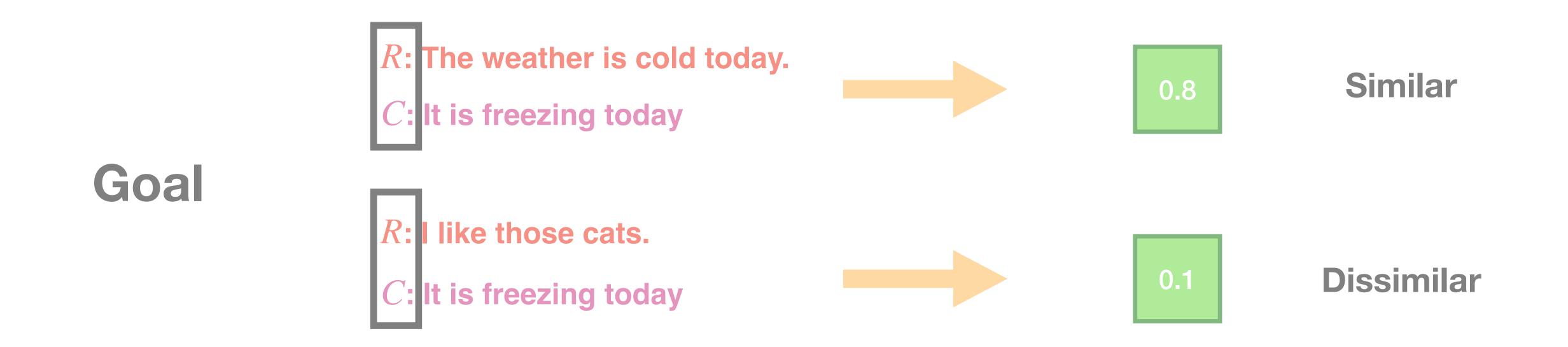


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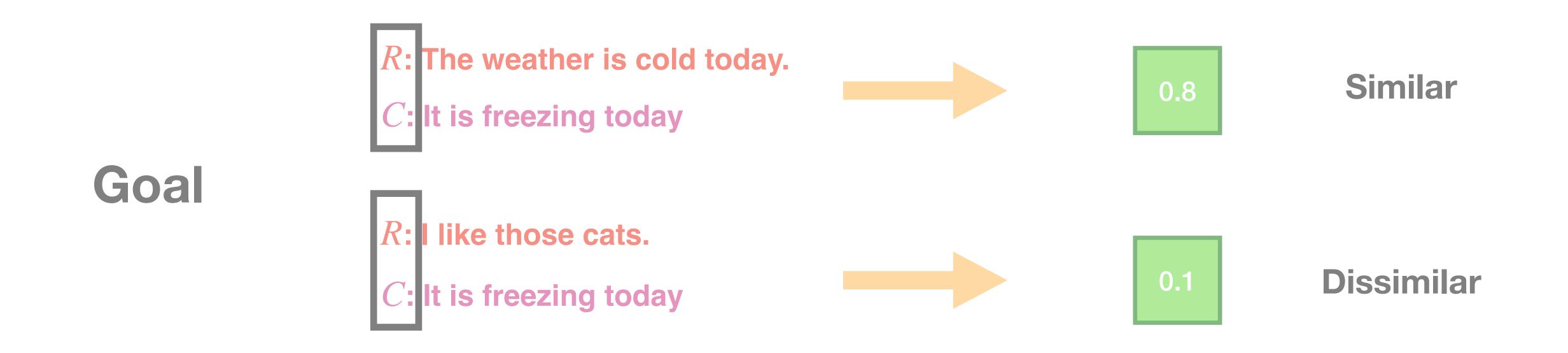
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Edit Based



Edit Based

N-gram Based



Edit Based

N-gram Based

Embedding Based

Edit Based

Snover et al. 2006

Operations

- Insertion (I)
- Deletion (D)
- Substitution (S).

```
tailor -> sailor (S)
sailor -> sailir (S)
sailir -> sailin (S)
sailin -> sailing (I)
```

Distance is 4!

Edit Based

Snover et al. 2006

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Distance is 4!

N-gram Based

Papineni et al. 2002

C: I like these very nice pies!

R: I like those cakes!

Unigrams

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Bigrams

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Embedding Based

Word Mover distance

Kusner et al. 2015

BertScore

Zhang et al. 2019

MoverScore

Zhao et al. 2019

Sentence Mover

Clark et al. 2019

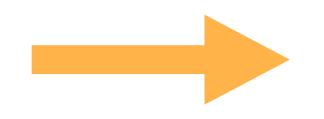
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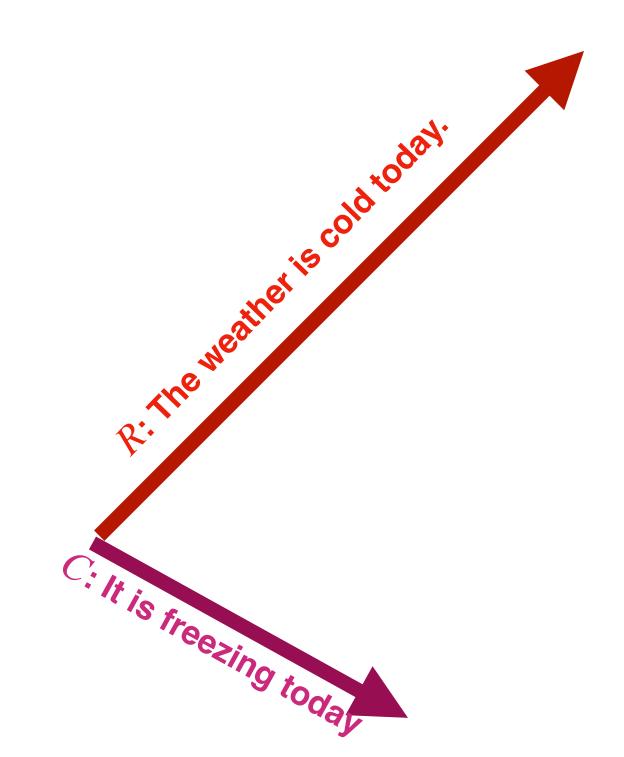
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1. Choose your embedding



Intuition

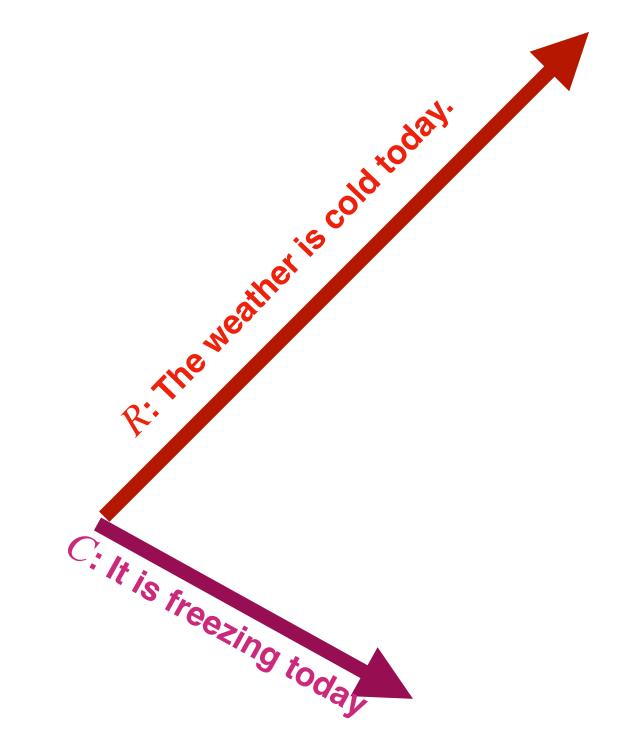
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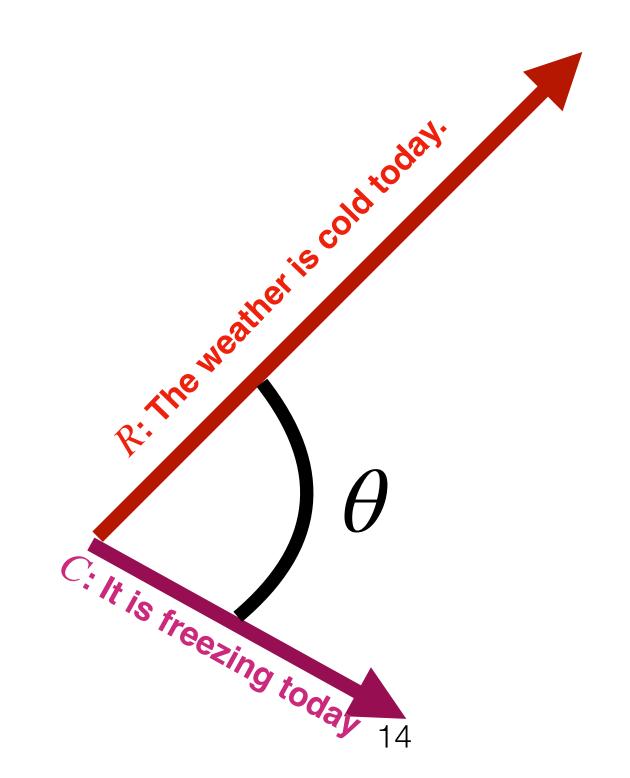
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1. Choose your embedding

2. Choose a similarity function





Intuition

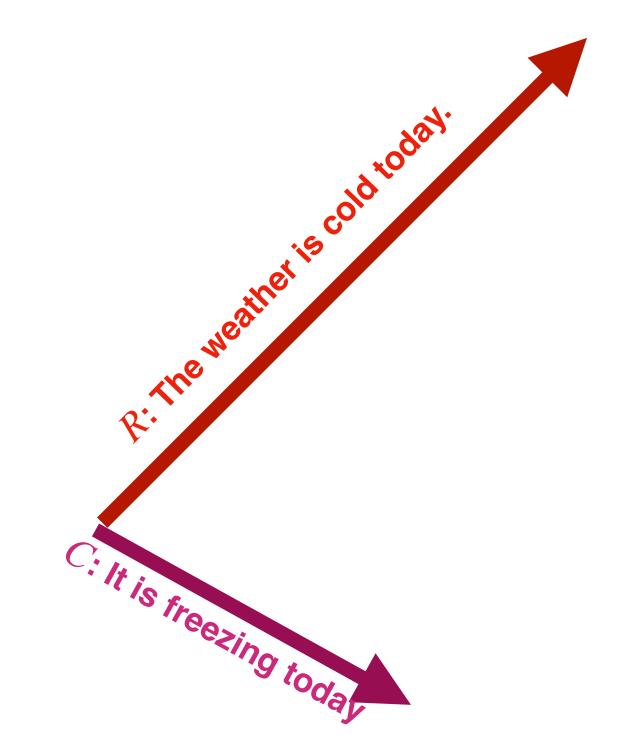
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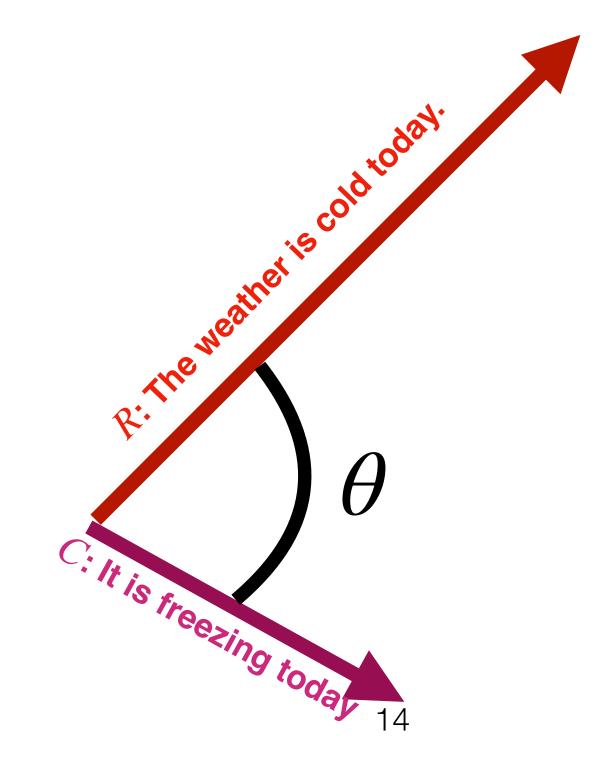
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Advantage

- 1. Deal with paraphrases
- 2. Include "semantic"

Intuition

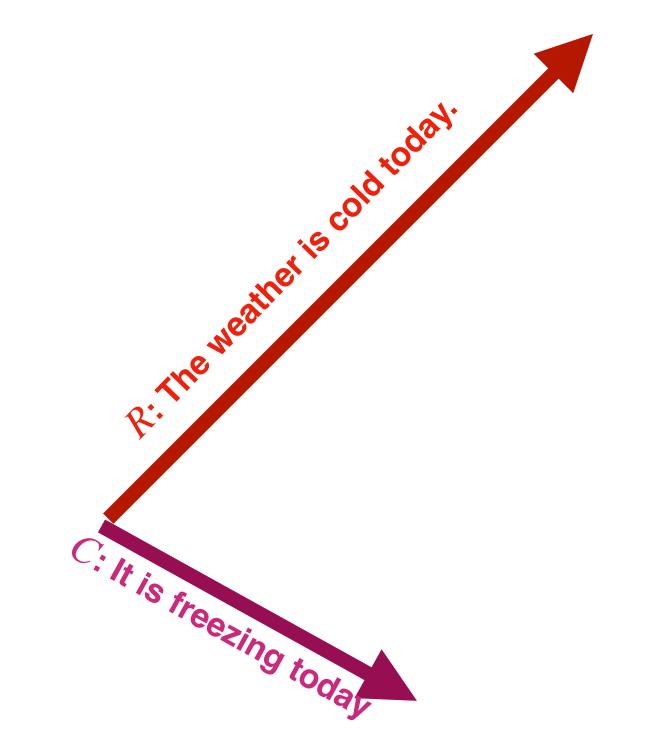
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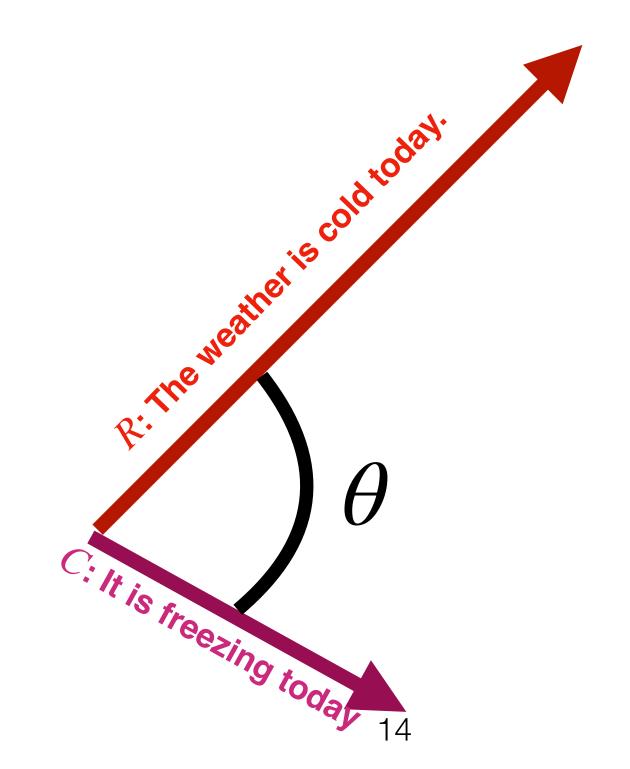
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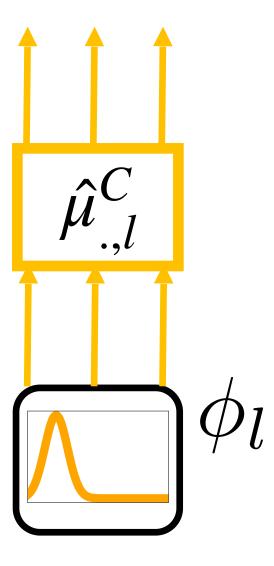


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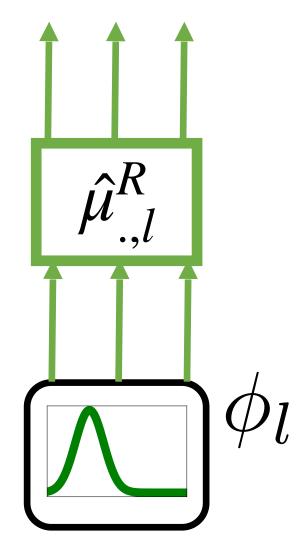
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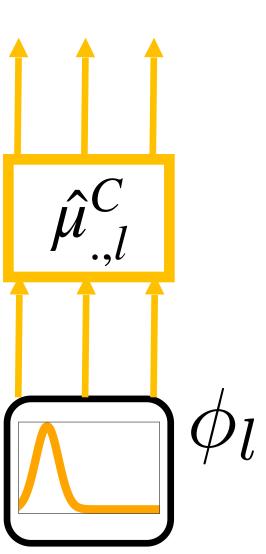
Limitation

