Project Report on DeepSpecDB

Yixuan Chen¹

University of Michigan

Abstract. Recent years have witnessed a rapid development of main-memory database systems thanks to the growingly affordable memory. DeepSpecDB is another main-memory database management system implemented in C with deep specification and end-to-end verification guaranteeing the correctness of the system.

1 Introduction

As the unit price of memory decreases over time, efforts have been put into migrating the traditional disk-based applications into main memory. A classical category of such applications are databases. For example, H-store [4], MongoDB, Redis and Memcached are well-known database systems featuring main-memory index and storage.

Meanwhile, database systems are usually barely specified and vulnerable to subtle bugs. We believe that verified programs against deep specification is the remedy for the database. Deep specification will ensure that the behavior will be captured by the specification and proven correctly by machine-checked proof. With the help of the Verified Software Toolchain [2], we are now able to verify C programs against the C11 operational semantics. The DeepSpecDB project aims to develop a main-memory database system with deep specification guaranteeing the correctness of the system.

One of the previous development of main-memory database is MassTree [5]. MassTree implements the *trie over B+ tree* style key-value store for keys of variable length strings. The data-structure is specially tailored for the cache of modern day processors. The original MassTree is designed for single-key transactions, but later development of Silo [7] and SiloR [8] suggest its capability of indexing for relational databases.

The index engine for databases is basically a mapping from index to rows. Additionally, to support iterations and optimize bulk operations we need a way to traverse through the index. For this purpose, a data structure called *Cursor* is introduced. A cursor is a thread-local object that points to position inside some indexing data structure and supports local movements and operations. MassTree supports cursors with minor modification to the data structure, and is chosen to be the indexing data structure of variable length strings in DeepSpecDB project.

Before my work, Aurèle Barrière [3] has already verified the correspondence between functional and C implementation of B+ tree with cursors. Brian Mc-Swiggen [6] has developed a specification of B+ tree with cursors. Oluwatosin Victor Adewale [1] has developed the C implementation for MassTree, however, the cursor for trie is not implemented.

In this report, I present my work on verification of trie with cursors. In Section 3, I will present the methods I use in verification and the reason behind them. In Section 4, I will discuss about the specification we derived so far for any data structures with cursors. In Section 5, I will introduce the instantiation of the specification with trie as internal data structures. In Section 6, I will walk through a client routine for the indexing data structure, and present what the end-to-end verification guarantee.

2 Terminology

In this report, I will use the term *kv-map* (stands for key-value map) for data structures that supports put and get operations similar to a finite map.

3 Modular Verification

Modularity is the way to achieve scalability. In this section, I will discuss about the efforts in modularizing the verification, and present an overview of the verification structure of the project.

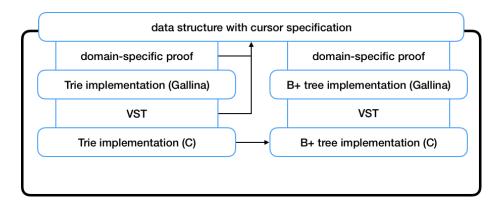


Fig. 1. Verification Structure

3.1 Functional Specification of C Programs

When verifying a C program against specification, there are generally two approaches. One is to prove the specification directly within separation logic. The other is to prove that the C program refines a functional program, and the functional program is proved later to satisfy the specification. We favor the latter method.

The functional specification modularizes the verification, and therefore is beneficial in several aspects. When verifying the C program, we would never want to deal with application-domain theories accompanied with low level details in separation logic. By elevating the C program into functional programs, we are decoupling the refinement proof from the property proofs, and both proofs are easier and more maintainable. Meanwhile, it turns out that deriving the perfect specification the first time is almost impossible: The specification evolves as we are verifying the program. Without a functional program in between, we will have to rewrite the entire proof. However, the functional program usually captures all observable behaviors, and therefore the refinement proof usually remains unchanged. Similarly, while the C program might be modified for bug fixes, only the lower part of proof needs review, while the application domain reasoning stays valid.

Concretely in this project, both the B+ trees and tries are implemented in C as well as in Gallina, where the refinement relation between the two programs is established using Verified Software Toolchain. After establishing the refinement relation, we focus mainly on the functional programs for later verification.

3.2 Modularizing the Components

Using the functional programs is an effort to modularize the verification vertically, we would also like to modularize horizontally. In this project, indexing of database systems are separated from the other parts. Therefore it naturally forms a verification boundary: Any indexing data structure will implement a uniform specification, the only part exposed to the rest of the project. As shown in figure 1, everything surrounded in the bold square is opaque to the rest of project, while the only interface is the specification.

Within the index engine, MassTree is naturally divided into two modules: A module that implements B+ tree with cursors and a module that relies on previous module to implement trie with cursors. In the verification of trie, we went a further step where we parameterized over the dependency: for any module that implements the specification of kv-map of integer-typed key with cursors, we can derive a module that implements the same specification of string-typed key with cursors using the trie data structure. Such parameterization modularized the verification in the way that verification of trie is now independent from any detail in implementation or verification of B+ trees. Also as a side effect, this approach **tested** the *two-sidedness* of the specification: As a consumer of the specification, a successful verification implied that the specification was sufficient in capturing the behaviors. Meanwhile as a provider, it made sure that only reasonable properties was specified.

In Coq, this modularization is achieved through Module and Module Type. The specification is expressed as a Module Type where interface functions are expressed as parameters and properties are expressed as axioms. A Module can implement a Module Type by providing all the parameters and justifying all the axioms. The dependency of modules are expressed as functors.

4 Specification for KV-Map with Cursors

In this section I will introduce the specification for indexing data structures. The specification can be generally divided into two parts: the part where it guaranteed the correctness of the kv-map itself and the part where it provided essential utilities for clients' reasoning.

4.1 Interface

Spiritually, a kv-map maintains the mapping from keys to values and a cursor points into the kv-map at a specific position and supports movement operations. Concretely, such a data structure should provide the operations in figure 2.

```
Module Type KV_MAP (KeyType: UsualOrderedType).
 Definition key := KeyType.t.
 Parameter map: Type -> Type.
 Parameter cursor: Type -> Type.
 Section Types.
    Context {value: Type}.
    Parameter empty: map value -> Prop.
    Parameter make_cursor: key -> map value -> cursor value.
    Parameter first_cursor: map value -> cursor value.
    Parameter last_cursor: map value -> cursor value.
    Parameter next_cursor: cursor value -> map value -> cursor value.
    Parameter prev_cursor: cursor value -> map value -> cursor value.
    Parameter get: cursor value -> map value -> option (key * value).
    Parameter put: key -> value -> cursor value -> map value -> (* input *)
                   cursor value -> map value -> (* output *)
                   Prop.
    [...]
 End Types.
End KV_MAP.
```

Fig. 2. Interface for KV-Map with Cursors

This is a typical interface of kv-map which supports operations for creation of map (empty), creation of cursor (make_cursor, first_cursor, last_cursor), movement of cursor (next_cursor, prev_cursor), fetching data at cursor (get) and updating the map (put). The types of functions indicates clearly that all other functions except for empty and put are immutable with respect to the map. The two map mutating functions are defined as relations rather than functions,

this is a compromise to support cursors. I will discuss the reason and consequence of relational specification in detail later in this section.

