

# Formalising Groth16 in Lean 4

Daniel Rogozin, for Yatima Inc

August 14, 2022\*

In this document, we describe the Groth16 soundness formalisation in Lean 4. The text contains the protocol description as well as some comments to its implementation.

## 1 Preliminary definitions

We have a fixed finite field  $F$ , and  $F[X]$  stands for the ring of polynomials over  $F$  as usual. The corresponding listing:

```
variable {F : Type u} [field : Field F]
```

In Groth16, we have random values  $\alpha, \beta, \gamma, \delta \in F$  that we introduce separately as an inductive data type:

```
inductive Vars : Type
| alpha : Vars
| beta  : Vars
| gamma : Vars
| delta : Vars
```

We also introduce the following parameters:

- $n_{stmt} \in \mathbb{N}$  — the statement size;
- $n_{wit} \in \mathbb{N}$  — the witness size;
- $n_{var} \in \mathbb{N}$  — the number of variables.

In Lean 4, we introduce those parameters as variables in the following way:

```
variable {n_stmt n_wit n_var : Nat}
```

We also define several finite collections of polynomials:

- $u_{stmt} = \{f_i \in F[X] \mid i < n_{stmt}\}$
- $u_{wit} = \{f_i \in F[X] \mid i < n_{wit}\}$
- $v_{stmt} = \{f_i \in F[X] \mid i < n_{stmt}\}$
- $v_{wit} = \{f_i \in F[X] \mid i < n_{wit}\}$
- $w_{stmt} = \{f_i \in F[X] \mid i < n_{stmt}\}$
- $w_{wit} = \{f_i \in F[X] \mid i < n_{wit}\}$

We introduce those collections in Lean 4 as variables as well:

---

\*This document may be updated frequently.

```

variable {u_stmt : Fin n_stmt -> F[X]}
variable {u_wit : Fin n_wit -> F[X]}
variable {v_stmt : Fin n_stmt -> F[X]}
variable {v_wit : Fin n_wit -> F[X]}
variable {w_stmt : Fin n_stmt -> F[X]}
variable {w_wit : Fin n_wit -> F[X]}

```

Let  $(r_i)_{i < n_{wit}}$  be a collection of elements of  $F$  (that is, each  $r_i \in F$ ) parametrised by elements of  $n_{wit}$ . Define a polynomial  $t \in F[X]$  as:

$$t = \prod_{i \in n_{wit}} (x - r_i).$$

Clearly, these  $r_i$ 's are roots of  $t$ . The definition in Lean 4:

```

variable (r : Fin n_wit -> F)

def t : F[X] := Pi i in finRange n_wit ,
  (x : F[X]) - Polynomial.c (r i)

```

## 2 Properties of $t$

The polynomial  $t$  has the following properties:

**Lemma 1.**

1.  $\deg(t) = n_{wit}$ ;
2.  $t$  is monic, that is, its leading coefficient is equal to 0;
3. If  $n_{wit} > 0$ , then  $\deg(t) > 0$ .

We formalise these statements as follows (but we drop proofs):

```

lemma nat_degree_t : (t r).natDegree = n_wit
lemma monic_t : Polynomial.Monic (t r)
lemma degree_t_pos (hm : 0 < n_wit) : 0 < (t r).degree

```