1. Not interpretable



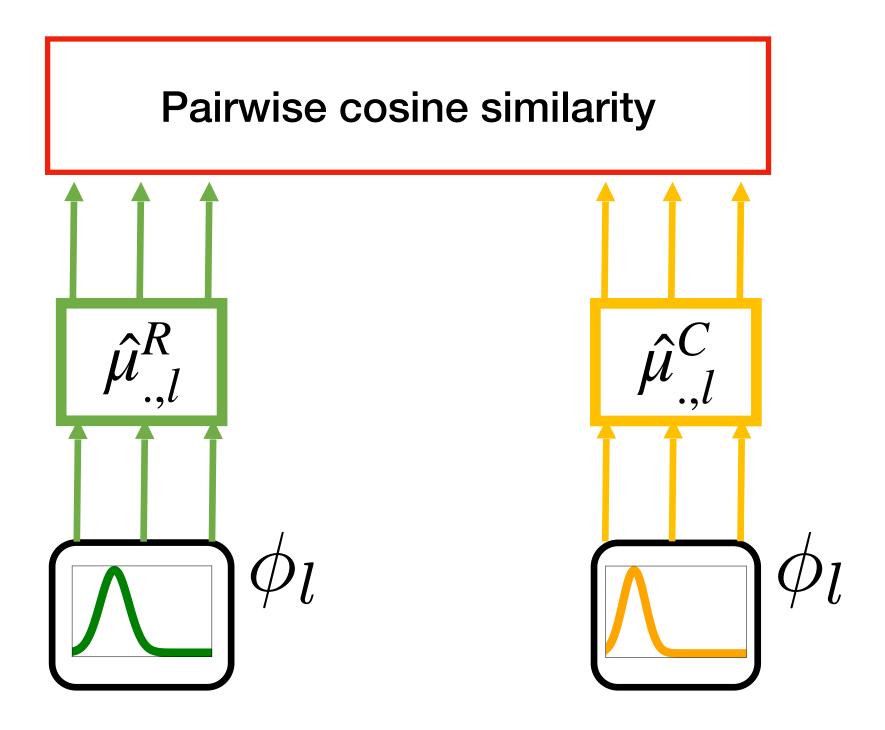
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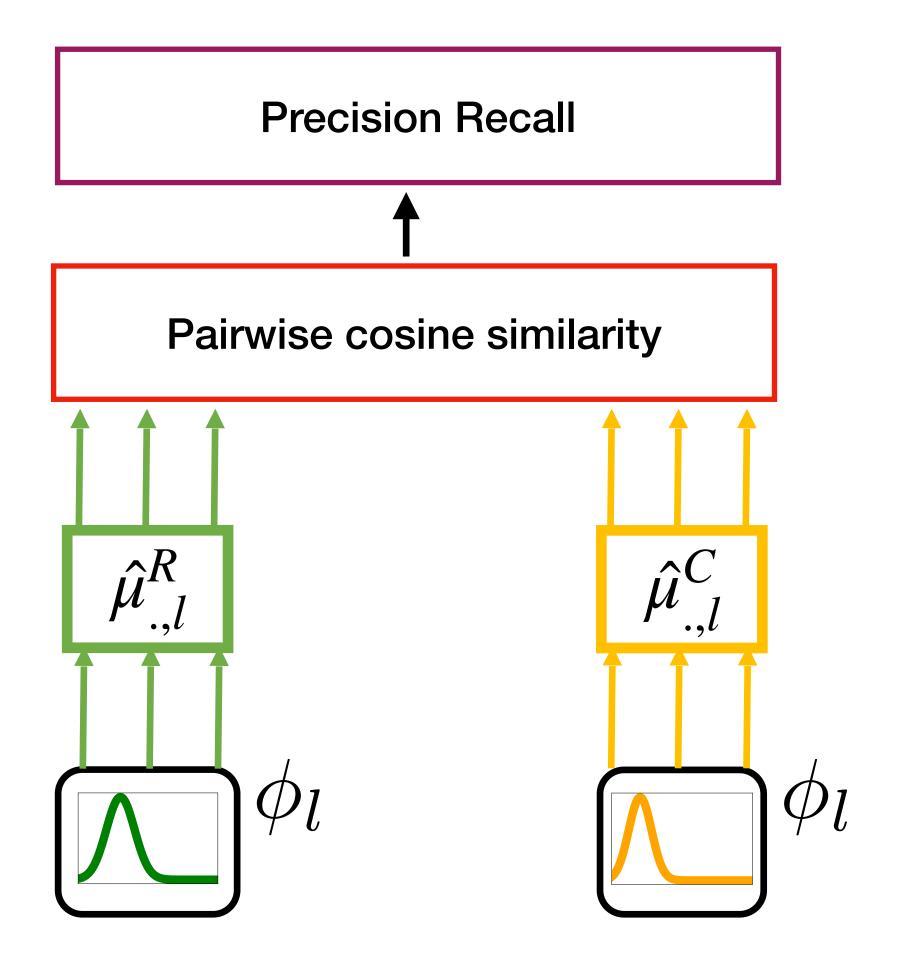


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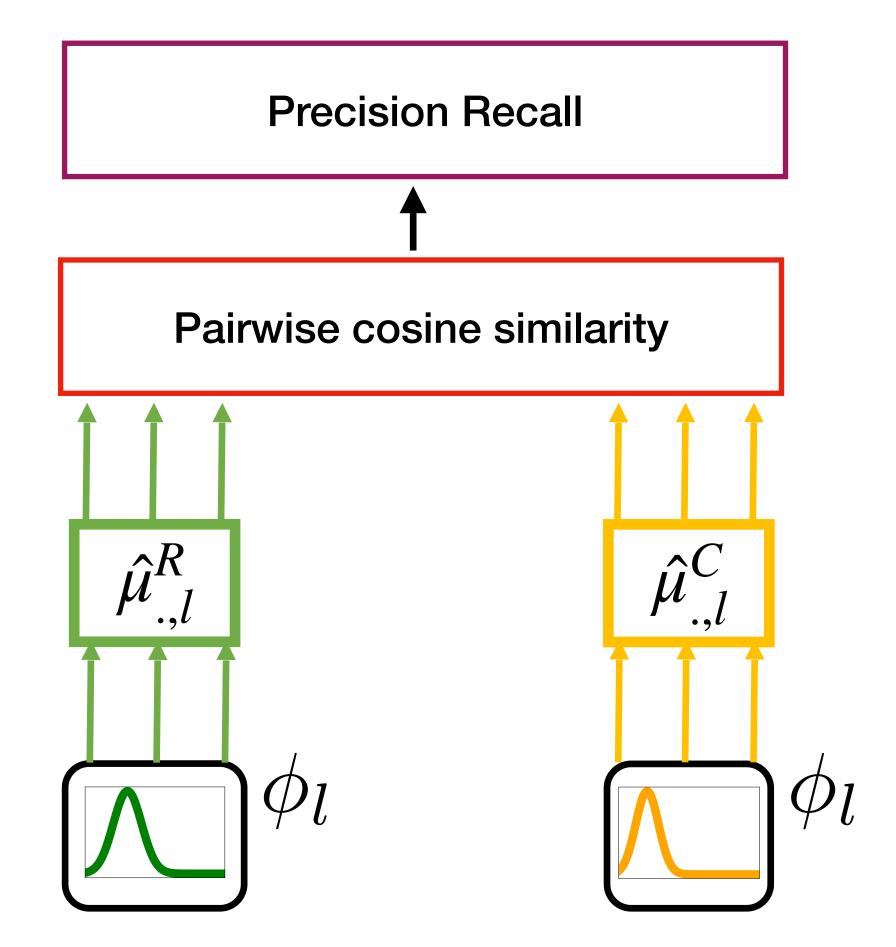
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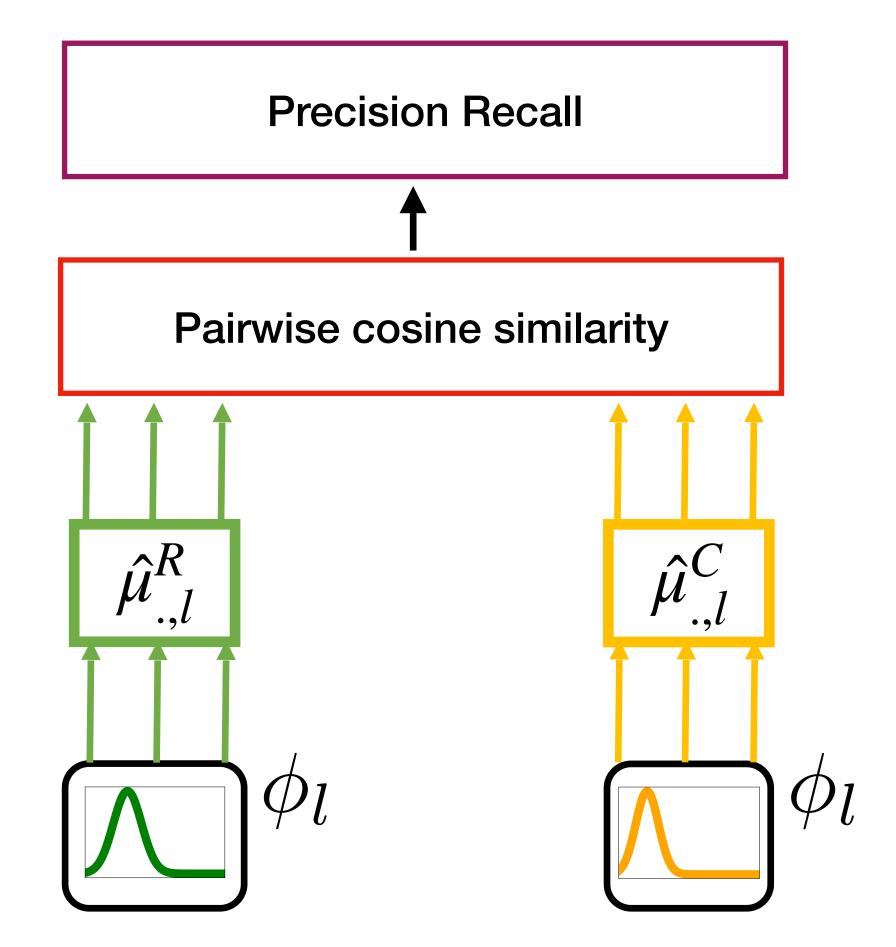
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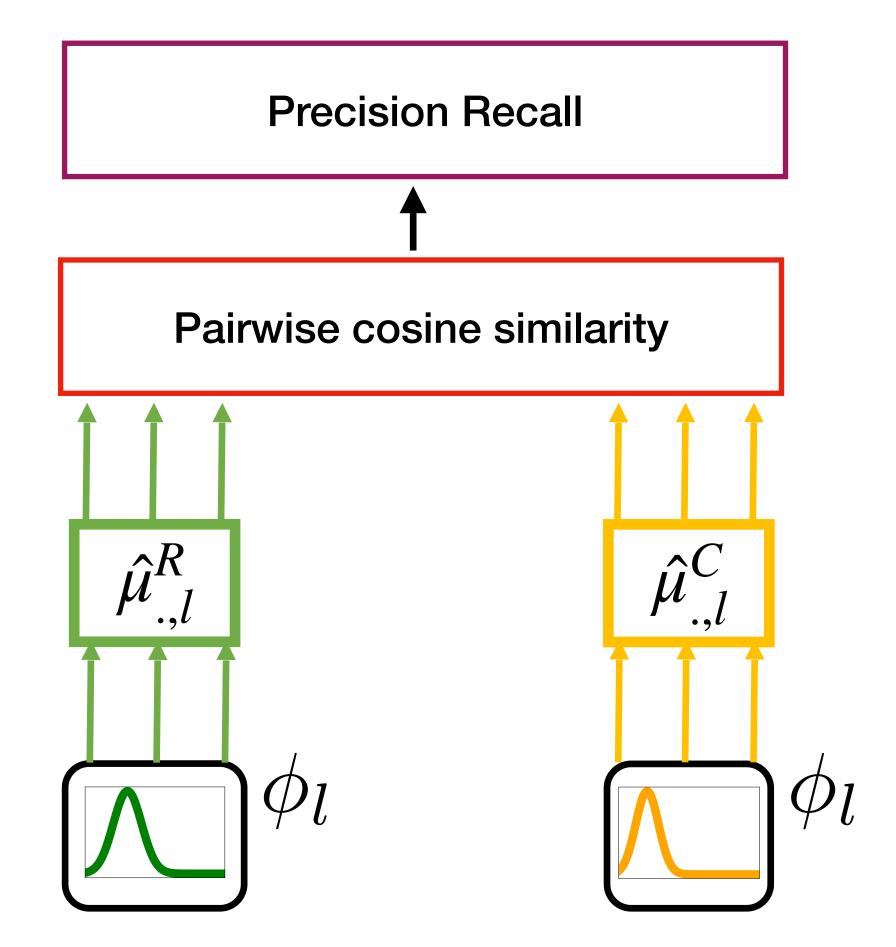
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Limitations

- 1. Use only one layer
- 2. Use arbitrary sequence of operation



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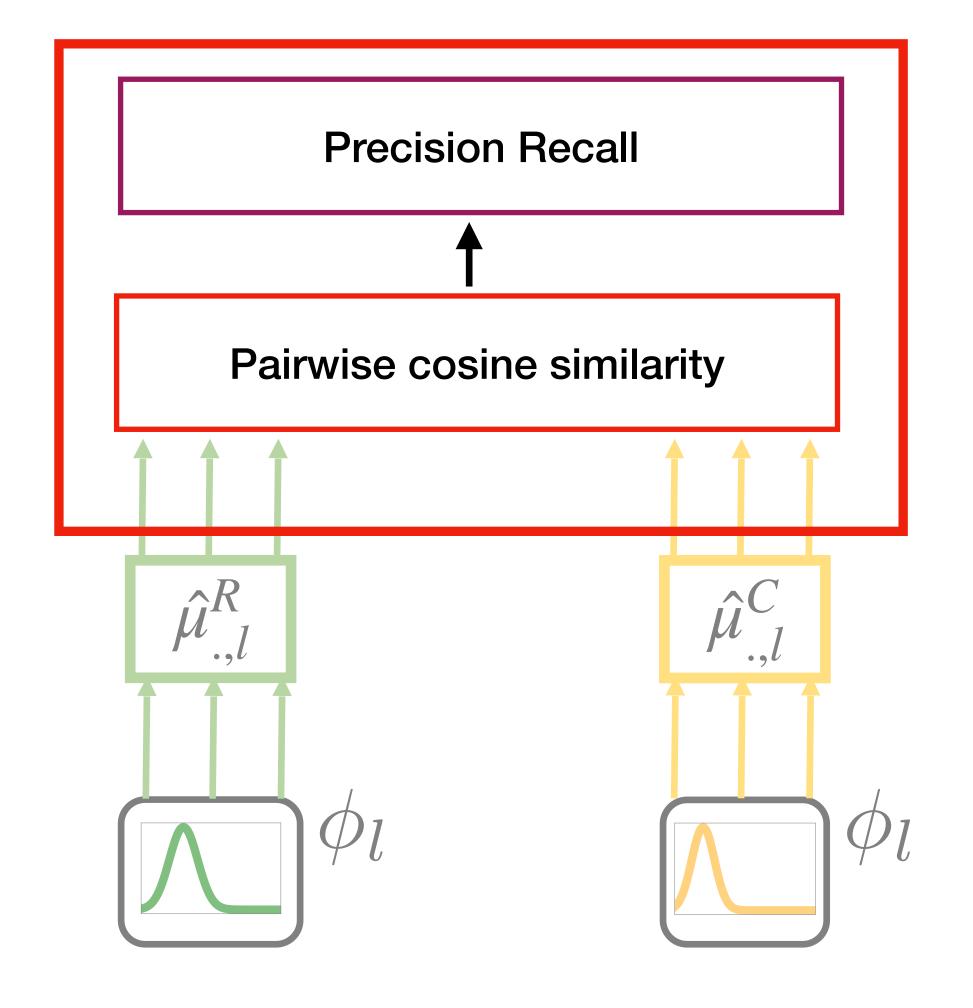
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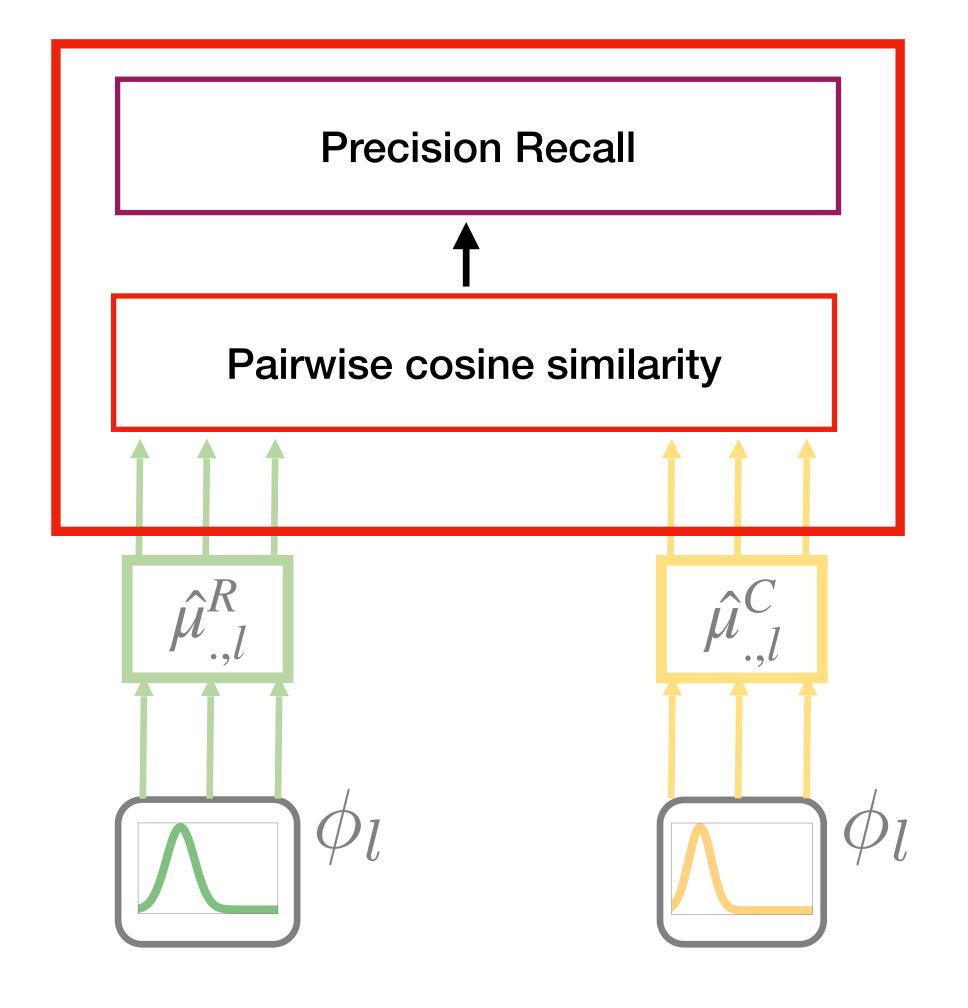
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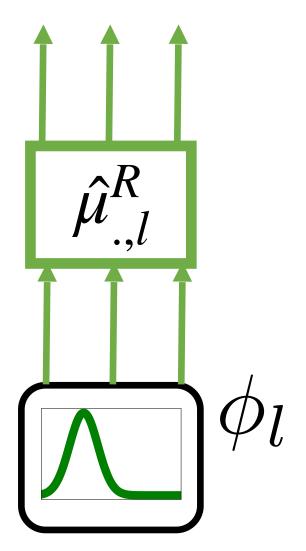
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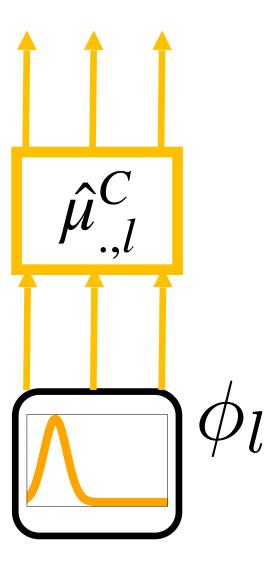
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Still not interpretable

G. Staerman, P. Mozharovskyi, P. Colombo, S. Clémençon, F. d'Alché-Buc. A Pseudo-Metric between Probability Distributions based on Depth-Trimmed Regions.