Apart from these functions, we also need some auxiliary predicates, as shown in figure 3. abs_rel asserts that a cursor and a kv-map are correctly associated with each other (the abbreviation abs should stands for abstraction, but it's not a right word here, rather a historical issue). key_rel asserts that a cursor is associated with a key in a kv-map. One can imagine this predicate as the cursor is placed at the position such that all the existing keys to the decreasing side are less than this key, and all the existing keys to the opposite are no less than this key. This makes sense when one visualizes a kv-map as a list of pairs of key and value. This definition is closely related to the semantics of get, We will see later how this is used in generalized kv-map. eq_cursor asserts that two cursors are equivalent. Coq equality (eq) does not work well here, because of eq implies structural equality, and structurally different cursors can be equivalent (especially for those kv-map with complex structures, such as B+trees or tries). The final two predicates cursor_correct and map_correct are the normal invariants.

```
Module Type KV_MAP (KeyType: UsualOrderedType).
[...]
Parameter abs_rel: cursor value -> map value -> Prop.
Parameter key_rel: key -> cursor value -> map value -> Prop.
Parameter eq_cursor : cursor value -> cursor value -> map value -> Prop.
Parameter cursor_correct: cursor value -> Prop.
Parameter map_correct: map value -> Prop.
[...]
End KV_MAP.
```

Fig. 3. Auxiliary Predicates of KV-Map with Cursors

4.2 Semantics of Operations

The functions and predicates themselves are not particularly interesting without further specification, which defines the semantics of operations.

For an empty map, it should satisfy the correctness invariant and also that we are never able to get anything from cursor associated with the kv-map.

For the make_cursor operation, the cursor it made should always be associated with the key and the kv-map.

first_cursor and last_cursor are dual operations. Both of them should yield cursors associated with the kv-map. Additionally, the last_cursor should point past the end of the kv-map, meaning that we can get nothing from it. The first_cursor should always return a binding, unless the map is empty.

```
Module Type KV_MAP (KeyType: UsualOrderedType).
[...]
Axiom empty_correct: forall t, empty t -> map_correct t.
Axiom get_empty: forall t c, empty t -> abs_rel c t -> get c t = None.
[...]
End KV_MAP.
```

Fig. 4. Specification for empty

```
Module Type KV_MAP (KeyType: UsualOrderedType).
[...]
Axiom make_cursor_key: forall t k,
   map_correct t -> key_rel k (make_cursor k t) t.
Axiom make_cursor_abs: forall t k,
   map_correct t -> abs_rel (make_cursor k t) t.
[...]
End KV_MAP.
```

Fig. 5. Specification for make_cursor

```
Module Type KV_MAP (KeyType: UsualOrderedType).
[...]
Axiom first_cursor_abs: forall t,
   map_correct t -> abs_rel (first_cursor t) t.
Axiom last_cursor_abs: forall t,
   map_correct t -> abs_rel (last_cursor t) t.
Axiom get_last: forall t, get (last_cursor t) t = None.
Axiom first_cursor_get_empty: forall t,
   map_correct t -> get (first_cursor t) t = None -> empty t.
[...]
End KV_MAP.
```

Fig. 6. Specification for first_cursor and last_cursor

```
Module Type KV_MAP (KeyType: UsualOrderedType).
  [...]
 Axiom next_cursor_abs: forall t c,
    abs_rel c t -> abs_rel (next_cursor c t) t.
  Axiom prev_cursor_abs: forall t c,
    abs_rel c t -> abs_rel (prev_cursor c t) t.
  Axiom next_order: forall t c k1 k2,
    ~ eq_cursor c (last_cursor t) t ->
    key_rel k1 c t -> key_rel k2 (next_cursor c t) t ->
    KeyType.lt k1 k2.
  Axiom prev_order: forall t c k1 k2,
    ~ eq_cursor c (first_cursor t) t ->
    key\_rel k1 c t \rightarrow key\_rel k2 (prev\_cursor c t) t \rightarrow
    KeyType.lt k2 k1.
  Axiom next_compat: forall t c k1 k2 k3,
    ~ eq_cursor c (last_cursor t) t ->
    key_rel k1 c t -> key_rel k2 (next_cursor c t) t ->
    ~ key_rel k3 c t -> ~ key_rel k3 (next_cursor c t) t ->
    \label{eq:continuous} \verb"KeyType.lt k1 k3 / \ \verb"KeyType.lt k3 k2 -> \\
    False.
  Axiom prev_compat: forall t c k1 k2 k3,
    ~ eq_cursor c (first_cursor t) t ->
    key_rel k1 c t -> key_rel k2 (prev_cursor c t) t ->
    ~ key_rel k3 c t -> ~ key_rel k3 (prev_cursor c t) t ->
    \label{eq:continuous} \texttt{KeyType.lt k3 k1 /} \ \texttt{KeyType.lt k2 k3 -} \\
    False.
  [...]
End KV_MAP.
```

Fig. 7. Specification for next_cursor and prev_cursor

next_cursor and prev_cursor is another pair of dual operations. Both of them should yield cursors associated with the kv-map. Unless at the end of kv-map, movement should reach a cursor associated with keys of the right order, they should be inverse to each other and they should move only to adjacent positions.

```
Module Type KV_MAP (KeyType: UsualOrderedType).
  [...]
 Axiom get_key_rel: forall t c k,
   abs_rel c t -> get_key c t = Some k -> key_rel k c t.
 Axiom put_correct: forall t1 t2 c1 c2 k v,
    abs_rel c1 t1 ->
   put k v c1 t1 c2 t2 ->
   map_correct t2.
 Axiom get_put_same: forall t1 t2 c1 c2 c3 k v,
    put k v c1 t1 c2 t2 ->
   abs_rel c1 t1 ->
   abs_rel c3 t2 ->
   key_rel k c3 t2 ->
   get c3 t2 = Some (k, v).
  Axiom get_put_diff: forall t1 t2 c1 c2 c3 c4 k1 k2 v,
   k1 <> k2 ->
   put k1 v c1 t1 c2 t2 ->
   abs_rel c1 t1 ->
   abs_rel c4 t1 ->
   key_rel k2 c4 t1 ->
    abs_rel c3 t2 ->
   key_rel k2 c3 t2 ->
   get c3 t2 = get c4 t1.
  [...]
End KV_MAP.
```

Fig. 8. Specification for get and put

get operation are different from the get operation of finite map library in the Coq standard library: get operation here should fetch the first key to the increasing side of the cursor (In other word, the largest key that is still associated with this cursor by key_rel). However, get and put should satisfy the normal permute and shadow property as well. Additionally, put operation should keep a correct kv-map correct after mutation. Notice that, the put takes an extra cursor as input but is not specified in the axioms. This cursor is there so that some implementation might optimize the operation based on a nearby cursor (for example, the B+ tree implemented by Aurèle and Oluwatosin), but it will never affect the semantics of the operation.

```
Module Type KV_MAP (KeyType: UsualOrderedType).
  [...]
 Axiom abs_correct: forall t c,
   abs_rel c t -> cursor_correct c /\ map_correct t.
 Axiom key_rel_eq_cursor: forall t c1 c2 k,
    key_rel k c1 t ->
   key_rel k c2 t ->
    abs_rel c1 t ->
    abs_rel c2 t ->
    eq_cursor c1 c2 t.
 Axiom eq_cursor_get: forall t c1 c2,
   abs_rel c1 t ->
   abs_rel c2 t ->
   eq_cursor c1 c2 t ->
    get c1 t = get c2 t.
End KV_MAP.
```

Fig. 9. Additional Specification

In addition to the semantics of operations, more specifications are there to regulate the predicates. abs_rel should imply that both the cursor and map correct. Cursors associated with the same key should be equivalent and equivalent cursors should return the same result.

It should be acknowledged that the current set of specification is not guaranteed to be complete (and I'm pretty sure not). The completeness is improved along the way of instantiating it with some concrete implementation and trying to justify the properties (This is why I used the word **test** in previous section). For later development, here is a list of possible issues in my mind.

