#### AFCS/Spring 2014

# **Vector Spaces**

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### **Contents**

- 1. Definitions and examples
- 2. Basis and dimension
- 3. Application: error detecting and correcting codes

**Definition 1** Let  $(F,+,-,0,\circ,',e)$  be a field. A vector space over F is an algebraic system  $(V,\oplus,\ominus,0,\cdot)$  which consists of a commutative group  $(V,\oplus,\ominus,0)$  and a function  $\cdot:F\times V\to V$  such that:

- 1.  $\alpha \cdot (x \oplus y) = \alpha \cdot x \oplus \alpha \cdot y$ , for any  $\alpha \in F$  and  $x, y \in V$ ;
- 2.  $(\alpha + \beta) \cdot x = \alpha \cdot x \oplus \beta \cdot x$ , for any  $\alpha, \beta \in F$  and  $x \in V$ ;
- 3.  $(\alpha \circ \beta) \cdot x = \alpha \cdot (\beta \cdot x)$ , for any  $\alpha, \beta \in F$  and  $x \in V$ ;
- 4.  $e \cdot x = x$ , for any  $x \in V$ .

The elements of V are called vectors, the elements of F are called scalars, and F is called the field of scalars of V. The operation  $\oplus$  is called the vector addition and the operation  $\cdot$  is called the scalar multiplication.

**Remark 1** To simplify the notation, we will denote the operations of F by  $(F,+,-,0,\cdot,',1)$  and the operations of V by  $(V,+,-,0,\cdot)$ . Moreover, the symbol of the operation  $\cdot$  will be mostly omitted. Therefore, the axioms of V can be rewritten as follows:

- 1.  $\alpha(x+y) = \alpha x + \alpha y$ , for any  $\alpha \in F$  and  $x, y \in V$ ;
- 2.  $(\alpha + \beta)x = \alpha x + \beta x$ , for any  $\alpha, \beta \in F$  and  $x \in V$ ;
- 3.  $(\alpha\beta)x = \alpha(\beta x)$ , for any  $\alpha, \beta \in F$  and  $x \in V$ ;
- 4. 1x = x, for any  $x \in V$ .

Vector subtraction is defined by x - y = x + (-y), for any  $x, y \in V$ .

The vector space which consists of the only element 0 is called the trivial vector space (it is unique up to isomorphism).

#### **Example 1**

1. Let F be a field and  $n \ge 1$ . Denote by  $F^n$  the set of all n-dimensional vectors over F. Define vector addition by

$$(a_1, \ldots, a_n) + (b_1, \ldots, b_n) = (a_1 + b_1, \ldots, a_n + b_n)$$

and scalar multiplication by

$$b(a_1,\ldots,a_n)=(ba_1,\ldots,ba_n),$$

for any  $(a_1, \ldots, a_n), (b_1, \ldots, b_n) \in F^n$  and  $b \in F$ . With these operations,  $F^n$  is a vector space over F. If we identify  $F^1$  with F, then F can be viewed as a vector space over itself.

2. The set of all  $m \times n$  matrices over F, denoted  $^mF^n$ , can be organized as a vector space over F. Vector addition is matrix addition, and scalar multiplication is the usual multiplication with scalars.

#### **Example 2**

- 1.  $\mathbb{Q}^n$ ,  $\mathbb{R}^n$ , and  $\mathbb{C}^n$  are vector spaces.
- 2. C can be viewed as a vector space over R, and both C and R can be viewed as vector spaces over Q.
- 3. The set of all functions from  $\mathbf{R}$  to  $\mathbf{R}$ , together with the addition f+g and scalar multiplication  $\alpha f$  ( $(\alpha f)(x)=\alpha f(x)$ , for any x), form a vector space over  $\mathbf{R}$ .

**Proposition 1** Let V be a vector space over a field F. Then, for any  $x,y\in V$  and  $\alpha,\beta\in F$ , the following properties hold

- 1. 0x = 0;
- 2. (-1)x = -x;
- 3.  $(-\alpha)x = \alpha(-x) = -\alpha x$ ;
- **4.**  $\alpha 0 = 0$ ;
- 5. if  $\alpha x = 0$ , then  $\alpha = 0$  or x = 0;
- 6. if  $\alpha x = \alpha y$ , then  $\alpha = 0$  or x = y;
- 7. if  $\alpha x = \beta x$ , then  $\alpha = \beta$  or x = 0.

