

# **2-D Geometrical Transforms and Viewing Part-1**



## 2-D Geometric Transformation and Viewing Part-1

### Content:

1. Transformations
2. Translation
3. Rotation
4. Scaling
5. Shearing

## TRANSFORMATIONS

### BASIC TRANSFORMATIONS

Consider the  $xy$ -coordinate system on a plane. An object (say Obj) in a place can be considered as a set of points. Each object point  $P$  has coordinates  $(x, y)$ , so the object is the sum total of all its coordinate points (see in figure1). Let the object be moved to a new position. Many coordinate points  $P'(x', y')$  of a new object Obj' can be obtained from the original points  $P(x, y)$  by the application of a geometric transformation.

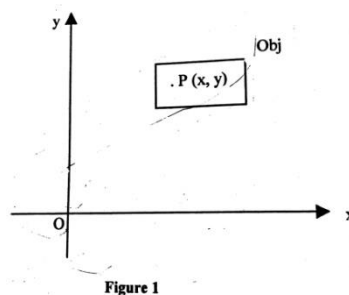


Figure 1

### TRANSLATION

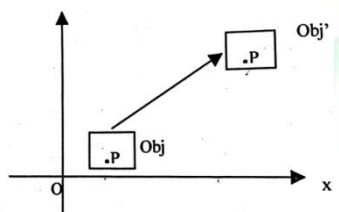


It is the process of changing the position of an object. Let an object point  $P(x, y) = xI + yJ$  be moved to  $P'(x', y')$  by the given translation vector  $V = t_xI + t_yJ$ , where  $t_x$  and  $t_y$  is the translation factor in  $x$  and  $y$  directions, such that

$$P' = P + V \quad \dots\dots\dots(1)$$

In component form, we have

$$Tv = \begin{cases} x' = x + t_x \text{ and} \\ y' = y + t_y \end{cases} \quad \dots\dots\dots(2)$$



$$(x', y', 1) = (x, y, 1) \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ t_x & t_y & 1 \end{bmatrix}$$

**Example:** Translate a square ABCD with the coordinates

$A(0, 0)$ ,  $B(5, 0)$ ,  $C(5, 5)$ ,  $D(0, 5)$  by 2 units in  $x$ -direction and 3 units in  $y$ -direction.

**Solution:** we act as the given square, in matrix form, using homogeneous coordinate of vertices

as:

$$\begin{matrix} A \\ B \\ C \\ D \end{matrix} \begin{pmatrix} x_1 & y_1 & 1 \\ x_2 & y_2 & 1 \\ x_3 & y_3 & 1 \\ x_4 & y_4 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 \\ 5 & 0 & 1 \\ 5 & 5 & 1 \\ 0 & 5 & 1 \end{pmatrix}$$

The translation factors are,  $t_x = 2$ ,  $t_y = 3$

The transformation matrix for translation:



$$T_v = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ tx & ty & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 2 & 3 & 1 \end{bmatrix}$$

New object point coordinates are:

$$[A'B'C'D'] = [ABCD].T_v$$

$$\begin{matrix} A' \\ B' \\ C' \\ D' \end{matrix} \begin{bmatrix} x'_1 & y'_1 & 1 \\ x'_2 & y'_2 & 1 \\ x'_3 & y'_3 & 1 \\ x'_4 & y'_4 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 5 & 0 & 1 \\ 5 & 5 & 1 \\ 0 & 5 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 2 & 3 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 2 & 3 & 1 \\ 7 & 3 & 1 \\ 7 & 8 & 1 \\ 2 & 8 & 1 \end{bmatrix}$$