- 1. The semantics of key_rel, which is the most crucial part of the specification, is however not formalized. It might be formalized through the flatten function (see later section) and additional auxiliary definitions.
- 2. The two axioms next_compat, prev_compat have not yet been proved for either B+ tree or trie, and there are quite a few ways to express that "movements will reach only nearby positions". These two axioms might not be the final decision.
- 3. The additional input of cursor for put operation might be erased from the specification, since it does not affect the semantics at all.

4.3 Augmented Types, Nondeterminism and Relational Specification

While we want to abstract low level details away from the concrete implementation in C, our model cannot be fully abstracted. One of the issues is about abstracting internal pointers.

Consider the following minimal example, where we have a struct has two internal fields, $choice_a$ and $choice_b$, bothing pointing to an array of integers of known length. Without cursors, the data structure can be represented as abstract data of type list Z * list Z.

```
struct choice {
   int *choice_a;
   int *choice_b;
}

Definition choice_rep (data: list Z * list Z) (p: val) :=
   EX pa: val, pb: val,
   data_at Tsh (Tstruct _choice noattr) (pa, pb) p *
   data_at Tsh (Tarray tint (Zlength (fst data))) (fst data) pa *
   data_at Tsh (Tarray tint (Zlength (snd data))) (snd data) pb.
```

Fig. 10. Toy Data Structure

Now imagine we want to have a "cursor" that points at either of the choices. It's impossible to express in the separation logic because the values have been abstracted away. The remedy is to include the pointers in the abstract data, which we call augmented types. For more details, Aurele's paper (cite?) have a good explanation on this.

Fig. 11. Toy Data Structure with Cursor

However, such types will introduce another issue: The pointers are only given at runtime from the malloc calls. They do not appear in the inputs and can vary in different runs. The nondeterminism cannot be captured well if the interface is defined with functions. Therefore for those functions that mutate the kv-map, we are compelled to define them relationally.

However, some properties implied by a function are no longer guaranteed. Specifically, determinism and totality. Although we allow the nondeterminism using the relational specification, we actually want to limit the nondeterminism within the internal addresses, and no nondeterminism should be observed related to data. In Aurèle's work, he parameterized the augmented type and proved the theorem that such operations will always yields the same result if the parameter type is instantiated as unit. In my work, I would claim that this is guaranteed as a side effect if a correct flatten function is provided, which is presented in next subsection. Meanwhile, totality guarantees that the function represented by the relation will always return. This is not put into the specification currently, and it might be worthwhile in later development.

4.4 Demanding Client, Flattening

Previous works on verification of B+ tree had assumed that the value type are integers (Int.int). However, clients usually want a map over arbitrary value types. Some clients even want to define inductive types with the kv-map. A minimal example is the finite-degree tree where at each node it maintains a map from keys to children. However, such definition will never pass the positiveness check, as a consequence of abstraction.

```
Module Tree (K: UsualOrderedType) (NodeMap: KV_MAP K).
  Inductive tree: Type :=
   | node_of: NodeMap.map tree -> tree.
End Tree.
```

Fig. 12. Finite-degree Tree

Even if the client does not require strict positiveness, a separation logic client might need to quantify over all the bindings and assert that they are well represented in the memory, as shown in Figure 13. There have been quite a few new functions declared without specification, and even more are required to work with map mutations. As the amount of new functions suggest, additional specification should be added so that separation logic clients live a better life.

The remedy for both issues is the flatten function. flatten turns a map into list of pairs of key and value, where the list is sorted in the order of keys. Furthermore, The list have been proven to be an implementation of the same interface as map with cursors. Formally, these properties are specified in Figure 14.

```
Module Client (K: UsualOrderedType) (T: KV_MAP K).
Parameter value: Type.
Parameter value_rep: value -> val -> mpred.
Definition t: Type := T.map value.

Definition rep: (data: t) (p: val): mpred :=
    EX concrete_map: T.map val,
    !! (T.keys concrete_map = T.keys data) &&
    T.map_rep concrete_map p *
    T.iter_sepcon data concrete_map value_rep.
End Tree.
```

Fig. 13. A Painful Separation Logic Client

```
Module Type FLATTENABLE_MAP (KeyType: UsualOrderedType)
                              <: KV_MAP KeyType.</pre>
 Include KV_MAP KeyType.
 Module Flattened := SortedListMap KeyType.
 Section Spec.
   Context {value: Type}.
   Parameter flatten: map value -> Flattened.map value.
   Axiom flatten_invariant: forall t,
        map_correct t ->
        Flattened.map_correct (flatten t) /\
        forall (k: key) (c1: cursor value) (c2: Flattened.cursor value),
         key_rel k c1 t -> Flattened.key_rel k c2 (flatten t) ->
          abs_rel c1 t -> Flattened.abs_rel c2 (flatten t) ->
          get c1 t = Flattened.get c2 (flatten t).
 End Spec.
End FLATTENABLE_MAP.
```

Fig. 14. Flattenable Map

Particularly, the sortedness guarantees that the list is always the same regardless of the internal structure of original structure, given as fact in Figure 15. Flattened.fput is the functional version of put for the list implementation, where we have no nondeterminism. As an side effect, the nondeterminism of relational specification mentioned before is dealt with as a side effect of the flatten function. Two more useful facts about the list implementation have been given for separation logic client.

```
Module Type FlattenableMapFacts (KeyType: UsualOrderedType)
                                (Map: FLATTENABLE_MAP KeyType).
  Include Map.
 Section Implication.
   Context {value: Type}.
    Theorem put_permute: forall t1 t2 c1 c2 k v,
     map_correct t1 ->
     abs_rel c1 t1 ->
     put k v c1 t1 c2 t2 ->
     flatten t2 = Flattened.fput k v (flatten t1).
    Theorem Forall_put (P: value -> Prop): forall t k v,
     Flattened.map_correct t ->
     P v ->
     Forall P (t) ->
     Forall P (Flattened.fput k v t).
    Theorem iter_sepcon_put (P: value -> mpred): forall t k v,
     Flattened.map_correct t ->
     P v * iter_sepcon P (flatten t) |--
      (option_wrap P (Flattened.get_exact k t)) *
      iter_sepcon P (Flattened.fput k v t).
End FLATTENABLE_MAP.
```

Fig. 15. Facts about Flattenable Map

4.5 funspec for C Interface Functions

The funspec for C programs are listed in appendix. The funspecs are generally of less interest because they closely follow the Module Type. However, funspec are not abstracted into Module Type, because of current limitation of Verifiable C. This might be done in the future.

5 Trie with Cursors

In this section, I will demonstrate the instantiation of trie, informally and formally.

5.1 Informal Definition

Before formal definition, I will introduce the data structure informally first. MassTree implements a mapping from variable length strings to some value type. Basically, MassTree organizes all the keys in a trie structure. However, unlike traditional trie where each node indexes a single character, in MassTree strings are divided into sequences of k-byte slices (k is either 8 or 4 depending on word size). These segments are calculated into integers (if the suffix are shorter, 0 is padded after), called keyslice. Each node maps from keyslice to an auxiliary data structure called BorderNode. BorderNode further distinguishes prefixes of different length (prefixes of length 1 to k of this slice will share the same keyslice value due to the padding). A BorderNode might also point to either the next layer of trie or a client value whose key has a suffix after current keyslice and no other key shares a prefix reaching current keyslice. The mapping at each node is implemented using B+ Tree. For more detail, the MassTree paper [5] is a good reference.

