

# 7. Language as a Sequence

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# NLP Viewpoints

\* Bag-of-words

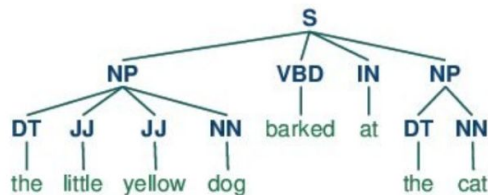


worse words warse wads weirs wyls wece

\* Sequence



\* Tree



\* Graph



# Contents

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1. Sequence labeling
2. Hidden Markov model
3. Logistic regression
4. Feature encoding
5. Conditional random fields
6. More about ngrams

# 1. Sequence Labeling

# Bag of words vs. sequence

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Trump beat Clinton in the election.

= or ≠

Clinton beat Trump in the election.

# Sequence Labeling

— — —

Part-of-speech tagging:

|     |       |          |        |         |      |     |        |     |     |      |   |
|-----|-------|----------|--------|---------|------|-----|--------|-----|-----|------|---|
| DT  | NN    | VBD      | NNS    | IN      | DT   | DT  | NN     | CC  | DT  | NN   | . |
| The | pound | extended | losses | against | both | the | dollar | and | the | euro | . |

# Sequence Labeling

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Named-entity recognition:

|      |        |     |         |       |    |          |    |     |     |   |
|------|--------|-----|---------|-------|----|----------|----|-----|-----|---|
| PER  | O      | PER | PER     | PER   | O  | O        | O  | O   | ORG | O |
| Fred | showed | Sue | Mengzui | Huang | 's | painting | in | the | Met | . |

# Sequence Labeling

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Named-entity recognition:

|              |        |     |              |              |              |          |    |     |     |   |              |   |
|--------------|--------|-----|--------------|--------------|--------------|----------|----|-----|-----|---|--------------|---|
| <b>B-PER</b> | O      |     | <b>B-PER</b> | <b>B-PER</b> | <b>I-PER</b> | O        | O  |     | O   | O | <b>B-ORG</b> | O |
| Fred         | showed | Sue | Mengzui      | Huang        | 's           | painting | in | the | Met | . |              |   |



# Sequence Labeling

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Error detection:

|   |      |    |         |  |     |        |     |      |      |        |   |
|---|------|----|---------|--|-----|--------|-----|------|------|--------|---|
| + | +    | +  | x       |  | +   | +      | +   | +    | +    | x      | + |
| I | like | to | playing |  | the | guitar | and | sing | very | louder | . |

# Sequence Labeling

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Word segmentation:

|     |        |     |   |            |   |   |     |
|-----|--------|-----|---|------------|---|---|-----|
| S   | S      | B   | E | B          | M | E | S   |
| 我   | 有      | 一   | 台 | 计          | 算 | 机 | 。   |
| (I) | (have) | (a) |   | (computer) |   |   | (.) |

# Sequence Labeling

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Semantic role labeling:

The police officer detained the suspect at the scene of the crime

|       |           |       |          |
|-------|-----------|-------|----------|
| Agent | Predicate | Theme | Location |
|-------|-----------|-------|----------|

# Sequence Labeling

— — —

Genome analysis:

intron exon intron exon intron  
AGCTAACGTTTCGATACGGATTACAGCCT

# Sequence Labeling

— — —

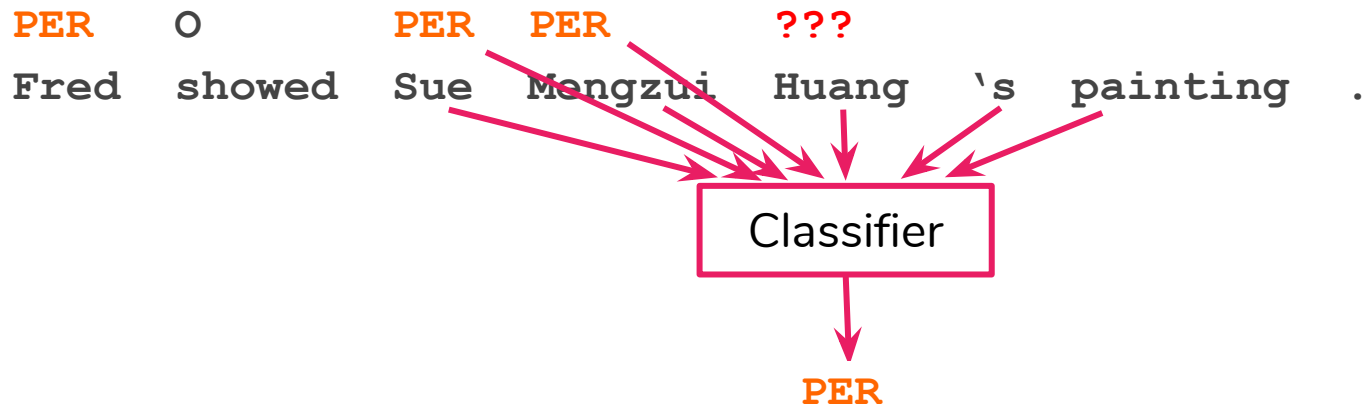
There's more:

- dialogue act tagging
- lexical stress and pitch accent detection
- sentence segmentation
- chunking
- etc.

# Sequence Labeling

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Sequence labeling is essentially a **classification** of each incoming element taking into account left and right context.



