



HSE SMART ASSISTANT: INTEGRATED VOICE ASSISTANT FOR STUDENTS

Presentation by Anastasiia Prokhorova

Retrieval-Augmented
Generation | 2024

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PROBLEM

There exists no unique 100% reliable metric to evaluate RAG models.





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GOAL AND TASKS



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GOAL

assess the effectiveness of Retrieval-Augmented Generation (RAG) models and determine the choice of metrics to use for evaluation





TASKS



- study the literature on metrics for evaluating machine learning models
- implement and integrate metrics
- analyze obtained results
- determine effective performance metrics
- analyze ways of model improvement



EXPERIMENTS SETTINGS



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MODELS

MODEL 1

bert-base-multilingual-cased + Cosine
similarity

MODEL 2

cointegrated/rubert-tiny2 + Cosine similarity

MODEL 3

cointegrated/rubert-tiny2 + Euclidean
similarity

MODELS

MODEL 4

Jaccard similarity

MODEL 5

Jaccard similarity + Lemmatization + Query
Expansion + YandexGPT assistance

MODEL 6

cointegrated/rubert-tiny2 + Cosine similarity
+ Query Expansion + YandexGPT assistance

METHODOLOGY

DISTANCE METRICS

Cosine similarity, Euclidean distance, Jaccard similarity

RANKING METRICS

MAP@k, NDCG, MRR

COMPLEX METRICS

ROUGE-1, ROUGE-2, ROGE-L, BERTScore

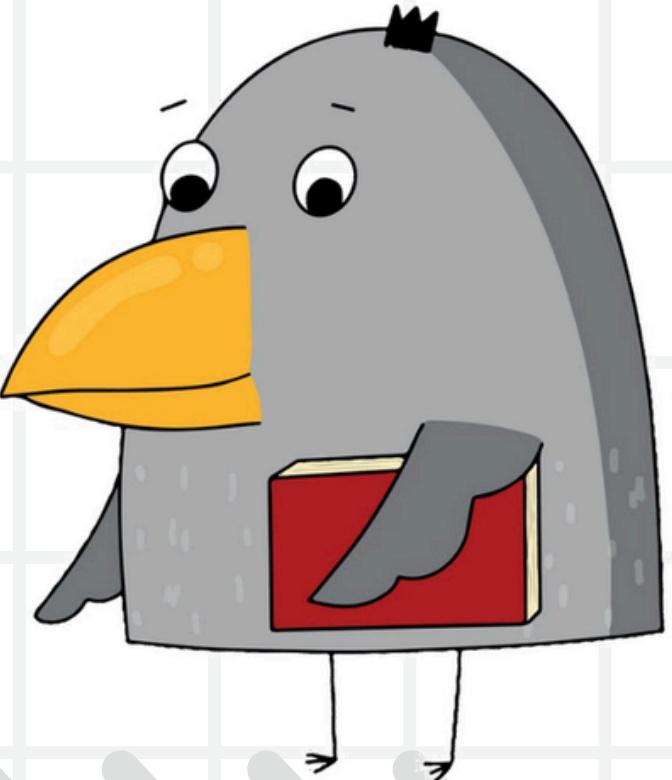
LLM-BASED METRICS

ChatGPT-4o, YandexGPT-3



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DISTANCE METRICS

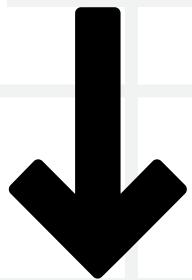


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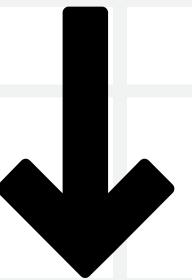
DISTANCE METRICS



COSINE SIMILARITY

$$\text{Cosine similarity}(A, B) = \frac{A * B}{\|A\| * \|B\|}$$

$\|A\|$ and $\|B\|$ - magnitudes (Euclidean norms) of vectors A and B



EUCLIDEAN DISTANCE

$$d(A, B) = \sqrt{\sum_{i=1}^n (A_i - B_i)^2}$$



JACCARD SIMILARITY

$$J(S_1, S_2) = \frac{|S_1 \cap S_2|}{|S_1 \cup S_2|}$$

S1 and S2 - sets of words from answers 1 and 2

RESULTS

	Cosine similarity	Euclidean distance	Jaccard similarity
Model 1	0.103	1.337	0.050
Model 2	0.216	1.247	0.130
Model 3	0.192	1.268	0.109
Model 4	0.171	1.284	0.107
Model 5	0.166	1.289	0.098
Model 6	0.151	1.301	0.089