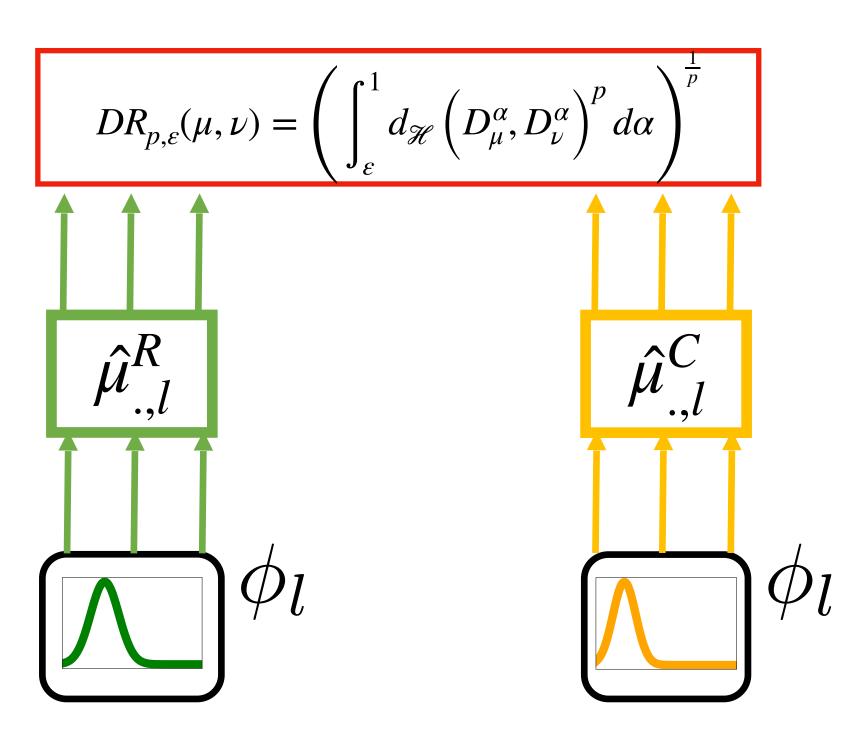
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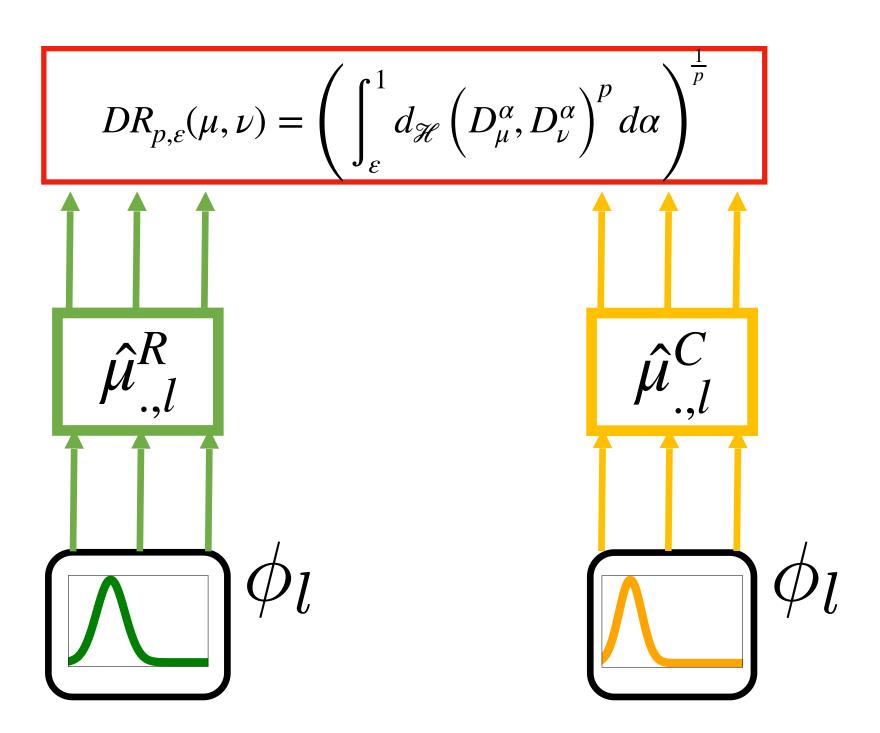
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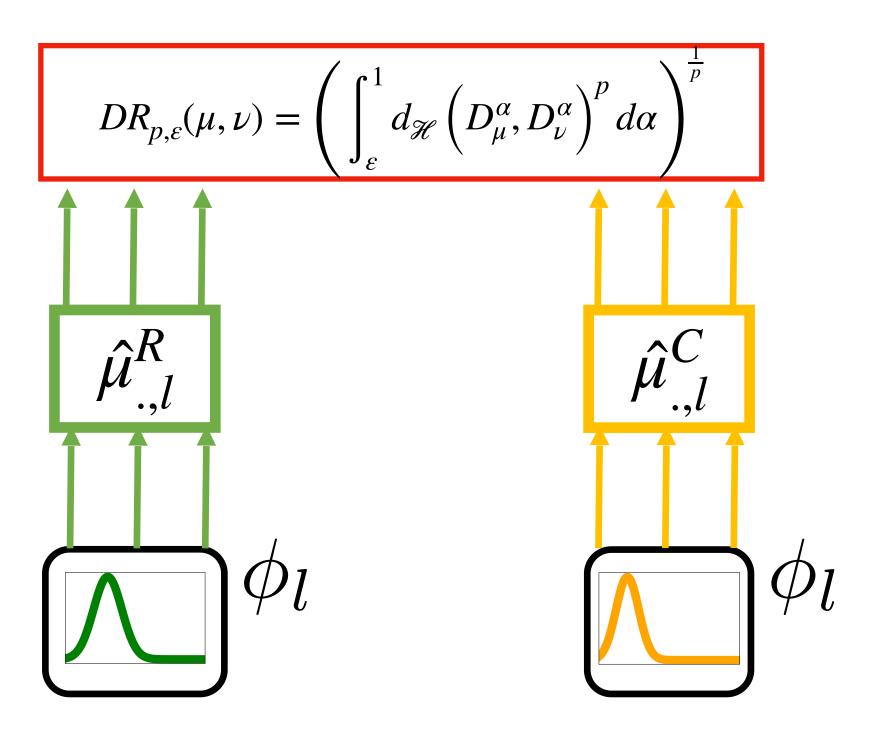
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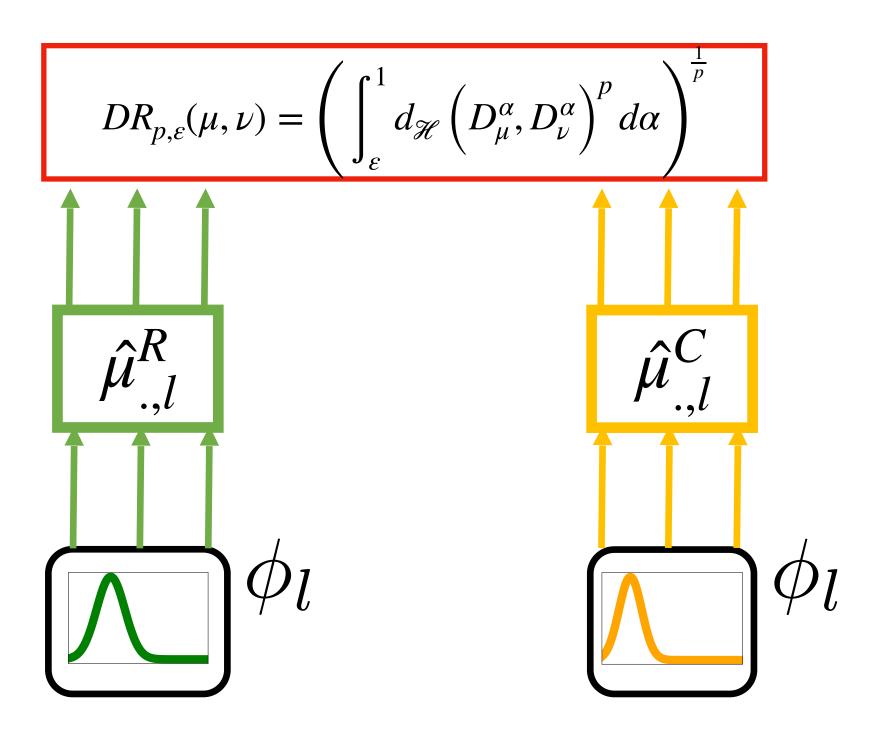
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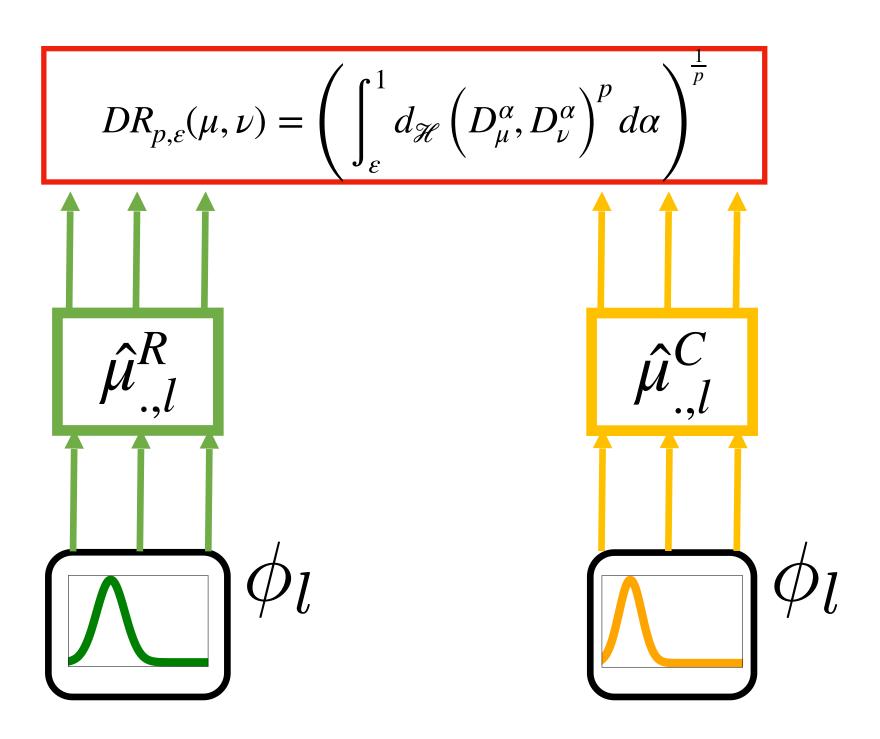
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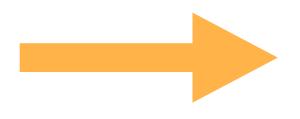
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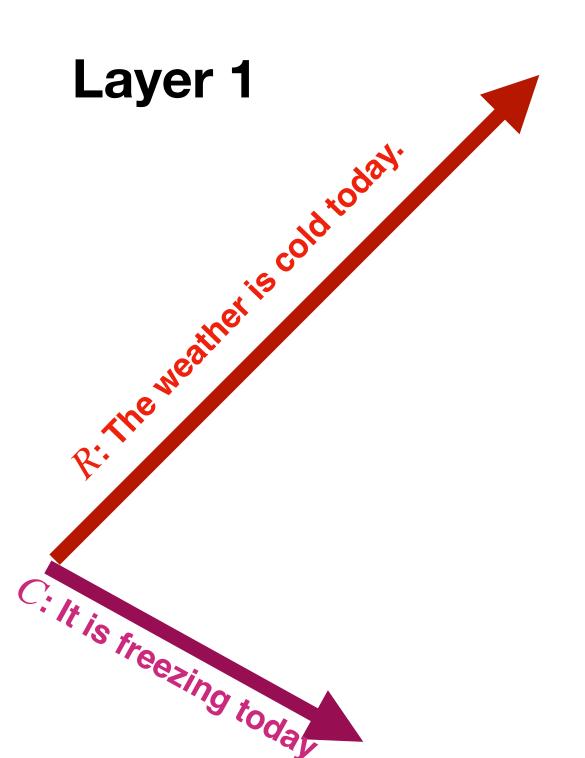


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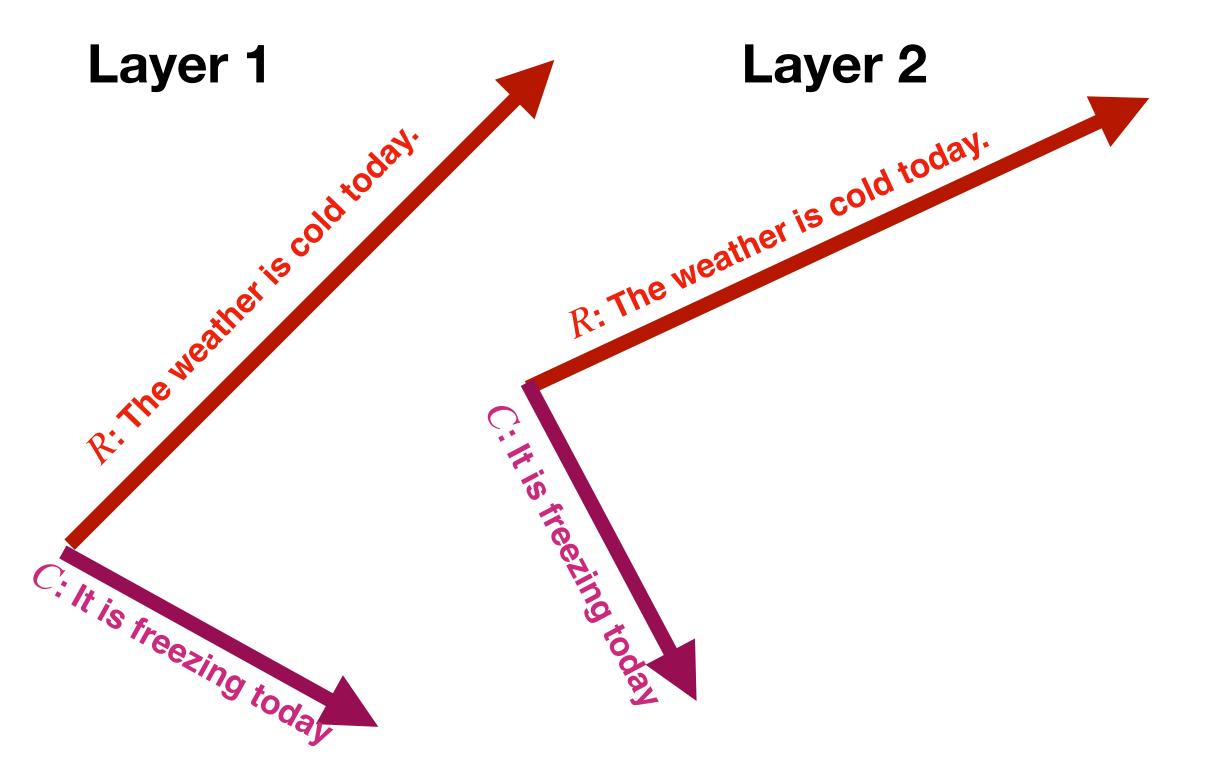


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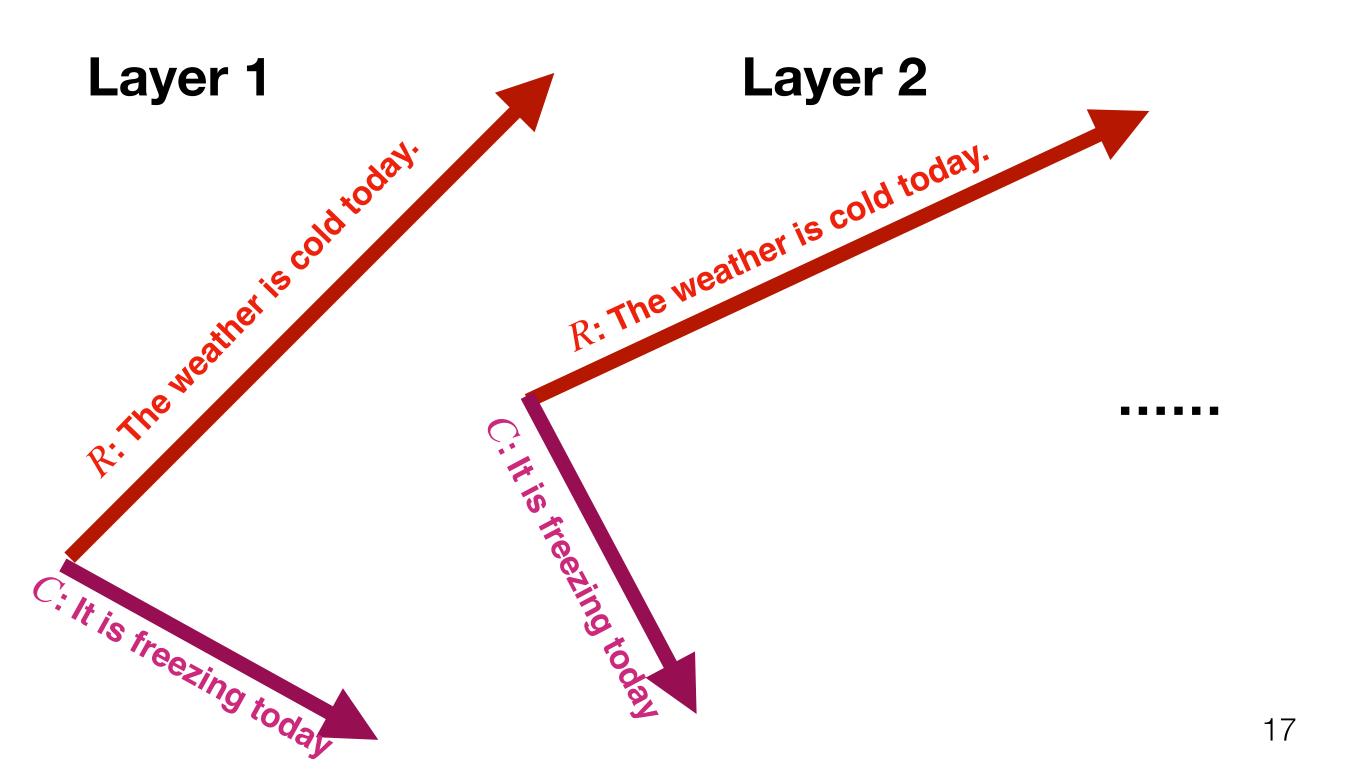


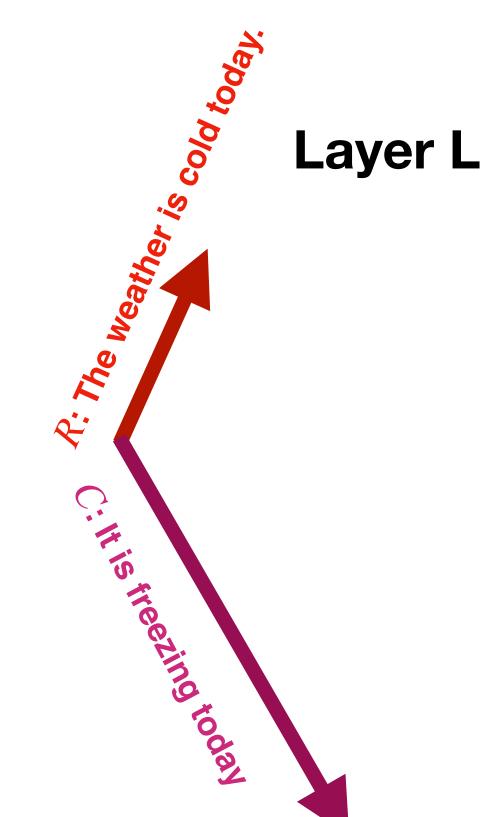
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Layer L

1. Choose your multi-layer encoder

Layer 1 Layer 2 R: The Weather is cold today. treezing today C. It is treezing today

2. Choose a similarity function euh??



P: The Weather is cold today.