# Models for sequence labelling

— — —

- HMM - generative, classifies the whole sequence at once
  - $p(x, y)$
- MaxEnt - discriminative, classifies elements one by one
  - $p(y_i=1/x_i)$
- CRFs - discriminative, classifies the whole sequence at once
  - $p(y/x)$

## 2. Hidden Markov Model



# Hidden Markov Model

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HMM - a **generative** probabilistic sequence model used for:

- speech recognition
- segmentation (words, sentences, genomes)
- NER
- POS tagging

# HMM for POS tagging: notation

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$V$  - vocabulary

$T$  - POS tags

$x$  - sentence (observation)

$y$  - tag sequences (state)

$S$  - all sentence/tag-sequence pairs  $\{x_1 \dots x_n, y_1 \dots y_n\}$

- $n > 0$
- $x_i \in V$
- $y_i \in T$

# HMM for POS tagging

---

**S** - all sentence/tag-sequence pairs  $\{\mathbf{x}_1 \dots \mathbf{x}_n, \mathbf{y}_1 \dots \mathbf{y}_n\}$

|           |        |   |     |     |      |   |
|-----------|--------|---|-----|-----|------|---|
| <b>x:</b> | Chewie | , | we  | 're | home | . |
| <b>y:</b> | NNP    | , | PRP | VBP | RB   | . |
|           | NN     | , | PRP | VBP | RB   | . |
|           | NNP    | , | PRP | VBP | NN   | . |
|           | NN     | , | PRP | VBP | NN   | . |
|           | ...    |   |     |     |      |   |

**Aim:** find  $\{\mathbf{x}_1 \dots \mathbf{x}_n, \mathbf{y}_1 \dots \mathbf{y}_n\}$  with the highest probability.

# Hidden Markov Model: assumptions

— — —

- Markov Assumption: "*The **future** is independent of the **past** given the **present**.*"
  - Trigram HMM: each state depends only on the previous two states in the sequence
- Independence assumption:
  - the state of  $\mathbf{x}_i$  depends only on the value of  $\mathbf{x}_i$ , independent of the previous observations and states

# Hidden Markov Model: assumptions

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**S** - all sentence/tag-sequence pairs  $\{\mathbf{x}_1 \dots \mathbf{x}_n, \mathbf{y}_1 \dots \mathbf{y}_n\}$

|           |        |   |     |     |      |   |
|-----------|--------|---|-----|-----|------|---|
| <b>x:</b> | Chewie | , | we  | 're | home | . |
| <b>y:</b> | NNP    | , | PRP | VBP | ?    | . |
|           | NN     | , | PRP | VBP | ?    | . |
|           | ...    |   |     |     |      |   |

# Trigram Hidden Markov Model: parameters

---

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}, y_{i-1}) \prod_{i=1}^n e(x_i | y_i)$$

- $q(s|u, v)$  - the probability of tag  $s$  after the tags  $(u, v)$ 
  - $s, u, v \in T$
- $e(x|s)$  - the probability of observation  $x$  paired with state  $s$ 
  - $x \in V, s \in T$

# Trigram Hidden Markov Model: parameters

- — —
- $q(s|u, v)$  - the probability of tag  $s$  after the tags  $(u, v)$

$$q(s|u, v) = \frac{c(u, v, s)}{c(u, v)}$$

- $e(x|s)$  - the probability of observation  $x$  paired with state  $s$

$$e(x|s) = \frac{c(s \rightsquigarrow x)}{c(s)}$$

# For example

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x: Chewie , we 're home .

y: *NNP* , *PRP* *VBP* *RB* .

$p(x, y) = ?$



# For example

---

x: Chewie , we 're home .

y: NNP , PRP VBP RB .

$$p(\mathbf{x}, \mathbf{y}) = c(\text{NNP}, |, |, \text{PRP}) / c(\text{NNP}, |, |) * c(|, |, \text{PRP}, \text{VBP}) / c(|, |, \text{PRP}) * \\ c(\text{PRP}, \text{VBP}, \text{RB}) / c(\text{PRP}, \text{VBP}) * c(\text{VBP}, \text{RB}, |, |) / c(\text{VBP}, \text{RB}) * \dots$$

# For example

---

x: Chewie , we 're home .

y: NNP , PRP VBP RB .

$$\begin{aligned} p(\mathbf{x}, \mathbf{y}) = & c(\text{NNP}, |, |, \text{PRP}) / c(\text{NNP}, |, |) * c(|, |, \text{PRP}, \text{VBP}) / c(|, |, \text{PRP}) * \\ & c(\text{PRP}, \text{VBP}, \text{RB}) / c(\text{PRP}, \text{VBP}) * c(\text{VBP}, \text{RB}, |. |) / c(\text{VBP}, \text{RB}) * \\ & c(\text{NNP} \rightarrow \text{Chewie}) / c(\text{NNP}) * c(|, | \rightarrow ,) / c(|, |) * \\ & c(\text{PRP} \rightarrow \text{we}) / c(\text{PRP}) * c(\text{VBP} \rightarrow \text{'re}) / c(\text{VBP}) * \\ & c(\text{RB} \rightarrow \text{home}) / c(\text{RB}) * c(|. | \rightarrow .) / c(|. |) \end{aligned}$$

# One thing missing

---

x:                    Chewie       ,       we       're       home       .