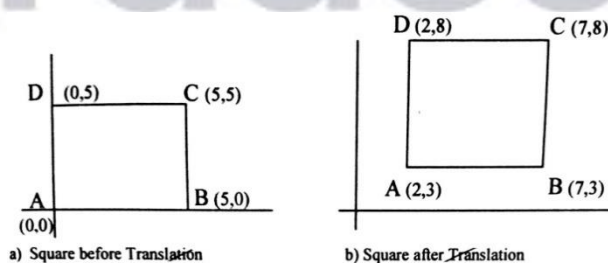
Thus,  $A'(x'_1, y'_1) = (2, 3)$

$B'(x'_2, y'_2) = (7, 3)$

$C'(x'_3, y'_3) = (7, 8)$

$D'(x'_4, y'_4) = (2, 8)$

The graphical representation is given below:



## ROTATION

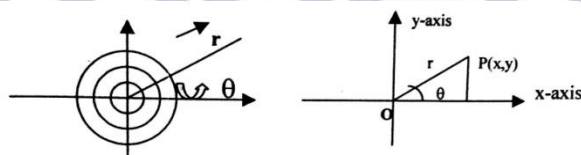
In 2-D rotation, an object is rotated by an angle  $\theta$  with respect to the origin. This angle is supposed to be positive for anticlockwise rotation. There are two cases for 2-D rotation, case 1- rotation about the origin and case 2 rotation about an arbitrary point. If, the rotation is made about an arbitrary point, a set of basic

transformation, i.e., composite transformation is required. For 3-D rotation involving 3-D objects, we need to specify both the angle of rotation and the axis of rotation, about which rotation has to be made. We will consider case 1 and in the next section we will consider case 2.

Before starting case-1 or case-2 you must know the relationship between **polar coordinate system** and **Cartesian system**:

### Relation between **polar coordinate system** and **Cartesian system**

A frequently used non-cartesian system is Polar coordinate system. The following below figure shows a polar coordinate reference frame. In polar coordinate system a coordinate position is specified by  $r$  and  $\theta$ , where  $r$  is a radial distance from the coordinate origin and  $\theta$  is an angular displacement from the horizontal (see below figure). Positive angular displacements are counter clockwise. Angle  $\theta$  is measured in degrees. One complete counter-clockwise revolution about the origin is treated as  $360^\circ$ . A relation between Cartesian and polar coordinate system is shown in below figure.



Consider a right angle triangle in above figure. Using the definition of trigonometric functions, we transform polar coordinates to Cartesian coordinates as:

$$x = r \cdot \cos \theta$$

$$y = r \cdot \sin \theta$$

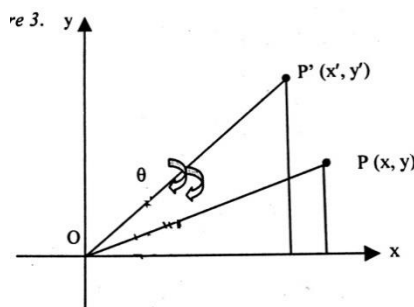
The inverse transformation from Cartesian to Polar coordinates is:

$$r = \sqrt{x^2 + y^2} \text{ and } \theta = \tan^{-1}(y/x)$$

### Case 1: Rotation about the origin

Given a 2-D point  $P(x, y)$ , which we want to rotate, with respect to the origin  $O$ . the vector  $OP$  has a length ' $r$ ' and making a positive (anticlockwise) angle  $\phi$  with respect to  $x$ -axis.

Let  $P'(x', y')$  be the result of rotation of point  $P$  by an angle  $\phi$  about the origin, which is shown in below figure.



$$P(x, y) = P(r.\cos\phi, r.\sin\phi)$$

$$P'(x', y') = P[r.\cos(\phi+\theta), r.\sin(\phi+\theta)]$$

The coordinates of  $P'$  are:

$$x' = r\sin(\theta+\phi) = r(\cos\theta\cos\phi - \sin\theta\sin\phi)$$

$$= x.\cos\theta - y.\sin\theta \quad (\text{where } x = r\cos\phi \text{ and } y = r\sin\phi)$$

Similarly,

$$y' = r\sin(\theta+\phi) = r(\sin\theta\cos\phi + \cos\theta.\sin\phi)$$

$$= x\sin\theta + y\cos\theta$$

Thus,

$$R_\theta = \begin{cases} x' = x.\cos\theta - y.\sin\theta \\ y' = x\sin\theta + y\cos\theta \end{cases} = R_\theta$$



Thus, we have obtained the new coordinate of point P after the rotation. In matrix form, the transformation relation between P' and P is given by:

$$(x'y') = (x,y) \begin{bmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{bmatrix}$$

This is  $P' = P.R_\theta$  .....(5)

Where P' and P acts as object points in 2-D Euclidean system and  $R_\theta$  is transformation matrix for **anti-clockwise** Rotation.