**Definition 2** Let V and U be vector spaces over a field F. We say that U is a subspace of V, denoted  $U \leq V$ , if  $U \subseteq V$  and the restriction of V's operations to U coincide with U's operations.

#### **Example 3**

- 1. If V is a vector space over F, then  $\{0\}$  and V are subspaces of V.
- 2. Let F be a field and  $n \ge 1$ . The set U of all vectors of  $F^n$  whose first coordinate is 0 is a subspace of  $F^n$ . When  $n \ge 2$ , this subspace can be identified with  $F^{n-1}$ .

Let V be a vector space over a field F,  $x_1, \ldots, x_k \in V$ , and  $\alpha_1, \ldots, \alpha_k \in F$ , where  $k \geq 1$ . An expression

$$\alpha_1 x_1 + \ldots + \alpha_k x_k$$

is called a linear combination of  $x_1, \ldots, x_k$ .

The set of all linear combinations of  $x_1, \ldots, x_k$  forms a subspace of V; this subspace is called the subspace generated by  $x_1, \ldots, x_k$ . It is usually denoted by  $\langle x_1, \ldots, x_k \rangle_V$  or  $\langle x_1, \ldots, x_k \rangle$ . Therefore,

$$\langle x_1, \dots, x_k \rangle = \{ \alpha_1 x_1 + \dots + \alpha_k x_k | \alpha_1, \dots, \alpha_k \in F \}.$$

If  $x = \sum \alpha_i x_i$  then we say that x is a linear combination of  $x_1, \ldots, x_k$  or that x is linearly dependent of  $x_1, \ldots, x_k$ .

**Definition 3** Let V be a vector space over a field F. The vectors  $x_1, \ldots, x_k$  from V are called linearly dependent if there exist  $\alpha_1, \ldots, \alpha_k \in F$ , not all 0, such that  $\sum \alpha_i x_i = 0$ .

If  $x_1, \ldots, x_k$  are not linearly dependent, then they are called linearly independent. That is,  $x_1, \ldots, x_k$  are linearly independent if for any  $\alpha_1, \ldots, \alpha_k \in F$ , the relation  $\sum \alpha_i x_i = 0$  leads to  $\alpha_1 = \cdots = \alpha_k = 0$ .

**Remark 2** Let V be a vector space over a field F.

- 1.  $x \in V$  is linearly independent iff  $x \neq 0$ .
- 2. If  $x_1, \ldots, x_k \in V$  are linearly independent, then  $x_i \neq 0$ , for any i. Moreover,  $x_i \neq x_j$ , for any  $i \neq j$ .

**Proposition 2** Let V be a vector space over a field F.  $x_1, \ldots, x_k$  from V are linearly dependent iff there exists  $1 \le i \le k$  such that  $x_i$  is a linear combination of the other vectors.

### 2. Basis and dimension

**Definition 4** Let V be a non-trivial vector space over a field F. A finite subset  $B \subseteq V$  is called a **basis** of V if it is linearly independent and generates V (each element in V is a linear combination of vectors in B).

#### Remark 3

- If  $x_1, \ldots, x_k$  form a basis for V, then  $x_i \neq x_j$ , for any  $i \neq j$ . Therefore,  $\{x_1, \ldots, x_k\}$  has exactly k vectors.
- We have considered only finite basis. There are approaches for infinite basis too.