y:   <S>   <S>   NNP               ,       PRP       VBP               RB               .   </S>

$$\begin{aligned} p(\mathbf{x}, \mathbf{y}) = & c(\text{NNP}, |, |, \text{PRP}) / c(\text{NNP}, |, |) * c(|, |, \text{PRP}, \text{VBP}) / c(|, |, \text{PRP}) * \\ & c(\text{PRP}, \text{VBP}, \text{RB}) / c(\text{PRP}, \text{VBP}) * c(\text{VBP}, \text{RB}, |, |) / c(\text{VBP}, \text{RB}) * \\ & c(<\text{S}>, <\text{S}>, \text{NNP}) / c(<\text{S}>, <\text{S}>) * c(<\text{S}>, \text{NNP}, |, |) / c(<\text{S}>, \text{NNP}) * \\ & c(\text{RB}, |, |, </\text{S}>) / c(\text{RB}, |, |) * c(\text{NNP} \rightarrow \text{Chewie}) / c(\text{NNP}) * c(|, | \rightarrow ,) / c(|, |) * \\ & c(\text{PRP} \rightarrow \text{we}) / c(\text{PRP}) * c(\text{VBP} \rightarrow \text{'re}) / c(\text{VBP}) * \\ & c(\text{RB} \rightarrow \text{home}) / c(\text{RB}) * c(|, | \rightarrow .) / c(|, |) \end{aligned}$$

# HMM: problem 1

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Enumerating all possible tag sequences is not feasible —  $T^n$ .

44 tags \*\* 6-token sentence = 7,256,313,856 tag sequences

Solutions:

- use dynamic programming (the Viterbi algorithm) -  $n * T^3$
- limit the number of candidates with a dictionary -  $n * 8^3$
- use beam search

# HMM: problem 2

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Zero probabilities can occur because of OOV or rare words.

Solution: use *smoothing*

- **add-1**: pretend you saw each word (or each new word) one more time (also: **add-k**)
- **Good-Turing**: when the trigram count is near 0, rely on bigram
- **Kneser-Ney**: reallocate the probability of ngrams that occur  $r+1$  times to the ngrams that occur  $r$  times

# HMM: problem 3

— — —

Limited features taken into account:

- $p(\text{tag}/\text{word})$  could be informative
- incorporating lemmas, grammatical properties, spelling properties, etc. is hard

# 3. Logistic Regression

# Logistic Regression

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Logistic regression - a **discriminative** linear model used for binary classification.

- like Perceptron, it's linear
- like NB, it extracts a set of weighted features, takes logs, and combines them linearly
- unlike NB, it's discriminative

$$z = \left( \sum_{i=1}^n w_i x_i \right) .$$



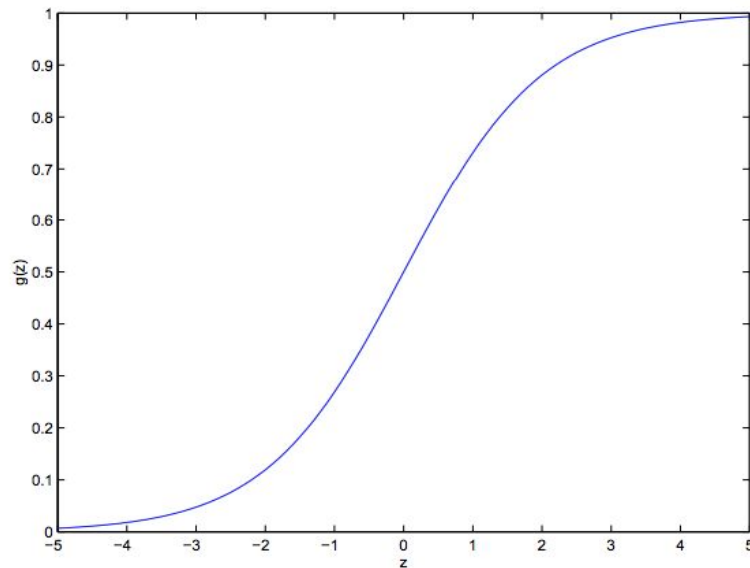
# Logistic Regression

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A  $[0; 1]$  function would be handy:  $y = 1$  if  $p(y=1|x) > 0.5$ .

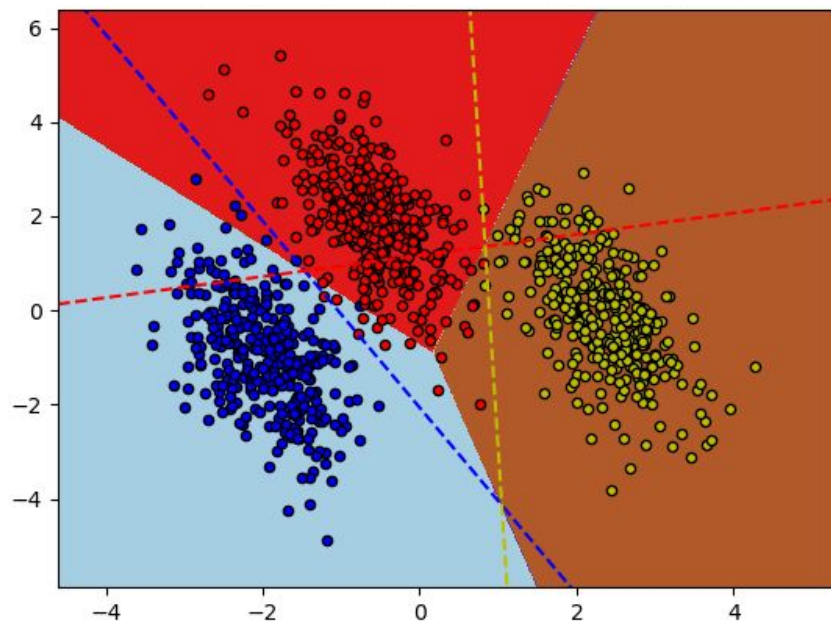
Sigmoid function:

$$\begin{aligned} P(y=1) &= \sigma(w \cdot x + b) \\ &= \frac{1}{1 + e^{-(w \cdot x + b)}} \end{aligned}$$