SUMMARY

- Model 2 shows the best distance results
- Such small results indicate that the models' generated texts are significantly different from the reference texts
- Secondary metrics



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RANKING METRICS

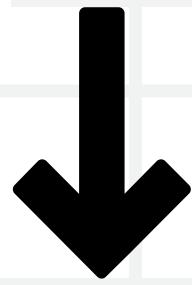


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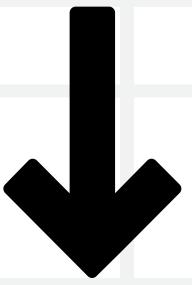
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RANKING METRICS



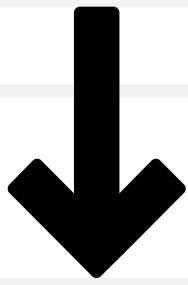
MAP@K

$$\frac{1}{Q} \sum_{q=1}^Q \left(\frac{1}{m_q} \sum_{j=1}^k (P(j) * rel(j)) \right)$$



NDCG

$$NDCG@k = \frac{DCG@k}{IDCG@k}$$
$$= \frac{\sum_{i=1}^k \frac{2^{rel_i} - 1}{\log_2(i + 1)}}{IDCG@k}$$

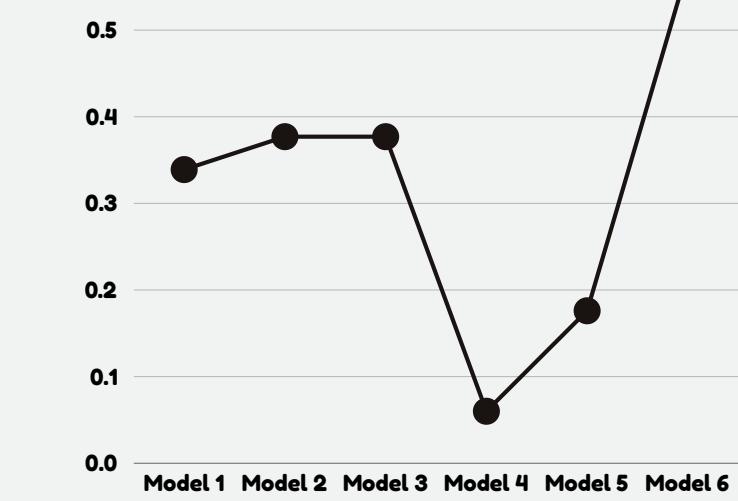
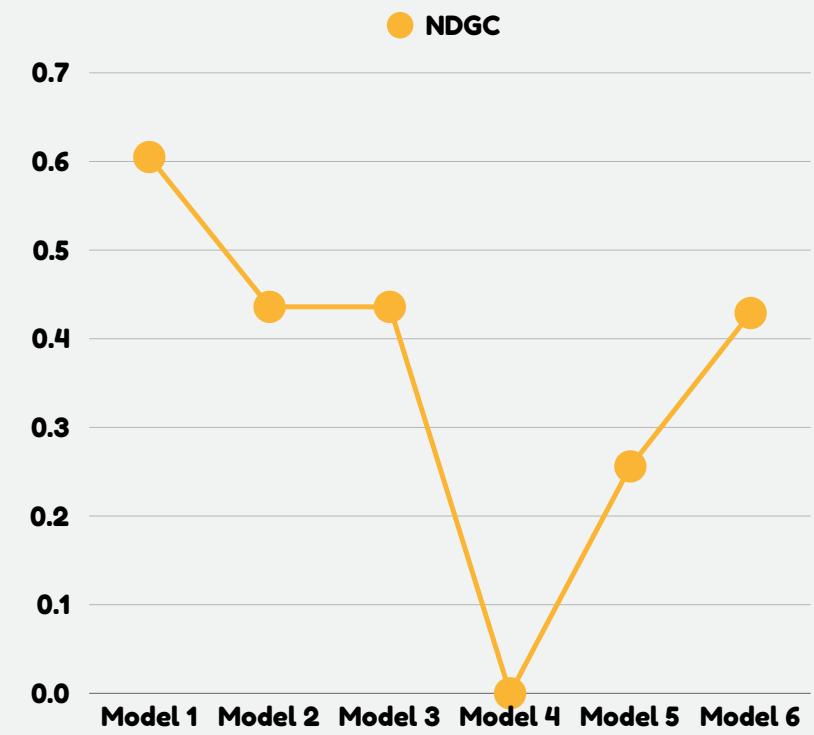