In terms of HCS, equation (5) becomes.

$$(x', y', 1) = (x, y, 1) \begin{bmatrix} \cos\theta & \sin\theta & 0 \\ -\sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \text{ -----(6)}$$

That is  $P'_h = P_h.R_\theta$ , .....(7)

Where  $P'_h$  and  $P_h$  acts as object points, after and before required transformation, in Homogeneous Coordinates and  $R_\theta$  is called homogeneous transformation matrix for **anticlockwise** Rotation.  $P'_h$ , the new coordinates of a transformed object, can be found by multiplying previous object coordinate matrix,  $P_h$ , with the transformation matrix for Rotation  $R_\theta$ .

Note for **clockwise** rotation we have to put  $\theta = -\theta$ , thus the rotation matrix  $R_\theta$ , in HCS, becomes.

$$R_{-\theta} = \begin{bmatrix} \cos(-\theta) & \sin(-\theta) & 0 \\ -\sin(-\theta) & \cos(-\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

**Example:** Perform a  $45^\circ$  rotation of a triangle A(0, 0), B(1, 1), C(5, 2) about the origin.

**Solution:** We can act for the given triangle, in matrix form, using homogeneous coordinates of the vertices:

$$[ABC] = \begin{bmatrix} A & 0 & 0 & 1 \\ B & 1 & 1 & 1 \\ C & 5 & 2 & 1 \end{bmatrix}$$

$$\text{The matrix of rotation is: } R_0 = R_{45}^0 = \begin{bmatrix} \cos 45^\circ & \sin 45^\circ & 0 \\ -\sin 45^\circ & \cos 45^\circ & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \sqrt{2}/2 & \sqrt{2}/2 & 0 \\ -\sqrt{2}/2 & \sqrt{2}/2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

So, the new coordinates A, B, C, of the rotation triangle ABC can be found as:

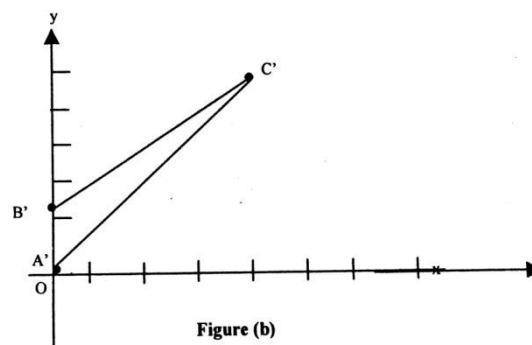
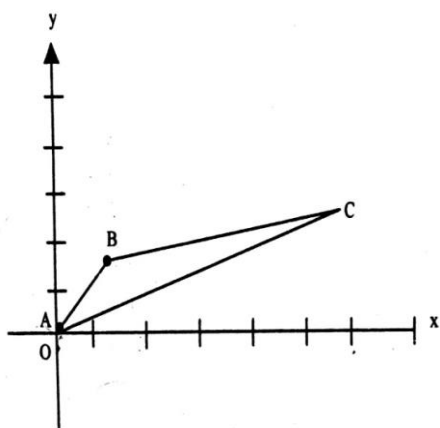
$$[A'B'C'] = [ABC] \cdot R_{45}^0 = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 1 & 1 \\ 5 & 2 & 1 \end{bmatrix} \begin{bmatrix} \sqrt{2}/2 & \sqrt{2}/2 & 0 \\ -\sqrt{2}/2 & \sqrt{2}/2 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & \sqrt{2} & 1 \\ 3\sqrt{2}/2 & 7\sqrt{2}/2 & 1 \end{bmatrix}$$

Thus,  $A' = (0, 0)$ ,  $B' = (0, \sqrt{2})$ ,  $C' = (3\sqrt{2}/2, 7\sqrt{2}/2)$

The following below figure shows the original, triangle [ABC] and figure shows triangle after the rotation.