#### 2. Basis and dimension

#### **Example 4**

1. Let F be a field and  $n \ge 1$ . The vector space  $F^n$  can be generated by

$$\mathbf{e_1} = (1, 0, 0, \dots, 0, 0)$$
 $\mathbf{e_2} = (0, 1, 0, \dots, 0, 0)$ 
 $\cdots$ 
 $\mathbf{e_n} = (0, 0, 0, \dots, 0, 1).$ 

2. Let F be a field and  $m, n \ge 1$ . The vector space  ${}^mF^n$  can be generated by  $E_{ij}$ , where

$$E_{ij}(u,v) = \left\{ egin{array}{ll} 1, & \mbox{if } u=i \mbox{ and } v=j \ 0, & \mbox{otherwise,} \end{array} 
ight.$$

for any  $i, u \in \{1, ..., m\}$  and  $v, j \in \{1, ..., n\}$ .

#### 2. Basis and dimension

**Theorem 1** Let V be a vector space over a field F.  $B = \{x_1, \ldots, x_k\} \subseteq V$  is a basis of V iff any  $x \in V$  can be uniquely written as a linear combination of vectors in B.

**Corollary 1** If A and B are finite linearly independent sets that generate a vector space V, then |A| = |B|.

**Definition 5** Let V be a vector space over a field F. V is called finite dimensional if there exists a (finite) basis B for V. In this case, |B| is called the dimension of V, denoted dim(V). If V is not finite dimensional then it is called infinite dimensional.

#### **Example 5**

- 1.  $dim(F^n) = n$  and  $dim(^mF^n) = mn$ .
- 2.  $F^{\mathbf{N}}$  is an infinite dimensional.

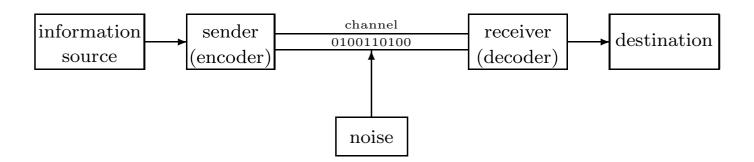
Entities involved in information transmission:

- sender (encoder);
- receiver (decoder);
- channel.

Examples of entities involved in information transmission:

- satellite station, Earth station, atmosphere;
- emission device, reception device, telephone cable.

Main problem: noise



Main question: develop codes capable of error detection and correction

We will use only bloc binary codes.

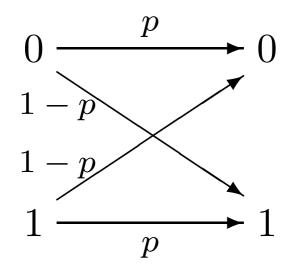
Transmission channels can be classified into:

- noiseless channels (also called perfect channels);
- noise channels, which can be
  - symmetric the probability that a bit is (correctly) received is the same for both bits;
  - asymmetric it is not symmetric.

We will use only binary symmetric channels (BSC). Basic assumptions about them:

- BSCs do not change the length of the binary sequence transmitted through them;
- receiving order of the bits = sending order of the bits.

The reliability of a BSC is a real number  $p \in (0,1)$  which gives the probability that the bit b received is the bit b sent.



We may consider only BSCs with reliability 1/2 .

Let  $C_1 = \{00, 01, 10, 11\}$ . With such a code, no error can be detected (but they may occur).

Let  $C_2 = \{000, 011, 101, 110\}$  (obtained from  $C_2$  by adding the parity bit). With such a code, any singular error is detected.

**Definition 6** The information ratio of a code C of length n is

$$ri(C) = \frac{log_2|C|}{n}.$$

$$ri(C_1) = 1$$
 and  $ri(C_2) = 2/3$ .

<u>Case analysis</u>: channel reliability  $p = 1 - 10^{-8}$ , transmission rate  $10^7$  bits/sec:

• Let  $C = \{0, 1\}^{11}$ . A simple computation shows that

$$\frac{11}{10^8} \cdot \frac{10^7}{11} = 0.1$$
 code words/sec

with exact one undetected error will be transmitted. This means 8640 code words/day !!!

▶ Let C' be obtained from C by adding the parity bit. A simple computation shows that

$$\frac{66}{10^{16}}\cdot\frac{10^7}{12}pprox \frac{5.5}{10^9}$$
 code words/sec

with undetected errors will be transmitted. This means a code word/2000 days !!!