5.2 Formal Model

Figure 16 defines the type for functional trie. A trie is defined inductively, containing an address, a node maps from keyslices to addresses and a flattened node maps from keyslices to a pair of address and BorderNode. While BorderNode can optionally points to next level of trie or final value, as represented by the link type. One should have noticed that there is a duplication of information: both the map form of node and list form of node is saved. We need the map form because of we need the unmodified augmented types for representation in separation logic. We need the list form to pass the positiveness check. This is the original motivation to introduce flatten. A BorderNode contains a list of prefix links, an optional suffix key field and a suffix link field. When there is a suffix key, the suffix link field should point to a final value. Otherwise, the suffix link field should optionally point to another trie. Although the BorderNode's "value" type is always the same. Different endpoints (prefix links and suffix link) have different requirement and not all three constructors are valid, future work might refine this part better.

Cursors is also defined in Figure 16, which is a list of 4-tuples. Each element of the list contains a cursor for this node and a cursor for the BorderNode, and both the trie and the BorderNode is included as well for convenience. A BorderNode cursor points either before some prefix link, or at either side of the suffix link. The cursor is defined in the same order as walking down the trie: the first one corresponds to the root node and the last one corresponds to the leaf node. Notice that the lexicographic order of cursors is equivalent to the lexicographic order of the strings. This is crucial for the correctness of cursor operations.

5.3 Interface Functions

Because of the length of functions and limitation of space, all functions in discussion are listed in appendix.

```
Module BorderNode.
  [...]
 Definition map: Type := list value * option string * value.
 Inductive cursor: Type :=
   | before_prefix: Z -> cursor
    | before_suffix: cursor
   | after_suffix: cursor.
  [...]
End BorderNode.
Module TrieKey <: UsualOrderedType := [...]. (* a module about strings *)
Module Keyslice <: UsualOrderedType := [...]. (* a module about integers *)
Module Trie (Node: FLATTENABLE_MAP Keyslice) <: FLATTENABLE_MAP KeyType.
 Inductive trie: Type :=
  | trienode_of: val ->
                 Node.map val ->
                 Node.Flattened.map (val * BorderNode.map link) ->
 with link: Type :=
  | value_of: value -> link
  | trie_of: trie -> link
 | nil: link.
 Definition cursor: Type := list
     (\verb|trie|*| \verb|Node.cursor| val|*| (BorderNode.map link) * BorderNode.cursor).
  [...]
End Trie.
```

Fig. 16. Trie Definition

empty The empty predicate is defined straightforward. The root node of the trie should contain only empty node. There exists another possible definition where we introduce a empty constructor for trie type, however. Which one is better is still under question.

make_cursor is defined by walking down the trie recursively and collecting the routes it chose. Notice that if it reached the place where a suffix existed in the trie, a lexicographic comparison is required to determine whether the cursor is placed before it or after it. Notice that, make_cursor does not always guarantee that it will actually point to a pair of key and value. Indeed, locating the right pair to fetch is a complicated job.

strict_first_cursor and normalize_cursor Before presenting the rest operations, these two auxiliary functions will be introduced first. strict_first_cursor takes a trie and yields the first cursor if there exists one (unless it's an empty map, there always exists one). normalize_cursor takes a cursor, which could potentially pointing at any place in trie (e.g., an internal node of trie, an endpoint leads to no pair, after_suffix of a bordernode, etc.), and try to locate the pair of key and value where the key is the least one in the increasing direction to the cursor, if such a pair exists.

It's worth mentioning that the normalize_cursor is a recursive function, which calls itself recursively before any other branching is made. This is important because in imperative C program, we can actually start from the leaf cursor and climb up the spine. This direction will be faster when the movement is limited to nearby area (which should be the case for normalize_cursor), and benefit from the cache locality. The style appears in many other functions.

The normalize_cursor and strict_first_cursor, however, is incorrect without a stronger assumption. Specifically, these functions rely on the fact that there is no dead end in the trie (a subtrie which contains no pair of keys and values, will be formalized in map_correct later). If not, these functions can get trapped into dead end and therefore cause a false negative result.

get With the help of normalize_cursor, get is straightforward. First we traverse down the cursor to collect all the keyslices and reconstruct the key, as well as fetch the value pointing to at the end of normalized cursor. Notice that although the get functions requires a traverse to obtain the original key, to obtain the value requires only access the leaf node. This is implemented in imperative program.

next_cursor next_cursor is quite similar to normalize_cursor, except that it moves one step further. Therefore next_cursor is defined such that normalize the cursor first, and forcefully move forward the cursor one more step.

first_cursor first_cursor is a wrapper of strict_first_cursor. If the auxiliary function failed to find a cursor, then first_cursor will return an empty cursor, which is regarded as last_cursor for any maps.

put and create_pair To implement the put function, another auxiliary function create_pair is required to handle a special case. Generally, put function is similar to the make_cursor function, both of which walk down the trie with a key, deciding the route based on current keyslice. However, the special case triggers when there is a suffix in the BorderNode, and is different from the suffix of input key. create_pair takes two key and value pairs and return a trie that contains them, which is linked to the original BorderNode.

map_correct For a trie to be correct, the following properties should hold:

- 1. both the map form and list form should be correct
- 2. they should have the same content
- 3. the children BorderNodes should be correct recursively:
 - (a) the prefix links should have the same length of keyslice's length,
 - (b) prefix links should points only to values or nothing,
 - (c) suffix link should only points to nothing, a trie if there is no suffix key or a value if there is a suffix key,
 - (d) any sub trie should be correct recursively and non-empty,
 - (e) there should be at least a value or a subtrie pointed to.

The final rule and "non-empty" sub trie property will guarantee that no dead end exists in the trie. This property is naturally guaranteed with the current implementation of put, create_pair and empty. However, I expected it to be problematic when we finally want to implement delete, which cannot deal with it trivially.

Meanwhile, most of the correctness of BorderNode might be a result of improperly designed types. It might be possible to improve this tedious predicate by modify the type of BorderNode.

cursor_correct For a cursor to be correct, the following properties should hold:

- 1. if this is not the root cursor, then the ancestors should points to the current node,
- 2. the current trie is correct,
- 3. both the cursors are correct,
- 4. by following the node's cursor, we shall obtain the BorderNode included in current level of cursor.

While the correctness of cursor included the correctness of map for now, it's actually not necessary. This just simplifies the proof and can be removed in future to make the definition more clearly.

Other Auxiliary Predicates The other auxiliary predicates are defined straightforward. abs_rel is defined as the conjunction of map and cursor correctness, as well as the top most level of cursor points to the root of the trie. The rest levels are then guaranteed by the cursor's correctness. Both key_rel are defined based on normalized_cursor. A cursor is related to a key if the cursor can be normalized to the same cursor as make_cursor k t normalize to. Two cursors are equivalent if they can be normalized to the same cursor.

5.4 Current Status and Remaining Problems

While most of the functions have been instantiated, some are still left as holes. The last_cursor and prev_cursor, dual operation to first_cursor and prev_cursor, should be easy to implement with reference to the existing ones.

The specification is only partially finished, however. The properties about get and put are mostly finished (while some holes remained about theories in strings, and trivially held correctness proof), but little has been done on the cursor movement operations. These operations shall expect more difficulty than get and put.

The current MassTree implementation does not support zero-length key. To support it, a *zero-length prefix* entry should be added in BorderNode. However, such entry will not be used in any other case than the zero-length key and it means a significant waste of space.

To get the value from a cursor is cheaper than getting a key from it in trie, because the former one needs only to access the leaf node while the latter one needs to traverse through the cursor. This is not represented by the functional model but only in the imperative program. In practice, the keys are usually obtained to implement exact get (like the one in traditional finite map, rather than get in current context). It's widely used in kv-map but we imagine it will be less used by the client of index engine. Even though, it might be worhwhile to provide a get_exact primitive to avoid overhead.

6 Example Walk-through

In this section, I will demonstrate a typical client usage of the library, and discuss what is guaranteed by the verification.