## SCALING

It is the process of expanding or compressing the dimensions (i.e., size) of an object. Important programming of scaling is in the development of viewing transformation, which is a mapping from a window used to clip the scene to a view port for displaying the clipped scene on the screen.

Let  $P(x, y)$  be any point of a given object and  $s_x$  and  $s_y$  be scaling factors in  $x$  and  $y$  directions respectively, then the coordinate of the scaled object can be obtained as:

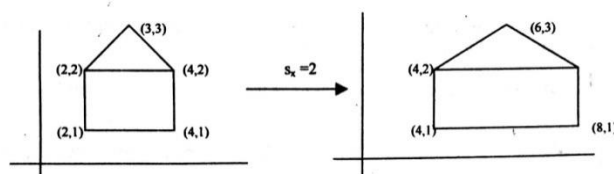
$$x' = x \cdot s_x \quad \dots(8)$$

$$y' = y \cdot s_y$$

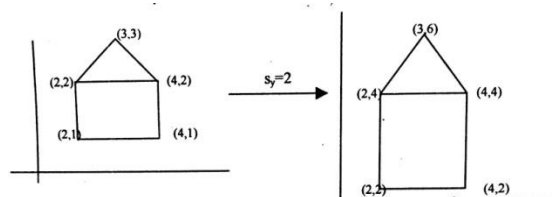
if the scale factor is  $0 < s < 1$ , then it reduces the size of an object and if it is more than 1, it magnifies the size of the object along an axis.

For example, assume  $s_x > 1$ .

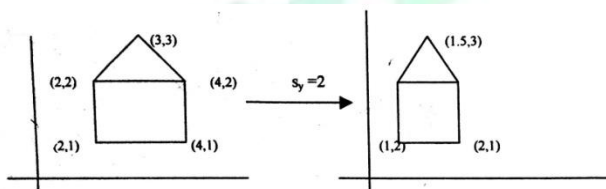
- i. Consider  $(x, y) \rightarrow (2x, y)$  i.e., Magnification in  $x$ -direction with scale factor  $s_x = 2$ .



- ii. Similarly, assume  $s_y > 1$  and consider  $(x, y) \rightarrow (x, 2.y)$ , i.e. Magnification in  $y$  - direction with scale factor  $s_y = 2$ .



- iii. Consider  $(x, y) \rightarrow (x.s_x, y)$  where  $0 < s_x = y_2 < 1$  i.e., Compression in  $x$ -direction with scale factor  $s_x = \frac{1}{2}$ .



The general scaling is  $(x, y) \rightarrow (x.s_x, y.s_y)$  i.e., magnifying or compression in both  $x$  and  $y$  directions depending on Scale factors  $s_x$  and  $s_y$ . We can act this in matrix form (2-D Euclidean system) as:

$$(x', y', 1) = (x, y, 1) \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{---(10)}$$

In terms of HCS, equation (9) becomes:

$$(x', y', 1) = (x, y, 1) \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{---(10)}$$

That is  $P'_h = P_h \cdot s_{sx, sy}$  .....(11)

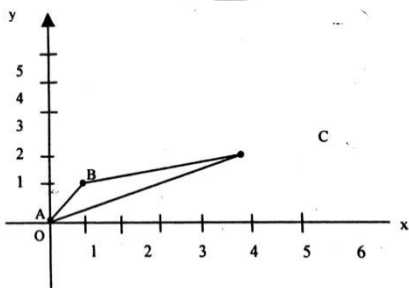
**Example:** Find the new coordinates of a triangle A(0, 0), B(1, 1), C(5, 2) after it has been (a) magnified to twice its size and (b) reduced to half its size.