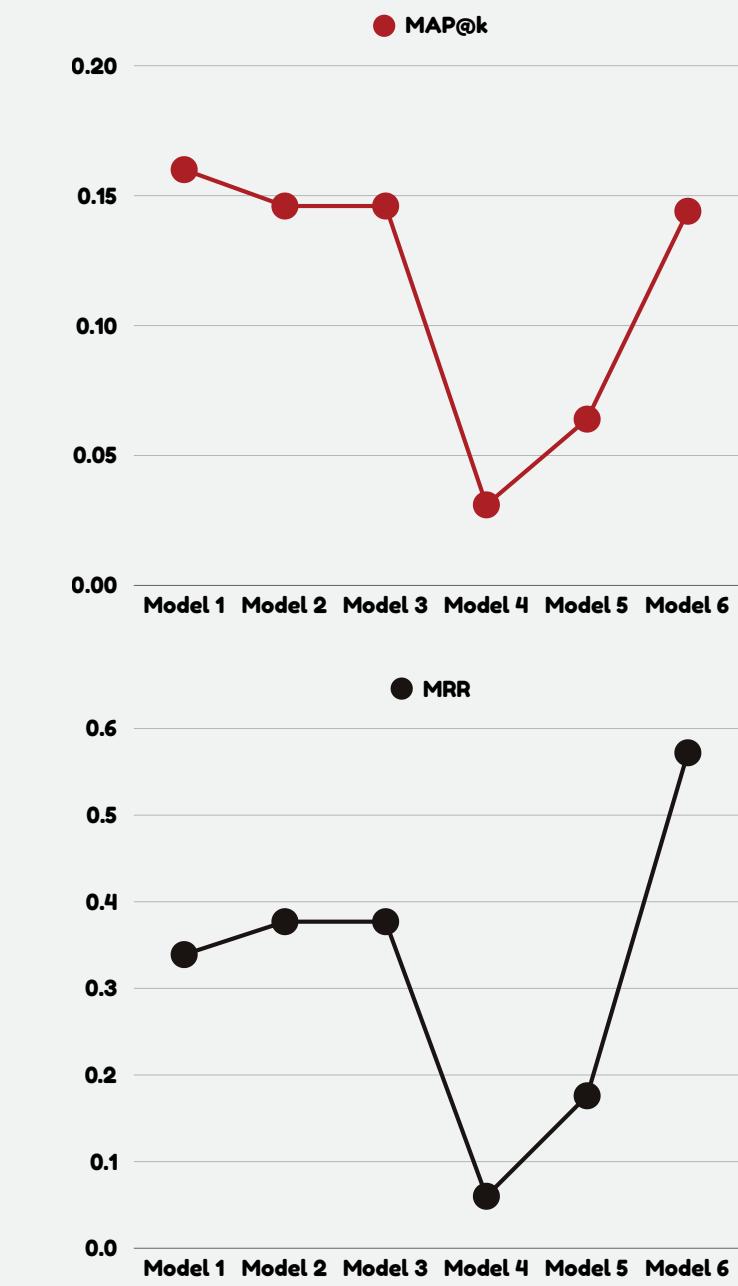


MRR

$$MRR = \frac{1}{Q} \sum_{q=1}^Q \frac{1}{rank_q}$$

RESULTS

	MAP@k	NDCG	MRR
Model 1	0.160	0.605	0.339
Model 2	0.146	0.436	0.377
Model 3	0.146	0.436	0.377
Model 4	0.031	0.000	0.060
Model 5	0.064	0.256	0.176
Model 6	0.144	0.429	0.572



SUMMARY

- Model 6 shows better results
- High Reliability, especially MRR and NDCG
- Primary metrics



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COMPLEX METRICS

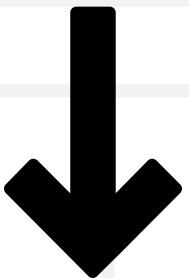


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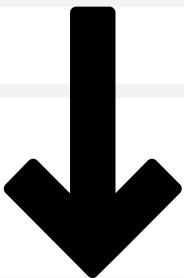
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COMPLEX METRICS



ROUGE

the number of matching n-grams between the model-generated text and a human-produced reference.