6.1 Naming for C Programs

Before go into the C program, I will briefly introduce the naming for the C programs. The data structure that implements the mapping is named Index, and the naming follows the intuition. The B+ tree naming are prefixed with I (stands for integer), while the trie naming are prefixed with S (stands for string).

```
SValue foobar(SIndex index, SKey k, SValue v) {
   SCursor c = Sfirst_cursor(index);
   Sput(k, v, c, index);
   Sfree_cursor(c);
   c = Smake_cursor(index, k);
   SValue ret;
   Bool success = Sget(c, index, &ret);
   Sfree_cursor(c);
   return ret;
}
```

Fig. 17. A Toy Client

6.2 A Toy Client

Imagine a client who puts a key and value and then immediately gets the value associated with the same key, like the toy program in Figure 17

Now suppose we run VST analysis over this function, as shown in the Listing 1. We have already know that the the map is correctly represented in the memory, as well as the key. The first call to Sfirst_cursor creates the cursor for the put operation. The call to put will provides a new cursor and new map, and a predicate guarantees that they are the result of the functional put operation. After that, several calls to make more cursors and tries get the value we have just put into the map. At the end of VST analysis, we know that the now the new map is correctly represented in the memory, the return value should be the value that the functional get returns, and there is no memory leak or any undefined behavior during the execution thanks to the soundness of Verifiable C provides.

Furthermore, according to the specification in the Module Type, we know that the cursor made from the key should be associated to the cursor, which means key_rel k (make_cursor k t') t'. Additionally, if t' is the result of some put operation, the input key to it is k, and the input cursor to get is associated with the same key, then it will definitely get the value v. Therefore, we can prove that the this function foobar will always return the same value as the input.

The complete stack of proof has almost been completed, with some holes about string theories. Therefore, we have established an end-to-end verification, provided that the B+ tree is verified as well.

7 Future Work

7.1 Specification

The specification has been at least implemented by a list of pairs. Not too much changes will be expected, but I suppose it still needs some improvement. The

```
{map_rep t index * key_rep key k}
                     SCursor c = Sfirst_cursor(index);
     {map_rep t index * key_rep key k * cursor_rep (first_cursor t) c}
                           Sput(k, v, c, index);
\{\exists t' \exists c', !! (\text{put k v (first\_cursor t) t c' t') \&\& map\_rep t' index * key\_rep}\}
                         key k * cursor_rep c' c}
{!!(put k v (first_cursor t) t c' t') && map_rep t' index * key_rep key k
                             * cursor_rep c' c}
                             Sfree_cursor(c);
{!!(put k v (first_cursor t) t c' t') && map_rep t' index * key_rep key k}
                        c = Smake_cursor(index, k);
{!!(put k v (first_cursor t) t c' t') && map_rep t' index * key_rep key k
                    * cursor_rep (make_cursor k t') c}
                                SValue ret;
                   Bool success = Sget(c, index, &ret);
{!!(put k v (first_cursor t) t c' t') && map_rep t' index * key_rep key k
                    * cursor_rep (make_cursor k t') c *
[ret] = match get_value (make_cursor k t') t' with Some v' => v' | None =>
                               nullval end *
      success = if (get_value (make_cursor k t') t') then 1 else 0}
                              Sfree_cursor(c);
{!!(put k v (first_cursor t) t c' t') && map_rep t' index * key_rep key k
[ret] = match get_value (make_cursor k t') t' with Some v' => v' | None =>
                               nullval end *
      success = if (get_value (make_cursor k t') t') then 1 else 0}
                                return ret;
```

Listing 1: Annotation for Toy Client

client of the index engine in the database system would have a better idea what guarantee it want.

Meanwhile, connection from B+ tree's functional implementation to the specification is still needed. Especially, the relational style put and empty might require some work.

7.2 MassTree

As for now, most of the design and implementation works have been done, while the verification is lagging behind. I would expect that the proof and from the C programs to the functional programs wouldn't encounter too much difficulty. Because for most of the proof it's collecting the branching decision as one symbolic execution the code with help of VST, and then using the decisions to guide the functional program's execution. However, the proof from functional programs to the specification properties might be difficult. Especially, the proof to reason the order of keys might require some auxiliary predicates over the trie globally to assist the proof.

7.3 New Operations

So far, work has only been done on the put operation. The delete operation is left untouched. I expect that this operation might conflict with the current implementation of first_cursor and next_cursor, as I have briefly mentioned in previous section.

References

- 1. Adewale, O.V.: Implementing a high-performance key-value store using a trie of b+-trees with cursors (2018)
- 2. Appel, A.W.: Verified software toolchain. In: European Symposium on Programming. pp. 1–17. Springer (2011)
- 3. Barrière, A.: Vst verification of b+trees with cursors (2018)
- Kallman, R., Kimura, H., Natkins, J., Pavlo, A., Rasin, A., Zdonik, S., Jones, E.P., Madden, S., Stonebraker, M., Zhang, Y., et al.: H-store: a high-performance, distributed main memory transaction processing system. Proceedings of the VLDB Endowment 1(2), 1496–1499 (2008)
- 5. Mao, Y., Kohler, E., Morris, R.T.: Cache craftiness for fast multicore key-value storage. In: Proceedings of the 7th ACM european conference on Computer Systems. pp. 183–196. ACM (2012)
- 6. McSwiggen, B.: The theory and verification of b+ tree cursor relations (2018)
- 7. Tu, S., Zheng, W., Kohler, E., Liskov, B., Madden, S.: Speedy transactions in multicore in-memory databases. In: Proceedings of the Twenty-Fourth ACM Symposium on Operating Systems Principles. pp. 18–32. ACM (2013)
- 8. Zheng, W., Tu, S., Kohler, E., Liskov, B.: Fast databases with fast durability and recovery through multicore parallelism. In: OSDI. vol. 14, pp. 465–477 (2014)