**Solution:** Magnification and reduction can be attained by a uniform scaling of  $s$  units in both the  $x$  and  $y$  directions. If,  $s > 1$ , the scaling produces magnification. If,  $s < 1$ , the result is a reduction. The transformation can be written as:  $(x, y, 1) \rightarrow (s \cdot x, s \cdot y, 1)$ . In matrix form this becomes.

$$(x, y, 1) \cdot \begin{bmatrix} s & 0 & 0 \\ 0 & s & 0 \\ 0 & 0 & 1 \end{bmatrix} = (s \cdot x, s \cdot y, 1)$$

We can represent the given triangle, shown in below figure, in matrix form, using homogeneous coordinates of the vertices as:

$$\begin{bmatrix} A & 0 & 0 & 1 \\ B & 1 & 1 & 1 \\ C & 5 & 2 & 1 \end{bmatrix}$$



(a) choosing  $s = 2$

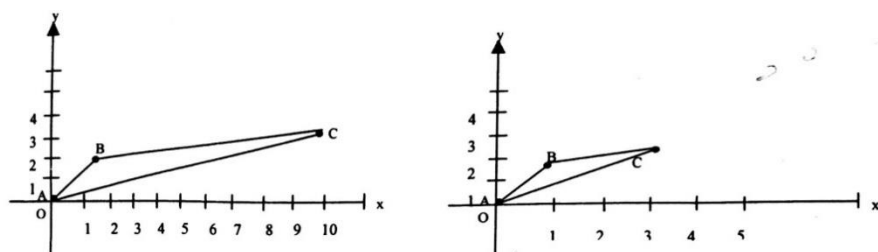
The matrix of scaling is:  $S_{s_x, s_y} = S_{2,2} = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

So the new coordinates  $A'$   $B'$   $C'$  of the scaled triangle ABC can be found as:

$$[A'B'C'] = [ABC] \cdot R_{2,2} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 1 & 1 \\ 5 & 2 & 1 \end{bmatrix} \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 2 & 2 & 1 \\ 10 & 4 & 1 \end{bmatrix}$$

Thus,  $A' = (0, 0)$ ,  $B' = (2, 2)$ ,  $C' = (10, 4)$

(b) Similarly, here,  $s = \frac{1}{2}$  and the new coordinates are  $A'' = (0, 0)$ ,  $B'' = (1/2, 1/2)$ ,  $C'' = (5/2, 1)$ . The following figure (b) shows the effect of scaling with  $s_x = s_y = 2$  and (c) with  $s_x = s_y = s = 1/2$ .



## SHEARING

They are used for modifying the shapes of 2-D or 3-D objects. The effect of a shear transformation looks like “pushing” a geometric object in a direction that is parallel to a coordinate plane (3D) or a coordinate axis (2D). How far a direction is pushed is determined by its shearing factor.

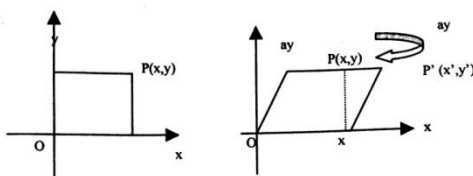
One familiar example of shear is that observed when the top of a book is moved relative to the bottom which is fixed on the table.

In case of 2-D shearing, we have two types namely x-shear and y-shear.

In x-shear, one can push in the x-direction, positive or negative, and keep the y-direction unchanged, while in y-shear, one can push in the y-direction and keep the x-direction fixed.

## X-SHEAR ABOUT THE ORIGIN

Let an object point  $P(x, y)$  be moved to  $P'(x', y')$  in the x-direction, by the given scale parameter 'a', i.e.,  $P'(x', y')$  be the result of x-shear of point  $P(x, y)$  by scale factor a about the origin, which is shown in below figure.