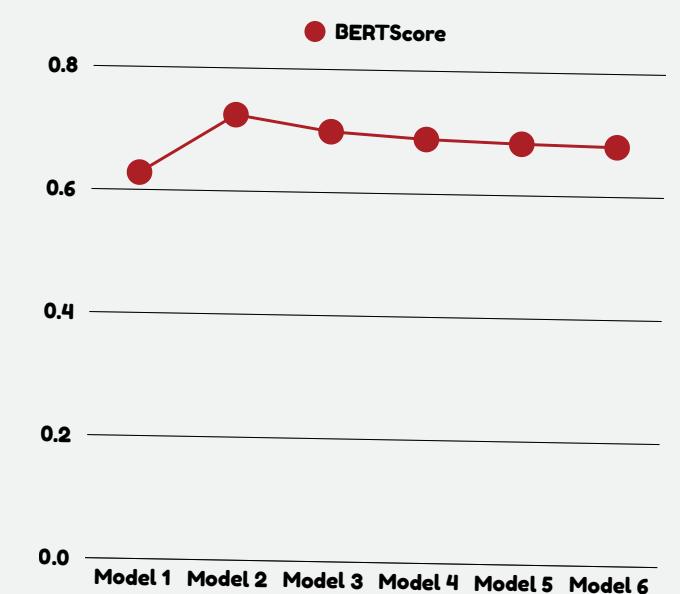
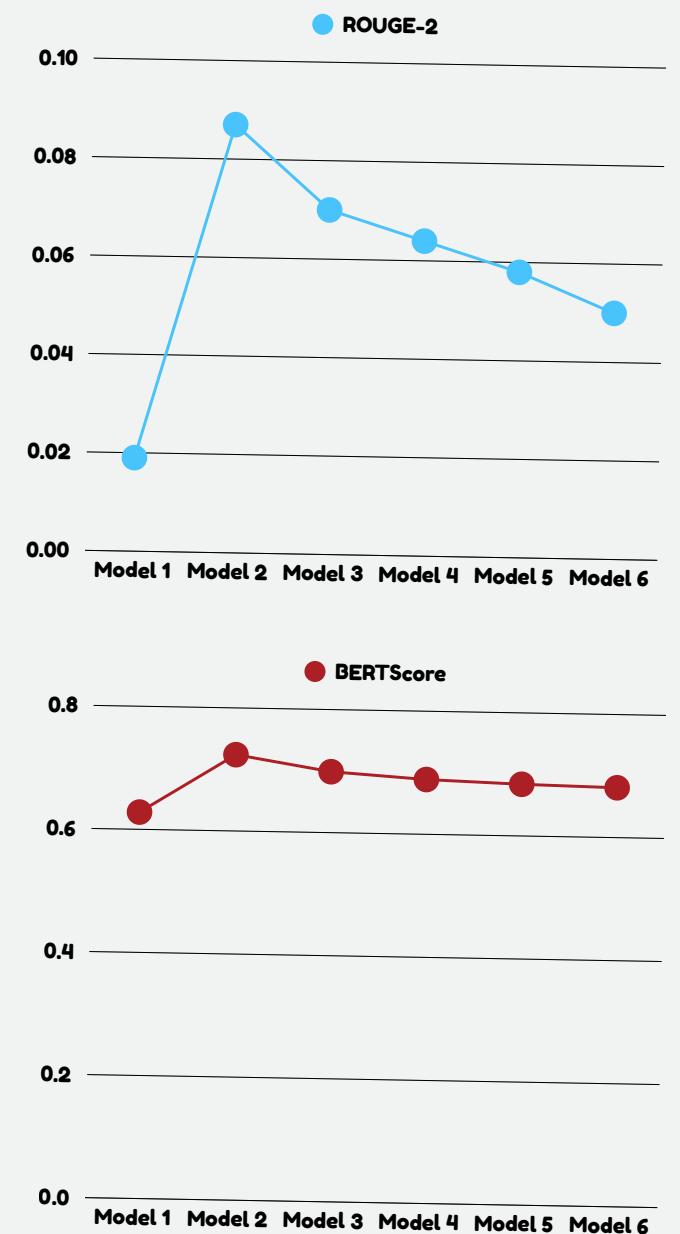