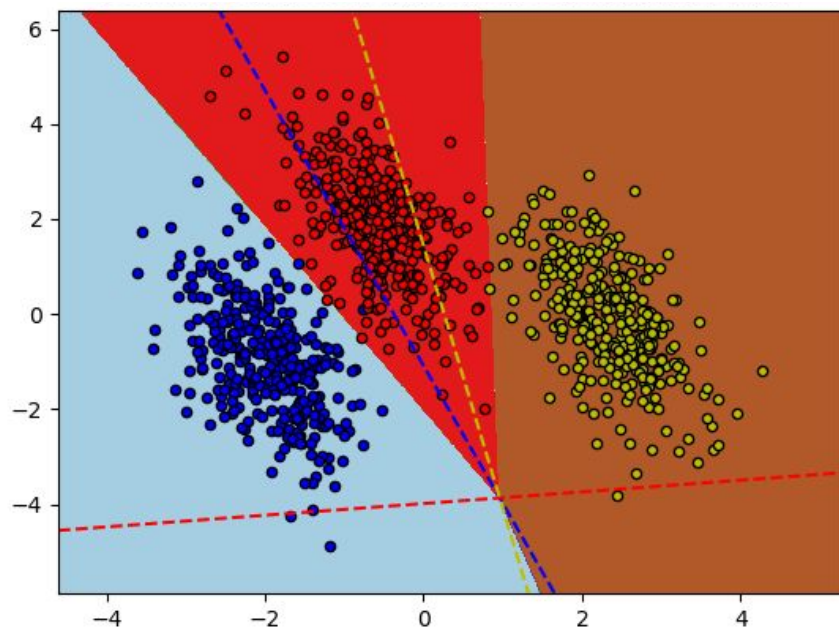


# Logistic Regression: multiclass

one vs. rest



multinomial (MaxEnt)



# Logistic Regression

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For multinomial logistic regression, use softmax:

$$p(c|x) = \frac{\exp\left(\sum_{i=1}^N w_i f_i(c, x)\right)}{\sum_{c' \in C} \exp\left(\sum_{i=1}^N w_i f_i(c', x)\right)}$$

# Logistic Regression: example

---

Welcome to St . Paul 's Cathedral !

 [Is this period a sentence end?]

# Logistic Regression: example

---

Welcome to St . Paul 's Cathedral !



[Is this period a sentence end?]

$y$ : {is-end, is-not-end}

$x$ : {"word+1\_is\_cap", "word-1=kittens", "word-1=St", "tag-1=PRP", "tag+1=JJ"}

# Logistic Regression: example

---

Welcome to St . Paul 's Cathedral !



[Is this period a sentence end?]

$y$ : {is-end, is-not-end}

$x$ : {"word+1\_is\_cap", "word-1=kittens", "word-1=St", "tag-1=PRP", "tag+1=JJ"}

$x_j$ : [1, 0, 1, 0, 0]

# Logistic Regression: example

---

Welcome to St . Paul 's Cathedral !

 [Is this period a sentence end?]

$y$ : {is-end, is-not-end}

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$x_j$ : [1, 0, 1, 0, 0]

$w_{\text{is-end}}$ : [2.9, 2.5, -0.9, 0, 0]

$w_{\text{is-not-end}}$ : [0.5, -0.7, 2.9, 0, 0]

# Logistic Regression: example

---  
Welcome to St . Paul 's Cathedral !

 [Is this period a sentence end?]

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$x$ : {"word+1\_is\_cap", "word-1=kittens", "word-1=St", "tag-1=PRP", "tag+1=JJ"}

$x_j$ : [1, 0, 1, 0, 0]

$w_{\text{is-end}}$ : [2.9, 2.5, -0.9, 0, 0]

$w_{\text{is-not-end}}$ : [0.5, -0.7, 2.9, 0, 0]

$$P(\text{is-end}|x_j) = e^{2.9-0.9} / (e^{2.9-0.9} + e^{0.5+2.9}) = 0.2$$

$$P(\text{is-not-end}|x_j) = e^{0.5+2.9} / (e^{2.9-0.9} + e^{0.5+2.9}) = 0.8$$



# Logistic Regression: weights

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Learn weights:

- start with a vector of zeros
- move towards the gradient
- to maximize the probability / minimize the loss function

$$\hat{w} = \underset{w}{\operatorname{argmax}} \sum_j \log P(y^{(j)} | x^{(j)})$$

## 4. Feature Encoding

# Encode neighbors: feature template

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

“losses”/NNS:

{“word-2”: “pound”,  
“word-1”:  
“extended”,  
“word+1”: “against”,  
“word+2”: “both”,

“tag-2”: “NN”,  
“tag-1”: “VBD”,  
“tag+1”: “IN”,  
“tag+2”: “DT”}

# Encode neighbors: feature template

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

[ 1, 1, 0, ...]  
word-2=pound word-1=extended word+1=hello

# Encode neighbors: feature template

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

“losses”/NNS:

```
{“wt-2”: “pound_NN”,  
 “wt-1”: “extended_VBD”,  
 “wt+1”: “against_IN”,  
 “wt+2”: “both_DT”}
```

# Encode context (ngrams)

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .

The pound extended losses against both the dollar and the euro .

“losses”/NNS:

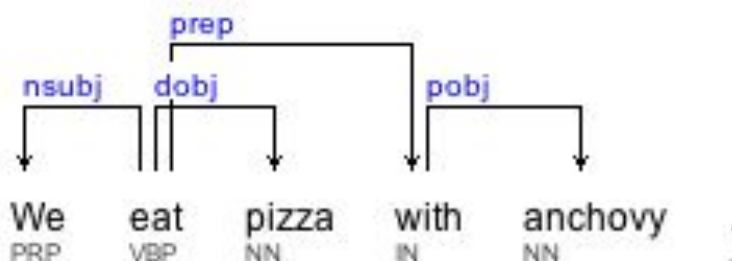
{“left-bigram”: “pound extended”,

“right-bigram”: “against both”,

“context”: “extended losses against both”}

# Encode dependencies

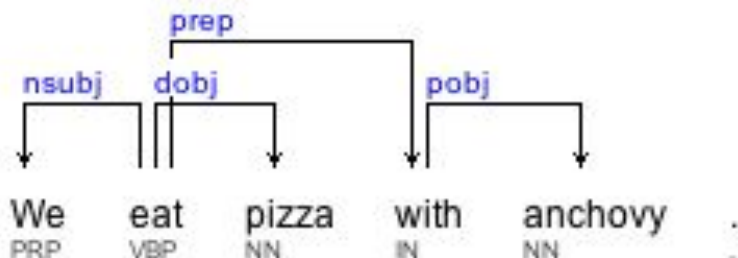
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“eat”/VBP:

[ 1, 0, 0, 1, 0, 1, 0, 0, ...]  
nsubj acl relcl dobj pobj prep punct xcomp

# Encode dependencies



“eat”/VBP:

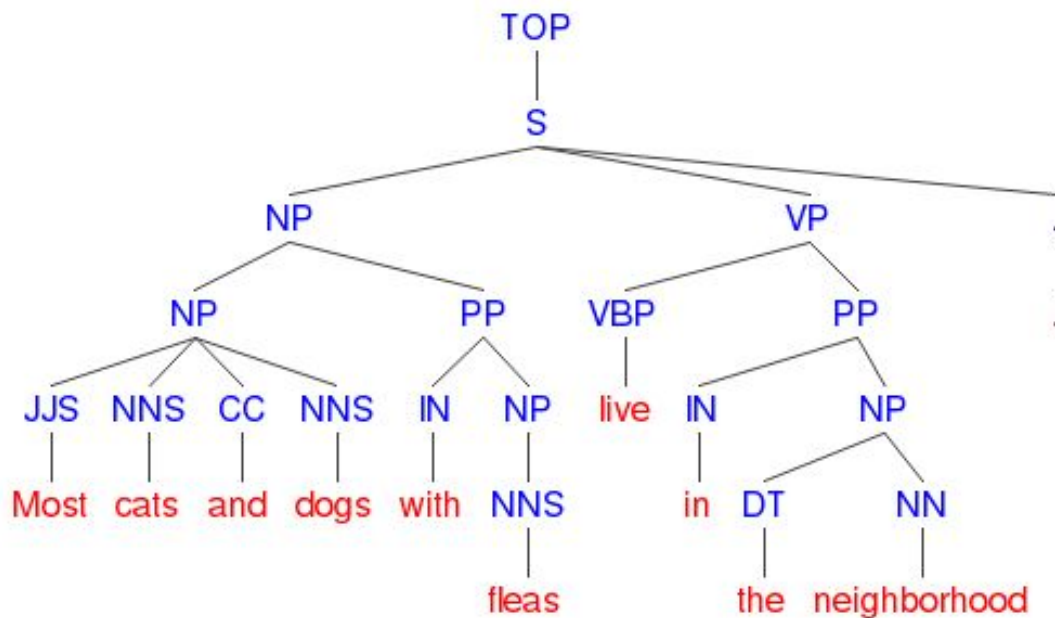
- *nsubj\_We, dobj\_pizza, prep\_with*
- *nsubj\_PRP, dobj\_NN, prep\_IN*
- *nsubj\_We, dobj\_pizza, prep\_with\_pobj\_anchovy*



# Encode constituents

“fleas”/NNS:

{“label”: “NP”,  
“anc-left”: “PP”,  
“anc-right”: “S”,  
“span-start”: 5,  
“span-end”: 6}



# More features

— — —

- affixes
- coreference
- sentiment
- grammatical characteristics of various parts of speech:
  - countability of nouns
  - tense of verbs
  - degree of comparison of adjectives
  - pronoun type
  - conjunction type

# More features

— — —

- capitalized?
- hyphenated?
- compound?
- lemma
- sense id
- number of senses in WordNet
- is in X dictionary
- has X as a synonym
- ...