Thus, the point  $P(x, y)$  and  $P'(x', y')$  have the following relationship:

$$\left. \begin{aligned} x' &= x + ay \\ y' &= y \end{aligned} \right\} = \text{Sh}_x(a) \quad \text{.....(11a)}$$

where 'a' is a constant (known as shear parameter) that measures the degree of shearing. If a is negative then the shearing is in the opposite direction.

Note that  $P(0, H)$  is taken into  $P'(aH, H)$ . It follows that the shearing angle A (the angle through which the vertical edge was sheared) is given by:

$$\tan(A) = aH/H = a$$

So the parameter a is just the tan of the shearing angle. In matrix form (2-D Euclidean system), we have

$$(x', y') = (x, y) \begin{bmatrix} 1 & 0 \\ a & 1 \end{bmatrix} \quad \text{-----(12)}$$

In terms of Homogeneous Coordinates, equation (12) becomes

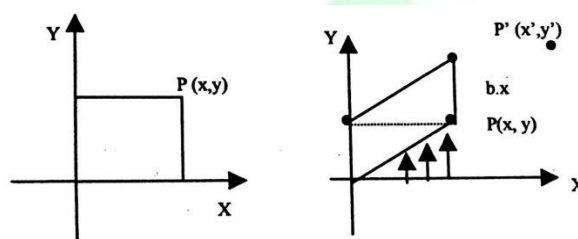
$$(x', y', 1) = (x, y, 1) \cdot \begin{bmatrix} 1 & 0 & 0 \\ a & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{-----(13)}$$

That is,  $P'_h = P_h SH_x(a)$  .....(14)

Where  $P_h$  and  $P'_h$  represents object points, before and after required transformation, in Homogeneous Coordinates and  $SH_x(a)$  is called homogeneous transformation matrix for x-shear with scale parameter 'a' in the x-direction.

### Y-SHEAR ABOUT THE ORIGIN

Let an object  $P(x, y)$  be moved to  $P'(x', y')$  in the x-direction, by the given scale parameter 'b'. i.e.,  $P'(x', y')$  be the result of y-shear of point  $P(x, y)$  by scale factor 'b' about the origin, which is shown in below figure.



Thus, the points  $P(x, y)$  and  $P'(x', y')$  have the following relationship:

$$\left. \begin{array}{l} x' = x \\ y' = y + bx \end{array} \right\} = Sh_y(b) \quad \text{.....(15)}$$

where 'b' is a constant (known as shear parameter) that measures the degree of shearing. In matrix form, we have

$$(x', y') = (x, y) \begin{bmatrix} 1 & b \\ 0 & 1 \end{bmatrix} \quad \text{-----(16)}$$

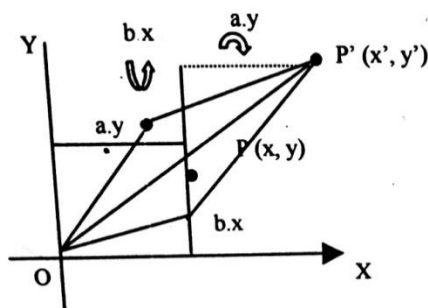
In terms of Homogeneous Coordinates, equation (16) becomes.

$$(x', y', 1) = (x, y, 1) \begin{bmatrix} 1 & b & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{-----(17)}$$

That is,  $P'_h = P_h \cdot Sh_y(b)$  .....(18)

### XY-SHEARS ABOUT THE ORIGIN

Let an object point  $P(x, y)$  be moved to  $P'(x', y')$  as a result of shear transformation in both  $x$  and  $y$  directions with shearing factors  $a$  and  $b$ , respectively, as shown in below figure.



The points  $P(x, y)$  and  $P'(x', y')$  have the following relationship:

$$\left. \begin{aligned} x' &= x + ay \\ y' &= y + bx \end{aligned} \right\} = Sh_{xy}(a, b) \quad \text{.....(19)}$$

where 'ay' and 'bx' are shear factors in  $x$  and  $y$  directions, respectively. The  $xy$ -shear is also called simultaneous shearing for short.