Appendix A:funspec for Interface Functions of B+ Tree

```
Definition Iempty_spec: ident * funspec :=
 DECLARE _Iempty
 WITH tt: unit
 PRE [ ]
 PROP ()
 LOCAL ()
 SEP ()
 POST [ tptr BTree.tindex ] EX t: @BTree.map val, EX pt: val,
 PROP (BTree.empty t)
 LOCAL (temp ret_temp pt)
 SEP (BTree.map_rep t pt).
Definition Iput_spec: ident * funspec :=
  DECLARE _Iput
 WITH k: Z, v: val,
      t: @BTree.map val, pt: val,
      c: @BTree.cursor val, pc: val
 PRE [ _key OF tuint, _value OF tptr tvoid, _cursor OF tptr

→ BTree.tcursor, _index OF tptr BTree.tindex ]
 PROP (BTree.abs_rel c t)
  LOCAL (temp _key (Vint (Int.repr k)); temp _value v;
         temp _cursor pc; temp _index pt)
 SEP (BTree.map_rep t pt; BTree.cursor_rep c pc)
 POST [ tvoid ]
 EX new_t: @BTree.map val, EX new_c: @BTree.cursor val,
 PROP (BTree.put k v c t new_c new_t)
 SEP (BTree.map_rep new_t pt; BTree.cursor_rep new_c pc).
Definition Imake_cursor_spec: ident * funspec :=
 DECLARE _Imake_cursor
 WITH k: Z,
      t: @BTree.map val, pt: val
  PRE [ _key OF tuint, _index OF tptr BTree.tindex ]
  PROP (0 <= k <= Int.max_unsigned; BTree.map_correct t)
  LOCAL (temp _key (Vint (Int.repr k)); temp _index pt)
  SEP (BTree.map_rep t pt)
 POST [ tptr BTree.tcursor ] EX pc: val,
 PROP ()
 LOCAL (temp ret_temp pc)
 SEP (BTree.map_rep t pt; BTree.cursor_rep (BTree.make_cursor k
  \rightarrow t) pc).
Definition Ifirst_cursor_spec: ident * funspec :=
```

```
DECLARE _Ifirst_cursor
  WITH t: @BTree.map val, pt: val
 PRE [ _index OF tptr BTree.tindex ]
 PROP (BTree.map_correct t)
 LOCAL (temp _index pt)
  SEP (BTree.map_rep t pt)
 POST [ tptr BTree.tcursor ] EX pc: val,
 PROP ()
 LOCAL (temp ret_temp pc)
  SEP (BTree.map_rep t pt; BTree.cursor_rep (BTree.first_cursor t)
Definition Iget_key_spec: ident * funspec :=
 DECLARE _Iget_key
 WITH c: @BTree.cursor val, pc: val,
       t: @BTree.map val, pt: val,
      pk: val
 PRE [ _cursor OF tptr BTree.tcursor, _index OF tptr

→ BTree.tindex, _key OF tptr tuint ]

 PROP (BTree.abs_rel c t)
 LOCAL (temp _cursor pc; temp _index pt; temp _key pk)
  SEP (BTree.map_rep t pt; BTree.cursor_rep c pc; data_at_ Tsh
  POST [ tint ]
 PROP ()
 LOCAL (temp ret_temp (if BTree.get_key c t then (Vint Int.one)

    else (Vint Int.zero)))

 SEP (BTree.map_rep t pt; BTree.cursor_rep c pc;
      data_at Tsh tuint match BTree.get_key c t with
                        | Some k => (Vint (Int.repr k))
                        | None => Vundef
                        end pk).
Definition Iget_value_spec: ident * funspec :=
 DECLARE _Iget_value
 WITH c: @BTree.cursor val, pc: val,
       t: @BTree.map val, pt: val,
      pv: val
 PRE [ _cursor OF tptr BTree.tcursor, _index OF tptr
  → BTree.tindex, _value OF tptr (tptr tvoid) ]
 PROP (BTree.abs_rel c t)
 LOCAL (temp _cursor pc; temp _index pt; temp _value pv)
 SEP (BTree.map_rep t pt; BTree.cursor_rep c pc; data_at_ Tsh
  POST [ tint ]
```

```
PROP ()

LOCAL (temp ret_temp (if BTree.get_value c t then (Vint Int.one)

→ else (Vint Int.zero)))

SEP (BTree.map_rep t pt; BTree.cursor_rep c pc;

data_at Tsh (tptr tvoid) match BTree.get_value c t with

| Some v => v

| None => Vundef
end pv).
```

Appendix B:Functional Program for Trie

```
Module Trie (Node: FLATTENABLE_MAP Keyslice)
            <: FLATTENABLE_MAP KeyType.</pre>
  [...]
 Definition empty (t: trie) :=
   match t with
    | trienode_of _ trieform listform =>
     Node.empty trieform /\ Node.Flattened.empty listform
  Function make_cursor (k: key) (t: trie) {measure length k}:

    cursor :=

   let keyslice := get_keyslice k in
   match t with
    | trienode_of _ mapform listform =>
     match Node.Flattened.get_exact keyslice listform with
      | Some (_, bnode) =>
       if (Z_le_dec (Zlength k) keyslice_length) then
          [(t, Node.make_cursor keyslice mapform,
            bnode, BorderNode.before_prefix (Zlength k))]
       else
         match fst (BorderNode.get_suffix_pair bnode) with
          | None =>
            match BorderNode.get_suffix None bnode with
            | value_of _ => []
            | nil => [(t, Node.make_cursor keyslice mapform,
                       bnode, BorderNode.after_suffix)]
            | trie_of t' =>
              (t, Node.make_cursor keyslice mapform,
              bnode, BorderNode.before_suffix) :: make_cursor
               end
          | Some k' =>
            if (TrieKeyFacts.lt_dec k' (get_suffix k)) then
              [(t, Node.make_cursor keyslice mapform,
```

```
bnode, BorderNode.after_suffix)]
          else
            [(t, Node.make_cursor keyslice mapform,
              bnode, BorderNode.before_suffix)]
        end
    | None => []
   end
  end.
Function strict_first_cursor (t: trie) {measure trie_height t}:
→ option cursor :=
 match t with
  | trienode_of _ mapform listform =>
   match Node.Flattened.get_value
            (Node.Flattened.first_cursor listform) listform with
    | Some (_, bnode) =>
     match BorderNode.first_cursor bnode with
      | BorderNode.before_prefix len =>
       match (BorderNode.get_prefix len bnode) with
        | value_of _ =>
          Some [(t, Node.first_cursor mapform,
                 bnode, BorderNode.before_prefix len)]
        | _ => None (* impossible *)
        end
      | BorderNode.before_suffix =>
       match snd (BorderNode.get_suffix_pair bnode) with
        | trie_of t' =>
         match strict_first_cursor t' with
          | Some c' => Some ((t, Node.first_cursor mapform,
                              bnode, BorderNode.before_suffix)
                              | None => None (* impossible *)
          end
        | value_of _ =>
          Some [(t, Node.first_cursor mapform,
                 bnode, BorderNode.before_suffix)]
        | nil => None (* impossible *)
      | BorderNode.after_suffix => None
     end
    | None => None
   end
  end.
```