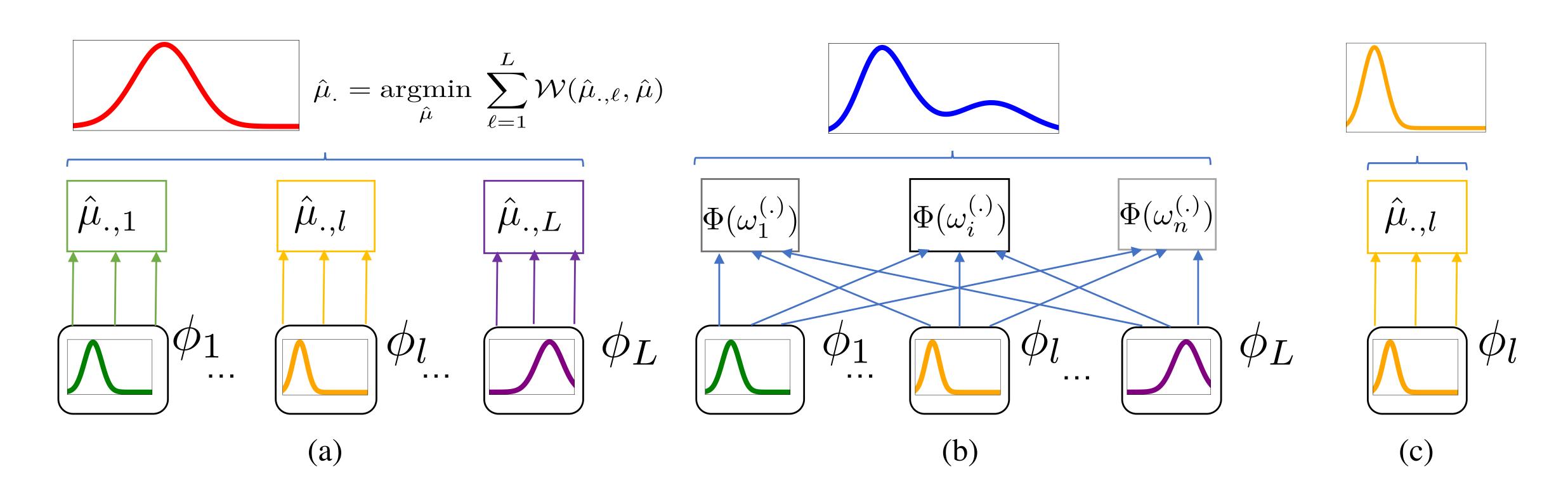
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BaryScore vs BertScore vs MoverScore

Pierre Colombo, Guillaume Staerman, Chloé Clavel, Pablo Piantanida. Automatic Text Evaluation through the Lens of Wasserstein Barycenters.

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BaryScore

MoverScore

BertScore

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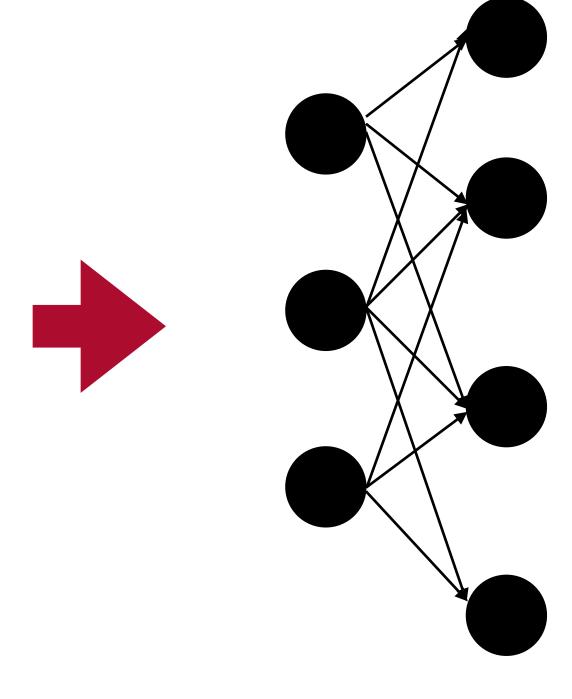
- 1.1 Context: problems, evaluation of automatic evaluation.
- 1.2 What are the main metrics to do reference based evaluation of NLG?
- 1.3 Reference based evaluation of NLG using embedding based metrics.
- 1.4 Beyond embedding based metrics.

Pierre Colombo, Chloé Clavel and Pablo Piantanida. InfoLM: A New Metric to Evaluate Summarization & Data2Text Generation. AAAI 2022

Hello, Chicago.
If there is anyone out there who still doubts that America is a place where all things are possible, who still wonders if the dream of our founders is alive in our time, [....].
Yes we can!

Input Text

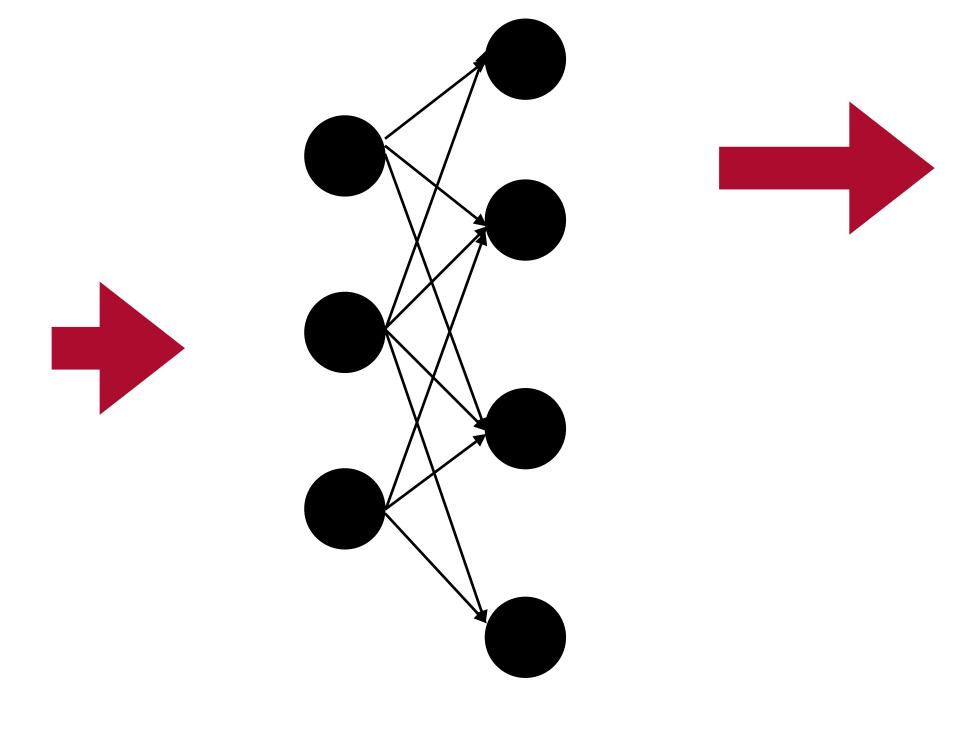
Hello, Chicago.
If there is anyone out there who still doubts that America is a place where all things are possible, who still wonders if the dream of our founders is alive in our time, [....].
Yes we can!



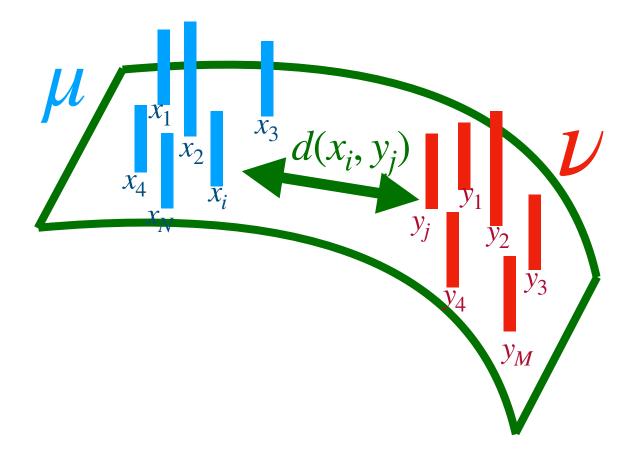
Input Text

Neural Network

Hello, Chicago.
If there is anyone out there who still doubts that America is a place where all things are possible, who still wonders if the dream of our founders is alive in our time, [....].
Yes we can!



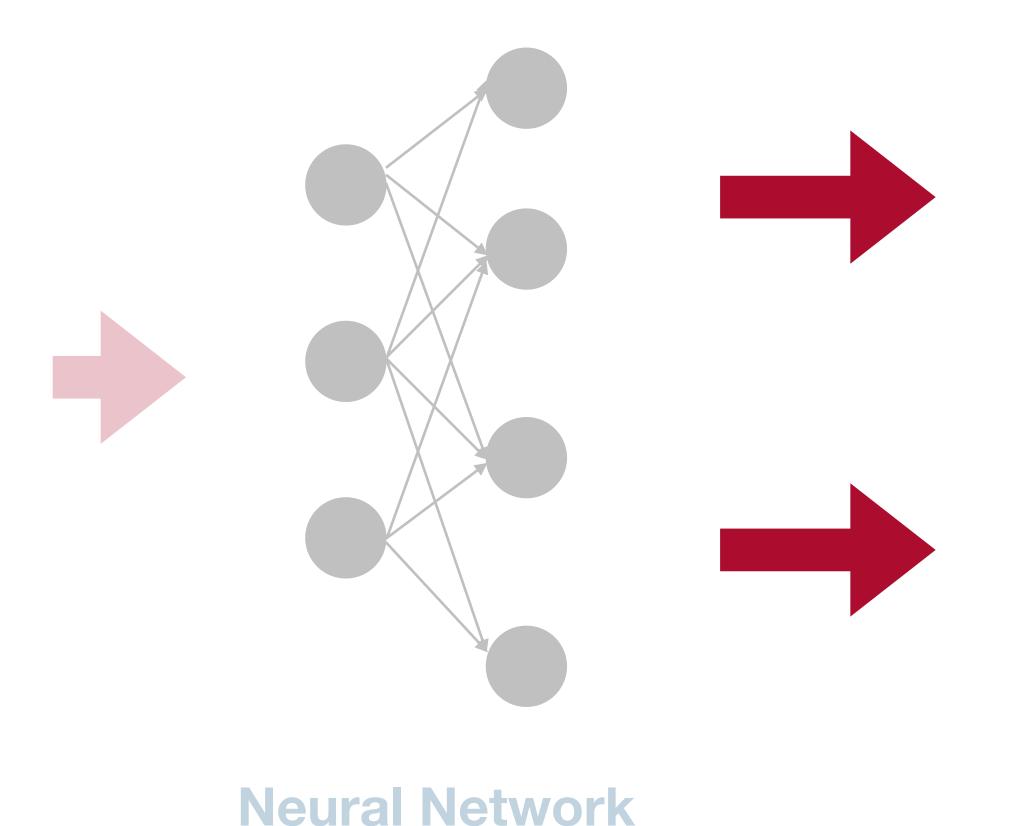
High dimensional data



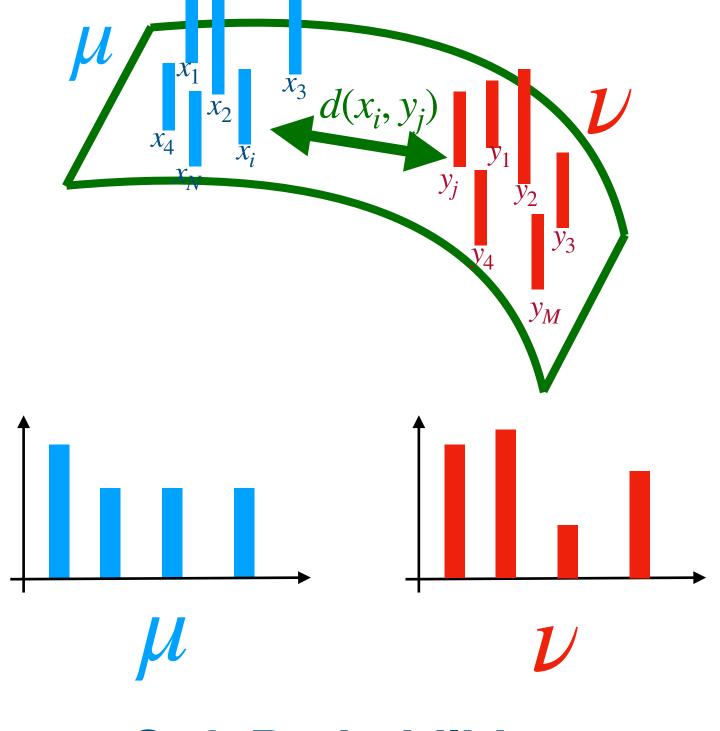
Input Text

Neural Network

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Yes we can!

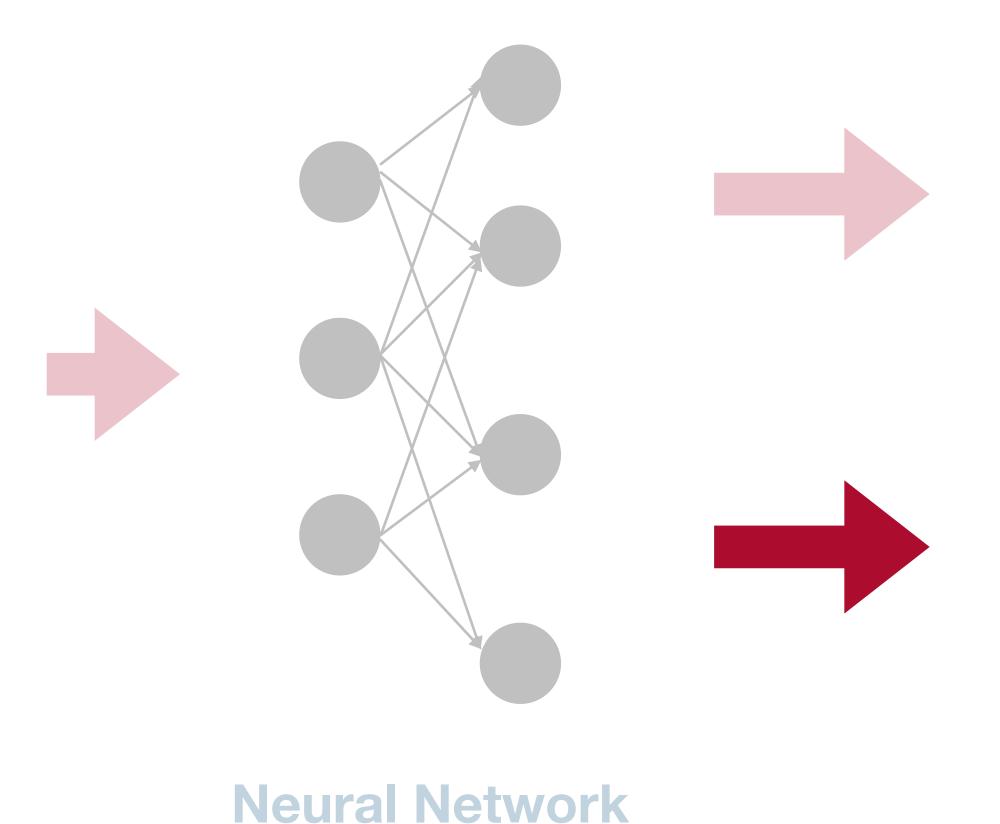


High dimensional data

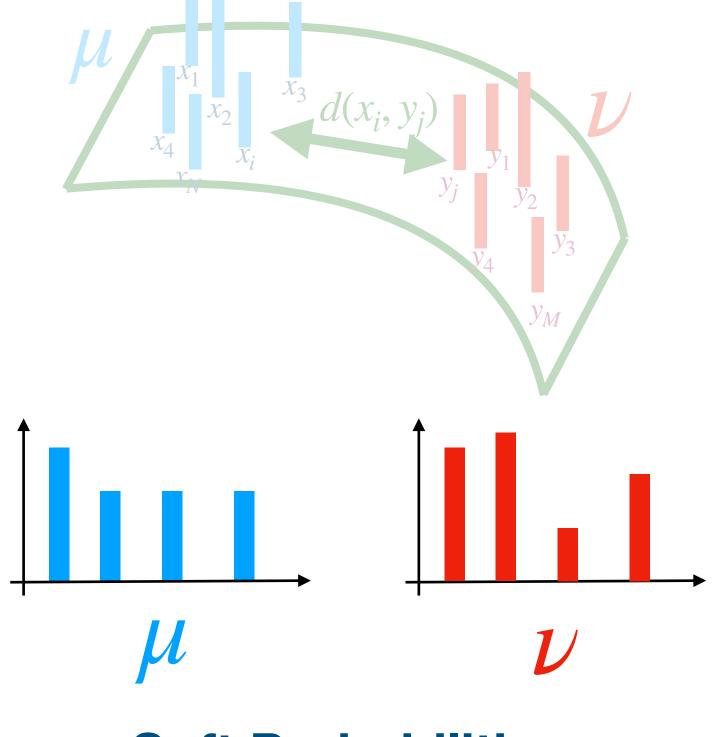


Soft Probabilities

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Yes we can!



High dimensional data



Soft Probabilities

Existing Methods

Edit Based

Snover et al. 2006

Operations

- Insertion (I)
- Deletion (D)
- Substitution (S).

```
tailor -> sailor (S)
sailor -> sailir (S)
sailir -> sailin (S)
sailin -> sailin (I)
```

Distance is 4!

Existing Methods

InfoLM

Edit Based

Snover et al. 2006

Operations

- Insertion (I)
- Deletion (D)
- Substitution (S).

tailor -> sailor (S)
sailor -> sailir (S)
sailir -> sailin (S)
sailin -> sailin (S)

Distance is 4!

N-gram Based

Papineni et al. 2002

C: I like these very nice pies!

R: I like those cakes!

Unigrams

C: I like these very nice pies!

R: I like those cakes!

Bigrams

C: I like these very nice pies!

R: I like those cakes!

Embedding Based

Word Mover distance

Kusner et al. 2015

BertScore

Zhang et al. 2019

MoverScore

Zhao et al. 2019

Sentence Mover

Clark et al. 2019

Goal Compute a similarity score between R and C.

Goal Compute a similarity score between R and C.

Tools Use a pretrained MLM

Goal Compute a similarity score between R and C.

MLM predicts a distribution over Ω

Tools Use a pretrained MLM

Goal Compute a similarity score between R and C.

Tools Use a pretrained MLM

$$p_{\Omega}(\cdot | [R]^i)$$

Goal Compute a similarity score between R and C.

Tools Use a pretrained MLM

$$p_{\Omega}(\cdot | [R]^i)$$

$$\mathcal{J}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

Goal Compute a similarity score between R and C.

Tools Use a pretrained MLM

Use a measure of information

$$p_{\Omega}(\cdot | [R]^i)$$

$$\mathcal{I}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

Goal Compute a similarity score between R and C.

Tools Use a pretrained MLM

Use a measure of information

$$p_{\Omega}(\cdot | [R]^i)$$

$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

Name	Notation	Domain	Expression
α-divergence (Csiszár 1967)	\mathcal{D}_{lpha}	$\alpha \not \in \{0,1\}$	$rac{1}{lpha(lpha-1)}(1-\sum q_i^{1-lpha}p_i^lpha)$
γ divergence (Fujisawa and Eguchi 2008)	$\mathcal{D}_{\gamma}^{\beta}$	$\beta \not \in \{0,-1\}$	$rac{1}{eta(eta+1)}\log\sum p_i^{eta+1} + rac{1}{eta+1}\log\sum q_i^{eta+1} - rac{1}{eta}\log\sum p_i q_i^{eta}$
AB Divergence (Cichocki, Cruces, and Amari 2011)	$\mathcal{D}_{sAB}^{\alpha,\beta}$	$(\alpha, \beta) \in (\mathbb{R}^*)^2$ $\beta + \alpha \neq 0$	$=rac{1}{eta(eta+lpha)}\log\sum p_i^{eta+lpha}+rac{1}{eta+lpha}\log\sum q_i^{eta+lpha}-rac{1}{eta}\log\sum p_i^lpha q_i^eta$
\mathcal{L}_1 distance	\mathcal{L}_1		$\sum p_i - q_i $
\mathcal{L}_2 distance \mathcal{L}_∞ distance Fisher-Rao distance	$egin{array}{c} \mathcal{L}_2 \ \mathcal{L}_\infty \ R \end{array}$		$egin{array}{c} \sqrt{\sum (p_i - q_i)^2} \ \max_i p_i - q_i \ rac{2}{\pi} rccos \sum \sqrt{p_i imes q_i} \end{array}$

Goal Compute a similarity score between R and C.