In matrix form, we have,

$$(x', y') = (x, y) \begin{bmatrix} 1 & b \\ a & 1 \end{bmatrix} \quad \text{-----(20)}$$

In terms of Homogeneous Coordinates, we have

$$(x', y', 1) = (x, y, 1) \begin{bmatrix} 1 & b & 0 \\ a & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{-----(21)}$$

That is,  $P'_h = P_h \cdot Sh_{xy}(a, b)$  .....(22)



**Example:** A square ABCD is given with vertices A(0, 0), B(1, 0), C(1, 1), and D(0, 1). Illustrate the effect of a) x-shear b) y-shear and c) xy-shear on the given square, when  $a = 2$  and  $b = 3$

**Solution:** We can represent the given square ABCD, in matrix form, using homogeneous coordinates of vertices as:

$$\begin{bmatrix} A & 0 & 0 & 1 \\ B & 1 & 0 & 1 \\ C & 1 & 1 & 1 \\ D & 0 & 1 & 1 \end{bmatrix}$$

a) The matrix of x-shear is:

$$Sh_x(a) = \begin{bmatrix} 1 & 0 & 0 \\ a & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 2 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

So, the new coordinates A'B'C'D' of the x-shear object ABCD can be found as:

$$[A'B'C'D'] = [ABCD] \cdot Sh_x(a)$$

$$[A'B'C'D'] = \begin{bmatrix} A & 0 & 0 & 1 \\ B & 1 & 0 & 1 \\ C & 1 & 1 & 1 \\ D & 0 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 0 & 0 \\ 2 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 1 \\ 3 & 1 & 1 \\ 2 & 1 & 1 \end{bmatrix}$$

Thus, A' = (0, 0), B' = (1, 0), C' = (3, 1) and D' = (2, 1).

b) Similarly the effect shearing in the y direction can be found as:  $[A'B'C'D'] = [ABCD] \cdot Sh_y(b)$

$$[A'B'C'D'] = \begin{bmatrix} A & 0 & 0 & 1 \\ B & 1 & 0 & 1 \\ C & 1 & 1 & 1 \\ D & 0 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 3 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 3 & 1 \\ 1 & 4 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

Thus, A' = (0, 0), B' = (1, 3), C' = (1, 4) and D' = (0, 1)



c) Finally the effect of shearing in both directions can be found as:  $[A'B'C'D'] = [ABCD].Sh_{xy}(a, b)$

$$[A'B'C'D'] = \begin{bmatrix} A & 0 & 0 & 1 \\ B & 1 & 0 & 1 \\ C & 1 & 1 & 1 \\ D & 0 & 1 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 3 & 0 \\ 2 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 3 & 1 \\ 3 & 4 & 1 \\ 2 & 1 & 1 \end{bmatrix}$$

thus,  $A' = (0, 0)$ ,  $B' = (1, 3)$ ,  $C' = (3, 4)$  and  $D' = (2, 1)$ .

Figure (a) shows the original square, figure (b)-(d) shows shearing in the x, y and both directions respectively.

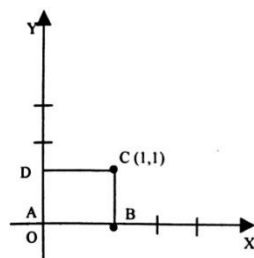


Figure (a)

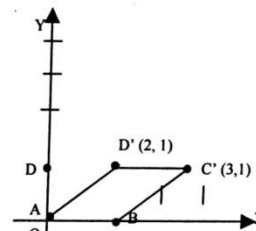


Figure (b)

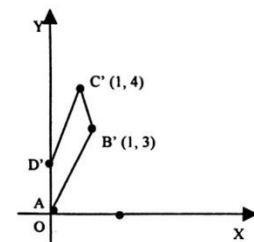


Figure (c)

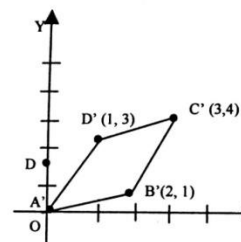


Figure (d)



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