Fixpoint normalize_cursor (c: cursor): option cursor :=

```
match c with
| [] => None
| (trienode_of addr mapform listform, map_cursor, bnode,
let t := trienode_of addr mapform listform in
 match normalize_cursor c' with
  | Some c'' => Some ((t, map_cursor, bnode, bnode_cursor) ::
  \hookrightarrow C^{11})
  | None =>
   match BorderNode.next_cursor bnode_cursor bnode with
    | BorderNode.before_prefix len =>
     Some [(t, map_cursor, bnode, (BorderNode.before_prefix
      \rightarrow len))]
    | BorderNode.before_suffix =>
     match (snd (BorderNode.get_suffix_pair bnode)) with
      | nil => None
      | value_of _ => Some [(t, map_cursor, bnode,
      → BorderNode.before_suffix)]
      | trie_of t' =>
       match strict_first_cursor t' with
        | Some c' =>
          Some ((t, map_cursor, bnode,
          → BorderNode.before_suffix) :: c')
        | None =>
         None
        end
      end
    | BorderNode.after_suffix =>
     let map_cursor' := Node.next_cursor map_cursor mapform
     match Node.get_key map_cursor' mapform with
      | Some key =>
       match Node.Flattened.get_value
        → (Node.Flattened.make_cursor key listform) listform
        \,\hookrightarrow\,\quad\text{with}\quad
        | Some (_, bnode') =>
         match BorderNode.next_cursor
          → (BorderNode.before_prefix 1) bnode' with
          | BorderNode.before_prefix len =>
           match (BorderNode.get_prefix len bnode') with
            | nil => None
            | value_of _ => Some [(t, map_cursor', bnode',
            | trie_of t' => None
            end
```

```
| BorderNode.before_suffix =>
              match (snd (BorderNode.get_suffix_pair bnode'))
              \hookrightarrow with
              | nil => None
              | value_of _ => Some [(t, map_cursor', bnode',
              → BorderNode.before_suffix)]
              | trie_of t' =>
                match strict_first_cursor t' with
                | Some c' =>
                  Some ((t, map_cursor', bnode',
                  → BorderNode.before_suffix) :: c')
                | None =>
                  None
                end
              end
            | BorderNode.after_suffix => None
          | None => None
        | None => None
        end
      end
   end
  end.
Fixpoint strict_next_cursor (c: cursor): cursor :=
 match c with
  | [] => []
  | [(trienode_of addr mapform listform, map_cursor, bnode,
  → bnode_cursor)] =>
   match bnode_cursor with
   | BorderNode.before_prefix len =>
      if Z_lt_dec len keyslice_length then
        [(trienode_of addr mapform listform, map_cursor, bnode,
        → BorderNode.before_prefix (len + 1))]
      else
        [(trienode_of addr mapform listform, map_cursor, bnode,
        → BorderNode.after_suffix)]
    | BorderNode.before_suffix => [(trienode_of addr mapform
    → listform, map_cursor, bnode, BorderNode.after_suffix)]
    | BorderNode.after_suffix =>
      let map_cursor' := Node.next_cursor map_cursor mapform in
      match Node.get_key map_cursor' mapform with
      | Some key =>
```

```
match Node.Flattened.get_value
        → (Node.Flattened.make_cursor key listform) listform
        \hookrightarrow with
        | Some bnode' =>
          [(trienode_of addr mapform listform, map_cursor,
          → bnode, BorderNode.before_prefix 1)]
        | None => []
        end
      | None => [] (* should not be the case in the only
         scenario of usage *)
      end
    end
  | current_slice :: c' =>
    current_slice :: (strict_next_cursor c')
Definition next_cursor (c: cursor) (t: map): cursor :=
  match normalize_cursor c with
  | Some c' =>
   strict_next_cursor c'
  | None =>
    end.
Definition first_cursor (c: cursor) (t: map): cursor :=
  match strict_first_cursor c with
  | Some c' => c'
  | None => []
  end.
Fixpoint get_raw (c: cursor): option (key * value) :=
  match c with
  | (trienode_of _ mapform _, map_cursor, bnode, bnode_cursor)
  match Node.get_key map_cursor mapform with
    | Some keyslice =>
      match bnode_cursor with
      | BorderNode.before_prefix len =>
        match BorderNode.get_prefix len bnode with
        | value_of v => Some (reconstruct_keyslice (keyslice,
        \hookrightarrow len), v)
        | trie_of t' => None
        | nil => None
        end
      | BorderNode.before_suffix =>
```

```
match (snd (BorderNode.get_suffix_pair bnode)) with
       | value_of v => Some (reconstruct_keyslice (keyslice,
        \hookrightarrow keyslice_length), v)
        | trie_of t' =>
         match get_raw c' with
         | Some (k', v') => Some (reconstruct_keyslice
         | None => None
         end
       | nil => None
       end
      | BorderNode.after_suffix => None
     end
   | None => None
   end
 | [] => None
 end.
Definition get (c: cursor) (t: map): option (key * value) :=
 match normalize_cursor c with
 | Some c' =>
   get_raw c'
 | None => None
 end.
Inductive create_pair (k1 k2: key) (v1 v2: value): cursor -> map
→ -> Prop :=
 | create_pair_case1: forall emptylist emptymap listform

→ mapform listcursor mapcursor bnode_addr addr,

 let keyslice1 := get_keyslice k1 in
 let keyslice2 := get_keyslice k2 in
 keyslice1 = keyslice2 ->
 Zlength k1 <= keyslice_length \/ Zlength k2 <= keyslice_length</pre>
 let bnode := BorderNode.put_value k1 (value_of v1)
  → BorderNode.empty in
 let bnode := BorderNode.put_value k2 (value_of v2) bnode in
 Node.Flattened.empty emptylist ->
 Node.Flattened.put keyslice1 (bnode_addr, bnode)
                    (Node.Flattened.first_cursor emptylist)
                     \hookrightarrow emptylist
                    listcursor listform ->
 Node.empty emptymap ->
 Node.put keyslice1 bnode_addr (Node.first_cursor emptymap)
```

```
create_pair k1 k2 v1 v2
            [(trienode_of addr mapform listform, mapcursor,
             → bnode, BorderNode.length_to_cursor (Zlength
             \rightarrow k1))]
            (trienode_of addr mapform listform)
| create_pair_case2: forall emptylist emptymap listform

→ mapform listcursor mapcursor bnode_addr c' t' addr,
 let keyslice1 := get_keyslice k1 in
 let keyslice2 := get_keyslice k2 in
 keyslice1 = keyslice2 ->
 Zlength k1 > keyslice_length /\ Zlength k2 > keyslice_length
 create_pair (get_suffix k1) (get_suffix k2) v1 v2 c' t' ->
 let bnode := BorderNode.put_suffix None (trie_of t')
  → BorderNode.empty in
 Node.Flattened.empty emptylist ->
 Node.Flattened.put keyslice1 (bnode_addr, bnode)
                     (Node.Flattened.first_cursor emptylist)
                      \hookrightarrow emptylist
                     listcursor listform ->
 Node.empty emptymap ->
 Node.put keyslice1 bnode_addr (Node.first_cursor emptymap)

→ emptymap mapcursor mapform → 

  create_pair k1 k2 v1 v2
              ((trienode_of addr mapform listform, mapcursor,
              → bnode, BorderNode.before_suffix) :: c')
              (trienode_of addr mapform listform)
| create_pair_case3: forall emptylist emptymap listform1
→ listform2 mapform1 mapform2 listcursor1 listcursor2

→ mapcursor1 mapcursor2 bnode_addr1 bnode_addr2 addr,
 let keyslice1 := get_keyslice k1 in
 let keyslice2 := get_keyslice k2 in
 keyslice1 <> keyslice2 ->
 let bnode1 := BorderNode.put_value k1 (value_of v1)
  → BorderNode.empty in
 let bnode2 := BorderNode.put_value k2 (value_of v2)
  \hookrightarrow BorderNode.empty in
 Node.Flattened.empty emptylist ->
 Node.Flattened.put keyslice2 (bnode_addr2, bnode2)
                     (Node.Flattened.first_cursor emptylist)
                      \hookrightarrow emptylist
                     listcursor2 listform2 ->
 Node.Flattened.put keyslice1 (bnode_addr1, bnode1)
                      (Node.Flattened.first_cursor listform2)
                      \rightarrow listform2
```

```
listcursor1 listform1 ->
 Node.empty emptymap ->
 Node.put keyslice2 bnode_addr2 (Node.first_cursor emptymap)

→ emptymap mapcursor2 mapform2 → 

 Node.put keyslice1 bnode_addr1 (Node.first_cursor mapform2)

→ mapform2 mapcursor1 mapform1 ->

 create_pair k1 k2 v1 v2
             [(trienode_of addr mapform1 listform1,