Compute a similarity score between R and C. Goal

Equivalence for masked contexts $\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$

$$\mathcal{I}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM predicts a distribution over Ω $p_{\Omega}(\cdot | [R]^i)$



Compute a similarity score between R and C. Goal

Equivalence for masked contexts $\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$

$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM

MLM predicts a distribution over Ω $p_{\mathcal{O}}(\cdot | [R]^i)$

Similar context

R: It is [MASK] today.

Compute a similarity score between R and C. Goal

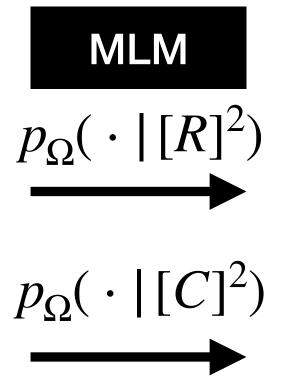
Equivalence for masked contexts $\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$

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MLM predicts a distribution over Ω $p_{\mathcal{O}}(\cdot \mid [R]^i)$



R: It is [MASK] today.



Compute a similarity score between R and C. Goal

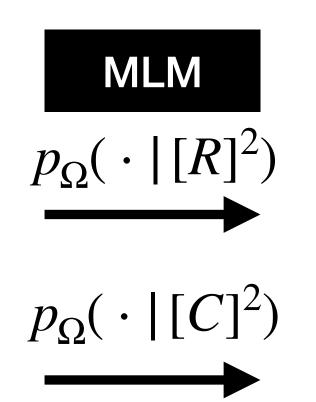
Equivalence for masked contexts $\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$

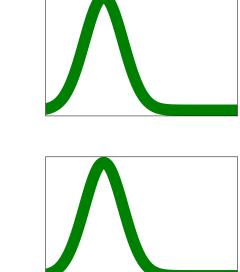
$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM predicts a distribution over Ω $p_{\mathcal{O}}(\cdot | [R]^i)$



R: It is [MASK] today.





Compute a similarity score between R and C. Goal

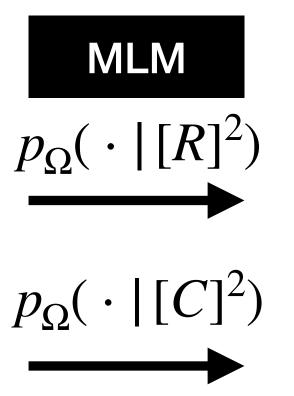
Equivalence for masked contexts $\mathcal{F}:[0,1]^{|\Omega|}\times[0,1]^{|\Omega|}$

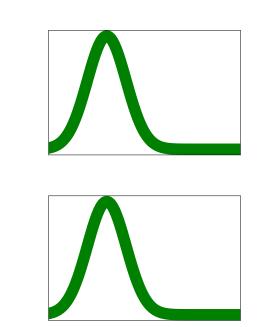
$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM predicts a distribution over Ω $p_{\mathbf{O}}(\cdot | [R]^i)$

Similar context

R: It is [MASK] today.





$$\mathcal{I}\left(p_{\Omega}(\,\cdot\,|\,[R]^2),p_{\Omega}(\,\cdot\,|\,[C]^2)\right)\sim 0$$

Compute a similarity score between R and C. Goal

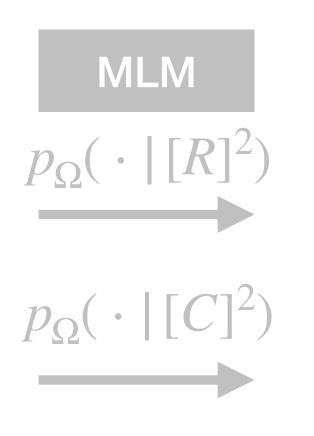
Equivalence for masked contexts $\mathcal{F}:[0,1]^{|\Omega|}\times[0,1]^{|\Omega|}$

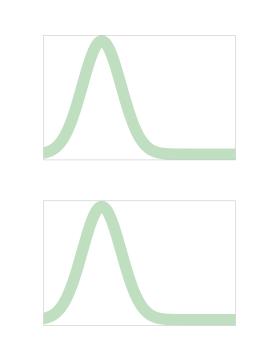
$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM predicts a distribution over Ω $p_{\mathbf{O}}(\cdot | [R]^{i})$

Similar context

C: It is [MASK] this morning!





$$\mathcal{I}\left(p_{\Omega}(\,\cdot\,|\,[R]^2),p_{\Omega}(\,\cdot\,|\,[C]^2)\right)\sim 0$$

Dissimilar context

R: It is cold [MASK]

Compute a similarity score between R and C. Goal

Equivalence for masked contexts $\mathcal{F}:[0,1]^{|\Omega|}\times[0,1]^{|\Omega|}$

$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM predicts a distribution over Ω $p_{\mathbf{O}}(\cdot | [R]^{i})$

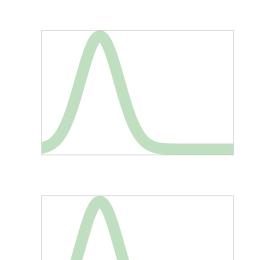
Similar context

R: It is [MASK] today.

C: It is [MASK] this morning!







$$\mathcal{I}\left(p_{\Omega}(\,\cdot\,|\,[R]^2),p_{\Omega}(\,\cdot\,|\,[C]^2)\right)\sim 0$$

Dissimilar context

R: It is cold [MASK]

$$p_{\Omega}(\cdot \mid [R]^3)$$

$$p_{\Omega}(\cdot | [C]^2)$$

Compute a similarity score between R and C. Goal

Equivalence for masked contexts $\mathcal{F}:[0,1]^{|\Omega|}\times[0,1]^{|\Omega|}$

$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM predicts a distribution over Ω $p_{\mathbf{O}}(\cdot | [R]^{i})$

Similar context

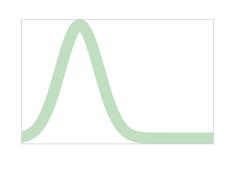
R: It is [MASK] today.

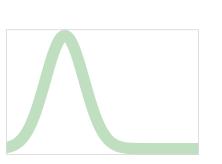
C: It is [MASK] this morning!











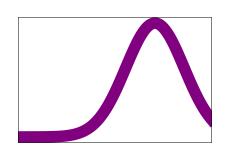
$$\mathcal{I}\left(p_{\Omega}(\,\cdot\,|\,[R]^2),p_{\Omega}(\,\cdot\,|\,[C]^2)\right)\sim 0$$

Dissimilar context

R: It is cold [MASK]

$$p_{\Omega}(\cdot \mid [R]^3)$$

$$p_{\Omega}(\cdot | [C]^2)$$



Compute a similarity score between R and C. Goal

Equivalence for masked contexts $\mathcal{F}:[0,1]^{|\Omega|}\times[0,1]^{|\Omega|}$

$$\mathcal{F}: [0,1]^{|\Omega|} \times [0,1]^{|\Omega|}$$

MLM predicts a distribution over Ω $p_{\mathbf{O}}(\cdot | [R]^{i})$

Similar context

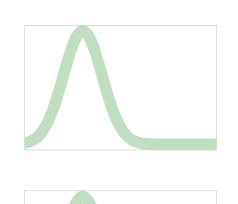
R: It is [MASK] today.

C: It is [MASK] this morning!









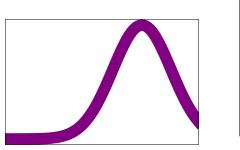
$$\mathcal{J}\left(p_{\Omega}(\,\cdot\,|\,[R]^2),p_{\Omega}(\,\cdot\,|\,[C]^2)\right)\sim 0$$

Dissimilar context

R: It is cold [MASK]

$$p_{\Omega}(\cdot \mid [R]^3)$$

$$p_{\Omega}(\cdot | [C]^2)$$



$$\mathcal{I}\left(p_{\Omega}(\,\cdot\,|\,[R]^3),p_{\Omega}(\,\cdot\,|\,[C]^2)\right)\gg 0$$

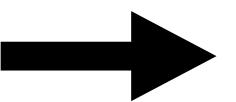
Goal Compute a similarity score between R and C.

Goal Compute a similarity score between R and C.

How to aggregate contexts?

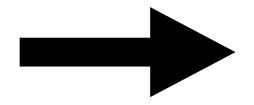
Goal Compute a similarity score between R and C.

How to aggregate contexts?



Goal Compute a similarity score between R and C.

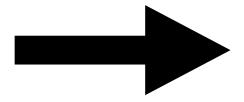
How to aggregate contexts?



Weighted Sum!

Goal Compute a similarity score between R and C.

How to aggregate contexts?



Weighted Sum!

Reference

[MASK] is cold today.

It is [MASK] today.

It is cold today [MASK]

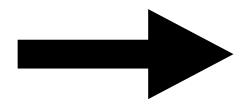
Goal Compute a similarity score between R and C.

How to aggregate contexts? [MASK] is cold today. Reference It is [MASK] today. It is cold today [MASK] is freezing this morning! Candidate It is [MASK] this morning! It is freezing this morning [MASK]

Weighted Sum!

Goal Compute a similarity score between R and C.

How to aggregate contexts?



Weighted Sum!

Reference

[MASK] is cold today.

It is [MASK] today.

It is cold today [MASK]

$$P \triangleq \frac{1}{5} \sum_{k=0}^{4} \gamma_k \times p_{\Omega}(\cdot \mid [R]^k)$$

Candidate

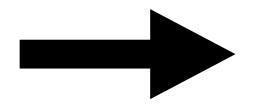
[MASK] is freezing this morning!

It is [MASK] this morning!

It is freezing this morning [MASK]

Goal Compute a similarity score between R and C.

How to aggregate contexts?



Weighted Sum!

Reference

[MASK] is cold today.

It is [MASK] today.

It is cold today [MASK]

$$P \triangleq \frac{1}{5} \sum_{k=0}^{4} \gamma_k \times p_{\Omega}(\cdot \mid [R]^k)$$

andidate

[MASK] is freezing this morning!

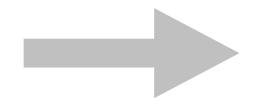
It is [MASK] this morning!

It is freezing this morning [MASK]

$$Q \triangleq \frac{1}{6} \sum_{k=0}^{5} \gamma_k \times p_{\Omega}(\cdot \mid [C]^k)$$

Goal Compute a similarity score between R and C.

How to aggregate contexts?



Weighted Sum!

Reference

[MASK] is cold today.

t is [MASK] today.

It is cold today [MASK]

$$P \triangleq \frac{1}{5} \sum_{k=0}^{4} \gamma_k \times p_{\Omega}(\cdot \mid [R]^k)$$

 $InfoLM(R, C) \triangleq \mathcal{F}(P, Q)$

andidate

[MASK] is freezing this morning!

It is [MASK] this morning!

It is freezing this morning [MASK

$$Q \triangleq \frac{1}{6} \sum_{k=0}^{5} \gamma_k \times p_{\Omega}(\cdot \mid [C]^k)$$

Data2text Generation

Results on WebNLG 2020

Gardent et al. 2017

Perez-Beltrachini et al 2016

Ferreira et al. (2020)

- Correctness / Data Coverage / RelevanceFluency / Text Structure
- Results on English only

Data2text Generation

Results on WebNLG 2020

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Correctness / Data Coverage / RelevanceFluency / Text Structure

Perez-Beltrachini et al 2016

Results on English only

Summary Generation

Results on SummEval

Nallapati et al. 2016)

Bhandari et al. (2020)

Correlation with pyramid score

Nenkova and Passonneau 2004

Results on English only

Data2text Generation

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Nallapati et al. 2016)

Bhandari et al. (2020)

Correlation with pyramid score

Nenkova and Passonneau 2004

Results on English only

Task

(John_Blaha birthDate 1942_08_26)
(John_Blaha birthPlace San_Antonio)
(John_E_Blaha job Pilot)

John Blaha, born in San Antonio on 1942-08-26, worked as a pilot

Task

(John_Blaha birthDate 1942_08_26)
(John_Blaha birthPlace San_Antonio)
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John Blaha, born in San Antonio on 1942-08-26, worked as a pilot

	Correctness			Data Coverage			Fluency			F	Relevance	e	Text Structure		
Metric	r	ho	au	r	ho	au	r	ho	au	r	ho	au	r	ho	au
Correct	100.0	100.0	100.0	97.6	85.2	73.3	80.0	81.1	61.6	99.1	89.7	75.0	80.1	80.8	60.0
DataC	85.2	97.6	73.3	100.0	100.0	100.0	71.8	51.7	38.3	96.0	93.8	81.6	71.6	51.4	36.6
Fluency	81.1	80.0	61.6	71.8	51.7	38.3	100.0	100.0	100.0	77.0	61.4	46.6	99.5	99.7	98.3
Relev	89.7	99.1	75.0	96.0	93.8	81.6	77.0	61.4	46.6	100.0	100.0	100.0	77.2	61.1	45.0
TextS	80.8	80.1	60.0	71.6	51.4	36.6	99.5	99.7	98.3	77.2	61.1	45.0	100.0	100.0	100.0
\mathcal{D}_{AB}	88.8	89.3	<u>76.6</u>	81.8	82.6	<u>70.0</u>	86.6	92.0	76.6	89.8	<u>87.9</u>	73.3	86.6	91.4	75.0
\mathcal{D}_{lpha}	88.8	<u>89.3</u>	<u>76.6</u>	<u>81.8</u>	<u>82.6</u>	<u>70.0</u>	86.6	92.0	76.6	<u>89.8</u>	<u>87.9</u>	<u>73.3</u>	86.6	91.4	75.0
\mathcal{D}_{eta}	81.4	50.0	71.6	48.4	79.7	65.0	44.8	84.7	76.6	49.3	72.3	60.0	48.0	83.8	75.0
\mathcal{L}_1	75.2	33.8	61.6	32.4	53.8	40.0	22.7	83.5	73.3	32.2	57.9	45.0	25.6	83.2	71.6
${\cal R}$	<u>89.7</u>	86.0	75.0	78.7	70.5	51.6	<u>93.3</u>	<u>95.7</u>	<u>85.3</u>	87.6	84.4	70.0	<u>92.4</u>	93.8	<u>81.6</u>
JS	79.4	81.1	70.0	69.3	75.5	60.0	89.4	91.4	75.0	81.7	70.5	60.0	91.9	91.1	73.3
BertS	85.5	83.4	73.3	74.7	68.2	53.3	92.3	95.5	85.0	83.3	79.4	65.0	91.9	<u>95.0</u>	83.3
MoverS	84.1	<u>84.1</u>	<u>73.3</u>	<u>78.7</u>	66.2	<u>53.3</u>	91.2	92.1	78.3	82.1	77.4	65.0	90.1	91.4	76.3
BLEU	77.6	66.3	60.0	55.7	50.2	36.6	89.4	90.5	78.3	63.0	65.2	51.6	88.5	89.1	76.6
R-1	80.6	65.0	65.0	61.1	<u>59.6</u>	<u>48.3</u>	76.5	76.3	60.3	64.3	<u>69.2</u>	56.7	75.9	77.5	58.3
METEOR	<u>86.5</u>	<u>66.3</u>	<u>70.0</u>	<u>77.3</u>	50.2	46.6	86.7	90.5	78.3	<u>82.1</u>	65.2	58.6	86.2	89.1	76.6
TER	79.6	78.3	58.0	69.7	58.2	38.0	89.1	93.5	80.0	75.0	70.2	<u>77.6</u>	89.5	91.1	<u>78.6</u>

26

Task

(John_Blaha birthDate 1942_08_26) (John_Blaha birthPlace San_Antonio) (John_E_Blaha job Pilot)

John Blaha, born in San Antonio on 1942-08-26, worked as a pilot

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Correct	100.0	100.0	100.0	97.6	85.2	73.3	80.0	81.1	61.6	99.1	89.7	75.0	80.1	80.8	60.0
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Fluency	81.1	80.0	61.6	71.8	51.7	38.3	100.0	100.0	100.0	77.0	61.4	46.6	99.5	99.7	98.3
Relev	89.7	99.1	75.0	96.0	93.8	81.6	77.0	61.4	46.6	100.0	100.0	100.0	77.2	61.1	45.0
TextS	80.8	80.1	60.0	71.6	51.4	36.6	99.5	99.7	98.3	77.2	61.1	45.0	100.0	100.0	100.0
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\mathcal{D}_{lpha}	88.8	<u>89.3</u>	<u>76.6</u>	<u>81.8</u>	82.6	<u>70.0</u>	86.6	92.0	76.6	89.8	<u>87.9</u>	<u>73.3</u>	86.6	91.4	75.0
${\cal D}_{eta}$	81.4	50.0	71.6	48.4	79.7	65.0	44.8	84.7	76.6	49.3	72.3	60.0	48.0	83.8	75.0
\mathcal{L}_1	75.2	33.8	61.6	32.4	53.8	40.0	22.7	83.5	73.3	32.2	57.9	45.0	25.6	83.2	71.6
\mathcal{R}	89.7	86.0	75.0	78.7	70.5	51.6	93.3	<u>95.7</u>	<u>85.3</u>	87.6	84.4	70.0	<u>92.4</u>	93.8	<u>81.6</u>
JS	79.4	81.1	70.0	69.3	75.5	60.0	89.4	91.4	75.0	81.7	70.5	60.0	91.9	91.1	73.3
BertS	85.5	83.4	73.3	74.7	68.2	53.3	92.3	95.5	85.0	83.3	79.4	65.0	91.9	95.0	83.3

Parameter Free

PAB	00.0	07.0	7000	<u>01.0</u>	<u>02.0</u>	7000	00.0	12.0	70.0	07.0	07.07	13.3	00.0	71.1	15.0
\mathcal{D}_{lpha}	88.8	<u>89.3</u>	<u>76.6</u>	81.8	82.6	<u>70.0</u>	86.6	92.0	76.6	89.8	87.9	73.3	86.6	91.4	75.0
${\cal D}_{eta}$	81.4	50.0	71.6	48.4	79.7	65.0	44.8	84.7	76.6	49.3	72.3	60.0	48.0	83.8	75.0
\mathcal{L}_1	75.2	33.8	61.6	32.4	53.8	40.0	22.7	83.5	73.3	32.2	57.9	45.0	25.6	83.2	71.6
\mathcal{R}	<u>89.7</u>	86.0	75.0	78.7	70.5	51.6	93.3	<u>95.7</u>	85.3	87.6	84.4	70.0	<u>92.4</u>	93.8	<u>81.6</u>
JS	79.4	81.1	70.0	69.3	75.5	60.0	89.4	91.4	75.0	81.7	70.5	60.0	91.9	91.1	73.3
BertS	<u>85.5</u>	83.4	<u>73.3</u>	74.7	<u>68.2</u>	53.3	<u>92.3</u>	<u>95.5</u>	<u>85.0</u>	<u>83.3</u>	<u>79.4</u>	<u>65.0</u>	<u>91.9</u>	<u>95.0</u>	<u>83.3</u>
MoverS	84.1	<u>84.1</u>	73.3	<u>78.7</u>	66.2	53.3	91.2	92.1	78.3	82.1	77.4	65.0	90.1	91.4	76.3
BLEU	77.6	66.3	60.0	55.7	50.2	36.6	89.4	90.5	78.3	63.0	65.2	51.6	88.5	89.1	76.6
R-1	80.6	65.0	65.0	61.1	<u>59.6</u>	48.3	76.5	76.3	60.3	64.3	<u>69.2</u>	56.7	75.9	77.5	58.3
METEOR	<u>86.5</u>	66.3	<u>70.0</u>	<u>77.3</u>	50.2	46.6	86.7	90.5	78.3	<u>82.1</u>	65.2	58.6	86.2	89.1	76.6
TER	79.6	78.3	58.0	69.7	58.2	38.0	89.1	93.5	80.0	75.0	70.2	<u>77.6</u>	<u>89.5</u>	91.1	<u>78.6</u>
·						-20							-		

20



SUMMARY

We explored different metrics for automatic NLG evaluation



We explored different metrics for automatic NLG evaluation

Embedding Based

Soft Probability based



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Different Metrics correlate better with different human criterion



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Different Metrics correlate better with different human criterion

One task: If we want to have an exhaustive evaluation we need to consider several metrics.