# What features to use?

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

- *gold or predicted?*

# 5. Conditional Random Fields

# Conditional Random Fields

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CRFs = MaxEnt + HMM

- HMM - generative, **classifies the whole sequence at once**
  - $p(x, y)$
- MaxEnt - **discriminative**, classifies elements one by one
  - $p(y_i=1|x_i)$
- CRFs - **discriminative**, **classify the whole sequence at once**
  - $p(y|x)$

# Conditional Random Fields

- MaxEnt

$$p(c|x) = \frac{\exp\left(\sum_{i=1}^N w_i f_i(c, x)\right)}{\sum_{c' \in C} \exp\left(\sum_{i=1}^N w_i f_i(c', x)\right)}$$

- CRF also learns transitions

$$p(l|s) = \frac{\exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l_i, l_{i-1}))}{\sum_{l'} \exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l'_i, l'_{i-1}))}$$

# Conditional Random Fields

---

$$p(l|s) = \frac{\exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l_i, l_{i-1}))}{\sum_{l'} \exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l'_i, l'_{i-1}))}$$

$s$  - sentence (list of words)

$l$  - list of labels

$i$  - index of a word in  $s$

$l_i$  - label of the word  $i$

$l_{i-1}$  - label of the word before  $i$



## 6. More about Ngrams

# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

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So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>




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So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>



# Token ngrams

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Usually  $1 \leq n \leq 5$ .

<S> Why did n't you listen to me ? </S>

**n = 1:** (<S>), (Why), (did), (n't), (you), (listen), (to), (me), (?)...

**n = 2:** (<S> Why), (Why did), (did n't), (n't you), (you listen), (listen to)...

**n = 3:** (<S> Why did), (Why did n't), (did n't you), (you listen to)...

...

# Character Ngrams

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<S> Why did n't you listen to me ? </S>

For words:

**n = 3:** (<w> W h), (W h y), (h y </w>), (<w> d i), (d i d), (i d n), (d n ')...

For sentences:

**n = 3:** (W h y), (h y \_), (y \_ d), (\_ d i), (d i d), (i d n), (d n '), (n ' t)...

# POS Ngrams

---

<S> Why did n't you listen to me ? </S>

<S> WDT VBD RB PRP VB TO PRP . </S>

POS:

**n = 3:** (<S>, WDT, VBD), (WDT, VBD, RB), (VBD, RB, PRP), (RB, PRP, VB)...

Token+POS:

**n = 2:** (<S>\_<S>, Why\_WDT), (Why\_WDT, did\_VBD), (did\_VBD, n't\_RB)...

Token or POS:

**n = 3:** (<S>, WDT, did), (WDT, did, RB), (did, RB, PRP), (RB, PRP, listen)...

# Tree Ngrams

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Head+dependency:

*listen\_nsubj*

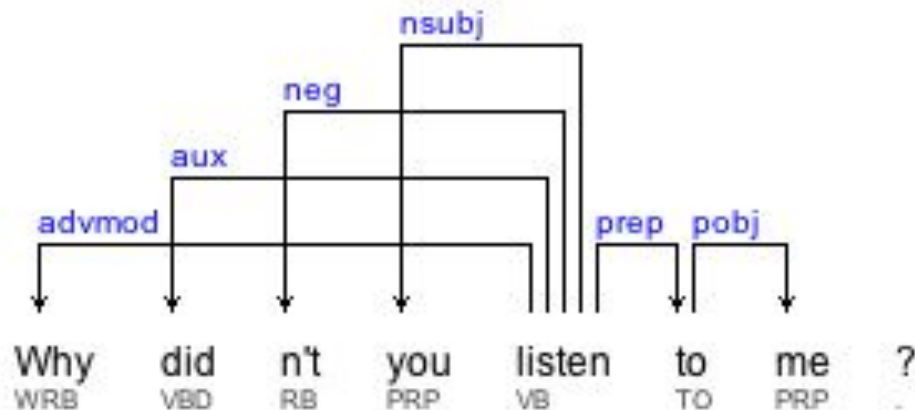
*listen\_nsubj\_you*

*listen\_prep\_to\_pobj\_me*

Head+POS+dependency:

*listen/VB\_nsubj*

*listen/VB\_nsubj\_you/PRP*



# Ngrams usage

---

1. Speech recognition
2. Autocompletion



google autocomplete is|

google autocomplete is **funny**

google autocomplete is **not working**

google autocomplete is **not working in firefox**

google autocomplete is **annoying**

google autocomplete is **slow**

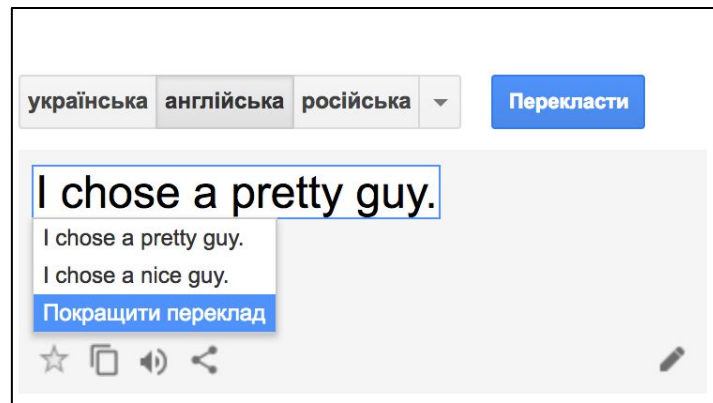
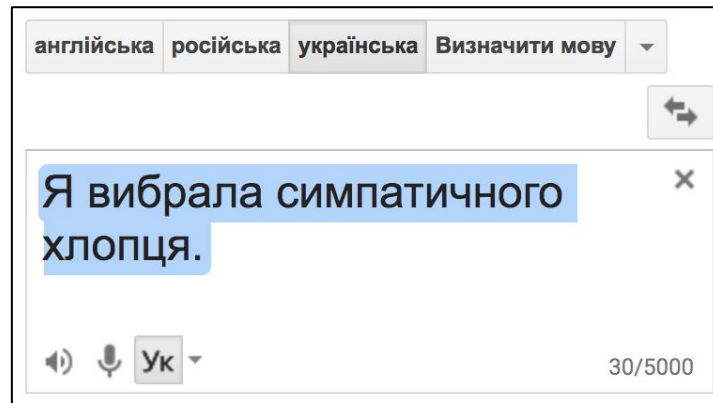
google autocomplete islam

google autocomplete isn't working

# Ngrams usage

— — —

1. Speech recognition
2. Autocompletion
3. Machine Translation





# Ngrams usage

---

1. Speech recognition
2. Autocompletion
3. Machine Translation
4. Handwriting recognition
5. Spelling correction
6. (and GEC in general)

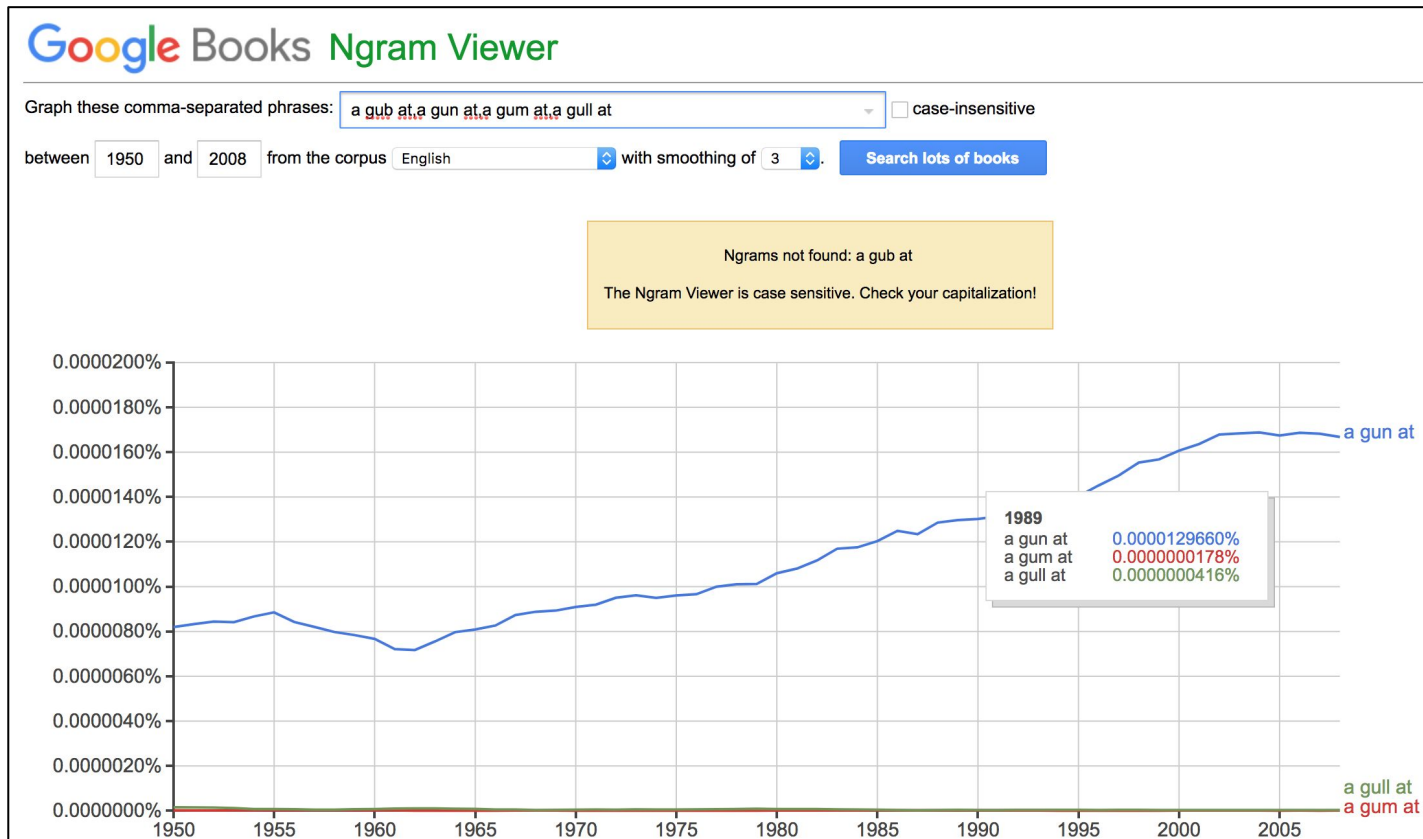


# Ngrams as a feature

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Frequency or  
probability:

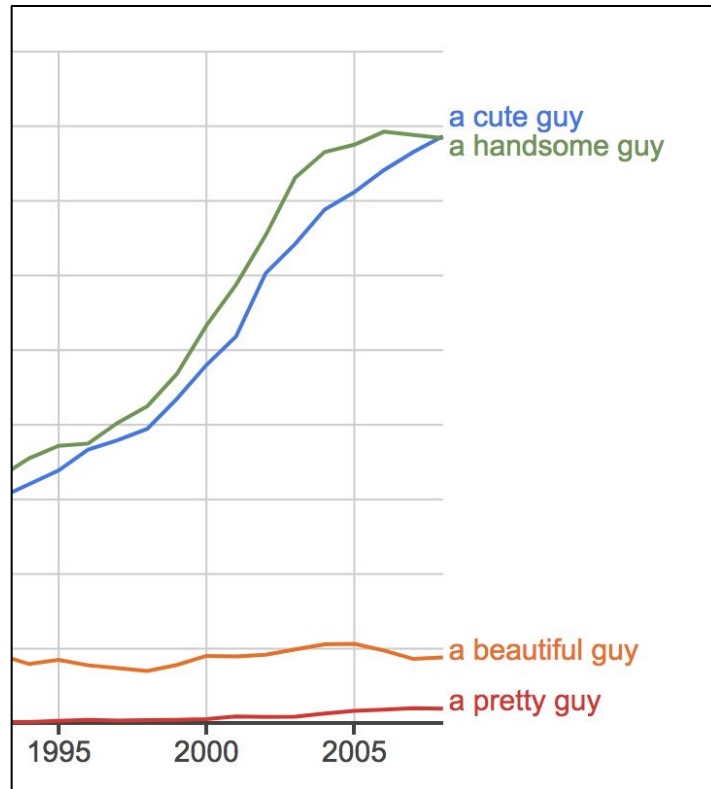
a gub at  
a gun at  
a gum at  
a gull at



# Ngrams as a feature

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Frequency / probability



# Ngrams as a feature

---

Frequency or probability

|  |            |
|--|------------|
| at   | 0.1        |
| by   | 0.2        |
| for  | 0.1        |
| He will take our place <b>in</b> the line. → | <b>0.3</b> |
| from   | 0.0        |
| to   | 0.1        |
| with   | 0.1        |

# Ngrams as a feature

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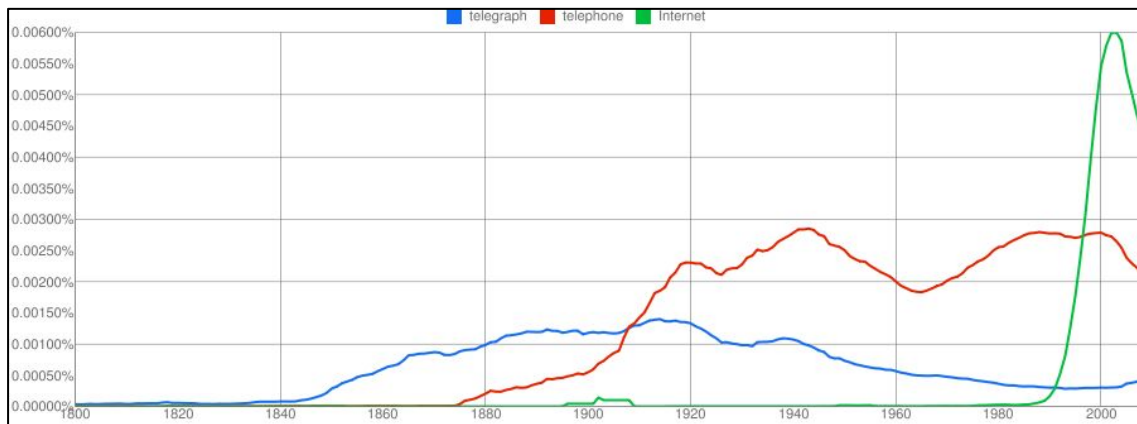
Conditional probability

$$P(w_n|w_{n-1}) = \frac{C(w_{n-1}w_n)}{C(w_{n-1})}$$

*To be continued on the lecture about language modeling...*

# Where to get ngrams

- [1 mln of 2/3/4/5-ngrams from COCA](#) for free
- [Google ngrams](#) (and [how to download](#))
- [Google syntactic ngrams](#)
- collect on your own



# How to encode ngram frequencies

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Ngrams:

“met a cute”: 3250, “a cute guy”: 25289, “met a cute guy”: 600, ...

“met a pretty”: 2925, “a pretty guy”: 1159, “met a pretty guy”: 0, ...

- As additional vector to concatenate:
  - [3250, 25289, 600, 2925, 1159, 0, ...]
- As part of the feature dictionary:
  - {“left-3gr”: 3250, “right-3gr”: 25289, “middle-4gr”: 600, “left-3gr-2”: 2925, “right-3gr-2”: 1159, “middle-4gr-2”: 0, ...}

# References

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3. [Introduction to Conditional Random Fields](#) by Edwin Chen (2012)
4. [Conditional Random Fields: An Introduction](#) by Hanna M. Wallach (2004)
5. [Sequence labeling](#) by Raymond J. Mooney (2016)
6. [Multiclass and Multi-label Classification](#) by Prof. Michael Paul (2017)