→ mapcursor1, bnode1,

             → BorderNode.length_to_cursor (Zlength k1))]
             (trienode_of addr mapform1 listform1).
Inductive put (k: key) (v: value): cursor -> trie -> cursor ->
| put_case1: forall mapform listform listform' listcursor

→ listcursor' bnode_addr bnode c addr,
 let keyslice := get_keyslice k in
 list_get_exact keyslice listform = Some (bnode_addr, bnode)
 Zlength k <= keyslice_length ->
 let bnode := BorderNode.put_prefix (Zlength k) (value_of v)
  \rightarrow bnode in
 Node.Flattened.abs_rel listcursor listform ->
 Node.Flattened.put keyslice (bnode_addr, bnode)
                    listcursor listform
                    listcursor' listform' ->
 let mapcursor := Node.make_cursor keyslice mapform in
 put k v c (trienode_of addr mapform listform)
     [(trienode_of addr mapform listform', mapcursor, bnode,
      → BorderNode.before_prefix (Zlength k))]
     (trienode_of addr mapform listform')
put_case2: forall mapform listform listform' listcursor
let keyslice := get_keyslice k in
 list_get_exact keyslice listform = Some (bnode_addr, bnode)
 Zlength k > keyslice_length ->
 BorderNode.get_suffix None bnode = trie_of t' ->
 put (get_suffix k) v c' t' c'' t'' ->
 let bnode := BorderNode.put_suffix None (trie_of t'') bnode
 Node.Flattened.abs_rel listcursor listform ->
 Node.Flattened.put keyslice (bnode_addr, bnode)
                    listcursor listform
                    listcursor' listform' ->
```

```
let mapcursor := Node.make_cursor keyslice mapform in
 put k v c (trienode_of addr mapform listform)
      ((trienode_of addr mapform listform', mapcursor, bnode,
      → BorderNode.before_suffix) :: c'')
      (trienode_of addr mapform listform')
| put_case3: forall mapform listform listform' listcursor
→ listcursor' bnode_addr bnode c addr,
 let keyslice := get_keyslice k in
 list_get_exact keyslice listform = Some (bnode_addr, bnode)
 Zlength k > keyslice_length ->
 BorderNode.get_suffix_pair bnode = (None, nil) ->
 let bnode := BorderNode.put_suffix (Some (get_suffix k))
  \hookrightarrow (value_of v) bnode in
 Node.Flattened.abs_rel listcursor listform ->
 Node.Flattened.put keyslice (bnode_addr, bnode)
                     listcursor listform
                     listcursor' listform' ->
 let mapcursor := Node.make_cursor keyslice mapform in
 put k v c (trienode_of addr mapform listform)
      [(trienode_of addr mapform listform', mapcursor, bnode,
      → BorderNode.before_suffix)]
      (trienode_of addr mapform listform')
| put_case4: forall mapform listform listform' listcursor
→ listcursor' bnode_addr bnode c addr,
 let keyslice := get_keyslice k in
 list_get_exact keyslice listform = Some (bnode_addr, bnode)
  \hookrightarrow ->
 Zlength k > keyslice_length ->
 fst (BorderNode.get_suffix_pair bnode) = Some k ->
 let bnode := BorderNode.put_suffix (Some (get_suffix k))
  \hookrightarrow (value_of v) bnode in
 Node.Flattened.abs_rel listcursor listform ->
 Node.Flattened.put keyslice (bnode_addr, bnode)
                     listcursor listform
                     listcursor' listform' ->
 let mapcursor := Node.make_cursor keyslice mapform in
 put k v c (trienode_of addr mapform listform)
     [(trienode_of addr mapform listform', mapcursor, bnode,
      → BorderNode.before_suffix)]
      (trienode_of addr mapform listform')
| put_case5: forall mapform listform listform' listcursor
→ listcursor' bnode_addr bnode c c' t' k' v' addr,
 let keyslice := get_keyslice k in
 list_get_exact keyslice listform = Some (bnode_addr, bnode)
```

```
Zlength k > keyslice_length ->
 BorderNode.get_suffix_pair bnode = (Some k', value_of v') ->
 get_suffix k <> k' ->
 create_pair (get_suffix k) k' v v' c' t' ->
 let bnode := BorderNode.put_suffix None (trie_of t') bnode
 Node.Flattened.abs_rel listcursor listform ->
 Node.Flattened.put keyslice (bnode_addr, bnode)
                     listcursor listform
                     listcursor' listform' ->
 let mapcursor := Node.make_cursor keyslice mapform in
 put k v c (trienode_of addr mapform listform)
      ((trienode_of addr mapform listform', mapcursor, bnode,
      → BorderNode.before_suffix) :: c')
      (trienode_of addr mapform listform')
| put_case6: forall mapform mapform' listform'

→ mapcursor mapcursor' listcursor listcursor' bnode_addr c

\rightarrow addr,
 let keyslice := get_keyslice k in
 list_get_exact keyslice listform = None ->
 let bnode := BorderNode.put_value k (value_of v)
  \hookrightarrow BorderNode.empty in
 Node.Flattened.abs_rel listcursor listform ->
 Node.Flattened.put keyslice (bnode_addr, bnode)
                     listcursor listform
                     listcursor' listform' ->
 Node.abs_rel mapcursor mapform ->
 Node.put keyslice bnode_addr
                     mapcursor mapform
                     mapcursor' mapform' ->
 put k v c (trienode_of addr mapform listform)
      [(trienode_of addr mapform' listform', mapcursor',
      → bnode, BorderNode.before_suffix)]
      (trienode_of addr mapform' listform').
Inductive map_correct: map -> Prop :=
| map_correct_intro mapform listform: forall addr,
 Node.map_correct mapform ->
 Node.Flattened.map_correct listform ->
 map fst (Node.flatten mapform) = map fst listform ->
 map snd (Node.flatten mapform) = map (compose fst snd)
  → listform ->
 Forall (compose bordernode_correct (compose snd snd))
  → listform ->
 map_correct (trienode_of addr mapform listform)
```

```
with
bordernode_correct: @BorderNode.map link -> Prop :=
| bordernode_correct_intro prefixes (k: option string) 1:
 Zlength prefixes = keyslice_length ->
 Forall (fun l => is_value l \/\ l = nil) prefixes ->
  (k = None -> subtrie_correct 1) ->
  (k <> None -> is_value 1) ->
 match k with
  | Some k' => 0 < Zlength k'
  | None => True
 end ->
  (* optional: no dangling(dead end) in the tree, useful for
  → [next_cursor] and [first_cursor] *)
  (1 \iff nil \setminus / Exists (fun l \Rightarrow l \iff nil) prefixes) \rightarrow
 bordernode_correct (prefixes, k, 1)
with
subtrie_correct: link -> Prop :=
| nil_correct: subtrie_correct nil
| subtrie_correct_intro t:
 map_correct t ->
  (* optional: no dangling(dead end) in the tree, useful for
  → [next_cursor] and [first_cursor] *)
  ~ empty t ->
 subtrie_correct (trie_of t).
Fixpoint cursor_correct_aux (c: cursor) (p: option trie): Prop
 match c with
  | (trienode_of addr mapform listform, map_cursor, bnode,

→ bnode_cursor) :: c' =>
   match p with
    | Some t => t = trienode_of addr mapform listform
    | None => True
    end /\
    map_correct (trienode_of addr mapform listform) /\
    Node.cursor_correct map_cursor /\
    {\tt BorderNode.cursor\_correct\ bnode\_cursor\ / \ }
    match Node.get_key map_cursor mapform with
    | Some key =>
      match Node.Flattened.get_value
      → (Node.Flattened.make_cursor key listform) listform
      \,\,\hookrightarrow\,\,\,\text{with}
      | Some (_, bnode') =>
        bnode' = bnode /\
        match bnode_cursor with
```

```
| BorderNode.before_prefix len =>
              match BorderNode.get_prefix len bnode with
              | trie_of t' => False
              | _ => c' = []
              end
            | BorderNode.before_suffix =>
              match (snd (BorderNode.get_suffix_pair bnode)) with
              | trie_of t' => cursor_correct_aux c' (Some t')
              | _ => c' = []
              end
            | BorderNode.after_suffix => c' = []
            end
          | None => False
          end
        | None => False
        end
      | [] => True
      end.
    Definition cursor_correct (c: cursor): Prop :=
    \hookrightarrow cursor_correct_aux c None.
    Definition abs_rel (c: cursor) (t: map): Prop :=
     cursor_correct c /\ map_correct t /\
     match c with
      | (t', _, _, _) :: _ =>
      t' = t
      | [] => True
      end.
    Definition key_rel (k: key) (c: cursor) (t: map): Prop :=
     normalize_cursor c = normalize_cursor (make_cursor k t).
    Definition eq_cursor (c1 c2: cursor) (t: map):
     normalize_cursor c1 = normalize_cursor c2.
End Trie.
```