Multitask: To evaluate on system on different tasks we need different metrics (data2text vs Translation)



We explored different metrics for automatic NLG evaluation

Embedding Based

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Different Metrics correlate better with different human criterion

One task: If we want to have an exhaustive evaluation we need to consider several metrics.

Multitask: To evaluate on system on different tasks we need different metrics (data2text vs Translation)

Let's speak about how to aggregate different metrics to obtain stronger evaluation procedures.

2. How to aggregate several metrics?

1.1 Framework

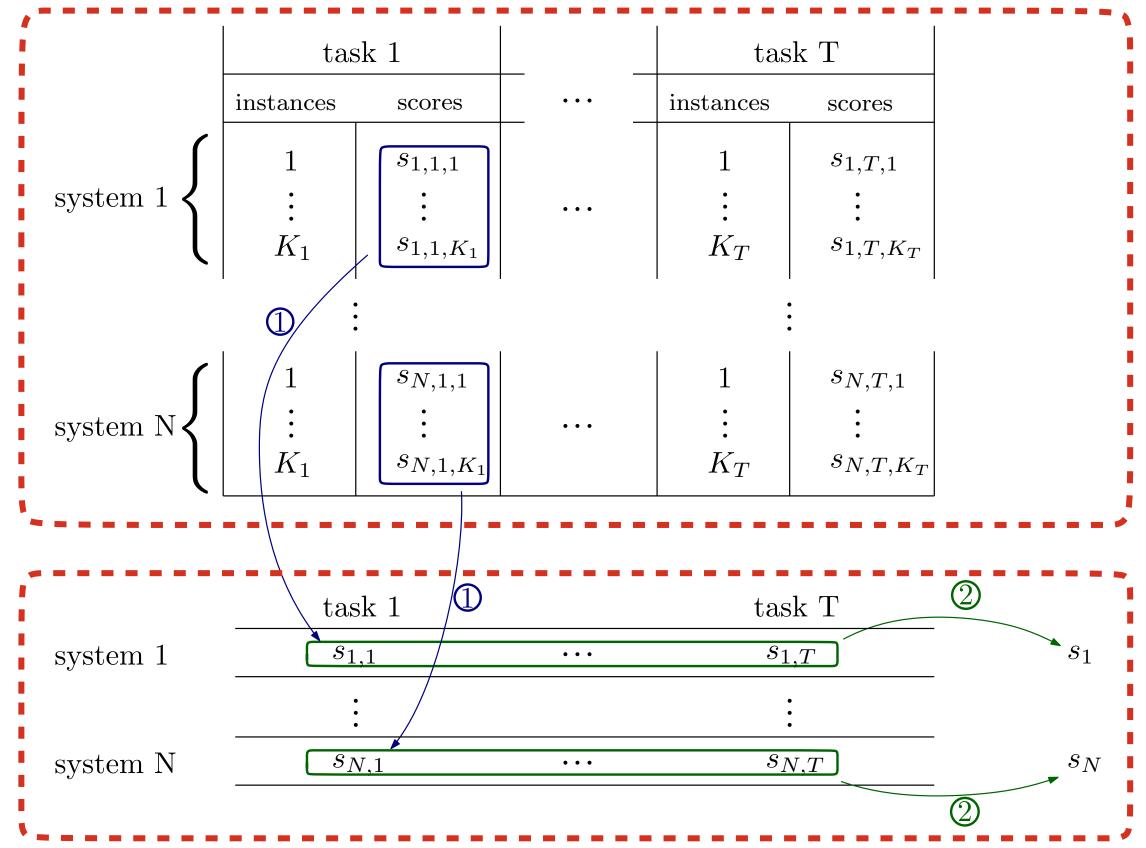
1.2 Task Level Aggregation

1.3 Instance Level Aggregation

Pierre Colombo, Nathan Noiry, Ekhine Irurozki and Stephan Clemencon. What are the best Systems? New Perspectives on NLP Benchmarking.

Framework

Instance-level information



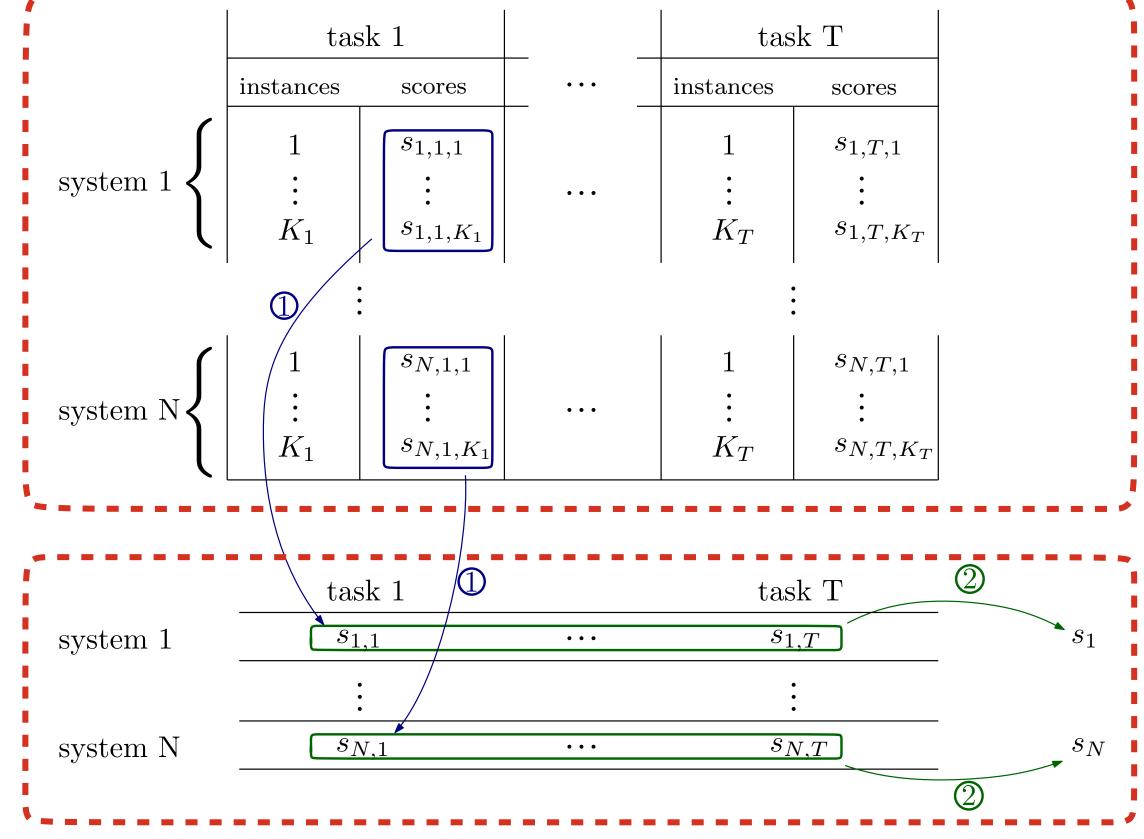
① instance-level aggregation

Task-level information

② task-level aggregation

Framework

Instance-level information



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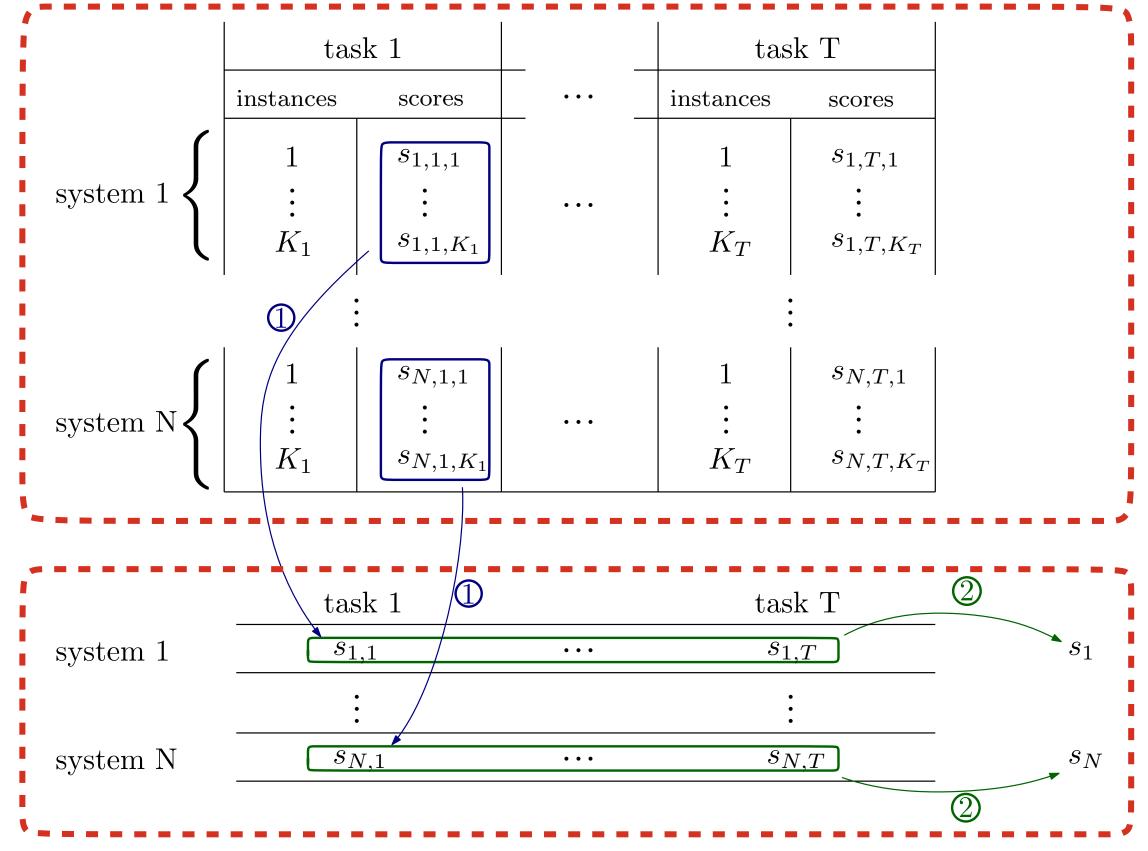
Setting:

1. One has access to the scores of N systems across T tasks.

2. Each task t being associated with a metric and a test set of size K_t .

3. We have $s_{n,t,k} \in \mathbb{R}$

Instance-level information

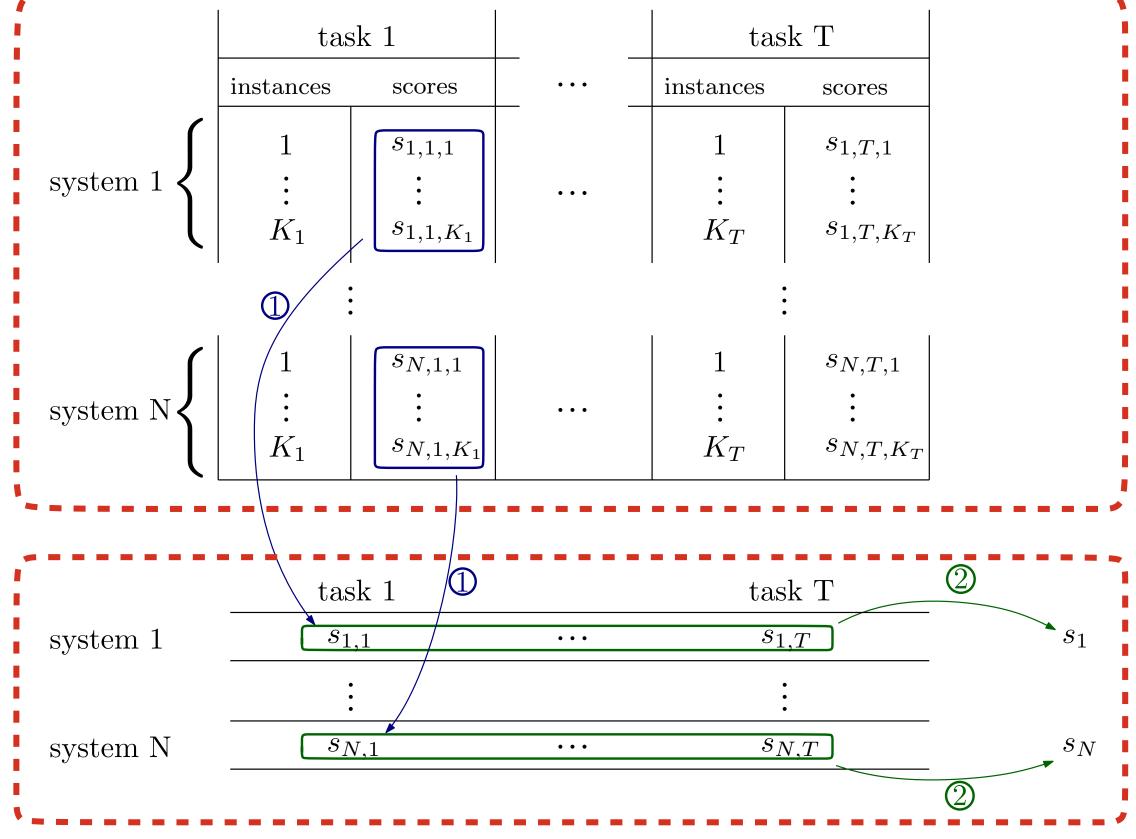


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Task-level information

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Instance-level information



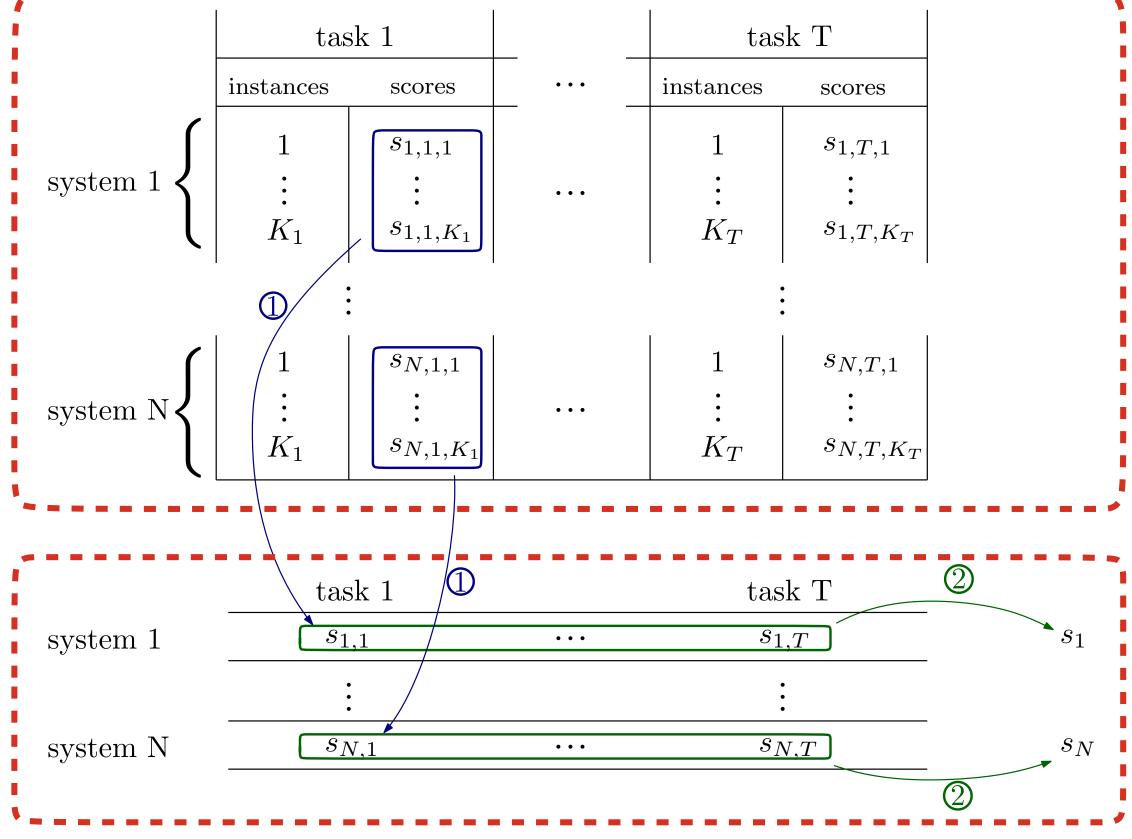
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2 task-level aggregation

For every n and every t, we only have access to the aggregated performance of system n on task t