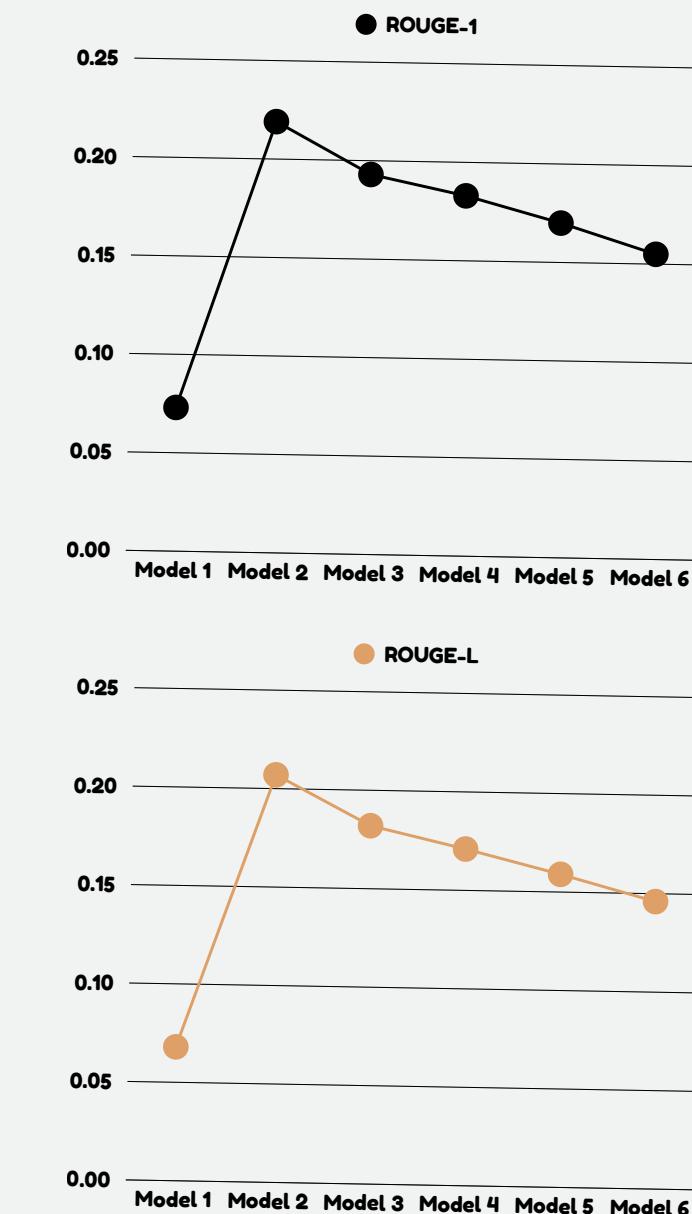