Let C be a code of length n,  $w \in \{0,1\}^n$  and  $v \in C$ . Let d be the number of positions on which w and v disagree. Then, the probability that v was sent when w was received is

$$\phi_p(v, w) = p^{n-d}(1-p)^d,$$

where p is the channel reliability.

In practice, we know w but we do not know v. Usually, we choose v such that the probability

$$\phi_p(v, w) = \max\{\phi_p(u, w) | u \in C\}$$

is minimized. Of course, v might not be unique.

**Theorem 2** Let C be a code of length n,  $v_1, v_2 \in C$ , and  $w \in \{0, 1\}^n$ , and  $d_1$  ( $d_2$ ) be the number of positions on which  $v_1$  and w ( $v_2$  and w, respectively), disagree. Then,

$$\phi_p(v_1, w) \le \phi_p(v_2, w) \Leftrightarrow d_1 \ge d_2$$

(it is assumed that the channel reliability satisfies 1/2 ).

We will work exclusively with the vector space  $F_2^n$ , where  $F_2 = \mathbf{Z}_2$ . Vector addition and scalar multiplication are given by:

where  $\alpha, x_i, y_i \in F_2$ ,  $x_i + y_i$  is the addition modulo 2, and  $\alpha \cdot x_i$  is given by

$$0 \cdot 0 = 0 \cdot 1 = 1 \cdot 0 = 0$$
 and  $1 \cdot 1 = 1$ .

**Definition 7** Let  $v \in \{0,1\}^*$ . The Hamming weigth of v, denoted Hw(v), is the number of 1s in v.

**Definition 8** Let  $v, w \in \{0, 1\}^n$ , for some n. The Hamming distance of v and w, denoted Hd(v, w), is Hd(v, w) = Hw(v + w).

#### **Proposition 3** The following properties hold true:

- (1)  $0 \le Hw(v) \le n$ ;
- (2) Hw(v) = 0 iff v = 0;
- (3)  $0 \le Hd(v, w) \le n$ ;
- (4) Hd(v, w) = 0 iff v = w;
- (5) Hd(v, w) = Hd(w, v);
- (6)  $Hw(v+w) \le Hw(v) + Hw(w)$ ;
- (7)  $Hd(v, w) \le Hd(v, u) + Hd(u, w);$
- (8) Hw(av) = aHw(v);
- (9) Hd(av, aw) = aHd(v, w),

for any  $u, v, w \in \{0, 1\}^n$  and  $a \in \{0, 1\}$ , where  $n \ge 1$ .

**Definition 9** Let C be a code of length n.

- (1) C detects the error  $u \in \{0,1\}^n \{0^n\}$  if  $v + u \notin C$ , for any  $v \in C$ .
- (2) C is a t-detector code if C detects any error with Hamming weight at most t, but there exists an error with Hamming weight t+1 that cannot be detected by C.

**Definition 10** Let C be a code. The distance of C, denoted d(C), is

$$d(C) = \min\{Hd(v, w)|v, w \in C, v \neq w\}.$$

**Theorem 3** Let C be a code of length n and distance d. Then,

- (1) C detects all errors  $u \in \{0,1\}^n \{0^n\}$  with  $Hw(u) \leq d-1$ ;
- (2) there exists at least one error  $u \in \{0,1\}^n \{0^n\}$  with Hw(u) = d that cannot be detected by C.

**Definition 11** Let C be a code of length n.

- (1) C corrects the error  $u \in \{0,1\}^n \{0^n\}$  if Hd(v+u,v) < Hd(v+u,w), for any  $v \in C$  şi  $w \in C \{v\}$ .
- (2) C is a t-corrector code if C corrects all errors with Hamming weight at most t, but there exists at least one error with Hamming weight t+1 that cannot be corrected by C.

**Theorem 4** Let C be a code of length n and distance d. Then,

- (1) C corrects all errors  $u \in \{0,1\}^n \{0^n\}$  with  $Hw(u) \leq \lfloor (d-1)/2 \rfloor$ ;
- (2) there exists at least one error  $u \in \{0, 1\}^n \{0^n\}$  with  $Hw(u) = \lfloor (d-1)/2 \rfloor + 1$  that cannot be corrected by C.