Instance-level information



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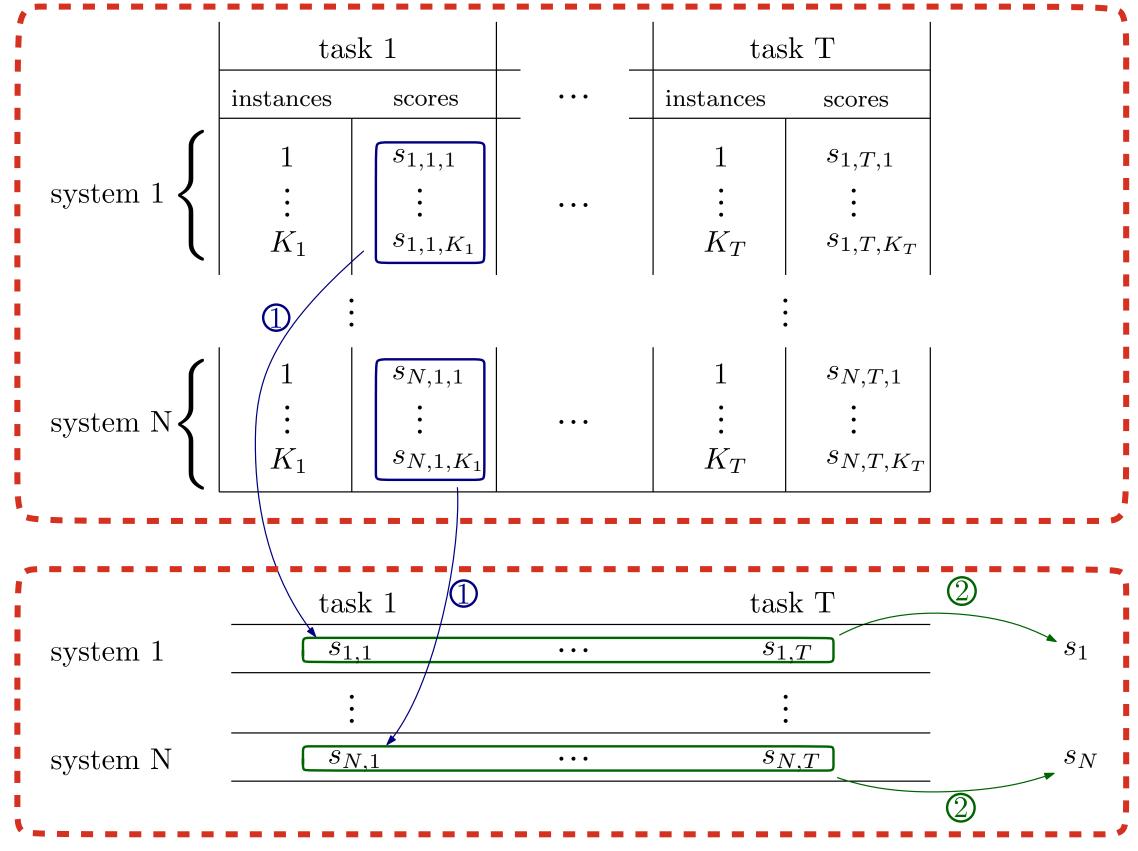
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$$s_{n,t} \in \mathbb{R}$$

Instance-level information



① instance-level aggregation

Task-level information

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For every n and every t, we only have access to the aggregated performance of system n on task t

$$s_{n,t} \in \mathbb{R}$$

Goal: find an aggregation procedure that orders the systems.

task 1			tas	sk t		tasl	k T
instances	rankings	• • •	instances	rankings	• • •	instances	rankings
$egin{array}{c} 1 \ \vdots \ k \ \vdots \end{array}$	$\sigma^{1,1}$ $\sigma^{1,2}$ \vdots $\sigma^{1,k}$	•••	$egin{array}{c} 1 \ \vdots \ k \ \vdots \end{array}$	$\sigma^{t,1}$ $\sigma^{t,2}$ \vdots $\sigma^{t,k}$		1 2 : k	$\sigma^{T,1}$ $\sigma^{T,2}$ \vdots $\sigma^{T,k}$ \vdots
K_1	σ^{1,K_1}	•••	K_2	\circ		K_T	σ^{T,K_T}
(σ^1			σ^t			σ^T
One level aggregation: $\sigma^l = \text{Borda}(\sigma^{t,k}, 1 \le t \le T, 1)$						$\leq T, \ 1 \leq k$	$\leq K_t$
	Two level	aggregatio			$\sigma^t = \operatorname{Bord}$		$(k \leq K_t)$

task 1			tas	sk t		tas	k T
instances	rankings	• • •	instances	$\operatorname{rankings}$	• • •	instances	rankings
$egin{array}{c} 1 \ & dots \ & dots \ & k \ & dots \ & K_1 \ \end{array}$	$\sigma^{1,1}$ $\sigma^{1,2}$ $\sigma^{1,k}$ $\sigma^{1,k}$ $\sigma^{1,k}$		$egin{array}{c} 1 \ \vdots \ k \ \vdots \ K_2 \end{array}$	$\sigma^{t,1}$ $\sigma^{t,2}$ $\sigma^{t,k}$ $\sigma^{t,k}$ $\sigma^{t,k}$		$egin{array}{cccccccccccccccccccccccccccccccccccc$	$\sigma^{T,1}$ $\sigma^{T,2}$ \vdots $\sigma^{T,k}$ \vdots σ^{T,K_T}
	One level a	aggregatio		σ^t	$\sigma^{t,k},1\leq t\leq$	$\leq T, \ 1 \leq k$	$\leq K_t$
	Two level	aggregatio			$\sigma^t = \operatorname{Bord}$ $(\sigma^t, 1 \le t \le t)$	`	$\leq k \leq K_t$

For every n, every t and every k, access to the aggregated performance of system n on instance k of task t

ta	task 1		tas	sk t		tasl	k T
instances	rankings	• • •	instances	rankings	• • •	instances	rankings
$egin{array}{c} 1 \ & 2 \ & dots \ & k \ & dots \ & K_1 \ \end{array}$	$\sigma^{1,1}$ $\sigma^{1,2}$ \vdots $\sigma^{1,k}$ \vdots $\sigma^{1,k}$ \vdots σ^{1,K_1}		$egin{array}{c} 1 \ \vdots \ k \ \vdots \ K_2 \end{array}$	$\sigma^{t,1}$ $\sigma^{t,2}$ $\sigma^{t,k}$ $\sigma^{t,k}$ $\sigma^{t,k}$		$egin{array}{cccccccccccccccccccccccccccccccccccc$	$\sigma^{T,1}$ $\sigma^{T,2}$ \vdots $\sigma^{T,k}$ \vdots σ^{T,K_T}
	One level aggregation: Two level aggregation:				$\sigma^{t,k}, 1 \leq t \leq \sigma^t = \mathrm{Bord}$,

 $\sigma^{2l} = \operatorname{Borda}(\sigma^t, 1 \le t \le T)$

For every n, every t and every k, access to the aggregated performance of system n on instance k of task t

$$s_{n,t,k} \in \mathbb{R}$$

	task 1			tas	sk t		asl	к T
	instances	rankings	•••	instances	rankings	• • •	instances	rankings
	$egin{array}{c} 1 \ \vdots \ k \ \vdots \end{array}$	$\sigma^{1,1}$ $\sigma^{1,2}$ \vdots $\sigma^{1,k}$ \vdots	•••	$egin{array}{c} 1 \ \vdots \ k \ \vdots \end{array}$	$\sigma^{t,1}$ $\sigma^{t,2}$ \vdots $\sigma^{t,k}$ \vdots		$egin{array}{cccccccccccccccccccccccccccccccccccc$	$\sigma^{T,1}$ $\sigma^{T,2}$ \vdots $\sigma^{T,k}$ \vdots
	K_1	σ^{1,K_1}		K_2	σ^{1,K_1}		K_T	σ^{T,K_T}
	σ^1 One level a				σ^t			σ^T
			aggregatio	σ	-l = Borda($\leq K_t)$		
		Two level	aggregatio	on:	$'1 \le t \le T,$	$\sigma^t = \text{Bord}$	$\mathrm{a}(\sigma^{t,k},1\leq$	$k \leq K_t$

 $\sigma^{2l} = \operatorname{Borda}(\sigma^t, 1 \le t \le T)$

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1.1 Framework

1.2 Task Level Aggregation

1.3 Instance Level Aggregation

 $s_{n,t} \in \mathbb{R}$ **Initial information:**

dots K_T s_{1,T,K_T} s_{N,T,K_T} task T $s_{1,1}$ $s_{1,T}$ Task-level information ① instance-level aggregation

Instance-level information

instances

② task-level aggregation

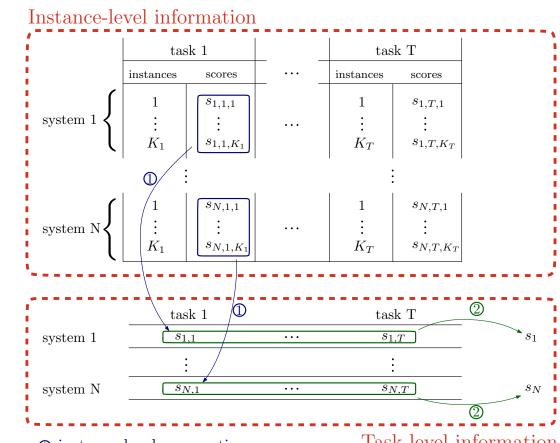
Initial information: $S_{n,t} \in \mathbb{R}$

First attempt: mean-aggregation

1. Compute aggregated scores:

$$S_n = \sum_{t=1}^T S_{n,t}$$

2. Rank systems accordingly



D instance-level aggregation

Task-level information

② task-level aggregation

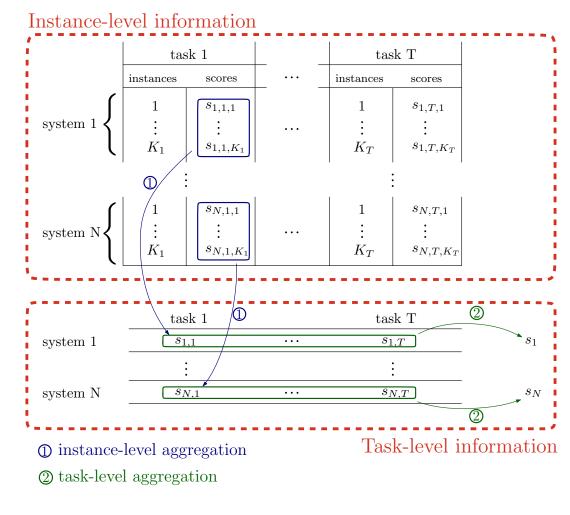
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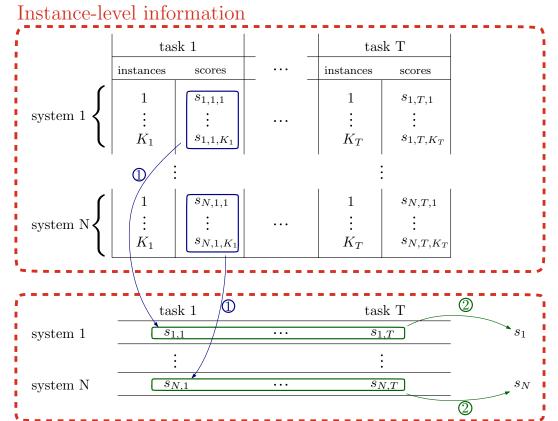


Weaknesses

- 1. Scale dependent
- 2. Non-relative score

 $s_{n,t} \in \mathbb{R}$ **Initial information:**

34



① instance-level aggregation

Task-level information

② task-level aggregation

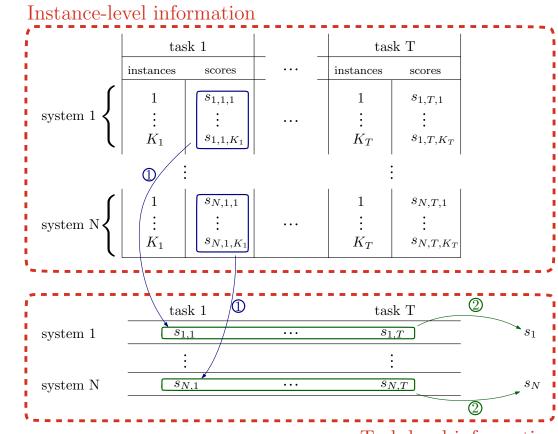
Initial information: $S_{n,t} \in \mathbb{R}$

Second attempt: pairwise ranking

1. Compute pairwise ranking:

$$\lambda_A = \sum_{t=1}^T \mathbf{1}_{s_{A,t} > s_{B,t}}$$

2. Rank A>B if and only if $\lambda_A > \lambda_B$



) instance-level aggregation

Task-level information

② task-level aggregation

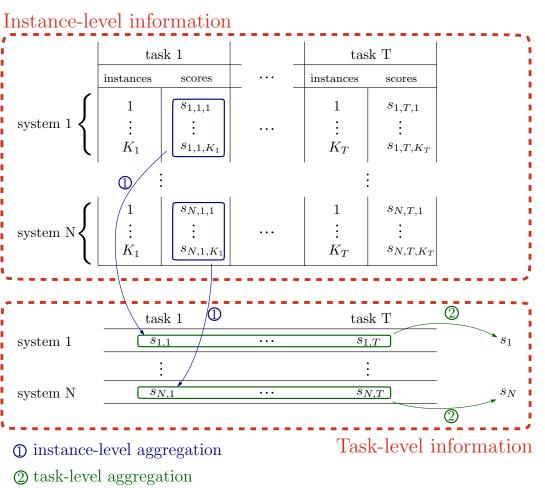
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Weaknesses

1 Restricted to two systems

2 Can lead to paradoxes

A toy example

	task 1	task 2	task 3	task 4	task 5	task 6	sum
A	0,3	5 3	10 1	0,02 2	1,0 1	0,4 3	16,72 13
B	0, 1 2	4 2	13 ₂	0,01 1	2,2 3	0,3 2	19,61 12
C	0,0	3 1	15 ₃	0,03 3	2,0 2	0,2 1	20, 23 11

mean-aggregation:

pairwise ranking:

$$B > A, C > B, A = C$$

our ranking:

For every t, let σ^t be the ranking of the systems on task t:

$$\sigma^t = [\sigma_1^t, ..., \sigma_N^t],$$

where σ_i^t is the rank of system i.

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- 1. For every system n, compute: $b_n = \sum_{t=1}^{l} \sigma_n^t$
- 2. Rank the systems accordingly



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$$[2,1,3] \cdot (a,b,c) = (b,a,c)$$

Each task t induces a permutation of the systems $\sigma^t \in \mathfrak{S}_N$.

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→ New question: how to aggregate permutations?

The mean $\frac{1}{T}\sum_{t=1}^{T} \sigma^t$ makes no sense!

Solution: define a distance d on the permutation group, and find a permutation σ^* that minimizes the sum of distances:

$$\sigma^* \in \underset{\sigma \in \mathfrak{S}_N}{\operatorname{argmin}} \sum_{t=1}^{T} d(\sigma, \sigma^t)$$

→ How to aggregate permutations?

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When d is the Kendall distance that counts the number of inversions, σ^* is called a Kemeny consensus.

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It is the only aggregation of permutations procedures that satisfies three natural axioms.

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BUT: NP-Hard problem!

Relaxation of the problem: Borda count!

- + 2-approximation
- + Small complexity
- + Simple interpretation

Ranking Analysis

	GLUE			XTREM	
σ^*	Team	σ^{mean}	σ^*	Team	σ^{mean}
0 (1430)	Ms Alex	0 (88.6)	0 (55)	ULR	0 (83.2)
1 (1405)	ERNIE	1 (88.0)	1 (50)	CoFe	1 (82.6)
2 (1397)	DEBERTA	2 (87.9)	2 (44)	InfoLXL	3 (80.6)
3 (1391)	AliceMind	3 (87.8)	3 (42)	VECO	4 (80.3)
4 (1375)	PING-AH	5 (87.6)	4 (35)	Unicoder	5 (79.4)
5 (1362)	HFL	4 (87.7)	5 (34)	PolyGlot	2 (80.6)
6 (1361)	T5	6 (87.5)	6 (31)	ULR-v2	6 (79.4)
7 (1358)	DIRL	10 (86.7)	7 (29)	HiCTL	8 (79.1)
8 (1331)	Zihan	7 (87.6)	8 (29)	Ernie	7 (79.1)
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Aggregation procedure matters a lot!

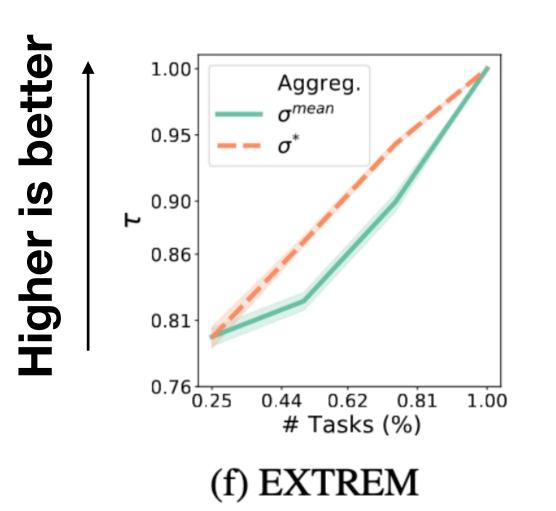
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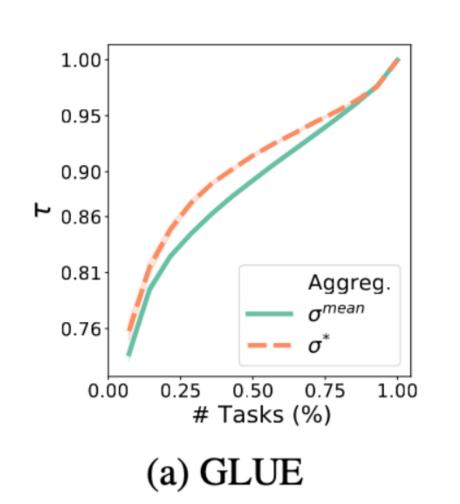
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9 (1316)	ELECTRA	11 (86.7)	9 (21)	Anony	10 (78.3)
9 (1310)	ELECTRA	11 (50.7)	9 (21)	Anony	10 (70.3)

Robustness Analysis

Setting:

For an increasing % of task, compute the Kendall's tau correlation coefficient between the obtained ranking and the one obtained with all the tasks





Aggregation procedure matters a lot!

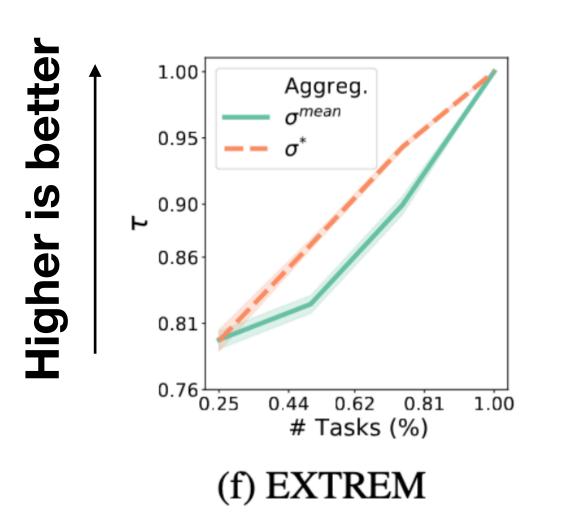
Ranking Analysis

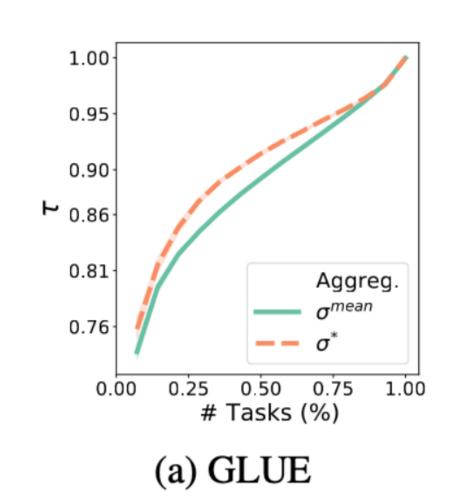
	GLUE			XTREM	
σ^*	Team	σ^{mean}	σ^*	Team	σ^{mean}
0 (1430)	Ms Alex	0 (88.6)	0 (55)	ULR	0 (83.2)
1 (1405)	ERNIE	1 (88.0)	1 (50)	CoFe	1 (82.6)
2 (1397)	DEBERTA	2 (87.9)	2 (44)	InfoLXL	3 (80.6)
3 (1391)	AliceMind	3 (87.8)	3 (42)	VECO	4 (80.3)
4 (1375)	PING-AH	5 (87.6)	4 (35)	Unicoder	5 (79.4)
5 (1362)	HFL	4 (87.7)	5 (34)	PolyGlot	2 (80.6)
6 (1361)	T5	6 (87.5)	6 (31)	ULR-v2	6 (79.4)
7 (1358)	DIRL	10 (86.7)	7 (29)	HiCTL	8 (79.1)
8 (1331)	Zihan	7 (87.6)	8 (29)	Ernie	7 (79.1)
9 (1316)	ELECTRA	11 (86.7)	9 (21)	Anony	10 (78.3)

Robustness Analysis

Setting:

For an increasing % of task, compute the Kendall's tau correlation coefficient between the obtained ranking and the one obtained with all the tasks





Aggregation procedure matters a lot!