BERTSCORE

uses contextual embeddings from BERT to measure the semantic similarity between candidate and reference sentences

RESULTS

F1-score	ROUGE-1	ROUGE-2	ROUGE-L	BERTScore
Model 1	0.073	0.019	0.068	0.628
Model 2	0.219	0.087	0.207	0.724
Model 3	0.193	0.070	0.182	0.699
Model 4	0.183	0.064	0.171	0.689
Model 5	0.170	0.058	0.159	0.684
Model 6	0.155	0.050	0.146	0.681



SUMMARY

- Model 2 shows the best results
- BERTScore captures the meaning and context of the words, not just their lexical appearance as ROUGE does
- Primary metric = BERTScore
- Secondary metric = ROUGE



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LLM-BASED METRICS

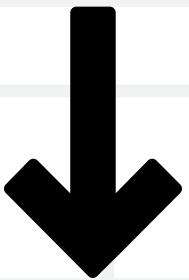


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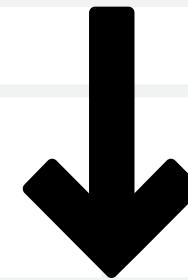
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LLM-BASED METRICS



CHATGPT-4O

an enhanced version of GPT-4 language model, optimized for specific functionalities and improved performance

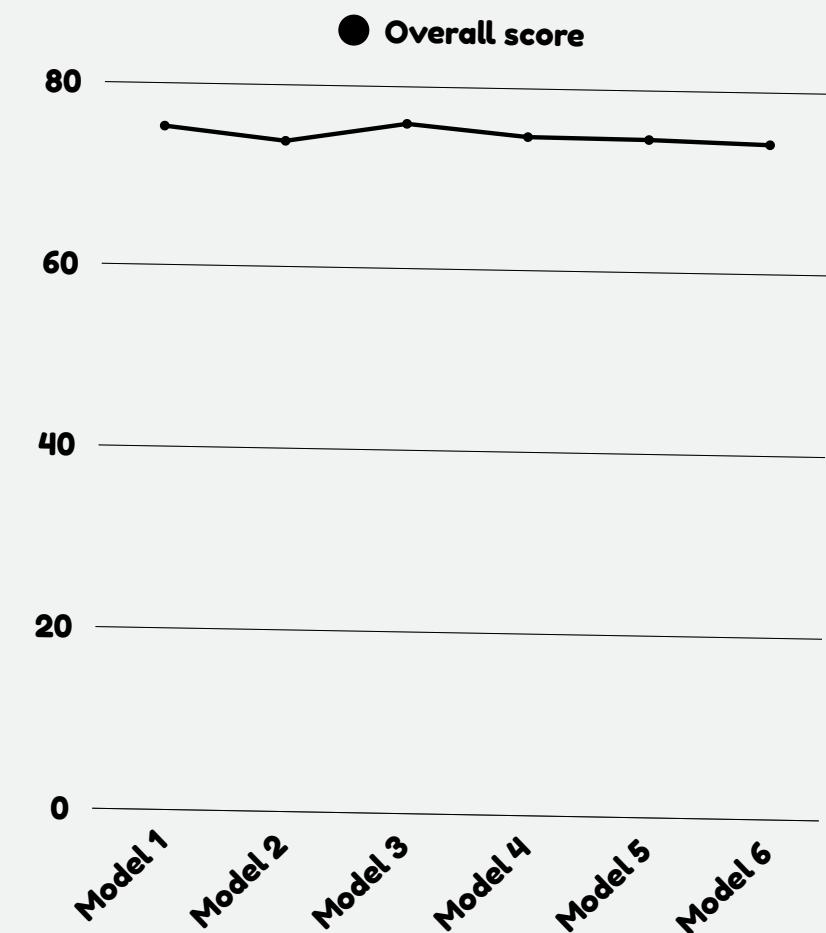


YANDEXGPT-3

based on the Transformer architecture and is trained on a huge set of data, including texts, images and other types of information.

RESULTS

ChatGPT-4o	Accuracy	Consistency	Logic	Completeness	Overall
Model 1	74.70	73.64	76.52	76.21	75.27
Model 2	75.16	74.04	72.31	73.81	73.83
Model 3	75.24	77.55	74.84	76.07	75.93
Model 4	74.71	74.66	73.77	75.66	74.70
Model 5	74.78	74.56	74.16	74.93	74.61
Model 6	72.95	75.42	74.67	74.04	74.27



SUMMARY

- Model 3 is thought to be the best
- High Reliability
- Primary metrics

COMPLETE VIEW

	BEST	SECOND BEST	THE WINNER
DISTANCE METRICS	Model 2	Model 3	
RANKING METRICS	Model 6	Model 2 & Model 3	Model 2
COMPLEX METRICS	Model 2	Model 3	
LLM-BASED METRICS	Model 3	Model 1	

WHY SUCH RESULTS?

FACTOR 1

Model
Architecture

FACTOR 2

Data

FACTOR 3

Metrics
Sensitivity

FACTOR 4

Query
Expansion

FACTOR 5

Inherent
Variability



CONCLUSION

MAP@K, MRR and NDCG are **essential** for evaluating RAG models as they directly measure the model's ability to retrieve and rank relevant documents accurately

+

BERTScore is also **highly valuable** for evaluating the quality of generated responses

=>

should be heavily weighted in decision-making processes for selecting RAG models



FURTHER WORK

- ⚙️ work on different prompts of the models and evaluate results
 - ⚙️ combine several RAG models
 - ⚙️ add re-ranking step in models
 - ⚙️ test models on broader range of documents
- 

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