Relying on Borda count is more reliable

2. How to aggregate several metrics?

1.1 Framework

1.2 Task Level Aggregation

1.3 Instance Level Aggregation

tas	sk 1		tas	sk t		tasl	k T
instances	rankings	•••	instances	rankings	•••	instances	rankings
1	$\sigma^{1,1}$		1	$\sigma^{t,1}$	• • •	1	$\sigma^{T,1}$
$egin{array}{c} 2 \ dots \ k \ dots \ K_1 \end{array}$	$\sigma^{1,2}$ $\sigma^{1,k}$ $\sigma^{1,k}$ σ^{1,K_1}		$egin{array}{c} 2 \ dots \ k \ dots \ K_2 \end{array}$	$\sigma^{t,2}$ $\sigma^{t,k}$ $\sigma^{t,k}$ σ^{t,K_1}		$egin{array}{cccccccccccccccccccccccccccccccccccc$	$\sigma^{T,2}$ \vdots $\sigma^{T,k}$ \vdots σ^{T,K_T}
	σ^1			σ^t			σ^T
	One level	aggregatio	\mathbf{n} : σ	l = Borda($\sigma^{t,k}, 1 \leq t \leq$	$\leq T, 1 \leq k$	$\leq K_t$
	Two level	aggregatio			$\sigma^t = \text{Bord}$ $(\sigma^t, 1 \le t \le$		$\leq k \leq K_t$

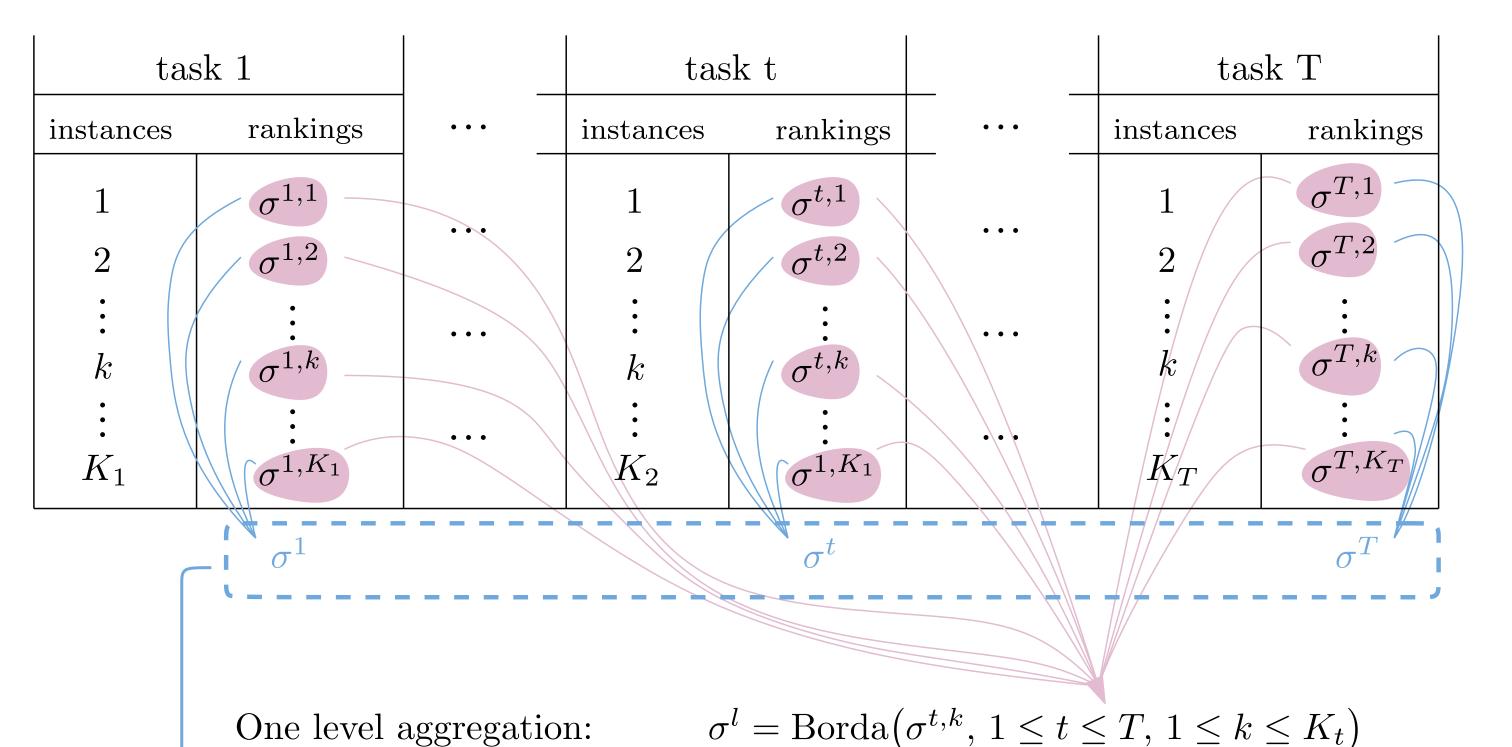
te	ask 1		tas	sk t		tas	k T
instances	rankings	•••	instances	$\operatorname{rankings}$	• • • <u> </u>	instances	rankings
1	$\sigma^{1,1}$		1	$\sigma^{t,1}$	• • •	1	$\sigma^{T,1}$
$\frac{1}{2}$	$\int \sigma^{1,2}$		$\frac{1}{2}$	$/\sigma^{t,2}$	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	2	$\sigma^{T,2}$
$egin{array}{c} dots \ k \ dots \end{array}$	$\left\langle \left\langle \begin{array}{c} \vdots \\ \sigma^{1,k} \end{array} \right\rangle \right\rangle$	•••	$egin{array}{cccccccccccccccccccccccccccccccccccc$	$\sigma^{t,k}$	•••	k	$\sigma^{T,k}$
K_1	σ^{1,K_1}	•••	K_2	σ^{1,K_1}		K_T	σ^{T,K_T}
	σ^1			σ^t		_ //-/	σ^T
	\						/
	One level a	aggregatio	\mathbf{n} : σ	$e^{l} = Borda(e^{l})$	$\sigma^{t,k}, 1 \leq t \leq$	$\leq T, \ 1 \leq k$	$\leq K_t$
	Two level	aggregatio			$\sigma^t = \mathrm{Bord}$		$\leq k \leq K_t$

For every n, every t and every k, access to the aggregated performance of system n on instance k of task t

tas	sk 1		tas	sk t		tasi	k T
instances	rankings	• • •	instances	rankings	• • •	instances	rankings
1	$\sigma^{1,1}$		1	$\sigma^{t,1}$		1	$\sigma^{T,1}$
$egin{array}{c} 2 \ dash \ k \ dash \ K_1 \end{array}$	$\sigma^{1,2}$ $\sigma^{1,k}$ σ^{1,K_1} σ^{1,K_1}		$egin{array}{c} 2 \ \vdots \ k \ \vdots \ K_2 \ \end{array}$	$\sigma^{t,2}$ \vdots $\sigma^{t,k}$ \vdots σ^{1,K_1}		$egin{array}{cccccccccccccccccccccccccccccccccccc$	$\sigma^{T,2}$ \vdots $\sigma^{T,k}$ \vdots σ^{T,K_T} σ^{T,K_T}
	One level	o como cotio		d - Dondo (-t, k 1 $< + <$		j
	One level a	aggregatio	II: σ	= borda($\sigma^{t,k}, 1 \le t \le$	$\succeq I$, $1 \succeq K$:	$\geq n_t$
	Two level	aggregatic			$\sigma^t = \mathrm{Bord}$ $(\sigma^t \ 1 < t < t < t)$		$(k \leq K_t)$

For every n, every t and every k, access to the aggregated performance of system n on instance k of task t

$$s_{n,t,k} \in \mathbb{R}$$



Two level aggregation:

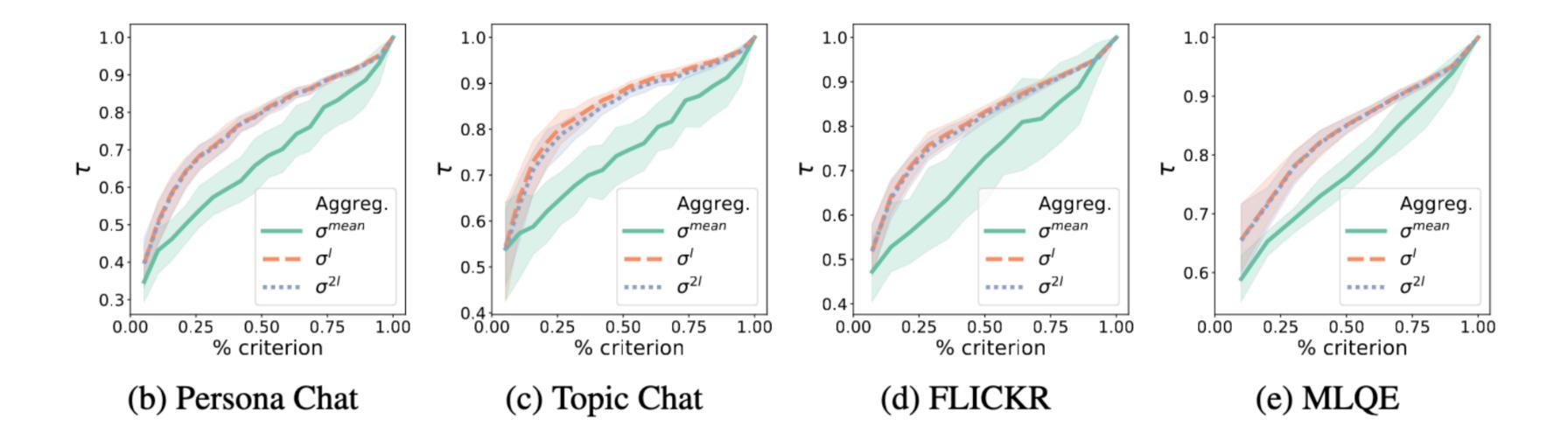
For every n, every t and every k, access to the aggregated performance of system n on instance k of task t

$$s_{n,t,k} \in \mathbb{R}$$

Goal: find an aggregation procedure that orders the systems.

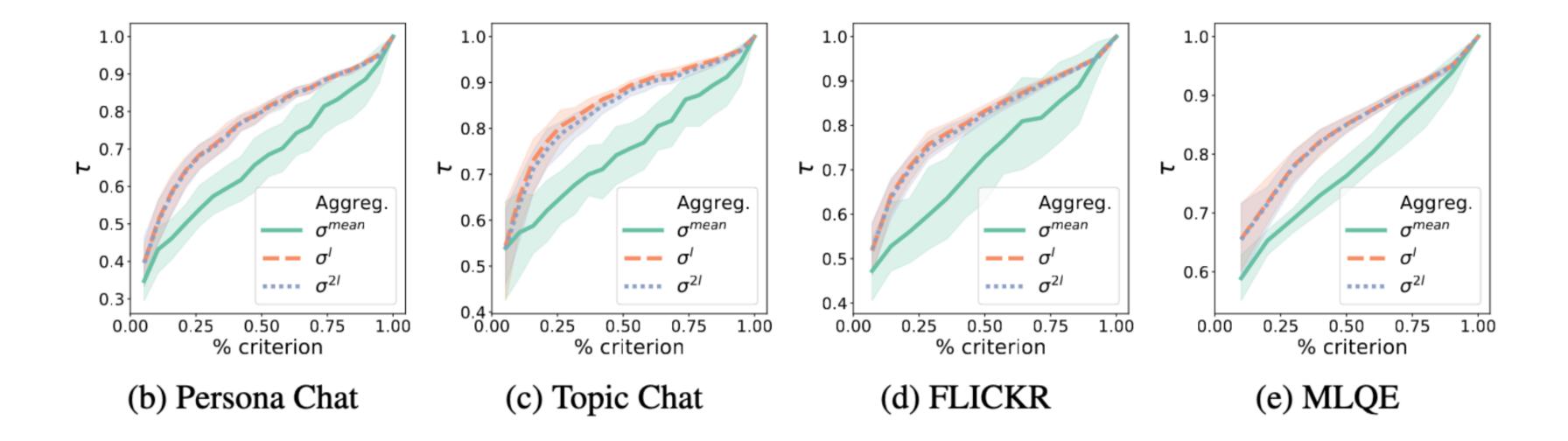
 $\forall 1 \leq t \leq T, \quad \sigma^t = \text{Borda}(\sigma^{t,k}, 1 \leq k \leq K_t)$

Robustness Analysis



Robustness Analysis

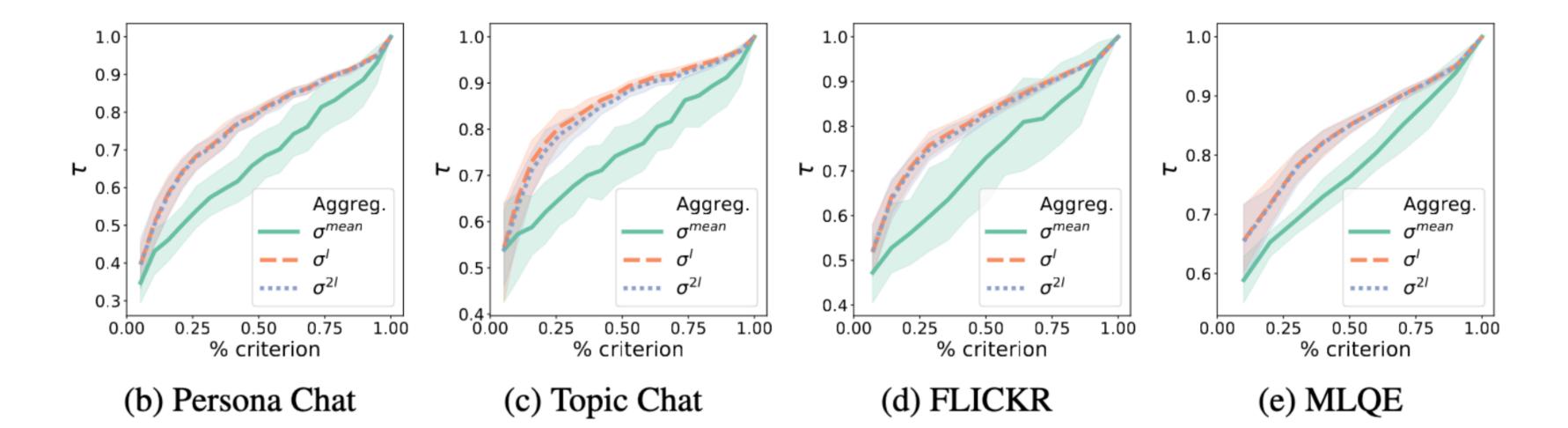
Relying on Borda count is more reliable. An 1 or 2 level are equivalents.



Robustness Analysis

Relying on Borda count is more reliable. An 1 or 2 level are equivalents.

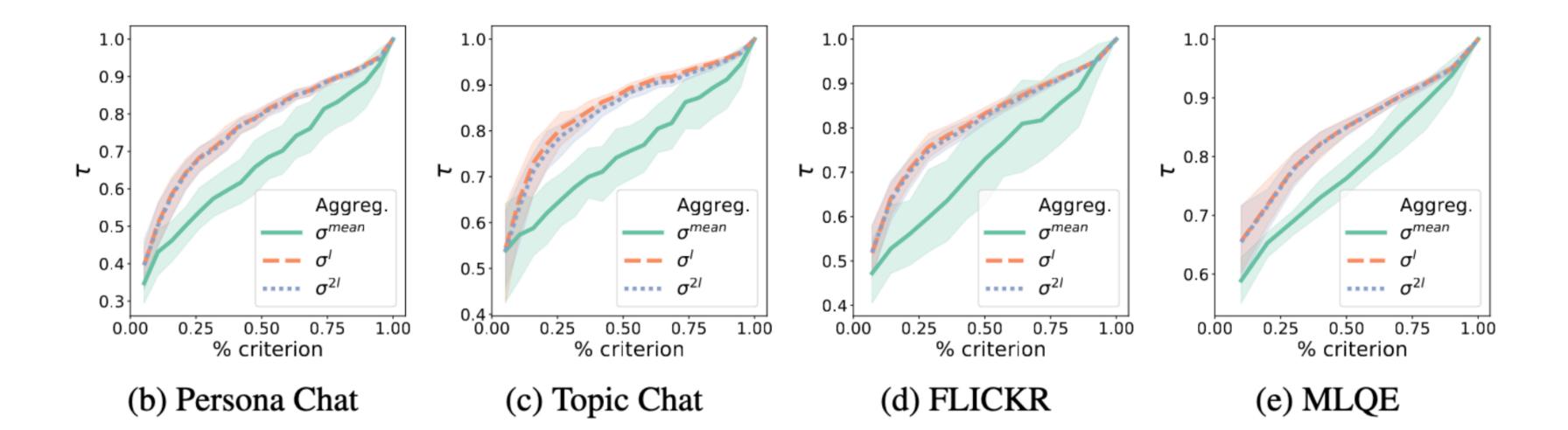




	PC	TC	FLI.	MLQE
$ au(\sigma^l,\sigma^{2l})$	-0.08	-0.01	0	-0.03
$ au(\sigma^{mean},\sigma^{2l})$	0.32	0.27	0.29	0.01
$ au(\sigma^{mean},\sigma^l)$	-0.10	-0.15	-0.04	0.00
RSUM	SEVAL	TAC08	TAC09	TAC11
0.04	0.14	0.28	0.06	-0.06
0.07	0.52	0.32	0.37	0.37
0	0.10	0.23	0.19	0.07

Robustness Analysis

Relying on Borda count is more reliable. An 1 or 2 level are equivalents.



Ranking Correlation

Aggregation procedure matters a lot!

 $\sigma^l {\rm disagrees\ from\ } \sigma^{2l} {\rm\ and\ } \sigma^{mean} {\rm\ both\ on\ top\ }$ systems and on their orders.

 σ^{2l} and σ^{mean} select similar systems but rank them differently.

	PC	TC	FLI.	MLQE
$ au(\sigma^l,\sigma^{2l})$	-0.08	-0.01	0	-0.03
$ au(\sigma^{\grave{m}ean},\sigma^{2l})$	0.32	0.27	0.29	0.01
$ au(\sigma^{mean},\sigma^l)$	-0.10	-0.15	-0.04	0.00
RSUM	SEVAL	TAC08	TAC09	TAC11
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